

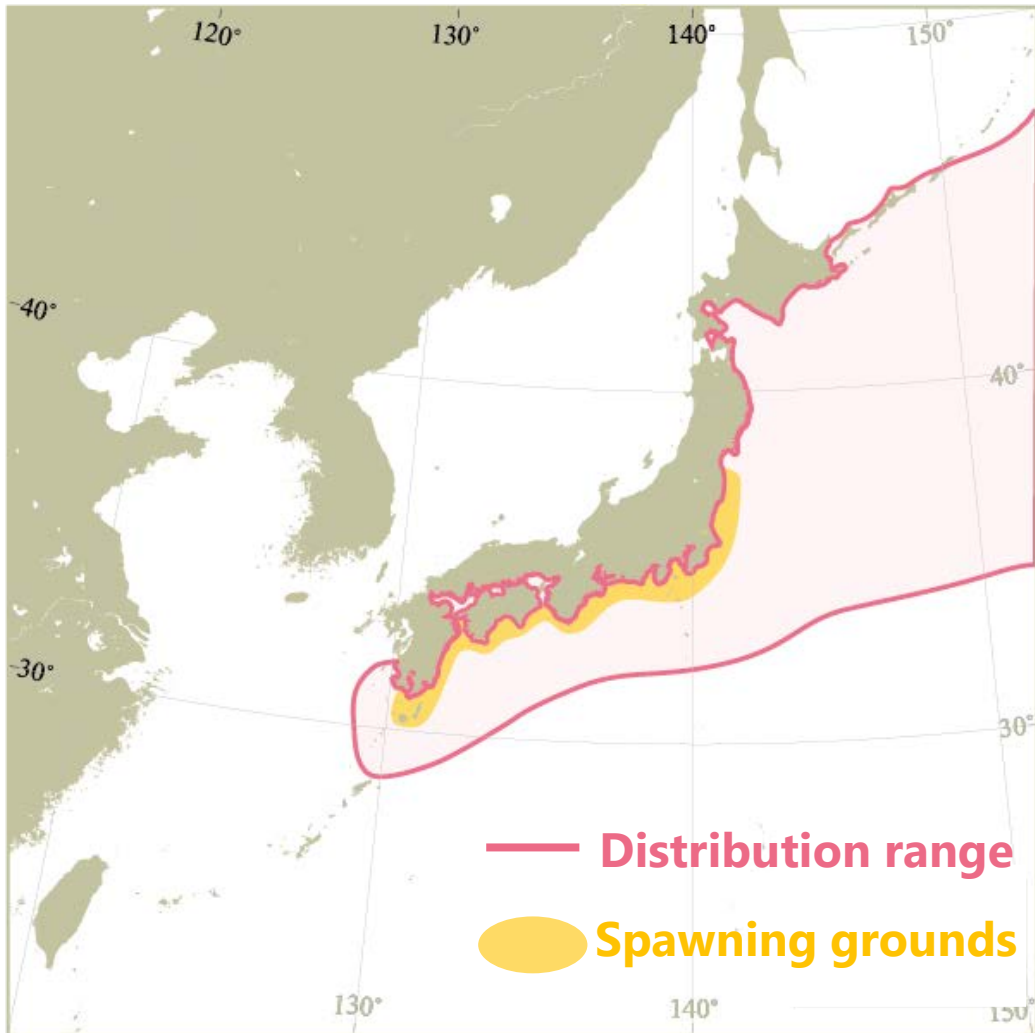
## 6.3 Domestic Stock Assessment of Japanese Sardine in Japan in 2023 FY (January-December)



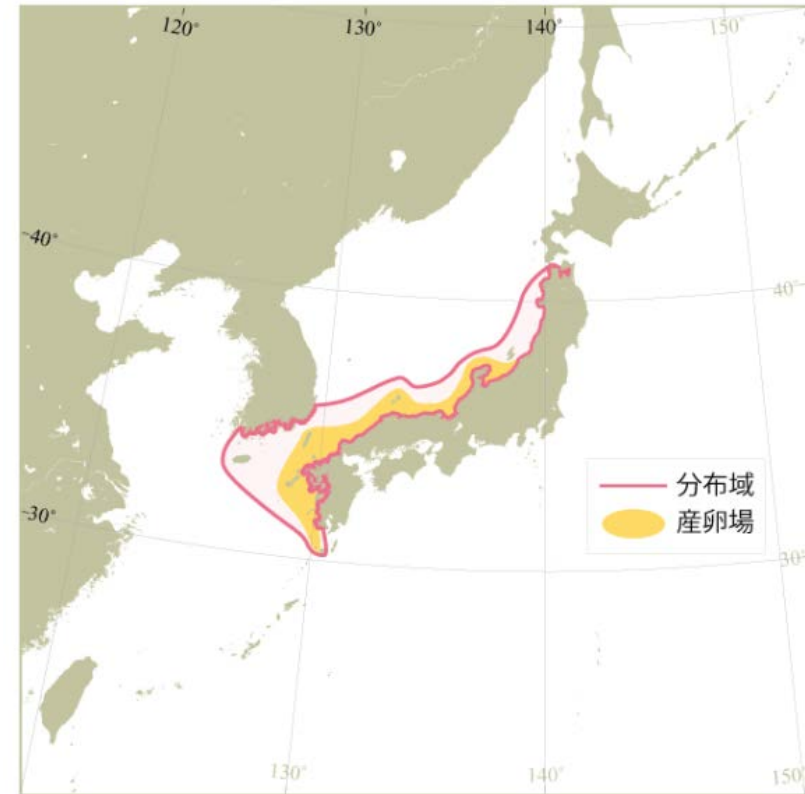
Kazunari Higashiguchi  
(Japan Fisheries Research and Education Agency)

# Spatial Structure of JS Stocks

## Pacific stock



## Tsushima Warm Current stock

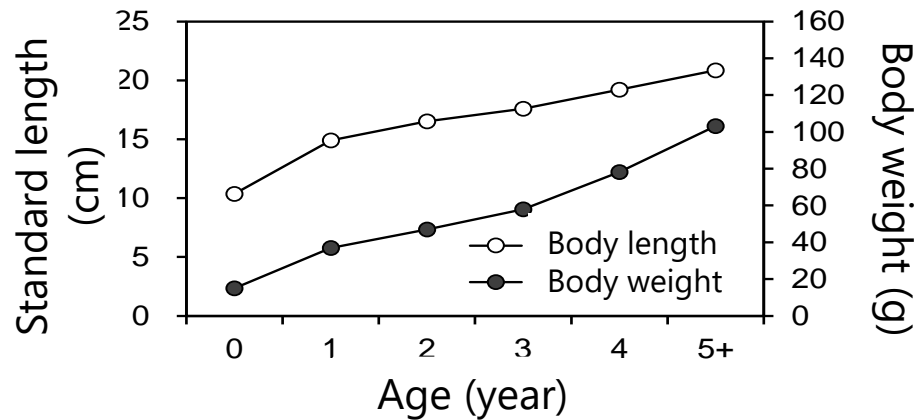


- There are two stocks depending on distributions and biology
- Only the Pacific stock is distributed in the NPFC Convention Area

# Biological information for Japanese sardine

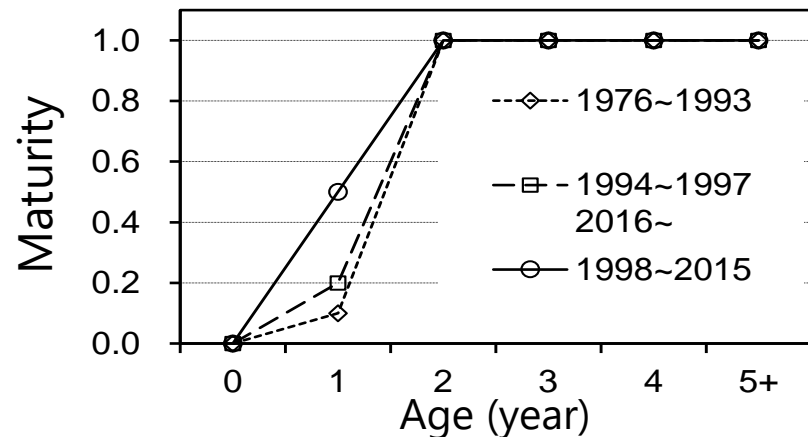
Scientific name: *Sardinops melanostictus*

## Body length and weight by age



- The Longevity is about 7 years old
- The maximum body length is 22-24 cm
- The maximum body weight is 110 g

## Maturity by age

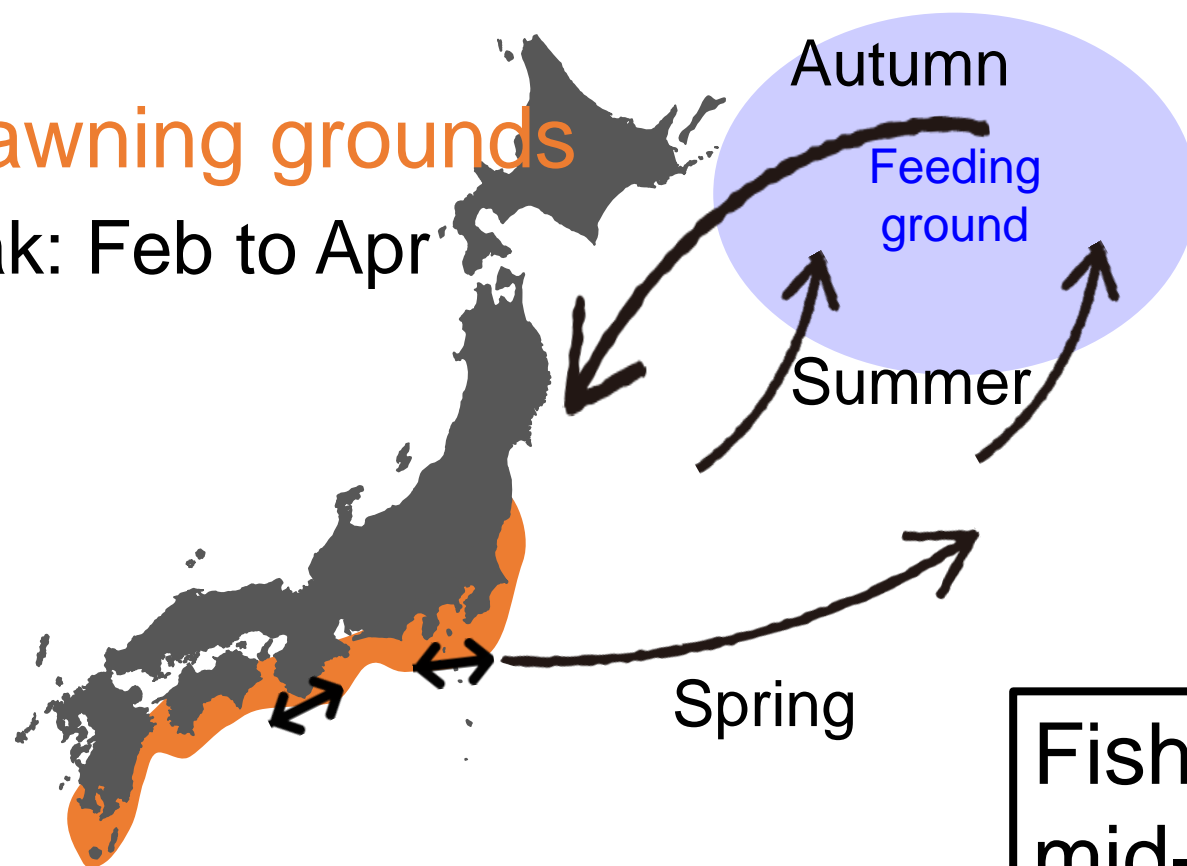


- Begin to mature from age 1
- The maturity rate at age 1 depends on the stock abundance
- Almost all fish at age 2 mature

