

NPFC 2026 Provisional Compliance Report

The NPFC 2026 Provisional Compliance Report is presented below and should be considered alongside the CMS Executive Summary (NPFC-2026-TCC09 CMS CMM CMR Executive Summary)

Measure	Paragraph Number	Description	Source	Members/CNCP										
				Canada	China	EU	Japan	Korea	Russia	Chinese Taipei	USA	Vanuatu	Panama	
* see note below														
2023-01	3	Promptly update Vessel Registry	Situational											
	4	Notify active vessels	Annual Report											
	5	Mark Vessels	Questionnaire, Situational		C					C	C		NC	
	7	IUU attestation	Questionnaire, Situational											
2024-02	24(a)	Remove IUU vessels from Vessel Registry	Secretariat review											
	24(b)	No transshipments, joint fishing, or support operations with IUU-listed vessels	Questionnaire, Situational											
	24(c)	No port entry for IUU-listed vessels (except force majeure)	Questionnaire, Situational											
	24(d)	No chartering of IUU-listed vessels												
	24(e)	Do not flag IUU-listed vessels unless they are proven no longer IUU-associated	Questionnaire, Situational, Check IUU listings/Vessel Registry											
	24(f)	No commercial dealings with IUU-listed vessels (imports, landings, transshipments)	Questionnaire, Situational											
2025-03	4	Only transship if both vessels are authorized and on the NPFC Vessel Registry	Questionnaire, System Analysis											
	6	Authorization required if transshipping under national jurisdiction	Questionnaire											
	8	Follow Commission reporting procedures	System Analysis											
	9	Report all marine species, including bycatch and unregulated species	Questionnaire, Situational		NC					*				
	10	Keep an onboard record of each transshipment (declarations and daily logs)	Questionnaire, Situational											
	12	Provide at least 24-hour advance notice of intended transshipment	Questionnaire, System Analysis											
	14	Provide at least 24-hour advance notice of other transfer activity	Questionnaire, System Analysis											
	15	If transshipment doesn't start within 72 hours or 50 nm, update notification	Questionnaire, System Analysis											
	16	If other transfer doesn't start within 72 hours or 50 nm, update notification	Questionnaire, System Analysis											
	18	If transshipment is canceled, notify the Secretariat promptly	Questionnaire, System Analysis											
	20	Investigate any non-compliance information received about flagged vessel	Questionnaire, Situational											
	21	Report investigation progress within 60 days to Secretariat and info provider	Questionnaire, Situational											
	22	Keep each offloading vessel's catch separate with a stowage plan	Questionnaire, Situational											
	24	Submit a transshipment declaration within 10 days	System Analysis											
	26	Until observer/EM program is in place, deploy independent observers	Questionnaire, Situational							+				
	28	Receiving vessel must provide safe conditions, accommodation, and comms for observers	Questionnaire, Situational											
	29	Ensure receiving vessels have an observer onboard	Questionnaire, Situational							+				
	31	Only one transshipment at a time per observer	Questionnaire, Situational, System Analysis							+				
	32	Observer must have full access and adequate workspace	Situational											
	34	Observer to record transshipment report immediately and submit a final report within 10 days post-disembarkation	System Analysis							U				
35	Observer promptly reports any violation to Secretariat and relevant authorities	Questionnaire, Secretariat review												
36	Flag State investigates violations and reports actions in the Annual Report	Annual report												
46	In force majeure, notify Secretariat before transshipment ends and provide declaration within 10 days	System Analysis												
48	Annually summarize transshipment data in the Annual Report	Annual Report												
49	Verify all transshipment information received from vessels	Questionnaire, Situational												
51	Investigate potential non-compliance and report results to the Commission	Questionnaire, Situational												
2025-05	4.A	Limit effort to 2007 agreed level	Note any increase in authorizations from previous year											
	4.G	Move on when encountering sponges/corals	Questionnaire, Situational											
	4.L	Japan: limit NPA to 15,000 MT	Quota monitoring											
	5	Submit impact assessments (Annex 3) and authorize bottom fishing per 4 C	Questionnaire, Situational											
	6.A	Report bottom fishing details annually	Annual Report											
	6.B	Collect and report scientific info (Annex 4); observers collect data (Annex 5)	Questionnaire, Situational											
	8	All vessels carry observers	Annual report, Situational											

C=Compliant
 NC=non-Compliant
 DS=Delayed Submission
 U = Unable to be assessed
 * = Consensus cannot be reached; views differed on C/N/C fairly evenly
 += Consensus cannot be reached; views differed between C/N/C/U
 ° = Consensus cannot be reached;
 Majority = C, Minority = NC

