



**North Pacific Fisheries Commission**

# **COMPENDIUM OF NPFC CONSERVATION AND MANAGEMENT MEASURES**

**2026**

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# Table of Contents

|                       |          |
|-----------------------|----------|
| <b>FOREWORD.....</b>  | <b>4</b> |
| <b>ACRONYMS .....</b> | <b>5</b> |

## **CONSERVATION AND MANAGEMENT MEASURE**

|                |                                                                                                                                                                                                  |            |
|----------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------|
| <b>2026-01</b> | <b>On Information Requirements For Vessel Registration.....</b>                                                                                                                                  | <b>7</b>   |
|                | Annex 1 List of Fields in the NPFC Vessel Registry and their Format and Content .....                                                                                                            | 11         |
|                | Annex 2 Specifications for the Marking and Identification of Fishing Vessels .....                                                                                                               | 21         |
| <b>2026-02</b> | <b>To Establish A List Of Vessels Presumed To Have Carried Out Illegal, Unreported And Unregulated Fishing Activities In The Convention Area Of The North Pacific Fisheries Commission .....</b> | <b>47</b>  |
|                | Annex A NPFC Reporting Form for Illegal Activity .....                                                                                                                                           | 55         |
|                | Annex B Information to be included in all NPFC IUU Vessel Lists .....                                                                                                                            | 57         |
| <b>2025-03</b> | <b>On Transshipments.....</b>                                                                                                                                                                    | <b>58</b>  |
|                | Annex I Advance Notification .....                                                                                                                                                               | 67         |
|                | Annex II Transshipment Declaration.....                                                                                                                                                          | 71         |
|                | Annex III Observer Transshipment Report .....                                                                                                                                                    | 74         |
|                | Annex IV NPFC Transshipment Record.....                                                                                                                                                          | 76         |
|                | Annex V Information in the Annual Summary of Transshipment.....                                                                                                                                  | 78         |
| <b>2016-04</b> | <b>On Vessels Without Nationality.....</b>                                                                                                                                                       | <b>79</b>  |
| <b>2025-05</b> | <b>For Bottom Fisheries And Protection Of Vulnerable Marine Ecosystems In The Northwestern Pacific Ocean .....</b>                                                                               | <b>81</b>  |
|                | Annex 1 Exploratory Fishery Protocol in the North Pacific Ocean .....                                                                                                                            | 89         |
|                | Annex 2 Science-Based Standards and Criteria .....                                                                                                                                               | 92         |
|                | Annex 3 Scientific Committee Assessment Review Procedures .....                                                                                                                                  | 100        |
|                | Annex 4 Format of National Report Sections .....                                                                                                                                                 | 101        |
|                | Annex 5 NPFC Bottom Fisheries Observer Programme Standards .....                                                                                                                                 | 103        |
|                | Annex 6 Implementation of the Adaptive Management for North Pacific Armorhead .....                                                                                                              | 109        |
|                | Annex 7 Translation Table of VME Indicator Corals Between Common and Scientific Names.....                                                                                                       | 112        |
| <b>2025-06</b> | <b>For Bottom Fisheries And Protection Of Vulnerable Marine Ecosystems In The Northeastern Pacific Ocean.....</b>                                                                                | <b>113</b> |
|                | Annex 1 Exploratory Fishery Protocol in the North Pacific Ocean.....                                                                                                                             | 117        |
|                | Annex 2 Science-Based Standards and Criteria .....                                                                                                                                               | 121        |
|                | Annex 3 Scientific Committee Assessment Review Procedures.....                                                                                                                                   | 130        |
|                | Annex 4 Format of National Report Sections .....                                                                                                                                                 | 131        |
|                | Annex 5 NPFC Bottom Fisheries Observer Programme Standards .....                                                                                                                                 | 133        |
|                | Annex 6 Translation Table of VME Indicator Corals Between Common and Scientific Names.....                                                                                                       | 140        |
| <b>2026-07</b> | <b>For Chub Mackerel .....</b>                                                                                                                                                                   | <b>141</b> |
|                | ANNEX 1 Minimum standards for Catch on Entry (COE) Report .....                                                                                                                                  | 146        |
| <b>2026-08</b> | <b>For Pacific Saury .....</b>                                                                                                                                                                   | <b>147</b> |
|                | Annex I Interim Harvest Control Rules (HCR) for Pacific saury.....                                                                                                                               | 152        |
|                | Annex II Reported Pacific Saury Catch in 2018 .....                                                                                                                                              | 153        |

|                |                                                                                                          |            |
|----------------|----------------------------------------------------------------------------------------------------------|------------|
| <b>2024-09</b> | <b>For High Seas Boarding And Inspection Procedures For The North Pacific Fisheries Commission .....</b> | <b>154</b> |
|                | Annex A Boarding Ladder Guidelines .....                                                                 | 166        |
|                | Annex B HSBI Inspection Flag and Pennant.....                                                            | 168        |
| <b>2019-10</b> | <b>For Sablefish In The Northeastern Pacific Ocean .....</b>                                             | <b>169</b> |
| <b>2026-11</b> | <b>For Neon Flying Squid And Japanese Flying Squid .....</b>                                             | <b>172</b> |
| <b>2025-12</b> | <b>On The Vessel Monitoring System (VMS) .....</b>                                                       | <b>174</b> |
|                | Annex 1 Guidance on Minimum Standards for Mobile Transmitting Units (MTUs).....                          | 179        |
|                | Annex 2 Options for Notification of Entry into and Exit from the NPFC Convention Area .....              | 181        |
|                | Annex 3 NPFC Data-Sharing and Data-Security Protocol for Vessel Monitoring System Data .....             | 182        |
| <b>2026-13</b> | <b>For The Compliance Monitoring Scheme .....</b>                                                        | <b>189</b> |
|                | Annex I Compliance Status Table.....                                                                     | 196        |
|                | Annex II Obligations to be Assessed .....                                                                | 198        |
| <b>2023-14</b> | <b>On Sharks .....</b>                                                                                   | <b>214</b> |
| <b>2024-15</b> | <b>On The Prevention, Reduction, And Elimination Of Marine Pollution .....</b>                           | <b>217</b> |
| <b>2024-16</b> | <b>On Anadromous Fish .....</b>                                                                          | <b>222</b> |
|                | Annex 1 Anadromous Stocks .....                                                                          | 225        |
|                | Annex 2 Species Identification Aid for Anadromous Species found in the North Pacific .....               | 226        |
| <b>2025-17</b> | <b>On Transshipment Observer Program.....</b>                                                            | <b>228</b> |
|                | Annex I Observer Transshipment Report.....                                                               | 238        |
| <b>2026-18</b> | <b>For Japanese Sardine .....</b>                                                                        | <b>242</b> |
| <b>2026-19</b> | <b>For The Collection, Reporting, Verification And Exchange Of Data .....</b>                            | <b>245</b> |
|                | ANNEX 1 Minimum Standards for data for all fishing activities regardless the fishing gear .....          | 247        |
|                | ANNEX 2 Minimum standards for data to be recorded for trawl fishing activities .....                     | 248        |
|                | ANNEX 3 Minimum standards for data to be recorded for purse seine fishing activities.....                | 251        |
|                | ANNEX 4 Minimum standards for data to be recorded for jigging fishing activities .....                   | 253        |
|                | ANNEX 5 Minimum standards for data to be recorded for stick-held dip net fishing activities.....         | 255        |
|                | ANNEX 6 Minimum standards for data to be recorded for bottom gillnets fishing activities .....           | 257        |
|                | ANNEX 7 Minimum standards for data to be recorded for bottom traps .....                                 | 260        |
|                | ANNEX 8 Minimum standards for Annual Catch Data reporting .....                                          | 262        |
| <b>2026-20</b> | <b>On Minimum Standards For Port State Measures.....</b>                                                 | <b>263</b> |
|                | ANNEX A Information to be provided in notifications by foreign vessels requesting port entry .....       | 272        |
|                | ANNEX B Guidelines for inspectors training .....                                                         | 274        |
|                | ANNEX C Port state inspection procedures.....                                                            | 275        |
|                | ANNEX D Template for port inspection reports .....                                                       | 276        |

## FOREWORD

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The objective of the *Convention on the Conservation and Management of High Seas Fisheries Resources in the North Pacific Ocean* (2015) is to ensure the long-term conservation and sustainable use of the fisheries resources in the Convention Area while protecting the marine ecosystems of the North Pacific Ocean in which these resources occur.

To achieve this aim, the North Pacific Fisheries Commission (NPFC) has developed the *Compendium of NPFC Conservation and Management Measures* (previously the *Sustainable Use and Conservation Handbook*), as mandated by the Commission at its 5<sup>th</sup> meeting. The Compendium brings together all conservation and management measures in force, as adopted by the Commission as of July 2026.

All Commission publications, as well as further information on the Commission, can be viewed and downloaded from the Commission website: [www.npfc.int](http://www.npfc.int).