# Distribution and migration

Spawning grounds

Peak: Feb to Apr



2 migration patterns;

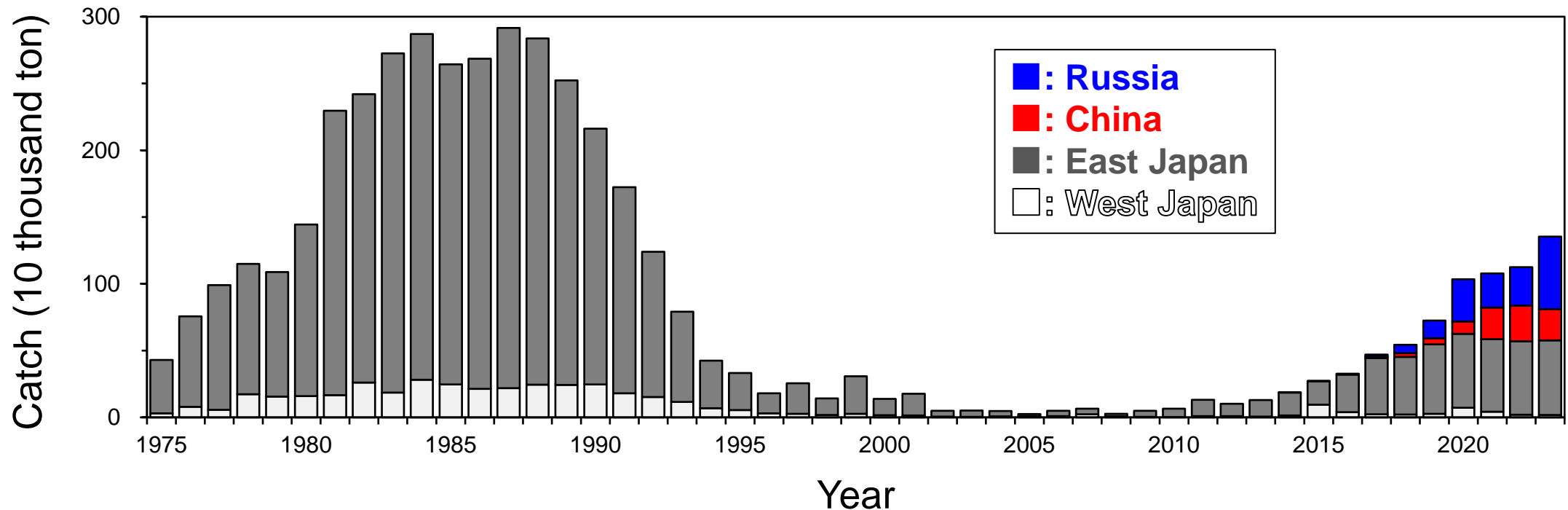
- **Offshore group:**  
The biomass fluctuates greatly
- **Coastal group:**  
The biomass is table over years

Fished by large-scale purse seine, mid-scale purse seine, set net, and other fisheries on many coastal areas

# Catch statistics

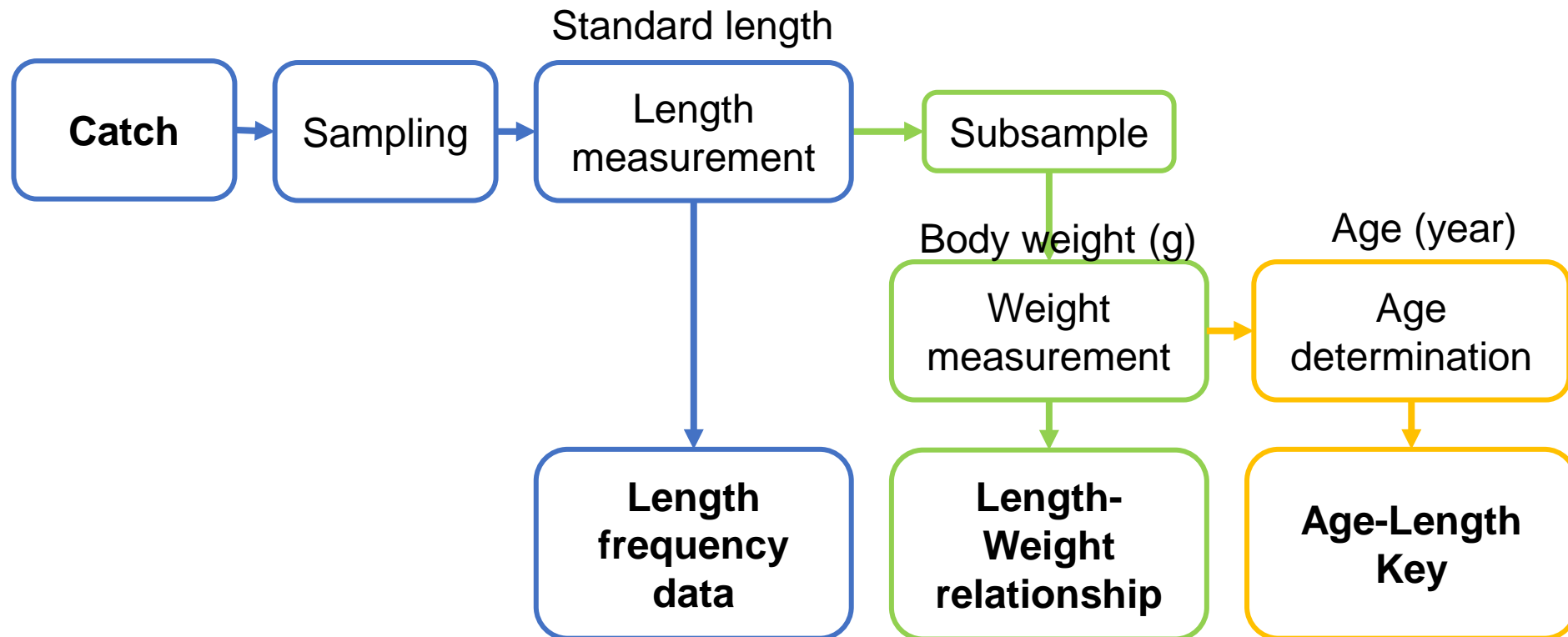
- Catch weights by Japan were taken from the national official statistic
- Data in Japan were originally collected from 18 coastal prefectures by month by gear
- Catch weights by China and Russia were taken from the NPFC statistics

Increase in East Japan catch  
Increase in foreign catch since 2020



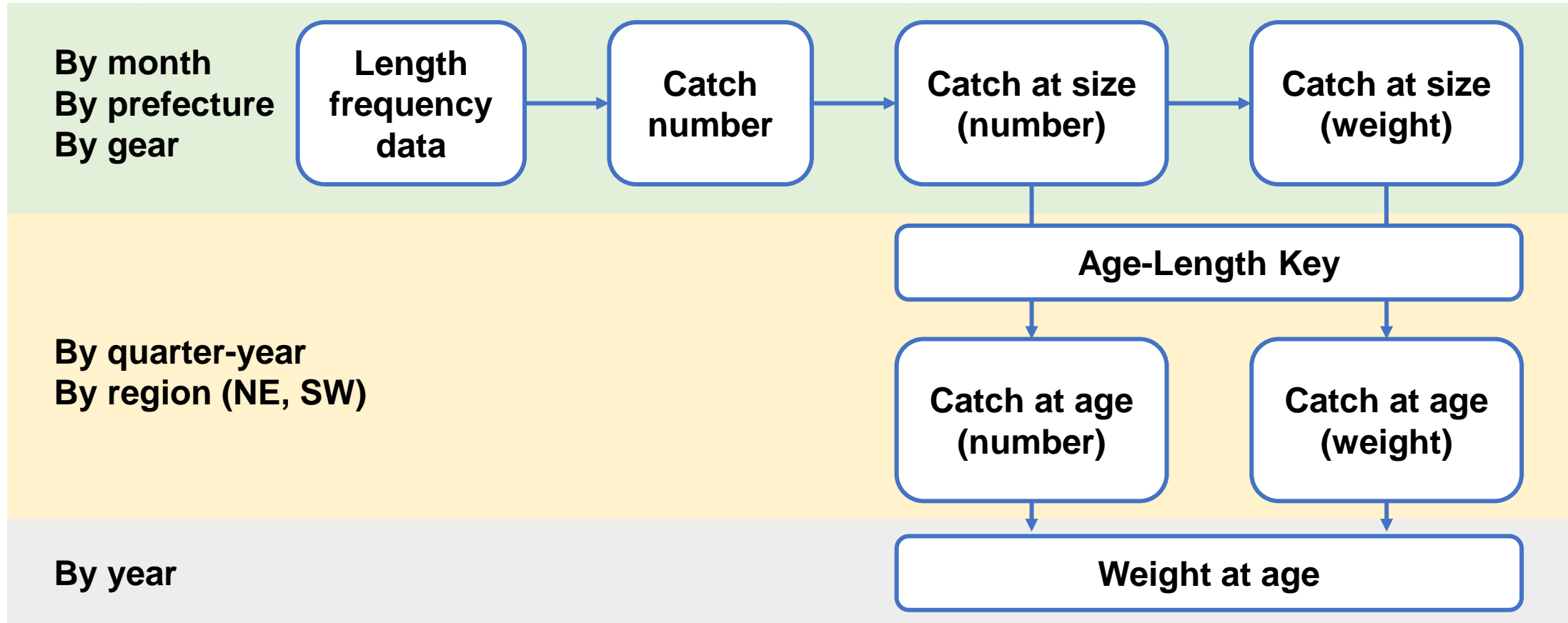
# Length, Weight and Age Data

- Measurement data are collected from all 18 prefectures
- Data are treated by month and by fishing gear
- Age is estimated by otolith or scale reading



# Catch at Age and Weight at Age in Japan

- Catch at size is derived from length frequency and L-W relationship
- ALK is applied to derive catch at age
- Weight at age is estimated from catch at age (weight)/catch at age (num)

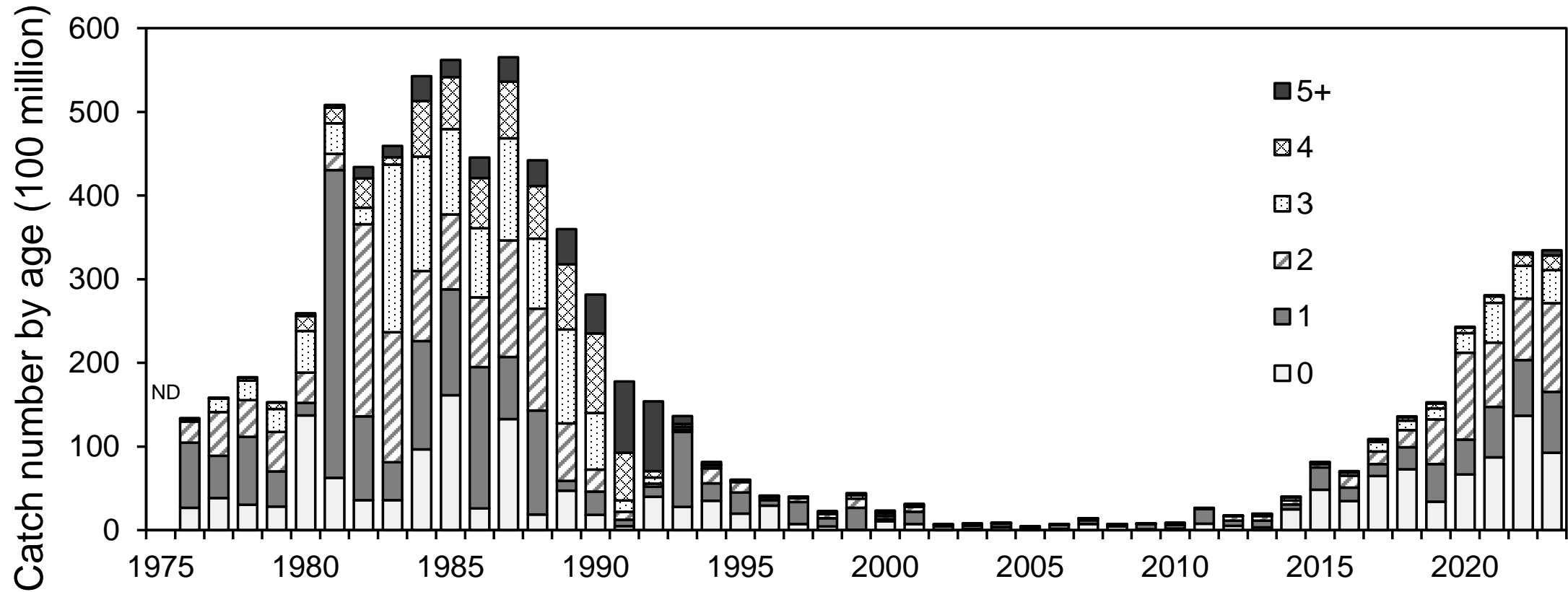


**Age composition for**

**China: Japanese ALK was applied for Catch at Length submitted by Chinese colleague**

**Russia: Assumed to be identical to that of the purse seine fishery in north of Miyagi pref. from Jul. to Dec.**

# Catch at Age



- Wide age classes were caught recently
- The catch of 0 age fish is increasing since 2020