2025-06	8	One month before SC, provide historical/current fishing info and observer data	Questionnaire																
2025-07	1	If substantial CA harvest, no expansion beyond historical level until assessment done #	Note any increase in authorizations from previous year																
	3	Do not exceed catch limit for fleet, or trawler limit	Quota monitoring																
	6	Record catch/discard	Questionnaire, Situational	NC					*										
2025-08	13	Provide CA/NW-separated data by end of February	Annual report																
	1	Non-NW saury fishers refrain from expanding beyond historical level #	Note increase in authorizations from previous year																
	2	NW saury fishers refrain from rapid CA expansion beyond historical level #	Note increase in authorizations from previous year																
	9	Until TAC is set, reduce 2024 saury catch to 55% of 2018 level	Quota monitoring, Situational																
	10	Provide weekly saury catches by Wednesday of following week. At 90% TAC, large quota (>10,000 mt) must close within 72 hrs; smaller quota must not exceed 90% of limit	Quota monitoring Questionnaire																
	11	Record catch/discard	Questionnaire, quota monitoring																
	12	Close fishery when catch limit reached and notify date of closure	Questionnaire, Situational																
2024-09	16	At 70% limit, SEC notifies; at 100% limit, close fishery and notify SEC	Questionnaire, Situational																
	7	Ensure vessels accept boardings	Questionnaire, Situational																
	7	Inspectors follow procedures	Questionnaire, Situational																
	26	Vessel masters facilitate safe boarding and cooperate	Questionnaire, Situational																
	28	Unless safety warrants delay, authorities must ensure compliance. If the master refuses, suspend fishing and return to port; notify inspectors and the Commission	Questionnaire, Situational																
	31	Transmit inspection report within 3 working days	Annual report							DS									
2019-10	41	Members report annually on inspections and possible violations	Annual report																
	42	Members report annually on actions taken for alleged violations, including sanctions	Annual report																
2025-11	8	All sablefish vessels: 100% observer coverage	Note increase in authorizations from previous year																
2025-11	1	Substantial harvesters: no expansion beyond historical level until assessment done #	Note increase in authorizations from previous year																
	6	Record catch/discard	Questionnaire	NC															
2025-12	7	Submit data on 3 pelagics as required in annual report	Annual report																
	8	Vessels transmit data hourly to FMC	System Analysis																
	10	FMC auto-transmits data to SEC within 60 min	System Analysis																
	11	FMC can auto-receive and auto-transmit data to SEC	System Analysis																
	13	Provide FMC contact details (name/position/email/phone) to SEC	Secretariat review																
	23	After two transmission failures/year, investigate (examine MTU) and report to SEC	Situational																
2023-14	6	No shark finning	Questionnaire, Situational																
	7	No retention/transshipment/landing of detached shark fins	Questionnaire, Situational	C															
	8	Only remove fins if shark identifiable and allowed methods used	Questionnaire, Situational																
	9	Record all sharks	Questionnaire, Situational						*										
	10	Report sharks annually	Annual Report						*										
2024-15	4	No discarding/abandoning gear at sea	Questionnaire, Situational																
	8	No plastic release at sea (including incinerator ash)	Questionnaire Situational	C															
	11	FV prevent gear/garbage/plastic loss at sea	Questionnaire, Situational																
	12	FV retrieve lost/abandoned gear/plastic if possible	Questionnaire, Situational																
	16	Store gear/garbage/plastic onboard until port disposal	Questionnaire, Situational																
2024-16	5	No retention/ possession of anadromous fish	Questionnaire, Situational	C					o										
	7	Return anadromous fish to water quickly and with the least harm	Questionnaire, Situational																
	9	Maintain records and make available to inspectors	Questionnaire, Situational																
	11	Report incidental catch annually	Annual Report						o										

means this CMM assess "historical existing level," which has not been defined. Based on on-going discussions, lack of a definition, and data gaps, these CMMs were unable to be assessed this year.

NPFC 2026 Draft Compliance Report					
2025 Potential Non-compliance					
Member	para	Source	Details	Member Response	Compliance Status
2023-01 VESSEL REGISTRY					
China		HSBI Event	<p style="text-align: center;">XIN HAI 1273</p> <p style="text-align: center;">HSBI report(21 July)</p> <p style="text-align: center;">Boarding Remarks:</p> <p><i>The letters of the vessel markings are not large for the specifications(less than 1m).</i></p>	<p>Response to Member Section of draft CR</p> <p>1. For the vessel marking issue, the vessel conducted measurement right after the HSBI activity, according to the measurement result, it is confirmed that the markings do meet the 1 m requirement. On the right column please find the measurement picture for this vessel's painting mold, it is clear that the mold is exactly 1 m height.</p> <p>2. This detail come from the remark section of HSBI report, not the violation section, China is not in a position to take remark as a firm data source for non-compliance. Members may use remarks differently when conducting HSBI activities.</p> <p>3. China understands this vessel is in the IUU vessel nomination, however, the reason to include this vessel in the draft IUU list have no relation to this remark.</p> <p>4. Based on the above reasons, and considering Chinese fisheries authority has regulation in place, and conducted ample training sessions on this obligation, China believes it should be considered compliant on this obligation.</p>	
Chinese Taipei	5	HSBI Event	<p style="text-align: center;">HSBI Report(27 June):</p> <p style="text-align: center;">Details of Non compliance:</p> <p><i>(CMM2023-01) Violation: The spaces between letters of the vessel marking on the deck are less than h/6.</i></p>	<p>Excerpt of email from Chinese Taipei 27 January 2026</p> <p>According to the boarding report, F/V REDACTED was alleged to have failed to mark its vessel markings on the deck to meet the standards and failed to present a fishing license.</p> <p>This Fisheries Agency had completed the investigation and confirmed that the two potential violations identified in the boarding report were substantiated. F/V REDACTED was sanctioned with a fine of NTS 500,000 for each violation to the vessel owner and an additional fine of NTS 100,000 for each violation to the vessel master.</p> <p>The total fine amounts to NTS 1,200,000. The sanction procedure was completed on 3 November 2025. Any sanctions imposed in accordance with the Distant Water Fisheries Act have been made publicly available on our website. Please find the link of the list of sanctions below:</p> <p>https://en.fa.gov.tw/view.php?theme=Combating_IUU&subtheme=&id=11</p>	
		HSBI Event	<p style="text-align: center;">HSBI report(25 August)</p> <p style="text-align: center;">Details of non compliance:</p> <p><i>(CMM2023-01) Violation : Some widths of letters of the vessel marking on the deck were less than h/6.</i></p> <p><i>(CMM 2023-01) Some widths of letters on the vessel marking on the deck were less than h/6</i></p>	<p>Excerpt of email from Chinese Taipei 12 February 2026:</p> <p>According to the boarding report, F/V REDACTED was alleged to have failed to comply with vessel marking standards on its deck.</p> <p>This Agency completed the investigation and confirmed that the potential violation identified in the boarding report was substantiated. F/V REDACTED was sanctioned with a fine of NTS 500,000 to the vessel owner and an additional fine of NTS 100,000 to the vessel master. The sanction procedure was completed on 3 November 2025.</p> <p>Any sanctions imposed in accordance with the Distant Water Fisheries Act have been made publicly available on our website. Please find the link to the list of sanctions below:</p> <p>https://en.fa.gov.tw/view.php?theme=Combating_IUU&subtheme=&id=11</p>	
				<p>From Section 3.6 Annual Report:</p> <p>F/V REDACTED was identified as being in breach of Paragraph 5, Annex 2, and Article 13.2 of the NPFC Convention. Specifically, the IRCS on the deck failed to comply with vessel marking standards, and the vessel failed to present a valid fishing license during the inspection. The Fisheries Agency has completed its investigation and confirmed that both potential violations identified in the boarding report were substantiated. Upon receipt of the report, we directed the vessel to rectify the markings and have since verified that all corrective actions are complete. Furthermore, the vessel has been sanctioned in accordance with our domestic laws and regulations.</p> <p>Responses were provided to Japan via email on the following dates: July 14, September 5, September 24, October 16 (2025), and January 28 (2026).</p>	
				<p>From Section 3.6 Annual Report:</p> <p>F/V REDACTED was identified as being in breach of Paragraph 5, Annex 2, as the IRCS on the deck failed to comply with vessel marking standards during the inspection. Upon receipt of the boarding report, the Fisheries Agency directed the vessel to rectify the markings and has confirmed that all corrective actions have been completed. Furthermore, the vessel has been sanctioned in accordance with our domestic laws and regulations.</p> <p>Responses were provided to Japan via email on August 26, 2025, and February 12, 2026.</p>	