## ACRONYMS

|                 |                                                                                                                                                                                                                                                                                         |
|-----------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <b>CA</b>       | NPFC Convention Area                                                                                                                                                                                                                                                                    |
| <b>CMM</b>      | Conservation and Management Measure                                                                                                                                                                                                                                                     |
| <b>CNCP</b>     | Cooperating Non-Contracting Party                                                                                                                                                                                                                                                       |
| <b>ES-NHR</b>   | Emperor Sea Mounts – Northern Hawaiian Ridge Area                                                                                                                                                                                                                                       |
| <b>FAC</b>      | NPFC Finance and Administration Committee                                                                                                                                                                                                                                               |
| <b>FAO</b>      | Food and Agriculture Organization of the United Nations                                                                                                                                                                                                                                 |
| <b>IMO</b>      | International Maritime Organization under the United Nations Economic and Social Council                                                                                                                                                                                                |
| <b>IPOA</b>     | FAO International Plan of Action                                                                                                                                                                                                                                                        |
| <b>IRCS</b>     | International Radio Call Sign                                                                                                                                                                                                                                                           |
| <b>ISSCFV</b>   | FAO International Standard Statistical Classification of Fishing Vessels by Vessel Types                                                                                                                                                                                                |
| <b>ISSCFG</b>   | FAO International Standard Statistical Classification of Fishing Gear                                                                                                                                                                                                                   |
| <b>IUU</b>      | Illegal, unreported and unregulated fishing activities                                                                                                                                                                                                                                  |
| <b>Kg</b>       | Kilogram                                                                                                                                                                                                                                                                                |
| <b>Lat</b>      | Latitude                                                                                                                                                                                                                                                                                |
| <b>Lon/Long</b> | Longitude                                                                                                                                                                                                                                                                               |
| <b>MMSI</b>     | Maritime Mobile Service Identity                                                                                                                                                                                                                                                        |
| <b>Mt</b>       | Metric tonne                                                                                                                                                                                                                                                                            |
| <b>NPFC</b>     | North Pacific Fisheries Commission                                                                                                                                                                                                                                                      |
| <b>RFMO</b>     | Regional Fisheries Management Organization                                                                                                                                                                                                                                              |
| <b>SAI</b>      | Significant Adverse Impact                                                                                                                                                                                                                                                              |
| <b>SC</b>       | NPFC Scientific Committee                                                                                                                                                                                                                                                               |
| <b>TCC</b>      | NPFC Technical and Compliance Committee                                                                                                                                                                                                                                                 |
| <b>UNCLOS</b>   | United Nations Convention on the Law of the Sea of 10 December 1982                                                                                                                                                                                                                     |
| <b>UNFSA</b>    | The Agreement for the Implementation of the Provisions of the United Nations Convention on the Law of the Sea of 10 December 1982 relating to the Conservation and Management of Straddling Fish Stocks and Highly Migratory Fish Stocks (commonly called the UN Fish Stocks Agreement) |
| <b>UNGA</b>     | United Nations General Assembly                                                                                                                                                                                                                                                         |
| <b>UTC</b>      | Coordinated Universal Time                                                                                                                                                                                                                                                              |

|                  |                             |
|------------------|-----------------------------|
| <b>Vessel ID</b> | Vessel identifier           |
| <b>VME</b>       | Vulnerable Marine Ecosystem |
| <b>VR</b>        | NPFC Vessel Registry        |
| <b>WTO</b>       | World Trade Organization    |

**CONSERVATION AND MANAGEMENT MEASURE ON INFORMATION  
REQUIREMENTS FOR VESSEL REGISTRATION**

*The North Pacific Fisheries Commission (NPFC),*

*Recalling* Article 4 of the Agreement to Promote Compliance with International Conservation and Management Measures by Fishing Vessels on the High Seas of 24 November 1993 that stipulates to maintain a record of fishing vessels entitled to fly its flag and authorized to be used for fishing on the high seas, and to take such measures as may be necessary to ensure that all such fishing vessels are entered in that record,

*Recognizing* Article 7, paragraph 2 (d) of the Convention regarding the establishment of appropriate cooperative mechanisms for effective monitoring, control and surveillance to ensure enforcement of the conservation and management measures adopted by the Commission including mechanisms to prevent, deter and eliminate IUU fishing,

*Reaffirming* that Article 13, paragraph 1 of the Convention that members of the Commission or Cooperating non-Contracting Parties shall take necessary measures to ensure that fishing vessels entitled to fly its flag operating in the Convention Area comply with the provisions of the Convention and measures adopted pursuant to the Convention and such vessels do not engage in any activities that undermine the effectiveness of such measures and do not conduct unauthorized fishing activities within areas under national jurisdiction of another State adjacent to the Convention Area,

*Also reaffirming* that Article 13, paragraph 2 of the Convention that no members or Cooperating non-Contracting Parties of the Commission shall allow any fishing vessel entitled to fly its flag to be used for fishing activities in the Convention Area unless it has been authorized to do so by the appropriate authority or authorities of that member of the Commission or Cooperating non-Contracting Parties. Each member of the Commission, or Cooperating non-Contracting Parties, shall authorize the use of vessels entitled to fly its flag in the Convention Area only where it is able to exercise effectively its responsibilities in respect of those vessels under this Convention, the 1982 Convention and the 1995 Agreement,

*Also recognizing* that members of the Commission or Cooperating non-Contracting Parties have the need to conduct transshipment with carrier vessels that are flagged to Commission members, Cooperating non-Contracting Parties,

*Noting* the decision by the IMO Assembly in its 30<sup>th</sup> session to expand eligibility for IMO numbers to fishing vessels less than 100 gross tons down to a size limit of 12 meters in length overall

authorized to operate outside waters under national jurisdiction of the flag State to assist in identifying and tracking fishing vessels and to tackle illegal, unreported and unregulated fishing,

*Adopts* the following conservation and management measures in accordance with Article 7, Article 13, paragraph 8 and Article 15 of the Convention:

### **NPFC Vessel Registry**

For the purpose of the effective implementation of the Convention, each Commission member or Cooperating non-Contracting Party shall:

1. Maintain a record of fishing vessels entitled to fly its flag and authorized to be used for fishing activities in the Convention Area in accordance with the information requirements in the Annex.
2. Update pertinent information required from paragraph 1 in the NPFC Vessel Registry established under Article 13, paragraph 10 of the Convention, noting that vessel submissions which do not include the initial data elements as indicated in the Annex will not be accepted by the database.
3. Promptly update the NPFC Vessel Registry with:
  - a) any additions to the record; e.g. new vessel authorizations;
  - b) any modifications to this information with dates of such modifications; and
  - c) any deletions from the record, specifying which of the following reasons is applicable:
    - (i) the voluntary relinquishment of the fishing authorization by the fishing vessel owner or operator;
    - (ii) the withdrawal or non-renewal of the fishing authorization issued in respect of the fishing vessel under Article 13, paragraph 2 of the Convention;
    - (iii) the fact that the fishing vessel concerned is no longer entitled to fly its flag;
    - (iv) the scrapping, decommissioning, or loss of the fishing vessel concerned; or
    - (v) any other grounds, with a specific explanation provided.

4. Provide to the Commission, as part of the annual report required pursuant to Article 16 of the Convention, the names of the fishing vessels entered in the record that conducted fishing activities during the previous calendar year.

### **Vessel Marking**

5. Each Commission Member and Cooperating non Contracting Party shall ensure that every fishing vessel authorized to fly its flag bear markings that are readily identified in accordance with the FAO Standard Specifications for the Marking and Identification of Fishing Vessels, and recognize that non-compliance with these standards shall be considered a serious violation according to Article 17, paragraph 5 of the NPFC Convention and Article 21 Paragraph 11(f) of the United Nations Fish Stocks Agreement.

### **General**

6. Commission Members and Cooperating non-Contracting Parties shall ensure they have maintained the NPFC Vessel Registry of the vessels based on the information provided to it and make the record publicly available as appropriate and subject to any legal confidentiality regulations of the individual Commission member and Cooperating non-Contracting Party.
7. The Commission member or Cooperating non-Contracting Parties entering vessels identified in paragraph 2 on the NPFC Vessel Registry established under paragraph 1 shall attest that the vessel or vessels being added recommended are not vessels:
  - a. with a history of illegal, unreported or unregulated (IUU) fishing, unless the ownership of the vessel has subsequently changed and the new owner has provided sufficient evidence demonstrating that the previous owner or operator has no legal, beneficial or financial interest in, or control of the vessels, or Commission members or Cooperating non-Contracting Parties concerned is satisfied that, having taken into account all relevant facts, the vessel is no longer engaged in or associated with IUU fishing; or
  - b. that are currently listed on any of the IUU vessel lists adopted by regional fishery management organizations (RFMOs)
8. If a fishing vessel with such an IUU history or on an RFMO IUU Vessel list as noted in paragraph 7 without the appropriate justification noted therein, is uploaded to, or found on the NPFC Vessel Registry, the Executive Secretary shall remove the vessel from the appropriate vessel registry with notification of such action to the flag member.