# Abundance indices for JS stock assessment

Three time series of abundance indices are used for JS stock assessment (ridge VPA);

- 
- **Egg abundance of East Japan:** spawning stock biomass
  - **Autumn (Sep-Oct) survey:** age 0 (recruitment)
  - **Summer (Jun-Jul) survey:** age 0 (recruitment) and 1 fish

Introduced  
from this year

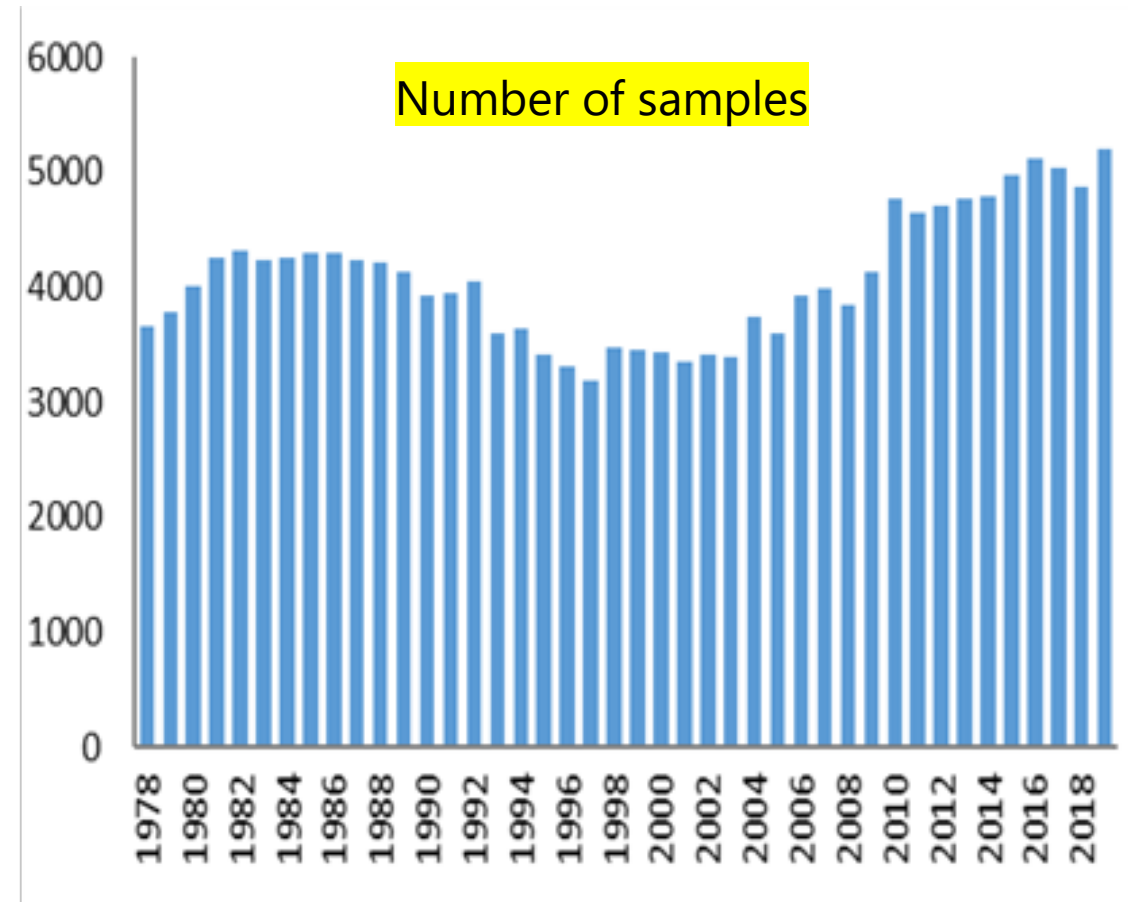
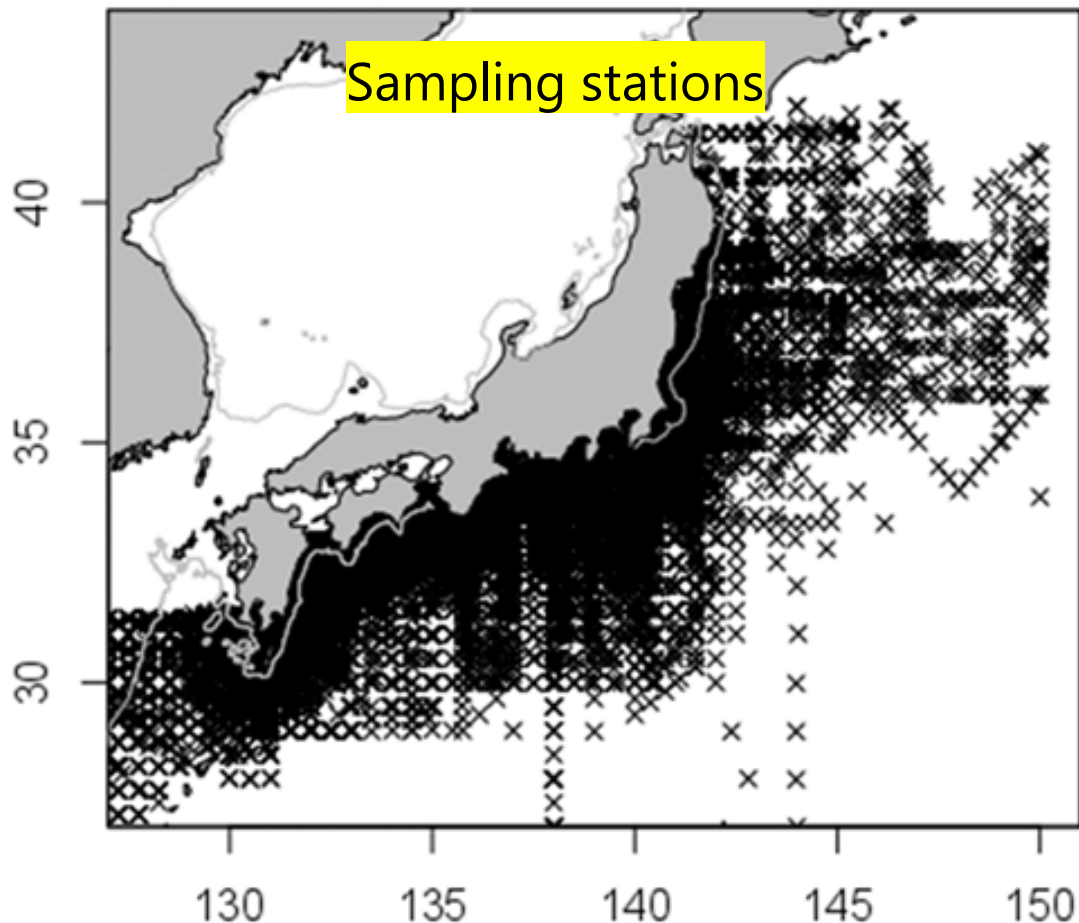
**\*abundance indices by last year;**

- Egg abundance of East Japan
- Autumn (Sep-Oct) survey (age 0)
- Winter fishery-dependent index (Dec.-Apr. Large-scale purse seine)

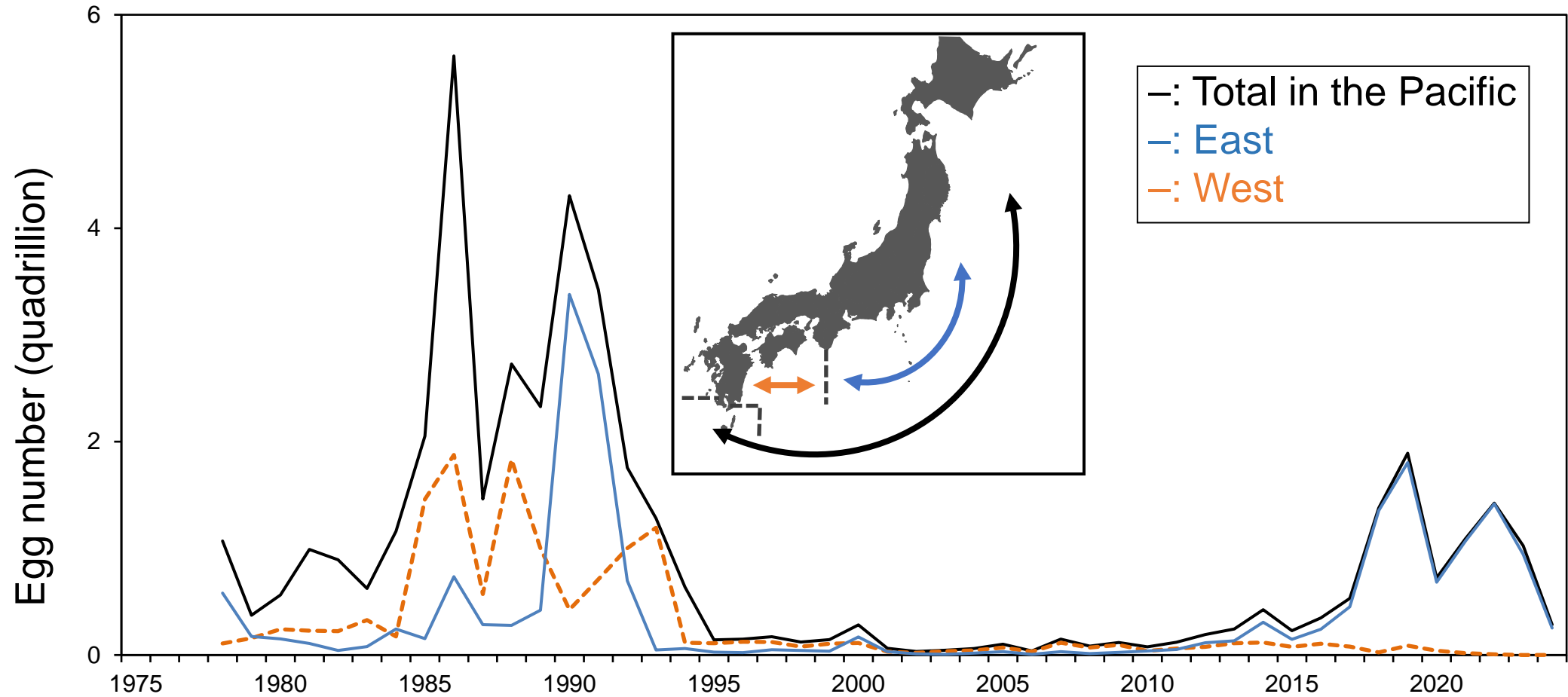
All abundance indices applied this year were obtained from  
**fishery-independent surveys**