Russia		Air Surveillance Event; Draft IUU List	<p align="center">GEORGIY MESHCHERYAKOV - 18 June Aerial Surveillance Patrol report: Vessel's top or horizontal IRCS identifier absent; side IRCS # believed to be too small to meet requirements in CMM</p>	<p align="center">GEORGIY MESHCHERYAKOV NPFC</p>	
Vanuatu		HSBI Event	<p align="center">HSBI Report(26 August) Details of non -compliance: <i>CMM2023-01 Violation: The width of letters of the vessel marking on the deck were less than h/6.</i> <i>CMM2023-01 Violation: The spaces between letters of the vessel marking on the deck were less than h/6</i></p>		
2025-03 TRANSSHIPMENTS					
China	9	HSBI Event Draft IUU List	<p align="center">XIN HAI 1273- HSBI report(21 July) Details of non compliance: <i>(CMM2025-03)The fishing vessel recorded the mixtures of Japanese sardine and Chub mackerel under 40 grams as Japanese sardine in the logbook and transshipment records. The captain explained that even for a mixture consists of mackrel(90%) and sardine(10%), he has recorded such a mixture as sardine.</i></p>	<p>Excerpt from email from China 7 August <i>The mixture of Chub Mackerel and Sardine catch and recorded as Sardine in the logbook and transshipment declaration. Chinese purse seine vessels often catch mixed chub mackerel and sardines, which are hard to sort due to similar size and quantity. Authorities require sampling for each mixed catch, with the proportion of chub mackerel recorded in both physical and electronic logbooks. This proportion is counted towards chub mackerel's total catch limit, and cross-checks confirm consistency between logs. Transshipment records are more complex. Though species-specific declaration is required, fishermen struggle to split mixed catches accurately. They aim to align transshipment data with logbooks to avoid non-compliance, but their methods may deviate slightly from reality—without concealment, as mixed chub mackerel is properly counted in e-logs. Authorities are aware of the issue and drafting guidelines to address it, which will be issued soon</i></p> <p>Response to Member Section of draft CR</p> <p>1. In this case, the vessel master conducted sampling in accordance with our requirement, recorded the mixture catch under the Sardine column and recorded the proportion of Chub Mackerel in the remark column in the logbook, the vessel also repeated the recording procedure in the e-logbook as well. In order to simplify the recording process and avoid potential input error, we require vessel to record proportion of Chub Mackerel only, and that's why in the physical logbook, vessel also record proportion of Chub Mackerel regardless of the majority or minority of the mixture species just to synchronize the recording habit and avoid potential input error. Chinese fisheries authority has also crosschecked the physical logbook and e-logbook data, and found no discrepancies between them. These proportion of Chub Mackerel is calculated and aggregated in the catch limit of China.</p> <p>2. For transshipment records, though, it's a bit complicated. The transshipment declaration should be recorded by species, however, since some sets made by these vessels are mixture of Chub Mackerel and Sardine, it's challenging for some of our fishermen to split them apart into two columns respectively, with the need to fill the number of units. Our fishing vessels are trying their best to make sure the data in the logbook and in the transshipment declaration stay the same to avoid potential non-compliance, however, their way of dealing with data is based on their own understanding and work experience which may be bias from real situation, they are not trying to conceal those catch since all the Chub Mackerel mixture count against the catch limit through e-logbook as we investigated.</p> <p>3. Considering the difficulty to reconcile the data in the logbook and the transshipment declaration for fishermen, China has drafted a guideline, the guideline suggests fisherman to first calculate the weight of each species in the mixture catch from the logbook and then record them into the transshipment declaration. We believe this guideline could solve the difficulty to the extent possible.</p> <p>4. Although this is a technical issue, not the intention of the vessel master, it is clear that this vessel failed to reconcile the data between the logbook and the transshipment declaration.</p> <p>5. Therefore Chinese fisheries authority has imposed fine on the owner of the vessel, the amount is around 15 thousand USD. And Chinese fisheries authority has required the whole industry to study the guideline and follow it to the extent possible.</p> <p>Based on the above explanation and action taken by Chinese fisheries authority, China believes it should be compliant on this obligation.</p> <p align="center">XINHAI1273 NPFC</p>	