9. Each Commission Member and Cooperating non-Contracting Party entering vessels on the NPFC Vessel Registry must enter the required data for its vessels, immediately after it has so authorized the vessel to conduct fishing activities.
10. An authorized vessel cannot conduct fishing activities in the Convention Area until the vessel has been accepted in the NPFC Vessel Registry.
11. The Commission shall also provide to any Commission Member or Cooperating non-Contracting Party, upon request, information about any vessel entered on the Commission record that is not otherwise publicly available, as appropriate.
12. This CMM shall replace the NPFC CMM 2023-01.

## Annex 1

### List of Fields in the NPFC Vessel Registry and their Format and Content

“Asterisks (\*) denote ‘initial data elements’ required to commence fishing activities in the Convention Area.”

|                    | Field | Field Name                         | Field Format        | Field Description/<br>Instructions                                                                                 | Example                            | Ref.        |
|--------------------|-------|------------------------------------|---------------------|--------------------------------------------------------------------------------------------------------------------|------------------------------------|-------------|
|                    |       | NPFC ID                            | Number<br>(integer) | This number is assigned automatically upon entry of vessel information.                                            | 1099                               |             |
| *                  | (a)   | Flag State                         | Text                | The registered flag state – in UPPER CASE.                                                                         | CANADA                             |             |
| *                  | (b)   | Authorizing Member                 | Text                | Country/Member name – in UPPER CASE.                                                                               | CHINA                              |             |
| *                  | (c)   | Name of fishing vessel             | Text                | Name of the fishing vessel as indicated on flag State registration – in UPPER CASE.                                | HAPPY NO. 123                      | CMM 2019-01 |
| (where applicable) | (d)   | Previous name(s) of fishing vessel | Text                | List of the previous name(s) of the fishing vessel in UPPER CASE.<br><br>• If the Member/CNCP knows the vessel has | UNHAPPY NO. 1;<br><br>IMHERE NO. 2 | CMM 2019-01 |

|                    |     |                                 |      |                                                                                                                                                                                                                                                                     |                                |                        |
|--------------------|-----|---------------------------------|------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------|------------------------|
|                    |     |                                 |      | <p>no previous names, use “N/A”.</p> <ul style="list-style-type: none"> <li>• If the Member/CNCP does not know if the vessel has any previous names, use “NONE KNOWN”.</li> </ul> <p>If multiple previous vessel names, separate entries with “;” (semi-colon).</p> |                                |                        |
| *                  | (e) | Registration number             | Text | <p>Alphanumeric registration identifier assigned by the flag country/Member, as indicated on flag country/Member registration – in UPPER CASE.</p>                                                                                                                  | <p>ABCD123<br/>4</p>           | <p>CMM<br/>2019-01</p> |
| (where applicable) | (f) | Previous registration number(s) | Text | <p>Alphanumeric registration identifier assigned by the flag country, as indicated on flag State registration – in UPPER CASE.</p> <p>If multiple previous registration numbers, separate entries with “;” (semi-colon).</p>                                        | <p>EFGH5678<br/>; IJKL0109</p> | <p>CMM<br/>2019-01</p> |
|                    | (g) | Port of registry                | Text | <p>Country/Member name – in UPPER CASE.</p>                                                                                                                                                                                                                         | <p>PANAMA</p>                  | <p>CMM<br/>2019-01</p> |

|                    |       |                                                                                |                  |                                                                                                                                                                                                                          |                                                            |                |
|--------------------|-------|--------------------------------------------------------------------------------|------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------|----------------|
| (where applicable) | (h)   | Previous port(s) of registry                                                   | Text             | Country/Member name – in UPPER CASE,<br><br>If multiple previous ports of registry, separate entities with “;” (semi-colon).                                                                                             | CANADA;<br>JAPAN                                           | CMM<br>2019-01 |
| *                  | (i)   | IMO number*<br>*Required for vessels which are eligible to receive IMO numbers | Number (integer) | A seven-digit number assigned to all vessels by HIS.<br><br>All fishing vessels are required to have an IMO number.                                                                                                      | 1234567                                                    | CMM<br>2019-01 |
| *                  | (j)-1 | Name of owner(s)                                                               | Text             | All in UPPER CASE.<br><br>If multiple owners, separate entries with “;”.<br><br>If company, enter full name of the company.<br><br>If personal name, enter last/family name, first/given name(s) (separated by a comma). | DOE,<br>JANE;<br>GOOD<br>CATCH<br>INC.;                    | CMM<br>2019-01 |
| *                  | (j)-2 | Address of owner(s)                                                            | Text             | All in UPPER CASE.                                                                                                                                                                                                       | 2F,<br>HAKUYO<br>HALL,<br>TOKYO<br>UNIVERSITY OF<br>MARINE | CMM<br>2019-01 |

|                         |       |                                      |      |                                                                                                                                                                                                                               |                                                                                                        |                        |
|-------------------------|-------|--------------------------------------|------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------|------------------------|
|                         |       |                                      |      | <p>Separate components of each address with a comma.</p> <p>If more than one address, separate addresses with “;” (semi-colon).</p>                                                                                           | <p>AND<br/>TECHNOL<br/>OGY, 4-5-<br/>7 KONAN,<br/>MINATO-<br/>KU<br/>TOKYO<br/>108-8477<br/>JAPAN.</p> |                        |
| *                       | (k)-1 | Name of master                       | Text | <p>All in UPPER CASE.</p> <p>Enter last/family name, first/given name(s).</p>                                                                                                                                                 | <p>DOE,<br/>JANE</p>                                                                                   | <p>CMM<br/>2019-01</p> |
| *                       | (k)-2 | Citizenship of master                | Text | <p>All in UPPER CASE.</p> <p>If multiple masters, separate entries with “;” (semi-colon).</p>                                                                                                                                 | <p>RUSSIA</p>                                                                                          | <p>CMM<br/>2019-01</p> |
| (if any)                | (l)   | Previous flag                        | Text | <p>List previous flag(s) of the vessel, if any.</p> <ul style="list-style-type: none"> <li>If vessel has no previous flag, enter “N/A”.</li> </ul> <p>If multiple previous flags, separate entries with “;” (semi-colon).</p> | <p>JAPAN;<br/>REPUBLIC OF<br/>KOREA</p>                                                                | <p>CMM<br/>2019-01</p> |
| *<br>(where applicable) | (m)   | International Radio Call Sign (IRCS) | Text | <p>Alphanumeric code. All in CAPS without space.</p>                                                                                                                                                                          | <p>BZ1VK</p>                                                                                           | <p>CMM<br/>2019-01</p> |

|                    |     |                                                                                                                                                                                                                                                                                                                                     |                        |                                                                                                                                                                                                                                |                             |             |
|--------------------|-----|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------|-------------|
|                    |     |                                                                                                                                                                                                                                                                                                                                     |                        |                                                                                                                                                                                                                                |                             |             |
| (where applicable) | (n) | Maritime Mobile Service Identity (MMSI)                                                                                                                                                                                                                                                                                             | Number (integer)       | A nine-digit number.                                                                                                                                                                                                           | 123456789                   | CMM 2019-01 |
|                    | (o) | Vessel communication types and numbers, including when available: satellite-based telephony or data services/devices.                                                                                                                                                                                                               | Number                 | <p>Enter description of each of any communication devices on board the vessel that use Inmarsat A, B, or C, or that have a satellite telephone number.</p> <p>If no such communication devices are on board, enter “NONE”.</p> | C:123344556                 | CMM 2019-01 |
| *                  | (p) | <p>Vessel Photo</p> <p>Full length color photograph(s) showing</p> <p>Side view including IRCS. Photographs must show clear and unobstructed views that demonstrate compliance with vessel marking requirements to be accepted by the Secretariat for addition to the database; Provision of additional photographs showing bow</p> | <p>PNG</p> <p>JPEG</p> | <p>Upload file containing vessel photo. Enter the name of the electronic data file, using the following format:</p> <p>[NPFC ID #]_[Vessel Name]_[Date of Photograph: dd.mm.yyyy]</p>                                          | 1551_JOY NO. 345_06.12.2019 | CMM 2019-01 |

|   |       |                                                                                                                                                                        |                  |                                                                              |                 |             |
|---|-------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------|------------------------------------------------------------------------------|-----------------|-------------|
|   |       | and stern view are encouraged                                                                                                                                          |                  |                                                                              |                 |             |
| * | (q)-1 | Where (country/Member) built.                                                                                                                                          | Text             | Country/Member name – in UPPER CASE.                                         | JAPAN           | CMM 2019-01 |
| * | (q)-2 | When built (year).                                                                                                                                                     | Number (integer) | Enter the year the vessel was built in.                                      | 1996            | CMM 2019-01 |
| * | (r)   | Type of vessel, as specified in standard abbreviations under the current <i>FAO International Standard Classification of Fishery Vessels by Vessel Types</i> (ISSCFV). | Text             | Enter vessel type(s) as listed under the FAO ISSCFV.                         | JIGGER VESSELS  | CMM 2019-01 |
|   | (s)   | Normal crew complement                                                                                                                                                 | Number (integer) | The number of crew members normally on board the vessel, including officers. | 35              | CMM 2019-01 |
|   | (t)   | Type of gear<br><br>Type of fishing method or methods, as specified in standard abbreviations under the current <i>FAO</i>                                             | Text             | Enter gear type(s) as listed under the FAO ISSCFG.                           | LIFT NETS (NEI) | CMM 2019-01 |