# Egg abundance of East Japan (survey)

- The Egg and Larval survey is conducted by 19 prefectural fisheries institutes and FRA in every month along the Pacific coast of Japan using NORPAC net
- Number of samples per year is approximately 5,000 (depends on the oceanographic condition)



# Egg abundance of East Japan



Almost all of eggs were observed in East Japan  
→ Egg abundance of East Japan was adopted as the index

# Autumn (September-October) survey

Based on the result of the autumn survey in September to October,  
total density of age 0 fish was estimated by  
acoustic survey and sea surface temperature (SST)

## Sampling method

- Approximately 40 sampling stations
- Sampling range  
Latitude: 37.0 °E–50.0 °E  
Longitude: 141.5 °E–179.0 °W
- Net mouth: 30 m × 30 m
- Sampling depth : less than 40 m
- Sampling duration: 15–60minutes

## Density estimation method

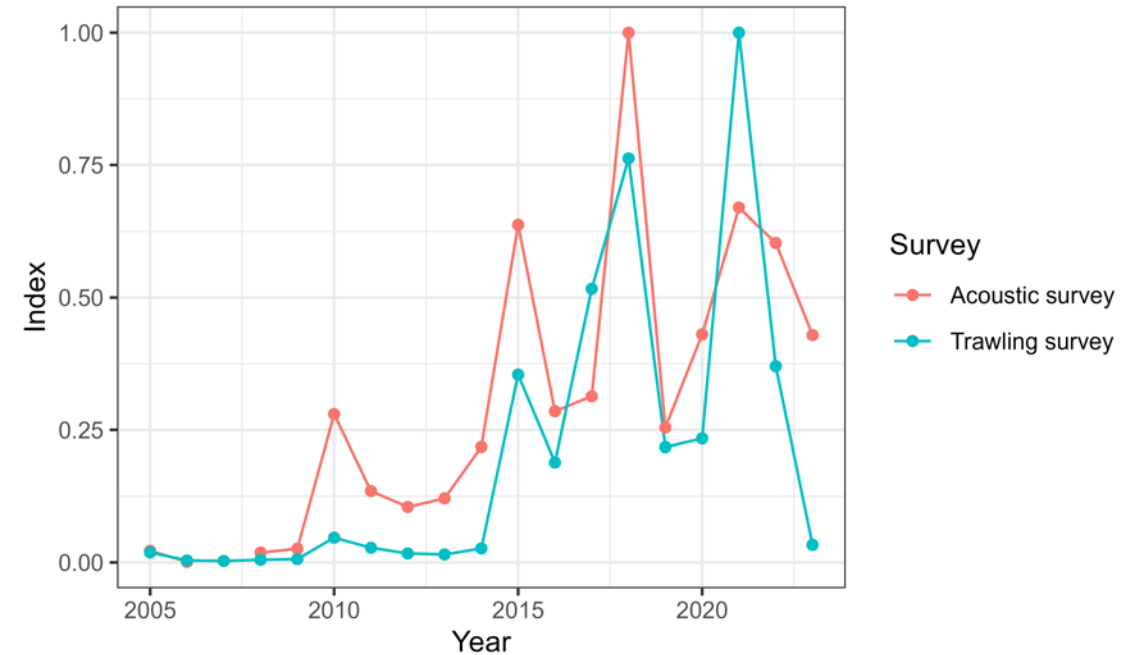
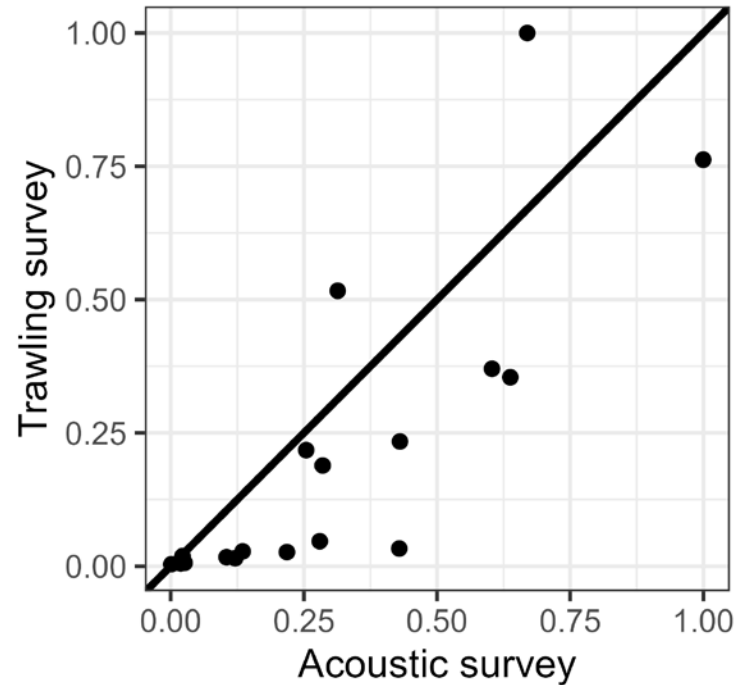
(Estimated area in the Northwest Pacific  
with SST of 10–15 °C)

×

(Mean density estimated by acoustic and  
trawling survey)

# Comparison between acoustic surveys and trawling survey

Standardized acoustic survey vs Standardized trawling survey



Both indices show a similar trend

Acoustic survey tends to produce overestimated results

→ Necessary to carefully evaluate which index to use

# Summer (June-July) survey

Based on the result of the summer survey in June to July,  
standardized CPUE was adopted as the indices  
for age 0 and 1 fish

## Sampling method

- Approximately 150 sampling stations
- Sampling range
  - Latitude: 32.0 °E–48.5 °E
  - Longitude: 141.0 °E–165.0 °W
- Net mouth: 30 m × 30 m
- Sampling depth : less than 40 m
- Sampling duration: 15–60minutes

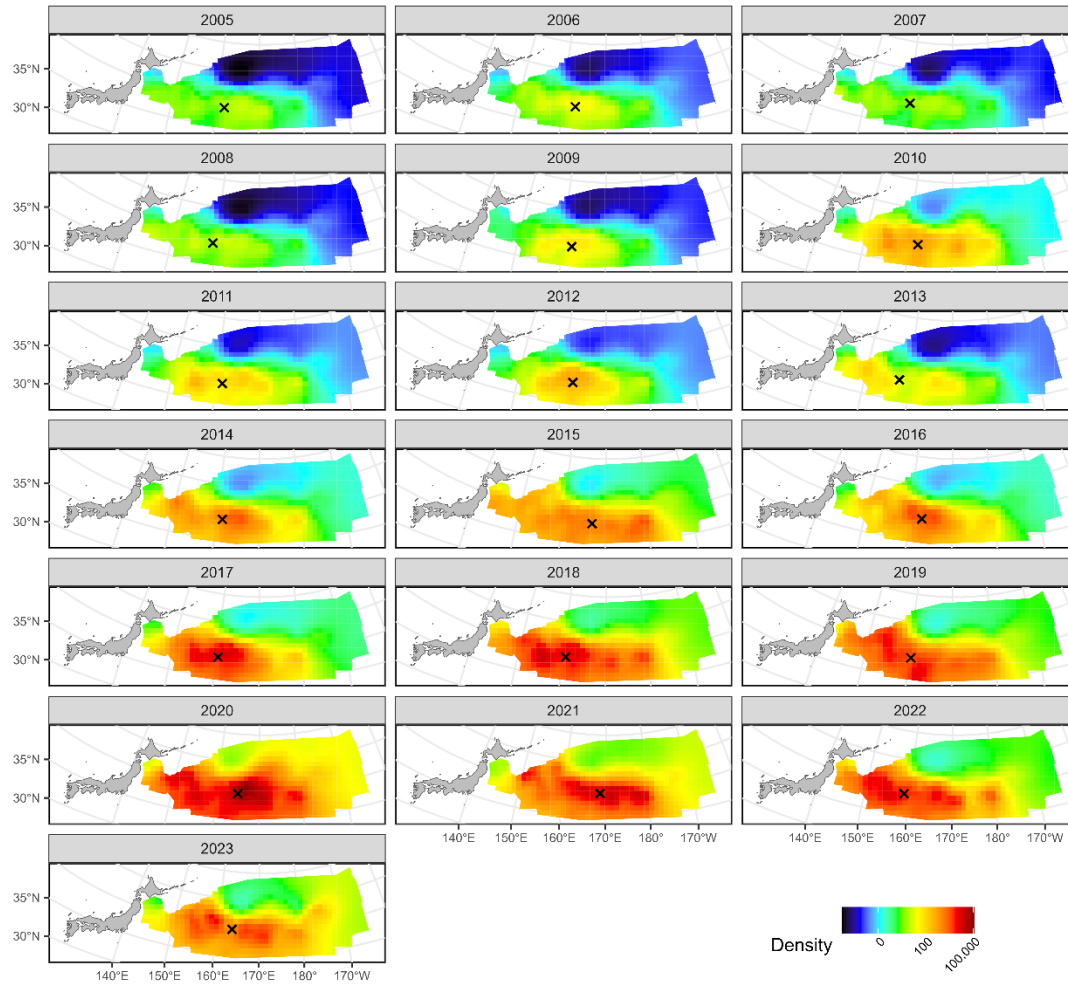
## CPUE standardization method

To eliminate sampling bias, we used **vector autoregressive spatio-temporal (VAST) model** (Thorson, 2018)