				<p>Email from China 26 March 2026 (attached in Draft CR Supp. Doc. 1 Rev.1 as Page1,2) Translation below:</p> <p>In order to continuously strengthen the supervision of distant water fisheries enterprises and fishing vessels, strictly crack down on violations of laws and regulations, and promote the standardized and orderly development of distant water fisheries, in accordance with the relevant provisions of the Fisheries Law of the People's Republic of China, the Measures of the People's Republic of China on the Administration of Fishery Crew, and the Regulations on the Administration of Distant Water Fisheries, this Bureau, together with the relevant provincial (municipal) fisheries authorities, conducted investigation and verification on Zhoushan Xin Hai Fisheries Co., Ltd.. With the approval of the Ministry of Agriculture and Rural Affairs, the relevant decision on sanction hereby notified as follows. The Xin Hai 1273 vessel under the ownership of Zhoushan Xin Hai Fisheries Co., Ltd. has issues with the non-standardization of its fishing log book and transshipment records, which violated the regulations of this Ministry and the relevant conservation management measures of NPFC. It has been decided to impose fine on the owner of the vessel, the amount is 100,000 RMB Yuan. Zhejiang Ocean Economy Development Department is requested to provide educational warnings to the enterprise.</p>	
Russia	9	Draft IUU List	Only 2 species were reported transhipped during 12 events	<p>Response to Member Section of draft CR Paragraph 6 of the CMM 2025-03 applies. Fishing vessel intends to engage in a transshipment in an area under national jurisdiction of the Russian Federation, including a port shall receive an authorization from the authorities. Persons engaged in fishing, in relation to whom a state function is performed, are obliged to carry out loading, unloading, and reloading of catches of aquatic biological resources and fish and other products produced from them in the presence of authorized officials.</p> <p>https://www.npfc.int/compliance/iuu-vessel-list/iuu/13364</p>	
	26	Secretariat review	No data was found on Section 11 of PART III of Notification and Declaration forms from the carrier vessels engaged in transshipment to indicate that observers were deployed.	<p>Response to Member Section of draft CR Paragraph 6 of the CMM 2025-03 applies. Fishing vessel intends to engage in a transshipment in an area under national jurisdiction of the Russian Federation, including a port shall receive an authorization from the authorities. Persons engaged in fishing, in relation to whom a state function is performed, are obliged to carry out loading, unloading, and reloading of catches of aquatic biological resources and fish and other products produced from them in the presence of authorized officials.</p>	
	29	Secretariat review	No data was found on Section 11 of PART III of Notification and Declaration forms from the carrier vessels engaged in transshipment to indicate that observers were deployed.	<p>Response to Member Section of draft CR Paragraphs 6 and 17 of the CMM 2025-03 applies. Fishing vessel intends to engage in a transshipment in an area under national jurisdiction of the Russian Federation, including a port shall receive an authorization from the authorities. Persons engaged in fishing, in relation to whom a state function is performed, are obliged to carry out loading, unloading, and reloading of catches of aquatic biological resources and fish and other products produced from them in the presence of authorized officials. No transshipments were carried out in the Convention Area.</p>	
	34	Secretariat review	No transshipment observer reports were received in 2025.	<p>Response to Member Section of draft CR Paragraphs 6 and 17 of the CMM 2025-03 applies. Fishing vessel intends to engage in a transshipment in an area under national jurisdiction of the Russian Federation, including a port shall receive an authorization from the authorities. Persons engaged in fishing, in relation to whom a state function is performed, are obliged to carry out loading, unloading, and reloading of catches of aquatic biological resources and fish and other products produced from them in the presence of authorized officials. No transshipments were carried out in the Convention Area.</p>	
2025-07 CHUB MACKEREL					

Russia	6	HSBI Event Draft IUU List	<p align="center">PETR I HSBI report(3 June)</p> <p>Potential Violation report submitted by Canada with proposal of vessel for Draft NPFC IUU Vessel List:</p> <p>Fisheries inspectors determined that no incidental catch was recorded, nor was the vessel's electronic logbook equipped to accept incidental bycatch records. The processing of NPFC fisheries resources into oil and fish meal without a method to sort, record, and release incidental bycatch of any species resulted in the following contravention:</p> <p>CMM 2025-07Conservation and Management Measure for Chub Mackerel Paragraph 6:</p> <p>Members of the Commission and CNCPs shall ensure that fishing vessels flying their flag that fish for chub mackerel in the Convention Area record their catches, including incidental catches of other NPFC species, and any discards and report them to the relevant flag state authorities in accordance with their national data recording and reporting requirements.</p>	PETRI/NPFC	
2025-08 Pacific saury					
Korea	1	Annual Report	<p align="center"># of Authorizations reported in annual report:</p> <p>2024: 19 vessels 2025: 32 vessels</p>		
Chinese Taipei		Annual Report	<p align="center"># of Authorizations reported in annual report:</p> <p>2024: 99 vessels 2025: 108 vessels</p>		
2024-09 HIGH SEAS BOARDING AND INSPECTION					
Russia	31	Secretariat Review	Nine HSBI reports were received by the Secretariat on 15 October for inspections conducted between 29 September and 4 October		
2025-11 SARDINES AND SQUIDS					
			<p>Response to Member Section of draft CR</p> <ol style="list-style-type: none"> 1.Chinese fisheries authority called back the vessel immediately and conducted thorough investigation on the vessel, interviewed with crewmembers, and confiscated the illegal catch. 2.After the investigation, Chinese fisheries authority immediately contacted the HSBI member and communicate the outcome of the investigation. 3.The vessel was then required to stay in port and not authorized to fish for 6 months. 4.Chinese fisheries authority has imposed fine on the owner of the vessel, the amount is around 290,000 USD for retaining shark fins and anadromous fish on board the vessel and not recording catches properly. 5. Based on the above explanation and action taken by Chinese fisheries authority, China believes it should be compliant on this obligation. 		