|   |       |                                                                                                                                                                |                  |                                                                                                    |                                    |             |
|---|-------|----------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------|----------------------------------------------------------------------------------------------------|------------------------------------|-------------|
|   |       | <i>International Standard Statistical Classification of Fishing Gear (ISSCFG) and additions as requested by Members to accommodate gear not in the ISSCFG.</i> |                  |                                                                                                    |                                    |             |
| * | (u)-1 | Type of length<br><br>[Length*, including type of length* and unit of measurement.*]                                                                           | Text             | Enter length overall (LOA), length between perpendiculars, waterline length, or registered length. | Length overall (LOA)               | CMM 2019-01 |
| * | (u)-2 | Length                                                                                                                                                         | Number (decimal) |                                                                                                    | 109.00                             | CMM 2019-01 |
| * | (u)-3 | Length measurement unit                                                                                                                                        | Text             | Enter metres or feet.                                                                              | Metres                             | CMM 2019-01 |
|   | (v)-1 | Type of Depth<br><br>[Depth, including type of depth and unit of measurement.]                                                                                 | Text             | Enter draft/draught or moulded depth.                                                              | Draft/draught<br><br>Moulded depth | CMM 2019-01 |
|   | (v)-2 | Depth                                                                                                                                                          | Number (decimal) |                                                                                                    | 10.50                              |             |

|   |       |                                                                            |                  |                                                           |                  |             |
|---|-------|----------------------------------------------------------------------------|------------------|-----------------------------------------------------------|------------------|-------------|
|   | (v)-3 | Depth measurement unit                                                     | Text             | Enter metres or feet.                                     | Metres           | CMM 2019-01 |
| * | (w)-1 | Type of beam<br>[Beam*, including type of beam* and unit of measurement.*] | Text             | Enter moulded breadth or extreme breadth.                 | Moulded breadth. | CMM 2019-01 |
| * | (w)-2 | Beam                                                                       | Number (decimal) |                                                           | 18.00            | CMM 2019-01 |
| * | (w)-3 | Beam measurement unit                                                      | Text             | Enter metres or feet.                                     | Metres           | CMM 2019-01 |
| * | (x)-1 | Tonnage<br>[Gross register tonnage*, or gross tonnage* (specify which)]    | Number (decimal) |                                                           | 5005.00          | CMM 2019-01 |
| * | (x)-2 | Tonnage type                                                               | Text             | Enter gross register tonnage (GRT) or gross tonnage (GT). | GRT              | CMM 2019-01 |
|   | (y)-1 | Power of main engine or engine(s)<br><br>[Power of main engine or          | Number (decimal) |                                                           | 3000.00          | CMM 2019-01 |

|   |       |                                                                                                                                                                                                                                                                        |                                                                   |                                                                                                                                                                                                                                                                 |                                                                                                                                                                                      |                |
|---|-------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------|
|   |       | engines,<br>including unit of<br>measurement.]                                                                                                                                                                                                                         |                                                                   |                                                                                                                                                                                                                                                                 |                                                                                                                                                                                      |                |
|   | (y)-2 | Engine<br>measurement<br>unit                                                                                                                                                                                                                                          | Text                                                              | Enter kilowatts (kW),<br>horsepower (hp), or<br>pferdestärke.                                                                                                                                                                                                   | Kilowatts<br>(kW)                                                                                                                                                                    | CMM<br>2019-01 |
| * | (z)   | Domestic<br>Licence<br>Authorization<br><br>The nature of<br>authorization to<br>fish granted by<br>the flag state in<br>its domestic<br>licence, such as<br>type or method<br>of fisheries<br>authorized and<br>main target<br>species, and<br>authorized<br>periods. | Text<br>and/or<br>number.<br>For date -<br>DAY/<br>MONTH/<br>YEAR | Enter start and end dates<br>of domestic licence<br>authorization, target<br>species, and authorization<br>number.                                                                                                                                              | 12-05-2019<br>– 11-10-<br>2020<br>Pacific<br>Saury 1135                                                                                                                              | CMM<br>2019-01 |
| * | (z)-1 | NPFC<br>Commission<br>Authorization<br>period – the<br>dates for the<br>authorization to<br>operate in the<br>NPFC<br>Convention<br>Area by the<br>Member<br>commencing on<br>the date of<br>notification of<br>the authorization<br>to extend to the                  | For date –<br>DAY/<br>MONTH/<br>YEAR                              | System automatically<br>enters notification date<br>for commencement of<br>authorization; Member<br>enters end date, e.g., date<br>of licence period if within<br>5 years from notification<br>date, OR maximum of 5-<br>year period from<br>notification date. | 28<br>November<br>2020 – 27<br>November<br>2025 and<br>species<br>from drop<br>down list –<br>one of:<br>Bottom<br>fish;<br>Mackerel;<br>Japanese<br>flying<br>squid; neon<br>flying | CMM<br>2019-01 |

|  |      |                                                                                                                                                                                                                                                                   |                        |                                                                                                                                                                                   |                                                                             |             |
|--|------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------|-------------|
|  |      | <p>date of the domestic authorization period up to a maximum of five years from the notification date.</p> <p>Gear and species will be same as 'Domestic Licence', but identified according to the drop down list of individual target species (see example).</p> |                        | The target species for each authorization period must be listed separately                                                                                                        | <p>squid; Japanese sardine, etc..</p> <p>(maximum authorization period)</p> |             |
|  | (aa) | Fish hold capacity, in cubic metres.                                                                                                                                                                                                                              | Number (decimal)       | The total amount of fish capable of being stored on the vessel, excluding bait and fish kept for crew consumption.                                                                | 7151.00 m <sup>3</sup>                                                      | CMM 2019-01 |
|  | (bb) | <p>Freezer: number of freezers, type(s), capacity, and unit of measurement.</p> <p>[Freezer type and capacity, including unit of measurement.]</p>                                                                                                                | Text; Number (decimal) | <p>Freezer type: enter ice, brine, air blast, air coil, and/or plate freezer.</p> <p>Capacity unit: enter tons/day, metric ton/day, lbs/day, cubic metres, and/or cubic feet.</p> | 2-Air blast-55 cubic metres                                                 | CMM 2019-01 |

## Specifications for the Marking and Identification of Fishing Vessels

### *Purpose*

1. These specifications are intended to implement the *FAO Standard Specifications for the Marking and Identification of Fishing Vessels* for the North Pacific Fisheries Commission (NPFC).

### *General Provisions*

2. Each Commission Member and Cooperating non-Contracting Party shall ensure that each fishing vessel entitled to fly its flag and authorized to be used for fishing in the Convention Area is:
  - (a) marked and identifiable with their International Telecommunication Union Radio Call Sign (IRCS); and
  - (b) where an IRCS has not been assigned, the vessel shall be marked and identifiable with the characters allocated by the International Telecommunication Union (ITU) to the flag State and followed by, as appropriate, the licence or registration number assigned by the flag State. In such cases, a hyphen shall be placed between the nationality identification characters, and the licence or registration number identifying the vessel.
3. In order to avoid confusion with the letters I and O, it is recommended that the numbers 1 and 0, which are specifically excluded from the ITU call signs, be avoided by national authorities when allocating licence or registration numbers.
4. Apart from the fishing vessel's name and identification mark, and the port of registry as required by international practice or national legislation, the marking system as specified shall, in order to avoid confusion, be the only other vessel identification mark consisting of letters and numbers to be painted on the hull or superstructure.

### *Application of Markings*

5. Each Commission Member and Cooperating non-Contracting Party shall ensure that the markings are prominently displayed at all times:
  - (a) on the vessel's side or superstructure, port and starboard; fixtures inclined at an angle to the vessel's side or superstructure are permitted provided that the angle of inclination does not prevent sighting of the sign from another vessel or from the air; and

- (b) on a deck, except as provided for in paragraph 7. Should an awning or other temporary cover be placed so as to obscure the mark on a deck, the awning or cover shall also be marked. These marks should be placed athwartships with the top of the numbers or letters towards the bow.
6. Each Commission Member and Cooperating non-Contracting Party shall ensure that markings are:
    - (a) placed as high as possible above the waterline on both sides, and that such parts of the hull as the flare of the bow and the stern shall be avoided;
    - (b) so placed as to not be obscured by the fishing gear whether it is stowed or in use;
    - (c) clear of flow from scuppers or overboard discharges including areas which might be prone to damage or discolouration from the catch of certain types of species; and
    - (d) not extended below the waterline.
  7. Undecked vessels shall not be required to display the markings on a horizontal surface. However, owners should be encouraged, where practical, to fit a board on which the markings may be clearly seen from the air.
  8. Vessels fitted with sails may display the markings on the sail in addition to the hull.
  9. Boats, skiffs, and craft carried by the vessel for fishing operations shall bear the same mark as the vessel concerned.