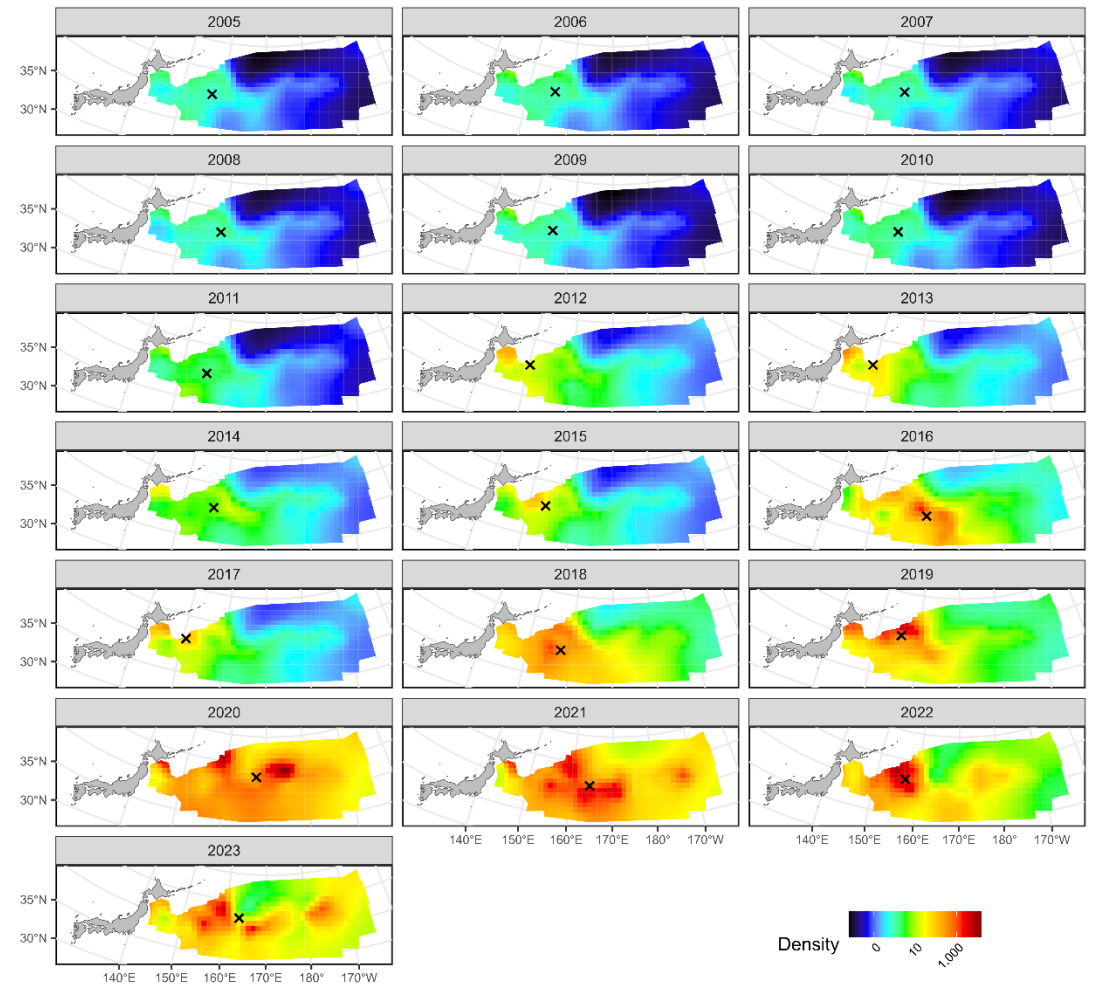
- Probability distribution: binominal × Gamma
- Knot number: 100
- Assumed effects
  - Temporal effect: random effect
  - Spatial effect: random effect
  - Spatio-temporal effect: random effect
- Anisotropy: not adopted
- Covariations: not adopted

# Summer (June-July) survey

## Age 0 fish (recruitment)

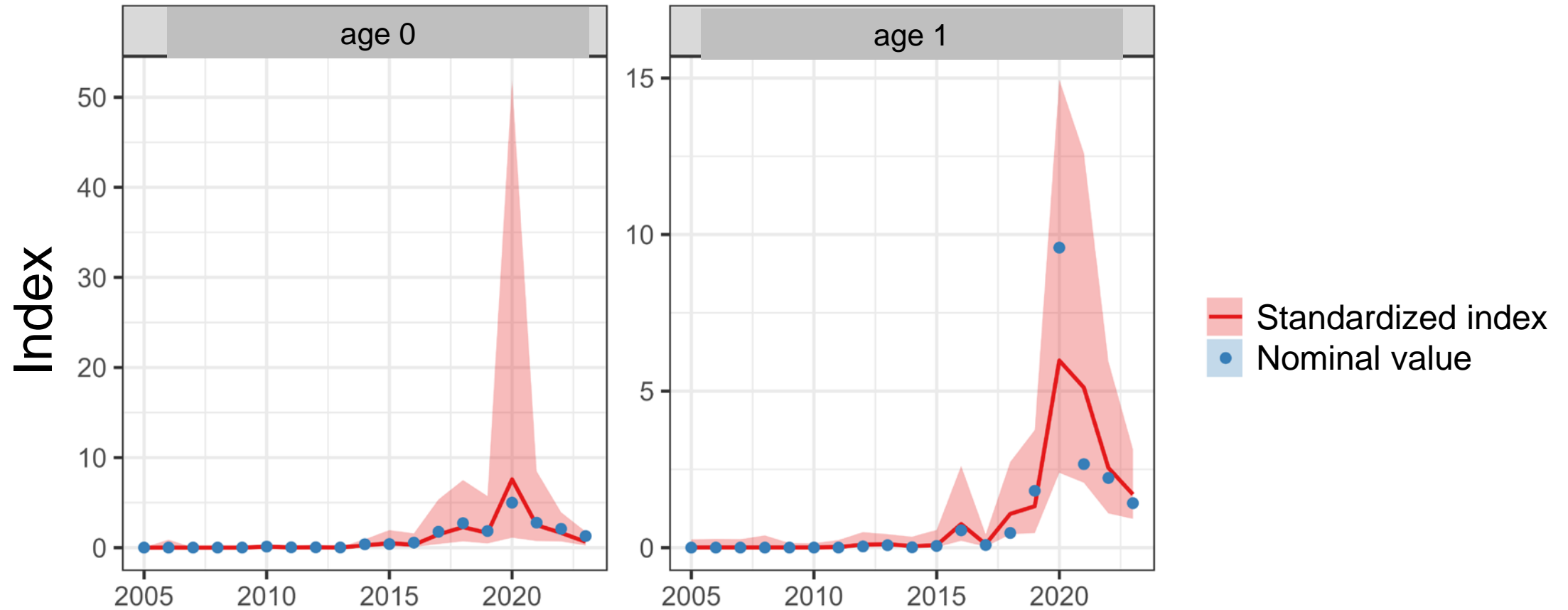


## Age 1 fish



The abundance is increasing in recent years

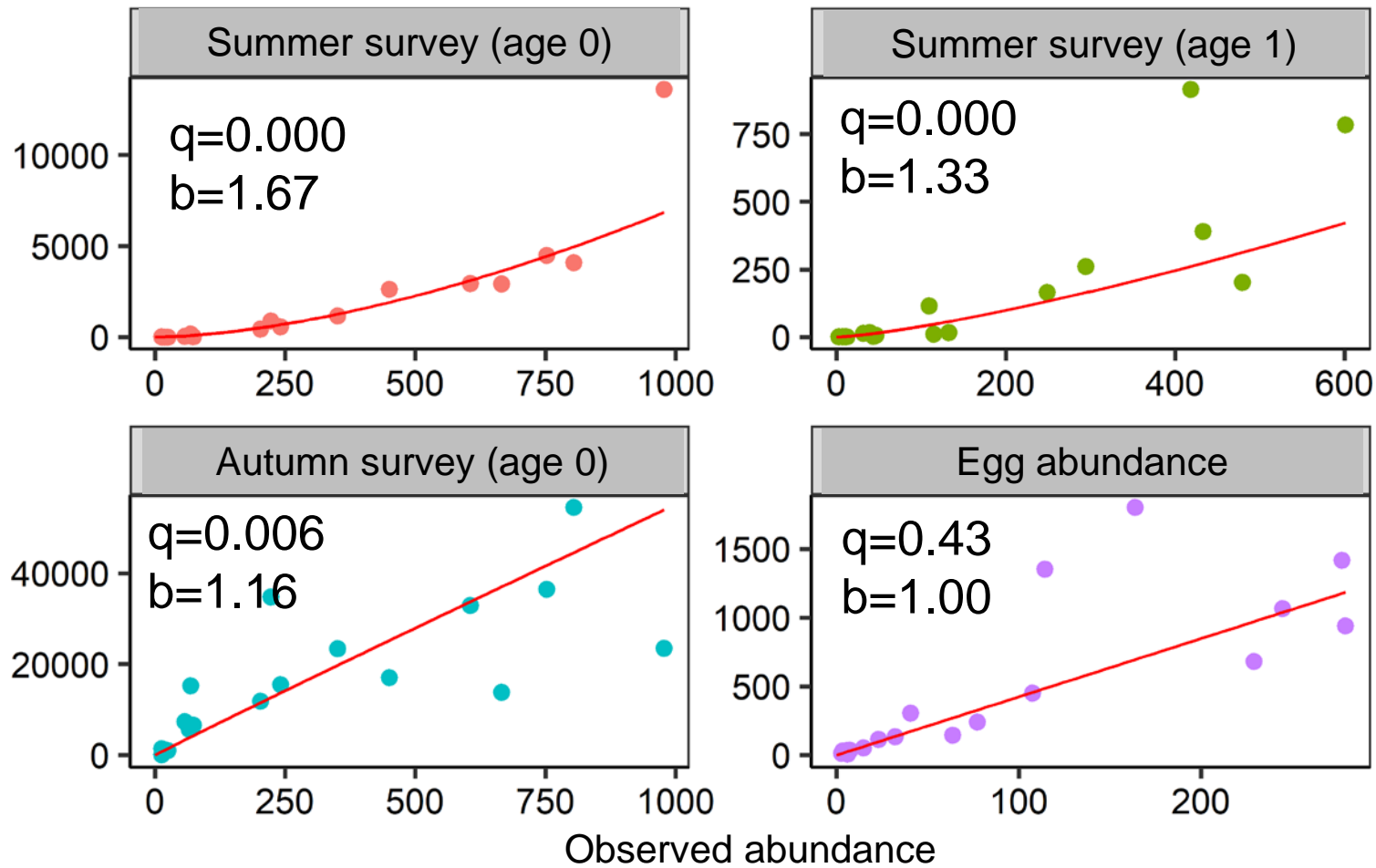
# Summer (June-July) survey



The standardized indices reflect the biomass trend (nominal value) broadly



# Relationships between index and abundance



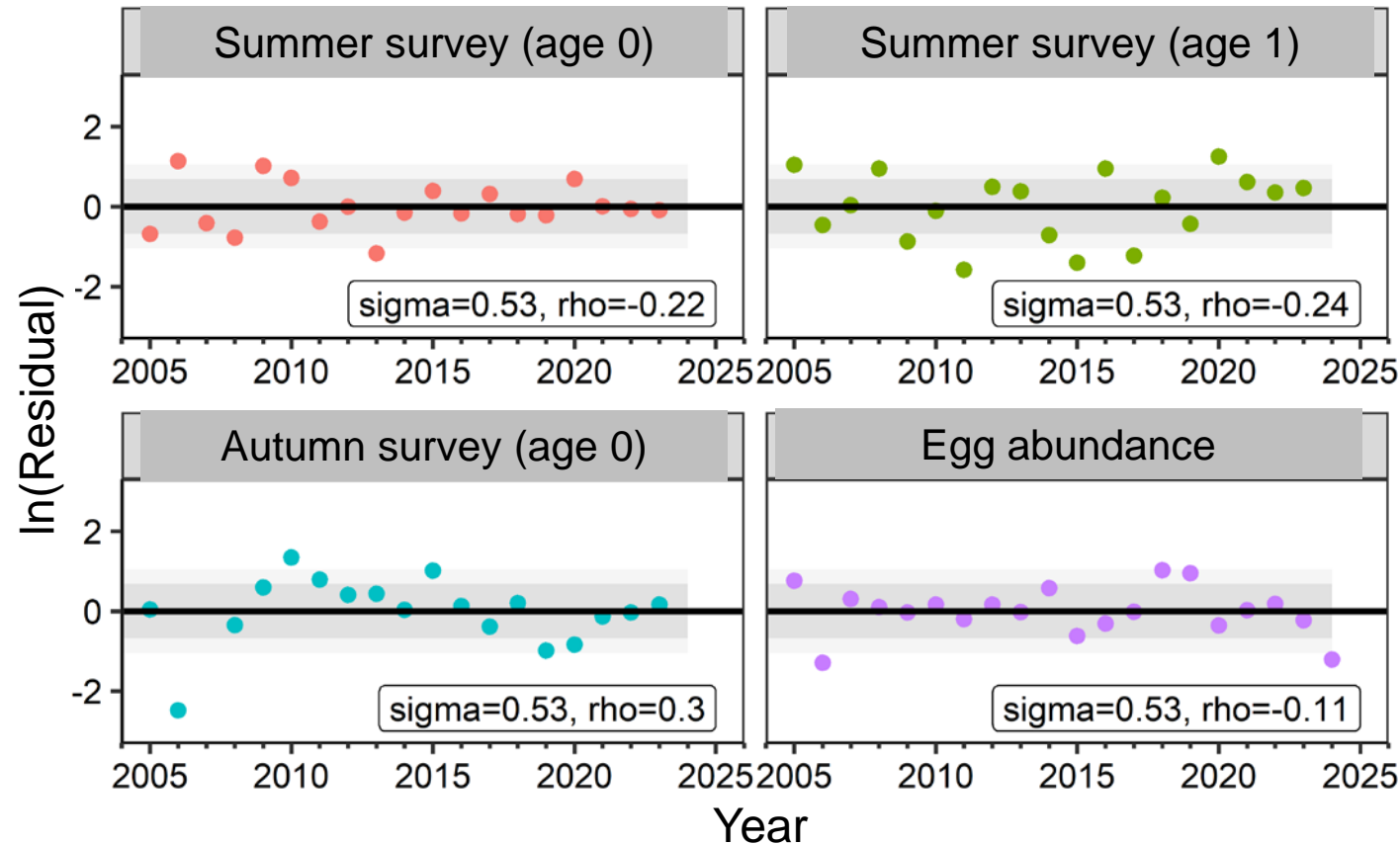
$$q_k = \exp \left\{ \frac{1}{n_k} \sum_y \ln \left( \frac{I_{k,y}}{X_y^{b_k}} \right) \right\}$$