<p>HSBI Event</p>	<p style="text-align: center;">- HSBI Report(31 May) details of non compliance:</p> <p>CMM 2024-11 , paragraph 6. fail to record their catches and report, specifically salmon bycatch</p>	<p>Email from China 26 March 2026 ((attached in Draft CR Supp. Doc. 1 Rev.1 as Page3-5) Translation below:</p> <p>In order to continuously strengthen the supervision of distant water fisheries enterprises and fishing vessels, strictly crack down on violations of laws and regulations, and promote the standardized and orderly development of distant water fisheries, in accordance with the relevant provisions of the Fisheries Law of the People's Republic of China, the Measures of the People's Republic of China on the Administration of Fishery Crew, and the Regulations on the Administration of Distant Water Fisheries, this Bureau, together with the relevant provincial (municipal) fisheries authorities, conducted investigation and verification on <i>REDACTED</i>. With the approval of the Ministry of Agriculture and Rural Affairs, the relevant decision on sanction hereby notified as follows.</p> <p>The <i>REDACTED</i> vessel owned by <i>REDACTED</i> has been found to have individual crew members engaging in illegal fishing activities such as personal fishing for retaining shark fins and anadromous fish on board the vessel and not recording catches properly, which violates the regulations of this Ministry and the conservation and management measures of the North Pacific Fisheries Commission (NPFC). It is decided to suspend the vessel's distant water fishery project (Note1) for six months in 2025 and impose fine on the owner of the vessel, the amount is 2,000,000 RMB Yuan. The Department of Agriculture and Rural Affairs of <i>REDACTED</i> is requested to impose legal penalties on the vessel's captain and the involved crew members and provide warning education to the enterprise.</p> <p>Note 1: by translator: "without fishery project" means "no fishing permit".</p>	
<p>HSBI Event</p>	<p style="text-align: center;">HSBI Report(27 June) Boarding Remarks:</p> <p>1. Serious Violation referring 2025-07 P.6 for Mackerel and 2024-11 P.6 for Sardine</p>	<p>Excerpt from Letter from China 30 March 2026; (Draft CR Supp. Doc. 2)</p> <p><i>REDACTED</i> : The vessel's captain was fined 15,000 RMB (approximately 2,017 USD), and the two involved crew members were fined 6,000 RMB (approximately 868 USD) each.</p>	
<p>HSBI Event</p>		<p>Excerpt from Email response from Canada to China 15 July</p> <p>Dear colleagues, thank you for confirming receipt of Canada's information related to the vessel <i>REDACTED</i> and for initiating an investigation. Thank you also for your patience while Canada retrieved large video files from the patrol ship's media storage. The full video file has now been shared through "Wetransfer" so you should receive a notification shortly to invite you to download and view. Please let me know if you have any difficulty viewing this file – it is a 12 minute video but appears to have uploaded to the share site with no issues.</p> <ol style="list-style-type: none"> 1. Chinese fisheries authority called back the vessel for port inspection and investigation as for nearly one month, took sampling and interviewed with crewmembers. 2. After the investigation, Chinese fisheries authority immediately contacted the HSBI member and communicate the outcome of the investigation. 3. Chinese fisheries authority has imposed fine on the owner of the vessel, the amount is around 101,500 USD for not recording catches accurately and releasing garbage inadvertently. 4. Based on the above explanation and action taken by Chinese fisheries authority, China believes it should be compliant on this obligation. <p>Email from China 26 March 2026 ((attached in Draft CR Supp. Doc. 1 Rev.1 as Page6-8) Translation below:</p> <p>In order to continuously strengthen the supervision of distant water fisheries enterprises and fishing vessels, strictly crack down on violations of laws and regulations, and promote the standardized and orderly development of distant water fisheries, in accordance with the relevant provisions of the Fisheries Law of the People's Republic of China, the Measures of the People's Republic of China on the Administration of Fishery Crew, and the Regulations on the Administration of Distant Water Fisheries, this Bureau, together with the relevant provincial (municipal) fisheries authorities, conducted investigation and verification on <i>REDACTED</i>. With the approval of the Ministry of Agriculture and Rural Affairs, the relevant decision on sanction hereby notified as follows.</p> <p>The <i>REDACTED</i> vessel owned by <i>REDACTED</i> has been found to have failed to accurately record fishing catch data and to have discarded garbage into the sea, which violated the regulations of this Ministry and the relevant conservation and management measures of the North Pacific Fisheries Commission (NPFC). It is decided to impose fine on the owner of the vessel, the amount is 700,000 RMB Yuan. The Department of Agriculture and Rural Affairs of <i>REDACTED</i> is requested to impose legal penalties on the vessel's captain and provide warning education to the enterprise.</p> <p>Excerpt from Letter from China 30 March 2026; (Draft CR Supp. Doc. 2)</p> <p><i>REDACTED</i> : The vessel's captain was fined 22,000 RMB (approximately 3,188 USD).</p>	