*Specifications for Markings*

10. Each Commission Member and Cooperating non-Contracting Party shall ensure that:
  - (a) block lettering and numbering is used throughout;
  - (b) the width of the letters and numbers is in proportion to the height;
  - (c) the height (*h*) of the letters and the numbers shall be in proportion to the size of the vessel in accordance with the following:
    - (i) for marks to be placed on the hull, superstructure, and/or inclined surfaces:

| <u>Length of vessel overall<br/>(LOA) in metres (m)</u> | <u>Height of letters and<br/>numbers in metres (m)</u><br>is not less than: |
|---------------------------------------------------------|-----------------------------------------------------------------------------|
| 25 m and over                                           | 1.0 m                                                                       |
| 20 m but less than 25 m                                 | 0.8 m                                                                       |

|                         |       |
|-------------------------|-------|
| 15 m but less than 20 m | 0.6 m |
| 12 m but less than 15 m | 0.4 m |
| 5 m but less than 12 m  | 0.3 m |
| Under 5 m               | 0.1 m |

(ii) for marks to be placed on deck: the height shall not be less than 0.3 metres (m) for all classes of vessels of 5 metres (m) and over.

- (d) the length of the hyphen shall be half the height of the letters and numbers;
- (e) the width of the stroke for all letters, numbers, and the hyphen shall be  $h/6$ ;
- (f) the space between letters and/or numbers shall not exceed  $h/4$ , nor be less than  $h/6$ ; and
- (g) the space between adjacent letters having sloping sides shall not exceed  $h/8$ , nor be less than  $h/10$ , for example A V.

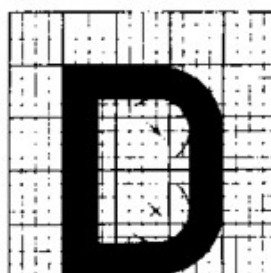
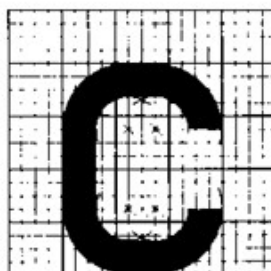
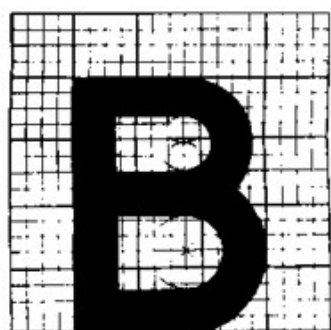
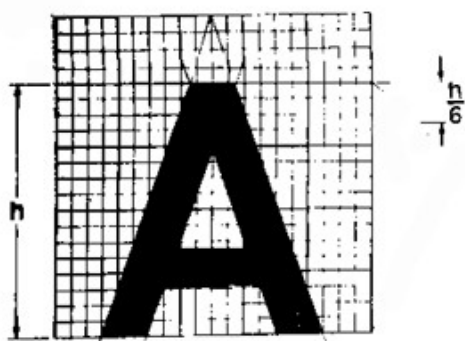
#### *Specifications for Painting of Markings*

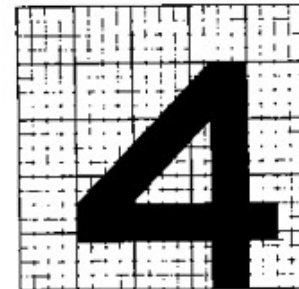
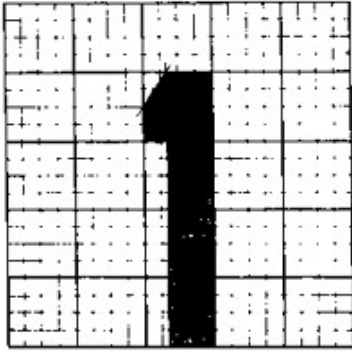
11. Each Commission Member and Cooperating non-Contracting Party shall ensure that:

- (a) the markings are either white on a background, or black on a white background;
- (b) the background shall extend to provide a border around the mark of not less than  $h/6$ ;
- (c) good quality marine paint is used throughout;
- (d) where retro-reflective or heat generating substances are used, the markings meet the requirements of this Annex; and
- (e) the markings and background are maintained in good condition at all times.

#### *Review and Amendment of Specifications*

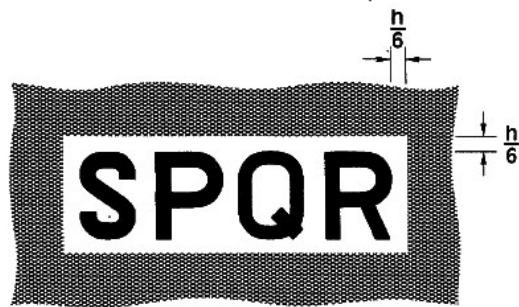
12. The Commission shall keep these specifications under review, and may amend them as appropriate.





Examples of placement of the marks  
Exemples d'emplacement des marques  
Ejemplos de colocación de las marcas

CONTRAST / CONTRASTE / CONTRASTE



COLOURED BACKGROUND / FOND COLORE / FONDO EN COLOR



Group No. 1  
FACTORY TRAWLER

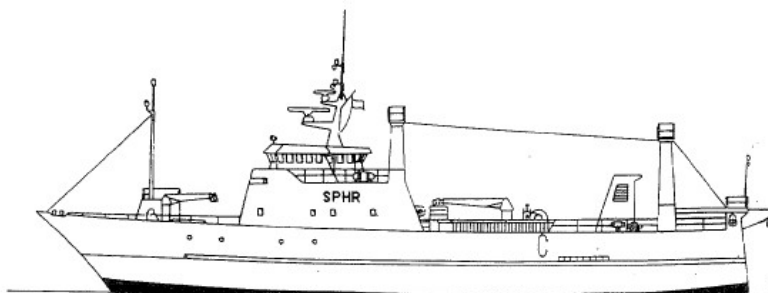
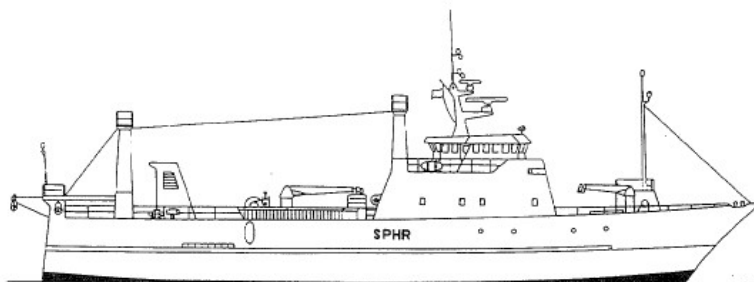
Length over all: 68 m  
Call sign: POLAND  
Letter height: 1 m

Groupe N° 1  
CHALUTIER-USINE

Longueur hors tout: 68 m  
Indicatif d'appel: POLOGNE  
Hauteur des lettres: 1 m

Grupo N° 1  
ARRASTRERO FACTORIA

Eslora máxima: 68 m  
Distintivos de llamado: POLONIA  
Altura de letra: 1 m



Group No. 1  
TUNA PURSE SEINER

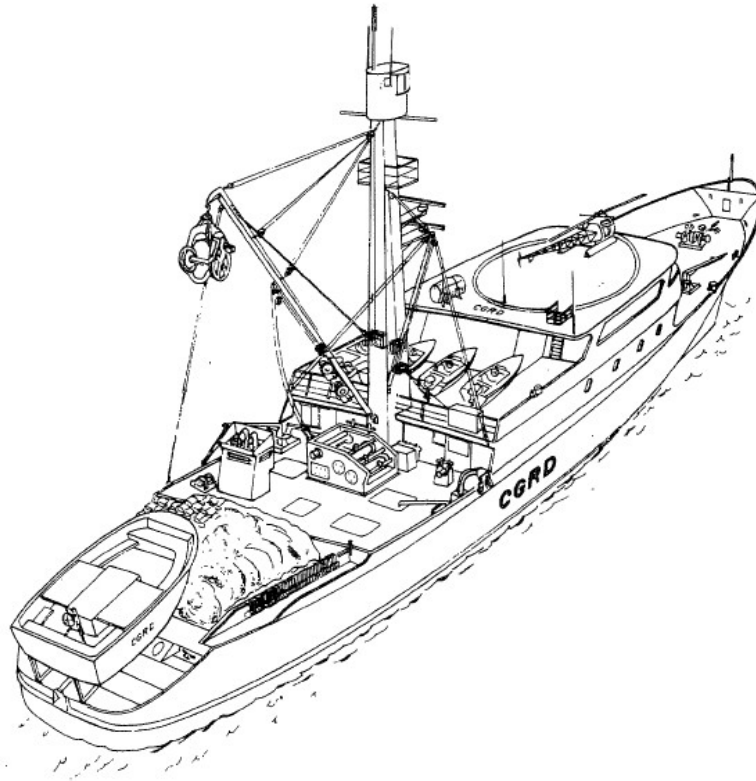
Length over all: 64 m  
Call sign: CANADA  
Letter height: 1 m  
- on superstructure:  
0,40 m  
- on skiff: 0,40 m