$$b_k = \frac{\text{Cov}[\ln(I_k), \ln(X_k)]}{V[\ln(X_k)]}$$

$I$ : index,  $X$ : Observed abundance,  
Cov: covariance,  $V$ : variance

Neither significant autocorrelation nor deviation were observed

# Residual plot



■ : 80% confidence intervals  
■ : 95% confidence intervals

$\sigma$ : standard deviance of indices  
 $\rho$ : autocorrelation coefficient

Neither significant autocorrelation nor deviation  
from normal distribution for all the indices

# Stock assessment model

## Stock assessment model: **tuned VPA**

Age classes: 0 ~ 5+

Natural mortality:  $M = 0.4$

from Tanaka's equation:  $M = 2.5/\text{maximum age}$  (Tanaka 1960)

$$2.5/7 = 0.357 \approx 0.4$$

Use the Pope's approximation

Assume  $F_{4,y} = F_{5+,y}$

Estimate nonlinear coefficients for the recruitment and age 1 indices

# Stock assessment model

## Ridge VPA (Okamura et al. 2017, ICES JMS)

$$(1 - \lambda) \sum_{k=1}^3 \sum_y \left[ \ln(I_{k,y}) - \ln(q_k X_{k,y}^{b_k}) \right]^2 + \lambda \sum_{a=0}^4 F_{a,2022}^2$$

Select  $\lambda$  so that a retrospective bias is minimized

Pose a penalty for squared F to avoid divergence of F

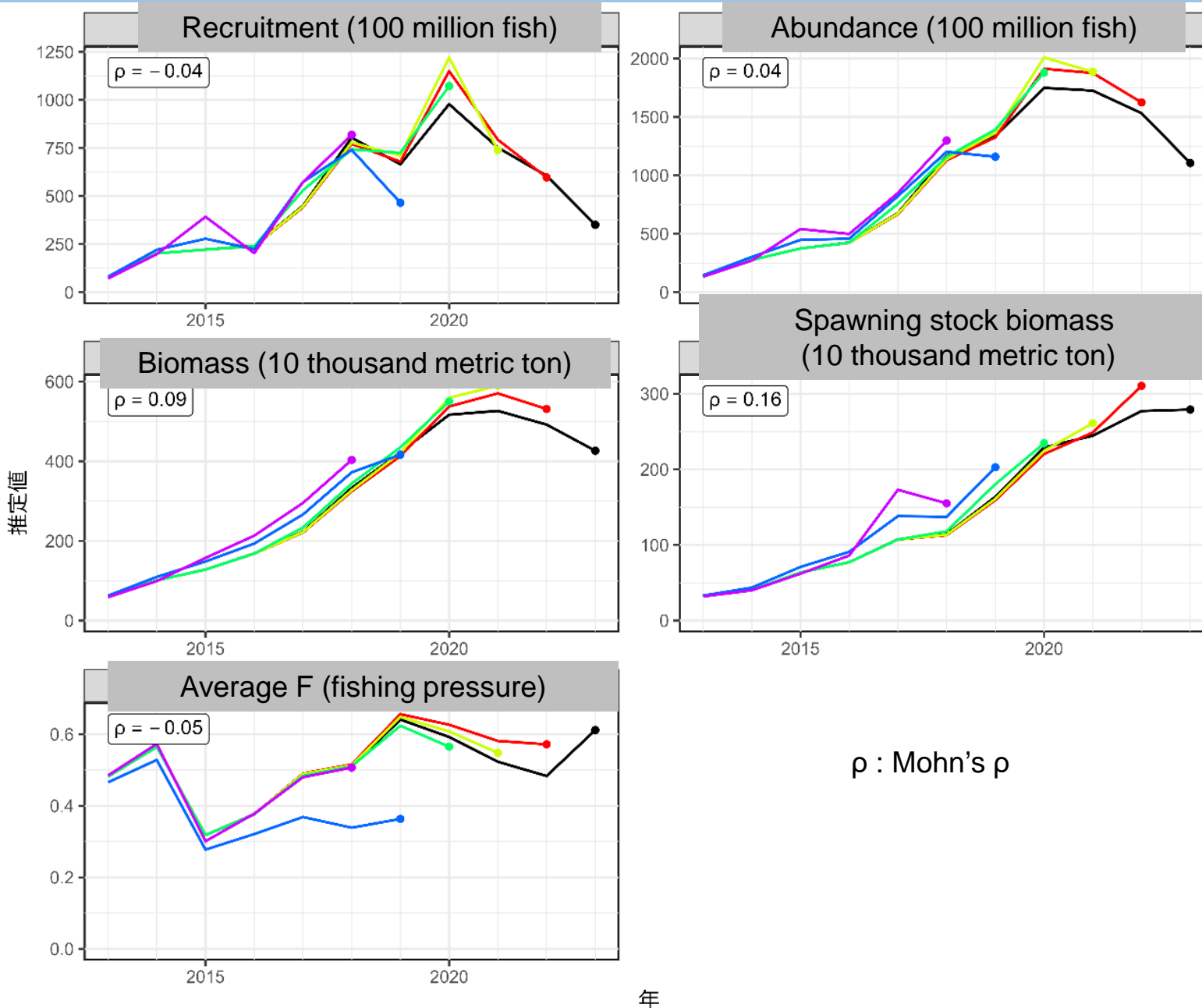
$I_{k,y}$ : Index values

$X_{k,y}$ : Corresponding abundance estimate  
(SSB, N at age 0, or N at age 1)