<p>HSBI Event Draft IUU List</p>	<p style="text-align: center;">XIN HAI 1273 HSBI report(21 July) Details of non compliance: (CMM2025-11) <i>The fishing vessel recorded the mixtures of Japanese sardine and Chub mackerel under 40 grams as Japanese sardine in the logbook and transshipment records. The captain explained that even for a mixture consists of mackrel(90%) and sardine(10%). he has recorded such a mixture as sardine.</i></p>	<p>Excerpt from email from China 7 August</p> <p>The mixture of Chub Mackerel and Sardine catch and recorded as Sardine in the logbook and transshipment declaration. Chinese purse seine vessels often catch mixed chub mackerel and sardines, which are hard to sort due to similar size and quantity. Authorities require sampling for each mixed catch, with the proportion of chub mackerel recorded in both physical and electronic logbooks. This proportion is counted towards chub mackerel's total catch limit, and cross-checks confirm consistency between logs. Transshipment records are more complex. Though species-specific declaration is required, fishermen struggle to split mixed catches accurately. They aim to align transshipment data with logbooks to avoid non-compliance, but their methods may deviate slightly from reality—without concealment, as mixed chub mackerel is properly counted in e-logs. Authorities are aware of the issue and drafting guidelines to address it, which will be issued soon.</p> <ol style="list-style-type: none"> In this case, the vessel master conducted sampling in accordance with our requirement, recorded the mixture catch under the Sardine column and recorded the proportion of Chub Mackerel in the remark column in the logbook, the vessel also repeated the recording procedure in the e-logbook as well. In order to simplify the recording process and avoid potential input error, we require vessel to record proportion of Chub Mackerel only, and that's why in the physical logbook, vessel also record proportion of Chub Mackerel regardless of the majority or minority of the mixture species just to synchronize the recording habit and avoid potential input error. Chinese fisheries authority has also crosschecked the physical logbook and e-logbook data, and found no discrepancies between them. These proportion of Chub Mackerel is calculated and aggregated in the catch limit of China. For transshipment records, though, it's a bit complicated. The transshipment declaration should be recorded by species, however, since some sets made by these vessels are mixture of Chub Mackerel and Sardine, it's challenging for some of our fishermen to split them apart into two columns respectively, with the need to fill the number of units. Our fishing vessels are trying their best to make sure the data in the logbook and in the transshipment declaration stay the same to avoid potential non-compliance, however, their way of dealing with data is based on their own understanding and work experience which may be bias from real situation, they are not trying to conceal those catch since all the Chub Mackerel mixture count against the catch limit through e-logbook as we investigated. Considering the difficulty to reconcile the data in the logbook and the transshipment declaration for fishermen, China has drafted a guideline, the guideline suggests fisherman to first calculate the weight of each species in the mixture catch from the logbook and then record them into the transshipment declaration. We believe this guideline could solve the difficulty to the extent possible. Although this is a technical issue, not the intention of the vessel master, it is clear that this vessel failed to reconcile the data between the logbook and the transshipment declaration. Therefore Chinese fisheries authority has imposed fine on the owner of the vessel, the amount is around 15 thousand USD. And Chinese fisheries authority has required the whole industry to study the guideline and follow it to the extent possible. Based on the above explanation and action taken by Chinese fisheries authority, China believes it should be compliant on this obligation. <p>XINHAI1273 NPFC</p>	
		<p>Email from China 26 March 2026 (attached in Draft CR Supp. Doc. 1 Rev.1 as Page 1,2) Translation below:</p> <p>In order to continuously strengthen the supervision of distant water fisheries enterprises and fishing vessels, strictly crack down on violations of laws and regulations, and promote the standardized and orderly development of distant water fisheries, in accordance with the relevant provisions of the Fisheries Law of the People's Republic of China, the Measures of the People's Republic of China on the Administration of Fishery Crew, and the Regulations on the Administration of Distant Water Fisheries, this Bureau, together with the relevant provincial (municipal) fisheries authorities, conducted investigation and verification on Zhoushan Xin Hai Fisheries Co., Ltd. With the approval of the Ministry of Agriculture and Rural Affairs, the relevant decision on sanction hereby notified as follows.</p> <p>The Xin Hai 1273 vessel under the ownership of Zhoushan Xin Hai Fisheries Co., Ltd. has issues with the non-standardization of its fishing log book and transshipment records, which violated the regulations of this Ministry and the relevant conservation management measures of NPFC. It has been decided to impose fine on the owner of the vessel, the amount is 100,000 RMB Yuan. Zhejiang Ocean Economy Development Department is requested to provide educational warnings to the enterprise.</p>	
<p>HSBI Event</p>	<p style="text-align: center;">HSBI report(17 Sept) Details of non compliance: (CMM2025-11) <i>Violation: This vessel caught 55 bags (1box:20kg) of OFJ(Neon Flying Squid) but it recorded them just as "squid" in the logbook. The vessel failed to record the catch in species name.</i></p>	<p>Excerpt from email from China - 17 October:</p> <p>1.(CMM2025-11) <i>Violation: This vessel caught 55 bags (1 box: 20 kg) of OFJ (Neon Flying Squid) but it recorded them just as "squid" in the logbook. The vessel failed to record the catch in species name. This vessel do record OFJ as squid in the physical logbook, however, in the electronic logbook which is also onboard the vessel, the master record the squid as " 柔鱼", which is the Chinese name for neon flying squid/OFJ, we also checked our data terminal that receive e-log data directly on-board the vessel, and confirmed that this vessel do record the catch in species name.</i></p> <p><i>Attached please find the screenshot of electronic logbook on board the vessel. Since the vessel record the detailed species in e-logbook, we are sure that it did comply with CMM2015-11 requirement to record the catch in species name. Having said that, we noticed that the master needs to adjust his recording habit in physical logbook, so we have required the master to record detailed species for squid in the same manner as e-logbook.</i></p> <p>Chinese fisheries authority has contacted the HSBI member of this issue and explained to them that this vessel did record OFJ in the e-logbook, and the HSBI member accept the explanation. Therefore this vessel didn't violate this measure. Based on the above explanation, China believes it should be compliant on this obligation.</p>	

China	7	HSBI Event	<p style="text-align: center;">HSBI report(31 May) Details of non compliance: <i>CMM 2023-14 paragraph 7, possess or control(?)shark fins that are not normally attached to a corresponding shark.</i></p> <p style="text-align: center;">HSBI event page: Notes <i>CMM 2024-14, paragraph 7. Inspectors also located shark fins that were not naturally attached to an associated carcass.</i></p>	<p>Response to Member Section of draft CR</p> <ol style="list-style-type: none"> 1.Chinese fisheries authority called back the vessel immediately and conducted thorough investigation on the vessel, interviewed with crewmembers, and confiscated the illegal catch. 2.After the investigation, Chinese fisheries authority immediately contacted the HSBI member and communicate the outcome of the investigation. 3.The vessel was then required to stay in port and not authorized to fish for 6 months. 4.Chinese fisheries authority has imposed fine on the owner of the vessel, the amount is around 290,000 USD for retaining shark fins and anadromous fish on board the vessel and not recording catches properly. 5. Based on the above explanation and action taken by Chinese fisheries authority, China believes it should be compliant on this obligation. <p>Email from China <u>26 March 2026</u> (attached in Draft CR Supp. Doc. 1 Rev.1 as Page 3-5) Translation below:</p> <p>In order to continuously strengthen the supervision of distant water fisheries enterprises and fishing vessels, strictly crack down on violations of laws and regulations, and promote the standardized and orderly development of distant water fisheries, in accordance with the relevant provisions of the Fisheries Law of the People's Republic of China, the Measures of the People's Republic of China on the Administration of Fishery Crew, and the Regulations on the Administration of Distant Water Fisheries, this Bureau, together with the relevant provincial (municipal) fisheries authorities, conducted investigation and verification on <i>REDACTED</i>. With the approval of the Ministry of Agriculture and Rural Affairs, the relevant decision on sanction hereby notified as follows.</p> <p>The <i>REDACTED</i> vessel owned by <i>REDACTED</i> has been found to have individual crew members engaging in illegal fishing activities such as personal fishing for retaining shark fins and anadromous fish on board the vessel and not recording catches properly, which violates the regulations of this Ministry and the conservation and management measures of the North Pacific Fisheries Commission (NPFC). It is decided to suspend the vessel's distant water fishery project (Note 1) for six months in 2025 and impose fine on the owner of the vessel, the amount is 2,000,000 RMB Yuan. The Department of Agriculture and Rural Affairs of <i>REDACTED</i> is requested to impose legal penalties on the vessel's captain and the involved crew members and provide warning education to the enterprise.</p> <p>Note 1 - by translator: "without fishery project" means "no fishing permit".</p>	
		HSBI Event; Draft IUU List	<p style="text-align: center;">PETR I HSBI report(3 June) Boarding Remarks: <i>CMM2023-14, 9: A fishing vessel shall record, and maintain a record of any shark catch in the Convention Area to the extent possible by species in its logbook onboard the fishing vessel.</i> <i>Does not record sharks on logbook.</i></p>	<p>Excerpt from Letter from China <u>30 March 2026</u>; (Draft CR Supp. Doc. 2)</p> <p><i>REDACTED</i> : The vessel's captain was fined 15,000 RMB (approximately 2,017 USD), and the two involved crew members were fined 6,000 RMB (approximately 868 USD) each.</p> <p>PETR I NPFC</p>	