Groupe N° 1  
THONIER-SENNEUR

Longueur hors tout: 64 m  
Indicatif d'appel: CANADA  
Hauteur des lettres: 1 m  
- sur les superstructures:  
0,40 m  
- sur le bateau annexe:  
0,40 m

Grupo N° 1  
CERQUERO ATUNERO

Eslora máxima: 64 m  
Distintivos de llamado: CANADA  
Altura de letra: 1 m  
- sobre la subestructura:  
0,40 m  
- sobre la barca auxiliar:  
0,40 m



Group No. 1  
POLE AND LINE VESSEL/  
AMERICAN TYPE

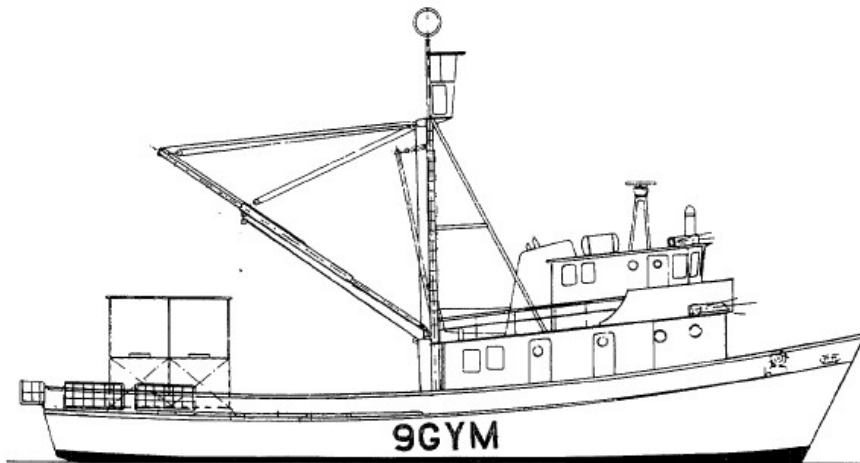
Length over all: 28 m  
Call sign: GHANA  
Letter height: 1 m

Groupe N° 1  
CANNEUR, TYPE AMERICAIN

Longueur hors tout: 28 m  
Indicatif d'appel: GHANA  
Hauteur des lettres: 1 m

Grupo N° 1  
EMBARCACION PARA LA PESCA CON  
LINEA Y CAÑA, TIPO AMERICANO

Eslora máxima: 28 m  
Distintivos de llamado: GHANA  
Altura de letra: 1 m



Group No. 1  
LONGLINER

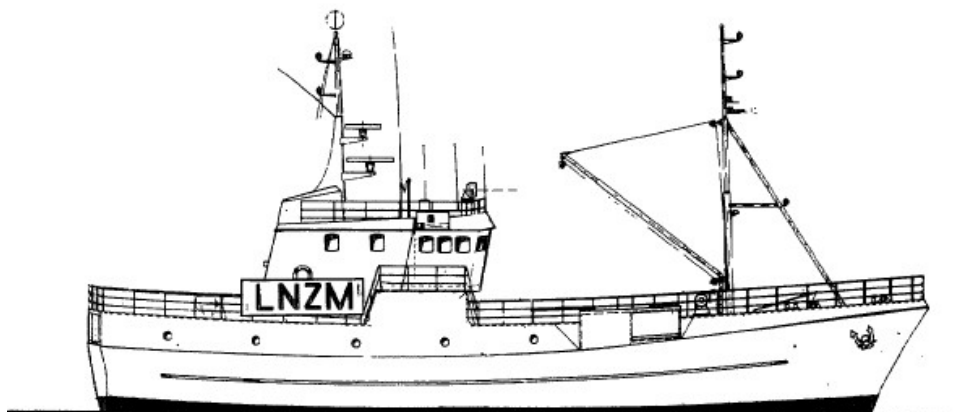
Length over all: 33.50 m  
Call sign: NORWAY  
Letter height: 1 m

Groupe N° 1  
PALANGRIER

Longueur hors tout: 33,50 m  
Indicatif d'appel: NORVEGE  
Hauteur des lettres: 1 m

Grupo N° 1  
PALANGRERO

Eslora máxima: 33,50 m  
Distintivos de llamado: NORUEGA  
Altura de letra: 1 m



Group No. 2  
POLE AND LINE, JAPANESE  
TYPE

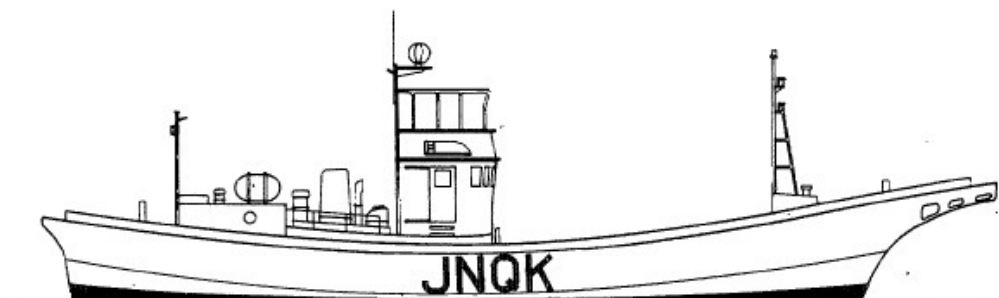
Length over all: 21.55 m  
Call sign: JAPAN  
Letter height: 0.8 m

Groupe N° 2  
CANNEUR, TYPE JAPONAISE

Longueur hors tout: 21,55 m  
Indicatif d'appel: JAPON  
Hauteur des lettres: 0,8 m

Grupo N° 2  
EMBARCACION, PARA LA PESCA CON  
LINEA Y CANA, TIPO JAPONES

Eslora máxima: 21,55 m  
Distintivos de llamado: JAPON  
Altura de letra: 0,8 m



Group No. 2  
PURSE SEINER

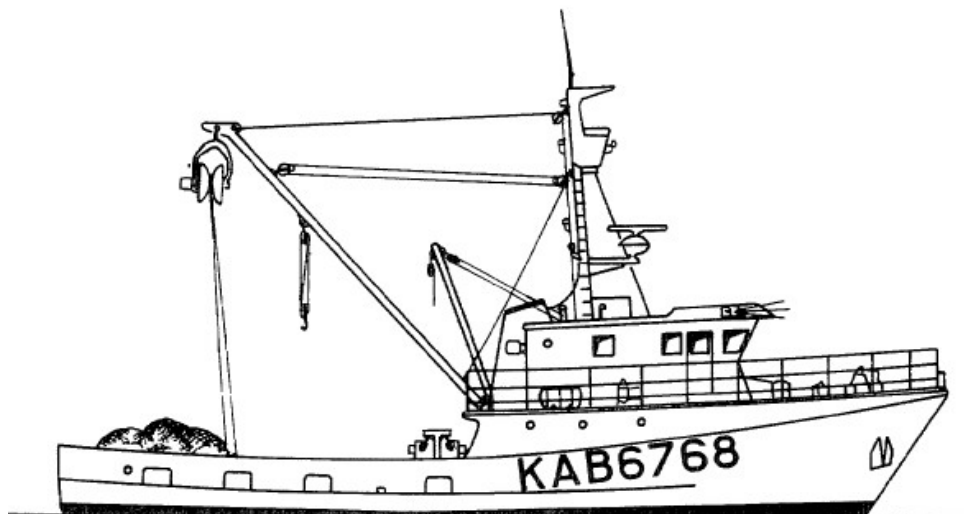
Length over all: 22 m  
Call sign: U.S.A.  
Letter height: 0.8 m

Groupe N° 2  
SENNEUR

Longueur hors tout: 22 m  
Indicatif d'appel: ETATS-UNIS  
Hauteur des lettres: 0,8 m

Grupo N° 2  
CERQUERO

Eslora máxima: 22 m  
Distintivos de llamado: ESTADOS  
UNIDOS  
Altura de letra: 0,8 m



Group No. 2  
SCALLOP DREDGER

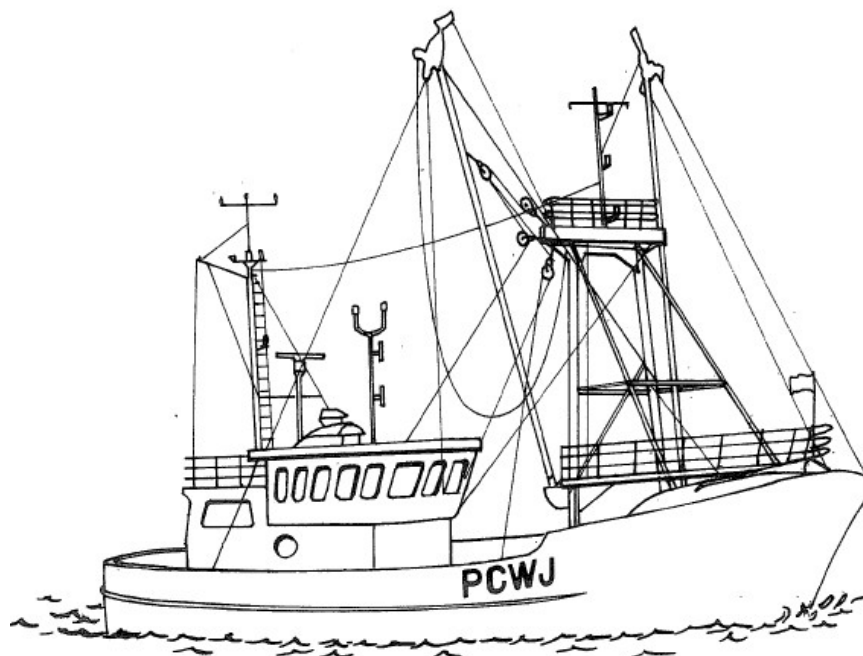
Length over all: 22 m  
Call sign: NETHERLANDS  
Letter height: 0.8 m