$q_k$ : Proportional constant

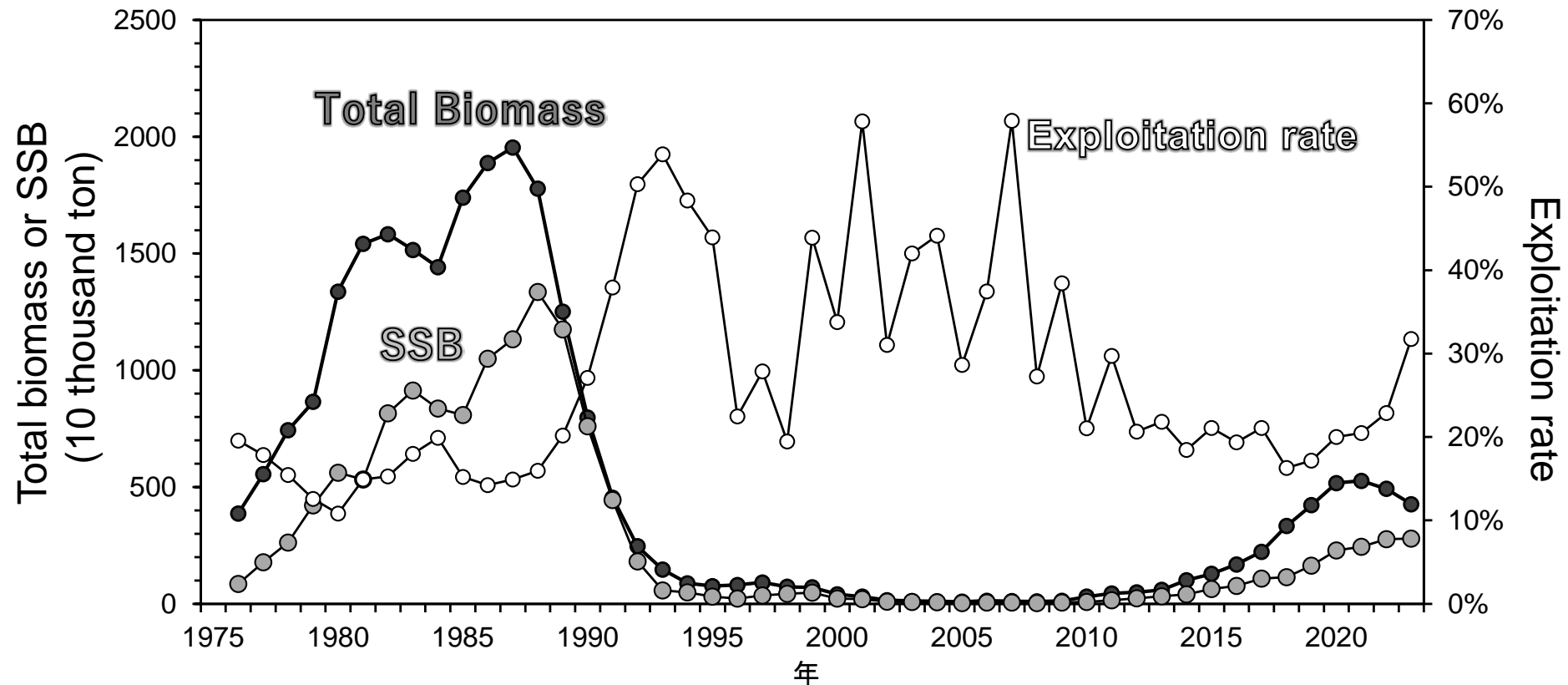
$b_k$ : Nonlinear coefficient

# Retrospective analysis as a model diagnostic



**No severe  
retrospective biases**

# Biomass and Exploitation Rate



Total biomass and SSB increased since 2010s, remained flat since 2020

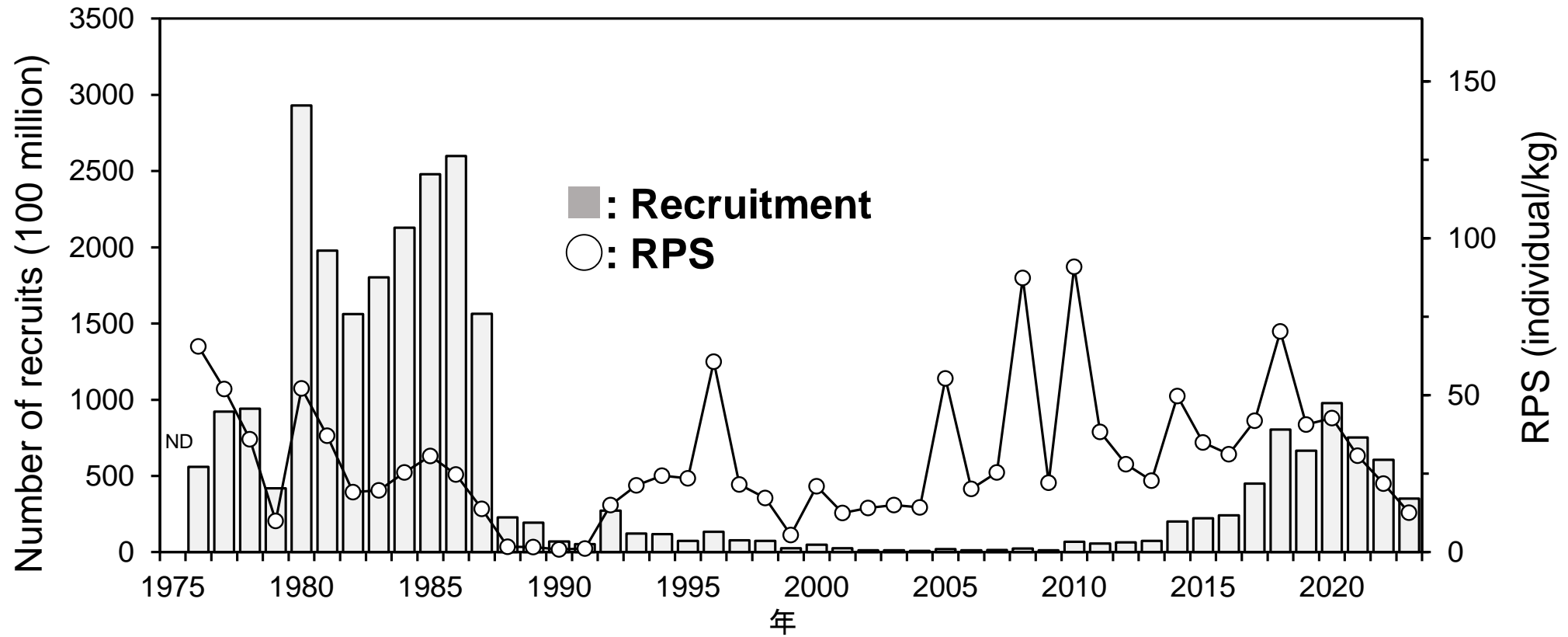
However, total biomass is declining since 2020

(Biomass in 2023: 4.24 million mt, SSB in 2023: 2.79 million mt)

Catch rate declined in the late 2000s and remained low in the 2010s

However, it has increased from 2020 to 2023, especially in 2023

# Recruitment and RPS

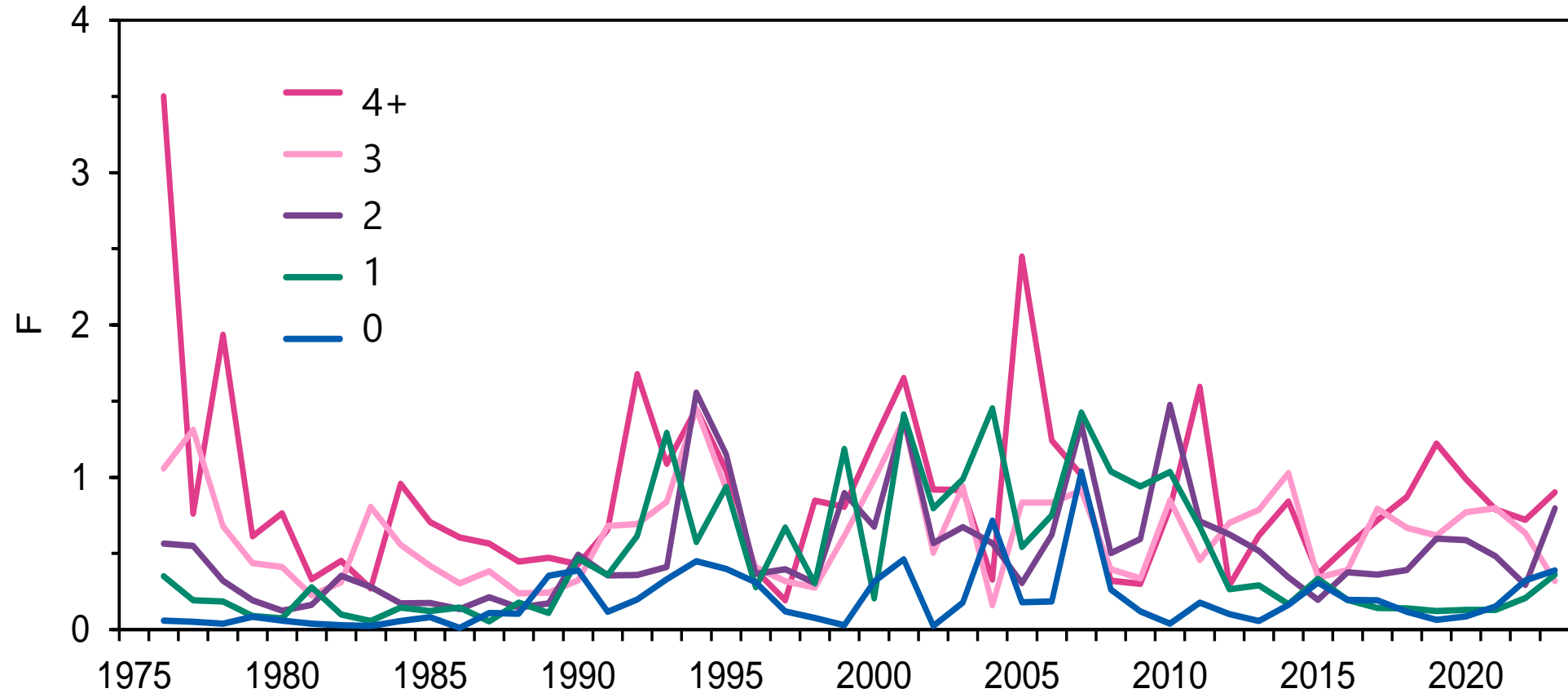


A high value of RPS increased recruitment in 2010

The increase in SSB and moderate RPS caused high recruitments since 2011

RPS and recruitment is declining since 2020

# Fishing Mortality by Age



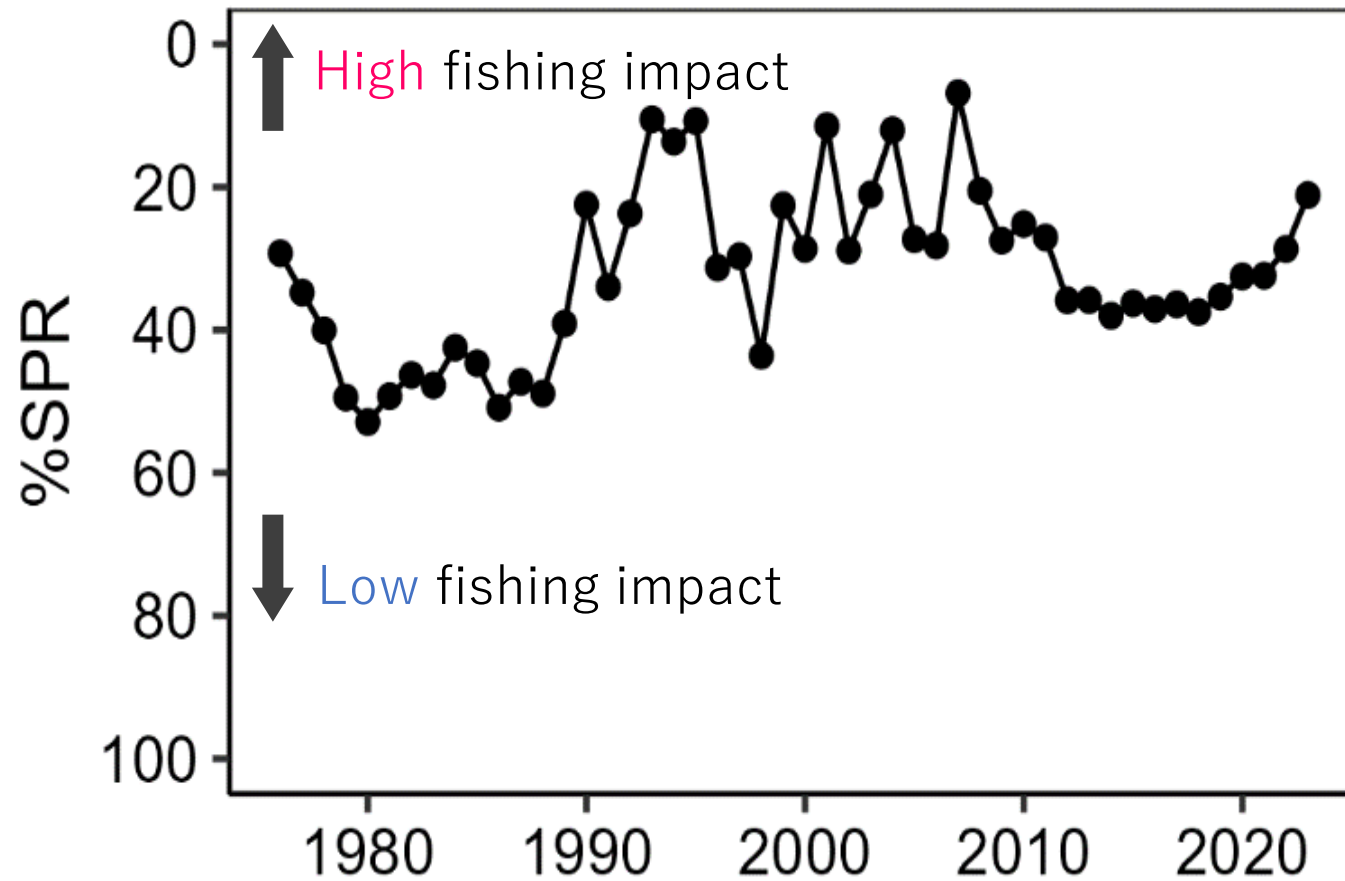
F at age 3 is declining

F at the other age is increasing



# Fishing Mortality (%SPR)

%SPR : Ratio of SPR (SSB/R) with fishing to SPR without fishing

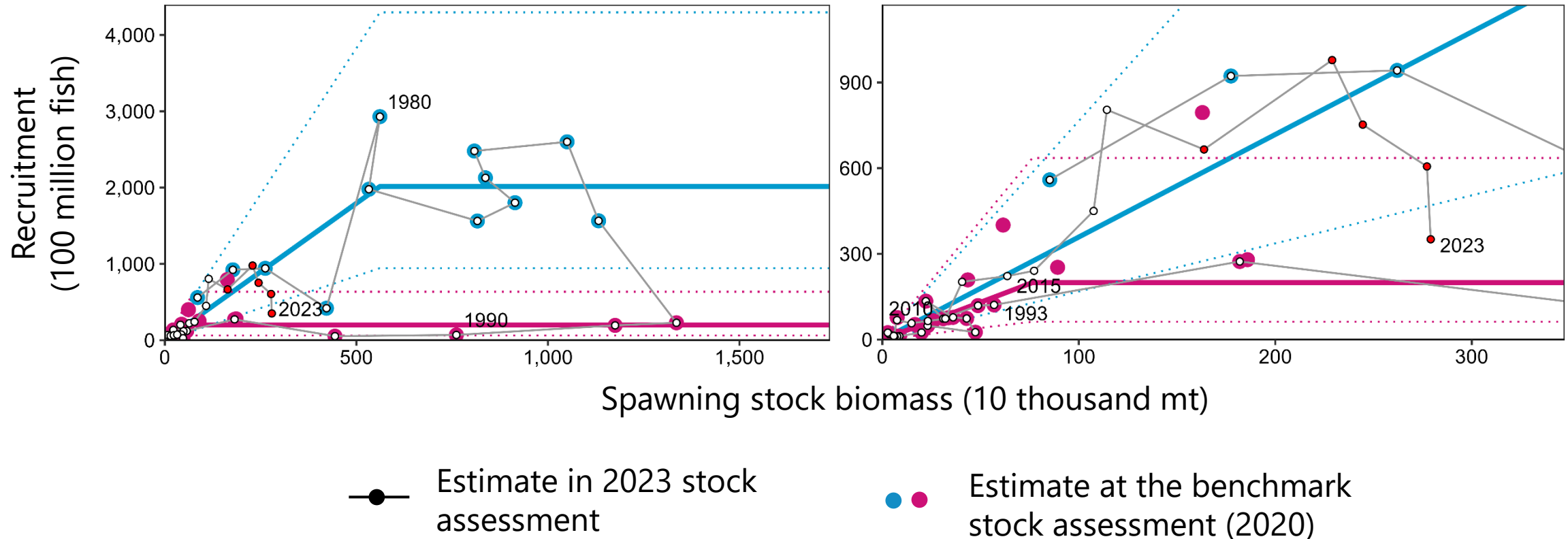


Fishing pressure in the 2010s is maintained at low levels ( $\approx 40\%$ SPR)