Russia	9	Draft IUU List (2024 Air Surveillance Event)	<p>During that inspection in 2024, Canadian inspectors observed a separate circumstance that could not be verified until after Members submitted their annual reports for 2024.</p> <p>...</p> <p>At approximately 0422 HRS UTC, inspectors observed the SOLIDARNOST's deck while crew brought a full trawl net onboard. At 0428 HRS UTC imagery taken from Canada's surveillance aircraft shows the deck is clear of all fish and marine species before the codend of the net is opened....</p> <p>At approximately 0443 HRS UTC, as the net was nearly empty, crew were observed retrieving several more shark carcasses from toward the grate area.... By the time that the net was completely empty, Inspectors counted approximately 15 to 20 sharks of various sizes and species. All appeared to be dead.</p> <p>At approximately 0450 HRS UTC after the net was empty, crew were observed repositioning the large pile of sharks and placing them behind the net. Once the net was retied, it was deployed from the stern. The movement of the net carried the dead shark carcasses down through the stern ramp and into the water.</p> <p>In 2024, Canada noted that the Member responsible for reporting the SOLIDARNOST's shark catch did not report any shark catch in their 2024 Annual Report (TAB 2). Canada concluded from the absence of any Member reported shark catch that the SOLIDARNOST did not report this shark catch to the vessel's authorities</p>	SOLIDARNOST NPFC	
	10	Secretariat review	No shark catch reported in Annual Report; however, HSBI report from 3 June indicates that sharks were observed on the vessel		

2024-15 MARINE POLLUTION

		HSBI Event	<p>Excerpt from Email response from Canada to China 15 July</p> <p>Dear colleagues, thank you for confirming receipt of Canada's information related to the vessel <i>REDACTED</i> and for initiating an investigation. Thank you also for your patience while Canada retrieved large video files from the patrol ship's media storage. The full video file has now been shared through "Wetransfer" so you should receive a notification shortly to invite you to download and view. Please let me know if you have any difficulty viewing this file – it is a 12 minute video but appears to have uploaded to the share site with no issues.</p>	
			<p>Response to Member Section of draft CR</p> <ol style="list-style-type: none"> 1. Chinese fisheries authority called back the vessel for port inspection and investigation as for nearly one month, took sampling and interviewed with crewmembers. 2. After the investigation, Chinese fisheries authority immediately contacted the HSBI member and communicate the outcome of the investigation. 3. Chinese fisheries authority has imposed fine on the owner of the vessel, the amount is around 101,500 USD for not recording catches accurately and releasing garbage inadvertently. 4. Based on the above explanation and action taken by Chinese fisheries authority, China believes it should be compliant on this obligation. 	
			<p>HSBI Report(27 June): Details on non compliance: CMM 2024-15, Para 8 AND 10</p> <p>HSBI Report(27 June) Boarding Remarks: Pollution - debris in water</p>	
			<p>Email from China 26 March 2026 (attached in Draft CR Supp. Doc. 1 Rev.1 as Page 6-8) Translation below:</p> <p>In order to continuously strengthen the supervision of distant water fisheries enterprises and fishing vessels, strictly crack down on violations of laws and regulations, and promote the standardized and orderly development of distant water fisheries, in accordance with the relevant provisions of the Fisheries Law of the People's Republic of China, the Measures of the People's Republic of China on the Administration of Fishery Crew, and the Regulations on the Administration of Distant Water Fisheries, this Bureau, together with the relevant provincial (municipal) fisheries authorities, conducted investigation and verification on <i>REDACTED</i>. With the approval of the Ministry of Agriculture and Rural Affairs, the relevant decision on sanction hereby notified as follows.</p> <p>The <i>REDACTED</i> vessel owned by <i>REDACTED</i> has been found to have failed to accurately record fishing catch data and to have discarded garbage into the sea, which violated the regulations of this Ministry and the relevant conservation and management measures of the North Pacific Fisheries Commission (NPFC). It is decided to impose fine on the owner of the vessel, the amount is 700,000 RMB Yuan. The Department of Agriculture and Rural Affairs of <i>REDACTED</i> is requested to impose legal penalties on the vessel's captain and provide warning education to the enterprise.</p>	