Groupe N° 2  
DRAGUEUR

Longueur hors tout: 22 m  
Indicatif d'appel: PAYS-BAS  
Hauteur des lettres: 0,8 m

Grupo N° 2  
RASTRERO

Eslora máxima: 22 m  
Distintivos de llamado: PAISES  
BAJOS  
Altura de letra: 0,8 m



Group No. 2  
TRAWLER/SEINER

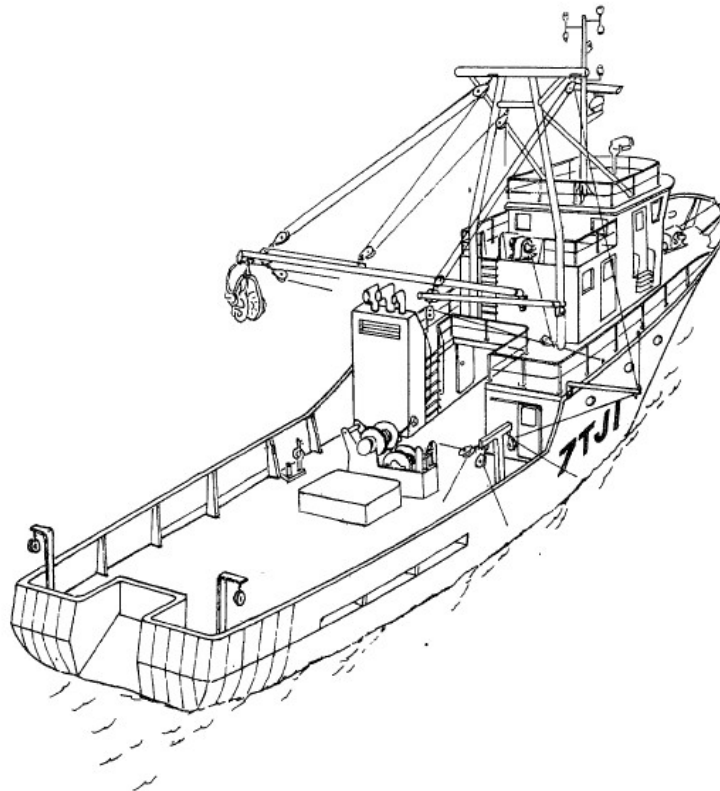
Length over all: 20 m  
Call sign: ALGERIA  
Letter height: 0.8 m

Groupe N° 2  
CHALUTIER-SENNEUR

Longueur hors tout: 20 m  
Indicatif d'appel: ALGERIE  
Hauteur des lettres: 0,8 m

Grupo N° 2  
ARRASTRERO-CERQUERO

Eslora máxima: 20 m  
Distintivos de llamado: ARGELIA  
Altura de letra: 0,8 m



Group No. 2  
MEDIUM-SIZED SHELTER DECK  
STERN TRAWLER

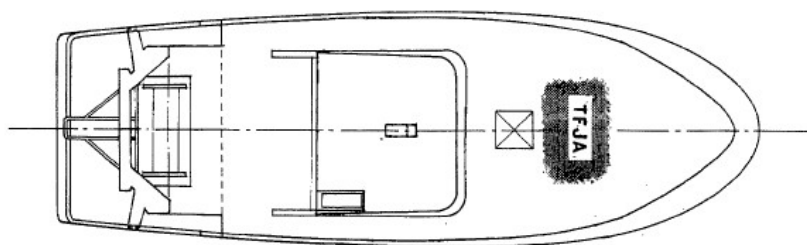
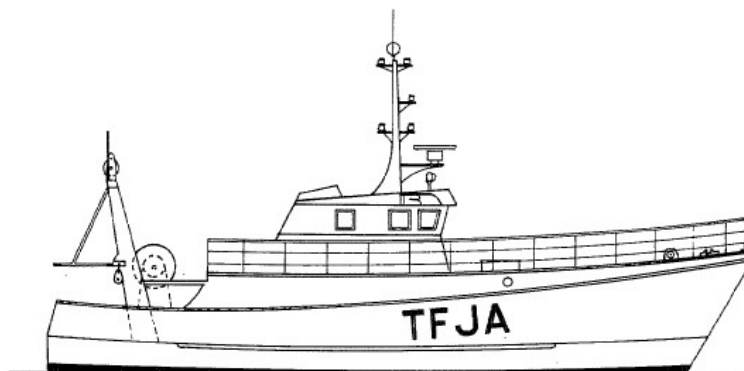
Length over all: 22 m  
Call sign: ICELAND  
Letter height: 0,8 m  
- on deck: 0,3 m

Groupe N° 2  
CHALUTIER PECHE ARRIERE DE  
TAILLE MOYENNE A PONT COUVERT

Longueur hors tout: 22 m  
Indicatif d'appel: ISLANDE  
Hauteur des lettres: 0,8 m  
- sur le pont: 0,3 m

Grupo N° 2  
ARRASTERO POR LA POPA MEDIANO  
CON CUBIERTA PROTEGIDA

Eslora máxima: 22 m  
Distintivos de llamado:  
ISLANDIA  
Altura de letra: 0,8 m  
- sobre la cubierta: 0,3 m



Group No. 3  
OUTRIGGER TRAWLER

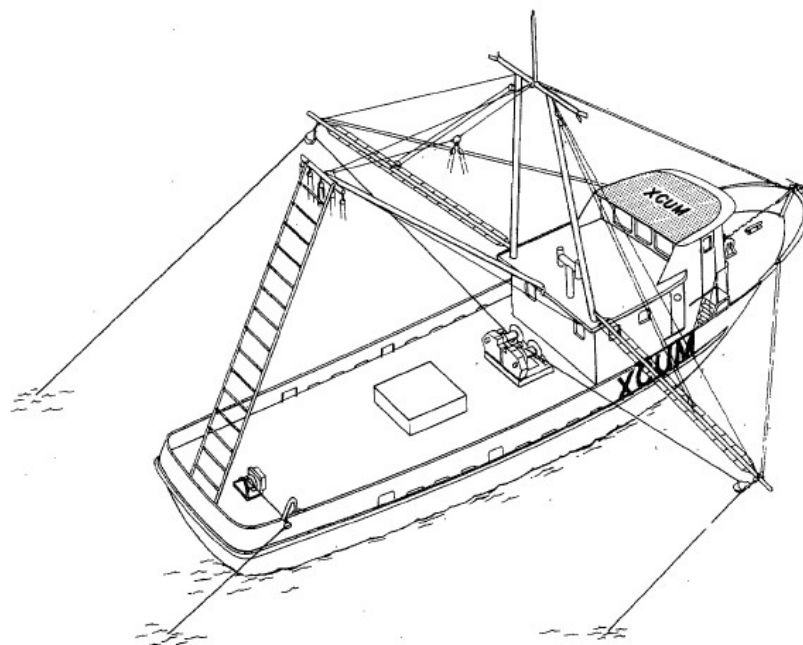
Length over all: 18 m  
Call sign: MEXICO  
Letter height: 0.6 m  
- on wheelhouse top:  
0.3 m

Groupe N° 3  
CHALUTIER A TANGONS

Longueur hors tout: 18 m  
Indicatif d'appel: MEXIQUE  
Hauteur des lettres: 0,6 m  
- sur le timonerie: 0,3 m

Grupo N° 3  
ARRASTRERO CON HORQUETA

Eslora máxima: 18 m  
Distintivos de llamado: MEXICO  
Altura de letra: 0,6 m  
- sobre caseta de gobierno:  
0,3 m



Group No. 3  
SEINE NETTER

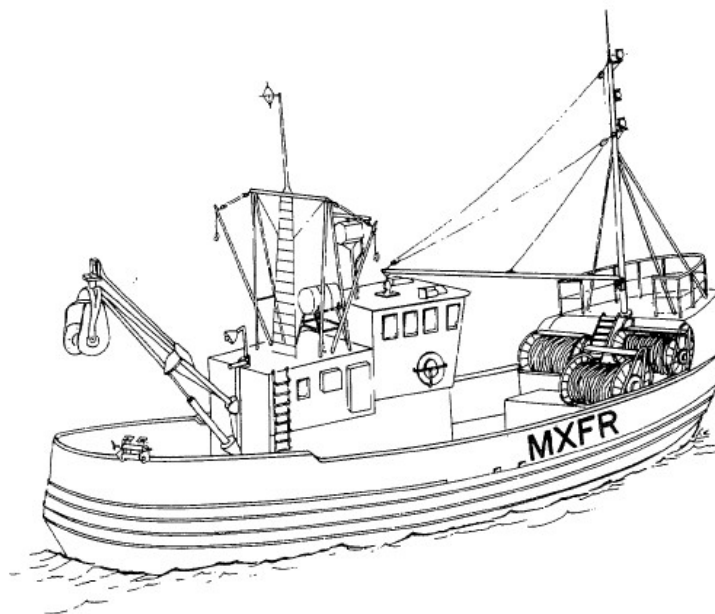
Length over all: 16 m  
Call sign: U.K.  
Letter height: 0.6 m