Fishing pressure in 2020-2022 increased ( $\approx 25\%$ SPR)

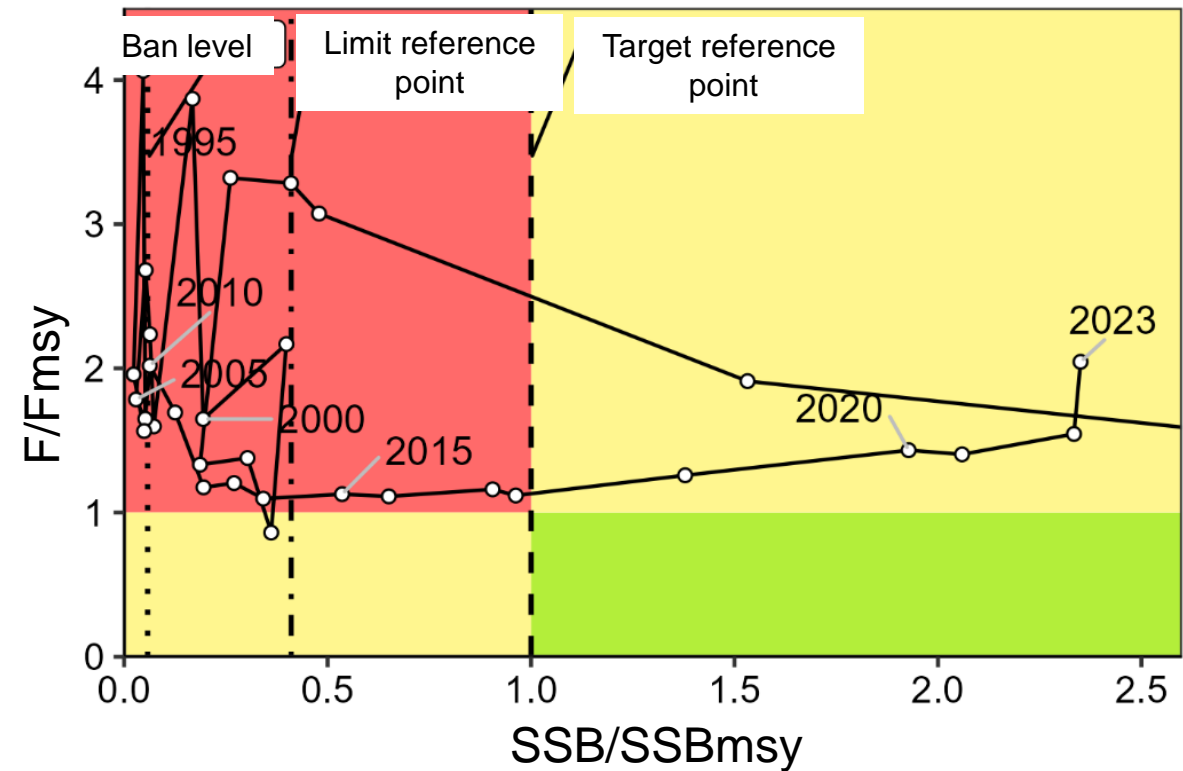
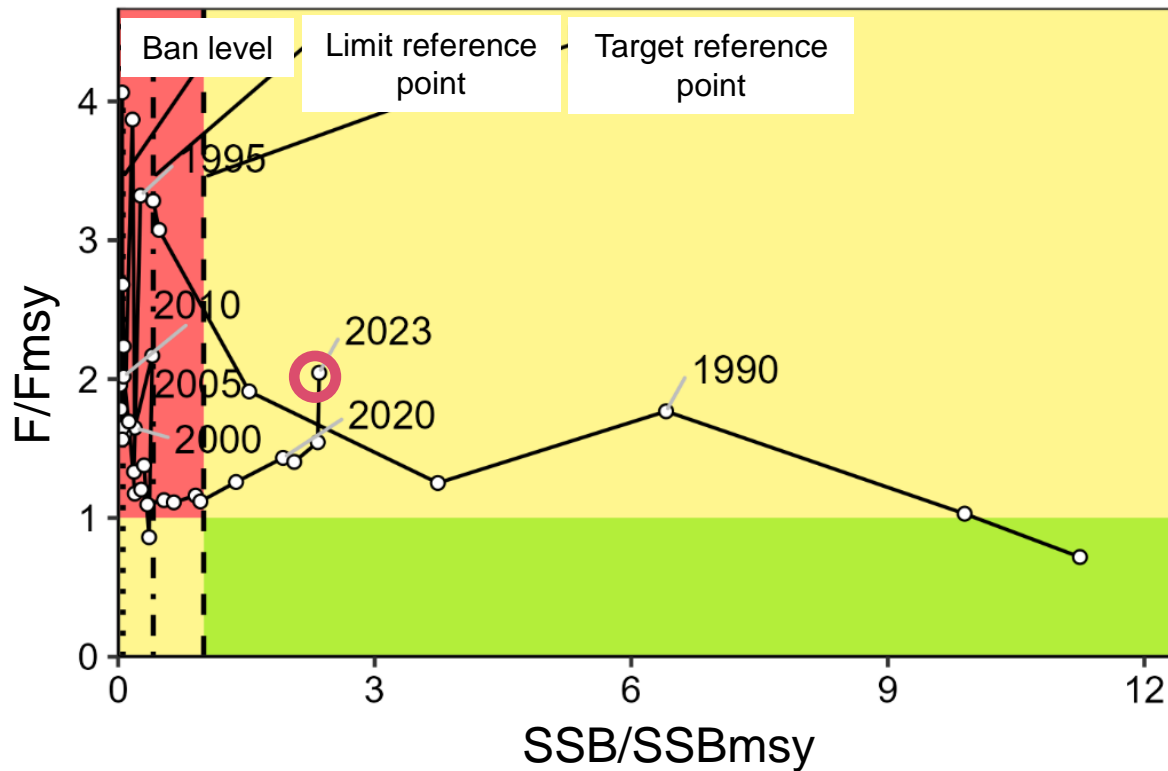
# Stock-Recruitment Relationship

- Post-hoc estimation of the hockey-stick (HS) relationship from VPA outputs
- Separate regimes between 1987 and 1988



High recruitment in recent years  
But slow increase in SSB (probably due to decline in weights)

# Kobe plot

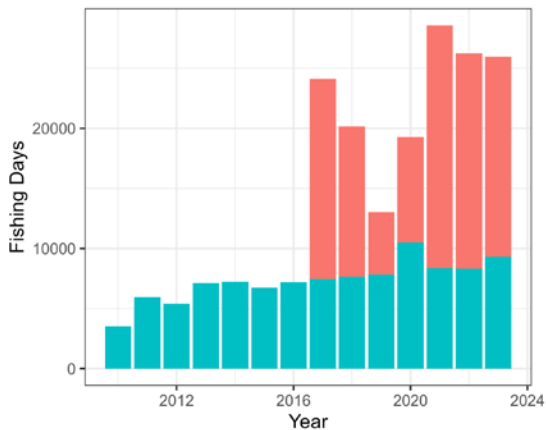
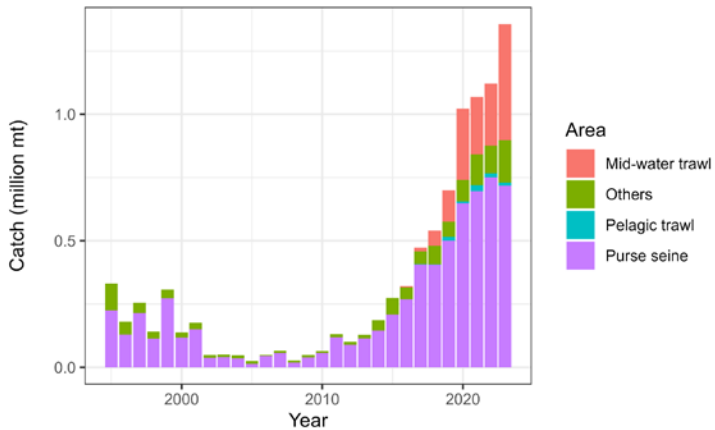


- MSY reference points were estimated by a stochastic simulation with a random recruitment variability from the normal-regime SR relationship (see Ichinokawa et al. 2017, ICES JMS, for details)
- SSB in 2023 exceeded  $SSB_{msy}$
- $F$  in 2023 exceeded  $F_{msy}$

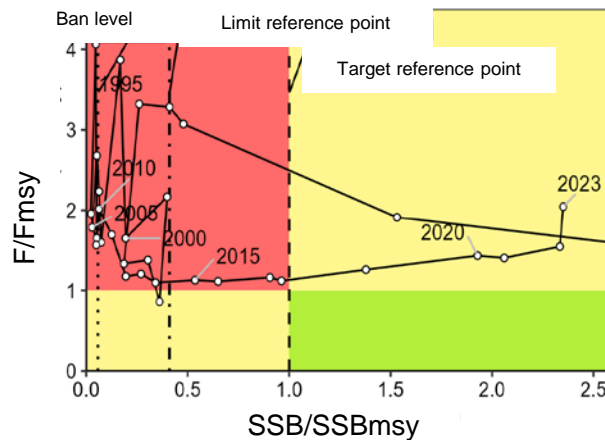
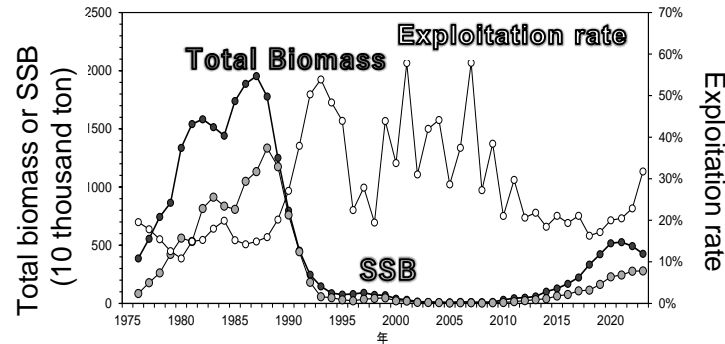


## Japanese sardine

### Convention Area



### Domestic Assessment



### Comments on Status

- SSB is above SSBmsy
- Fishing mortality is above Fmsy
- Japanese catch and majority of Russian catch are from their national waters.
- Chinese catch is from CA

# Summary

- Japan conducts the JS stock assessment by the tuned VPA with ridge penalty
- The MSY-based reference points were estimated from the stochastic simulation from the normal-regime SR relationship of the hockey stick function
- In 2023, estimated total biomass was 4.24 million mt and SSB was 2.79 million
- It exceeded  $SSB_{msy}$
- The current  $F$  ( $F_{2021-2023}$ ) exceeded  $F_{msy}$

## Future Issues

- It is necessary to reflect actual age composition in the outside of Japanese EEZ
- Should consider more how to treat regimes for future projection and BRP