China	8	<p>HSBI Event Draft IUU List</p>	<p>DONG YU 1528 - HSBI radio Interview report(28 August) The Japanese inspection vessel observed that the fishing vessel discarded what appeared to be incinerator ashes and plastic bags.</p>	<p>Excerpt from Letter from China <u>30 March 2026</u>; (Draft CR Supp. Doc. 2) <i>REDACTED</i> : The vessel's captain was fined 22,000 RMB (approximately 3,188 USD).</p> <p>Response to Member Section of draft CR</p> <ol style="list-style-type: none"> 1.Chinese fisheries authority called back the vessel for port inspection and investigation, interviewed with crewmembers and checked surveillance video. 2.After the investigation, Chinese fisheries authority immediately contacted the HSBI member and communicated the outcome of the investigation. 3.Chinese fisheries authority has imposed fine on the owner of the vessel, the amount is around 80,000 USD for releasing plastic garbage inadvertently at sea. 4. Based on the above explanation and action taken by Chinese fisheries authority, China believes it should be compliant on this obligation. <p>DONG YU 1528 NPFC</p> <p>Email from China <u>26 March 2026</u> (attached in Draft CR Supp. Doc. 1 Rev.1 as Page 9,10) Translation below:</p> <p>In order to continuously strengthen the supervision of distant water fisheries enterprises and fishing vessels, strictly crack down on violations of laws and regulations, and promote the standardized and orderly development of distant water fisheries, in accordance with the relevant provisions of the Fisheries Law of the People's Republic of China, the Measures of the People's Republic of China on the Administration of Fishery Crew, and the Regulations on the Administration of Distant Water Fisheries, this Bureau, together with the relevant provincial (municipal) fisheries authorities, conducted investigation and verification on Zhejiang Xing Peng Deep Sea Fishery Co., Ltd.. With the approval of the Ministry of Agriculture and Rural Affairs, the relevant decision on sanction hereby notified as follows.</p> <p>The Dong Yu 1528 vessel under the ownership of Zhejiang Xing Peng Deep Sea Fishery Co., Ltd. has been found to have disposed of plastic waste, which violates the regulations of this Ministry and the relevant conservation management measures of NPFC. It is decided to impose fine on the owner of the vessel, the amount is 550,000 RMB Yuan. Zhejiang Ocean Economy Development Department is requested to impose legal penalties on the vessel's captain and conduct a warning education for this enterprise.</p> <p>Excerpt from Letter from China <u>30 March 2026</u>; (Draft CR Supp. Doc. 2) Dong Yu 1528: The vessel 's captain was fined 12,000 RMB (approximately 1,740 USD).</p>	
2024-16 ANADROMOUS FISH				<p>Response to Member Section of draft CR</p> <ol style="list-style-type: none"> 1.Chinese fisheries authority called back the vessel immediately and conducted thorough investigation on the vessel, interviewed with crewmembers, and confiscated the illegal catch. 2.After the investigation, Chinese fisheries authority immediately contacted the HSBI member and communicate the outcome of the investigation. 3.The vessel was then required to stay in port and not authorized to fish for 6 months. 4.Chinese fisheries authority has imposed fine on the owner of the vessel, the amount is around 290,000 USD for retaining shark fins and anadromous fish on board the vessel and not recording catches properly. 5. Based on the above explanation and action taken by Chinese fisheries authority, China believes it should be compliant on this obligation. 	

China	5	HSBI Event	<p style="text-align: center;">HSBI Report(31 May) details of non compliance</p> <p><i>CMM 2024-16, paragraph 5. fail to take necessary measure to ensure no retention or possession of anadromous fish(salmon).</i></p>	<p>Email from China 26 March 2026 (attached in Draft CR Supp. Doc. 1 Rev.1 as Page 3-5) Translation below:</p> <p>In order to continuously strengthen the supervision of distant water fisheries enterprises and fishing vessels, strictly crack down on violations of laws and regulations, and promote the standardized and orderly development of distant water fisheries, in accordance with the relevant provisions of the Fisheries Law of the People's Republic of China, the Measures of the People's Republic of China on the Administration of Fishery Crew, and the Regulations on the Administration of Distant Water Fisheries, this Bureau, together with the relevant provincial (municipal) fisheries authorities, conducted investigation and verification on <i>REDACTED</i>. With the approval of the Ministry of Agriculture and Rural Affairs, the relevant decision on sanction hereby notified as follows.</p> <p>The <i>REDACTED</i> vessel owned by <i>REDACTED</i> has been found to have individual crew members engaging in illegal fishing activities such as personal fishing for retaining shark fins and anadromous fish on board the vessel and not recording catches properly, which violates the regulations of this Ministry and the conservation and management measures of the North Pacific Fisheries Commission (NPFC). It is decided to suspend the vessel's distant water fishery project (Note 1) for six months in 2025 and impose fine on the owner of the vessel, the amount is 2,000,000 RMB Yuan. The Department of Agriculture and Rural Affairs of <i>REDACTED</i> is requested to impose legal penalties on the vessel's captain and the involved crew members and provide warning education to the enterprise.</p> <p>Note 1 - by translator: "without fishery project" means "no fishing permit".</p>	
				<p>Excerpt from Letter from China 30 March 2026; (Draft CR Supp. Doc. 2)</p> <p><i>REDACTED</i> : The vessel's captain was fined 15,000 RMB (approximately 2,017 USD), and the two involved crew members were fined 6,000 RMB (approximately 868 USD) each.</p>	
Russia	5	HSBI Event; Draft IUU List	<p style="text-align: center;">PETR I - HSBI report(3 June) Details of non compliance:</p> <p><i>Salmon found on deck, not gutted or filleted in a garbage bag. Second salmon fillets 6. found in room in plastic wrap.</i></p> <p><i>CMM2024-16 Salmon 5 members & CNCPs shall take necessary measures to ensure their fishing vessels do not retain onboard or otherwise possess anadromous fish.</i></p>	<p>PETR I - 3 June</p> <p>HSBI report - MASTER'S COMMENTS</p> <p>(translated using Google Translate):</p> <p><i>Salmon found onboard the vessel was purchased in port to feed the crew</i></p> <p>PETR I NPFC</p>	
	11	Secretariat review	No salmon reported in Annual report ; however, HSBI inspection of PETR I on 3 June identified round salmon on deck		