Groupe N° 3  
SENNEUR A SENNE DE FOND

Longueur hors tout: 16 m  
Indicatif d'appel: ROYAUME-  
UNI  
Hauteur des lettres: 0,6 m

Grupo N° 3  
CERQUERO DE RED DE TIRO

Eslora máxima: 16 m  
Distintivos de llamado: REINO  
UNIDO  
Altura de letra: 0,6 m



Group No. 3  
TROLLER

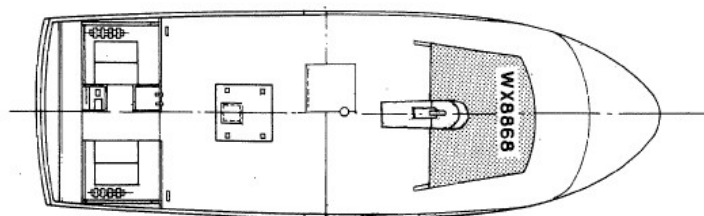
Length over all: 16.80 m  
Call sign: U.S.A.  
Letter height: 0.6 m  
- on wheelhouse: 0.3 m

Groupe N° 3  
LIGNEUR A LIGNES DE TRAINÉ

Longueur hors tout: 16,80 m  
Indicatif d'appel: ETATS-UNIS  
Hauteur des lettres: 0,6 m  
- sur le timonerie: 0,3 m

Grupo N° 3  
CURRICANERO

Eslora máxima: 16,80 m  
Distintivos de llamado: ESTADOS  
UNIDOS  
Altura de letra: 0,6 m  
- sobre caseta de gobierno:  
0,3 m



Group No. 4  
SMALL LONGLINER

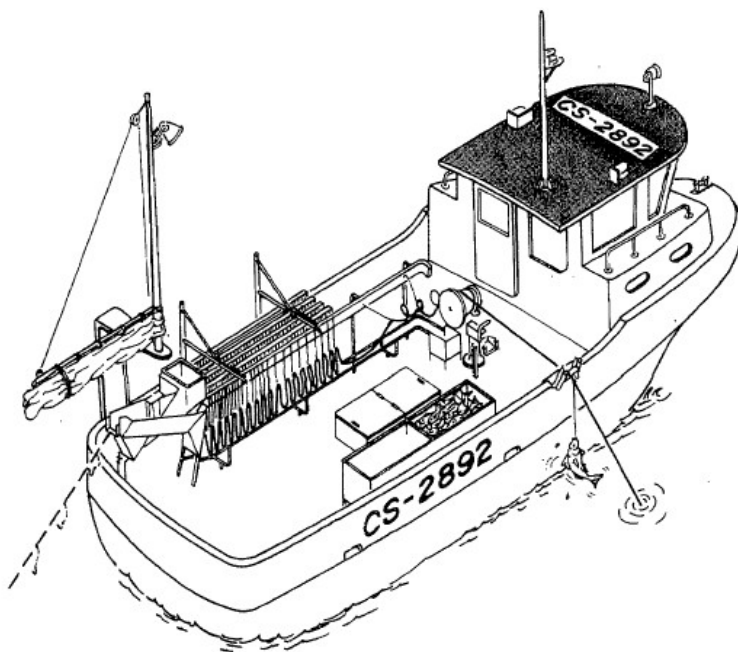
Length over all: 14 m  
Call sign: PORTUGAL + No.  
Letter height: 0.4 m  
- on wheelhouse: 0.3 m

Groupe N° 4  
PALANGRIER DE PETITE TAILLE

Longueur hors tout: 14 m  
Indicatif d'appel: PORTUGAL  
+ N°  
Hauteur des lettres: 0,4 m  
- sur la timonerie: 0,3 m

Grupo N° 4  
PALANGRERO PEQUEÑO

Eslora máxima: 14 m  
Distintivos de llamado:  
PORTUGAL + N°  
Altura de letra: 0,4 m  
- sobre la caseta de gobierno:  
0,3 m

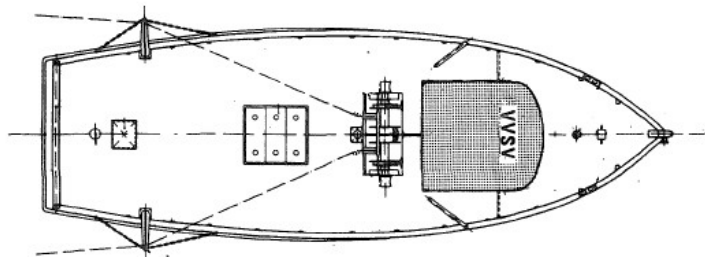
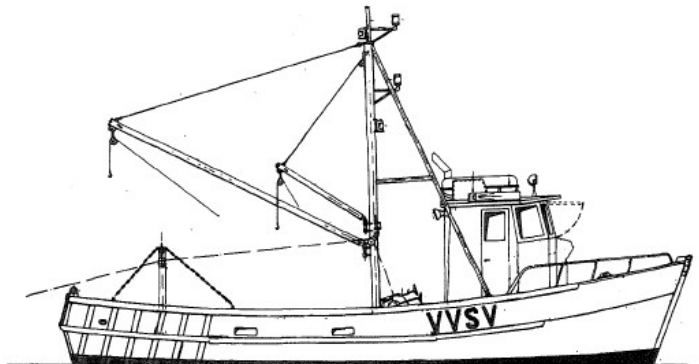


Group No. 4  
SMALL STERN TRAWLER

Length over all: 13 m  
Call sign: INDIA  
Letter height: 0.4 m  
- on wheelhouse top:  
0.3 m

Groupe N° 4  
CHALUTIER PECHE ARRIERE DE  
PETITE TAILLE  
Longueur hors tout: 13 m  
Indicatif d'appel: INDE  
Hauteur des lettres: 0,4 m  
- sur le timonerie: 0,3 m

Grupo N° 4  
ARRASTRERO POR LA POPA PEQUEÑA  
Eslora máxima: 13 m  
Distintivos de llamado: INDIA  
Altura de letra: 0,4 m  
- sobre caseta de gobierno:  
0,3 m



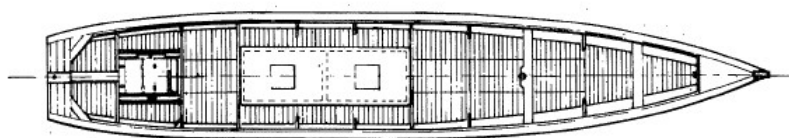
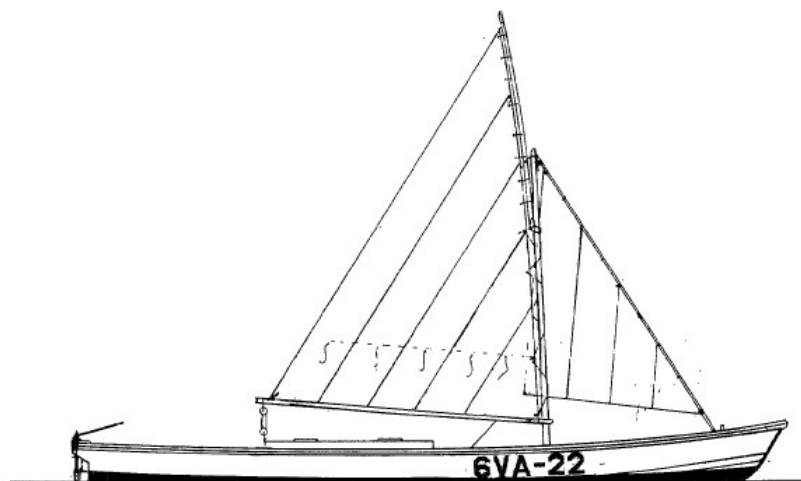
Group No. 4  
HANDLINER

Length over all: 14.80 m  
Call sign: SENEGAL + No.  
Letter height: 0.4 m

Groupe N° 4  
LIGNEUR A LIGNES A MAIN

Longueur hors tout: 14,80 m  
Indicatif d'appel: SENEGAL  
+ N°  
Hauteur des lettres: 0,4 m

Grupo N° 4  
EMBARCACION PARA LA PESCA CON  
LINEAS DE MANO  
Eslora máxima: 14,80 m  
Distintivos de llamado: SENEGAL  
+ N°  
Altura de letra: 0,4 m



Group No. 5  
MULTIPURPOSE VESSEL

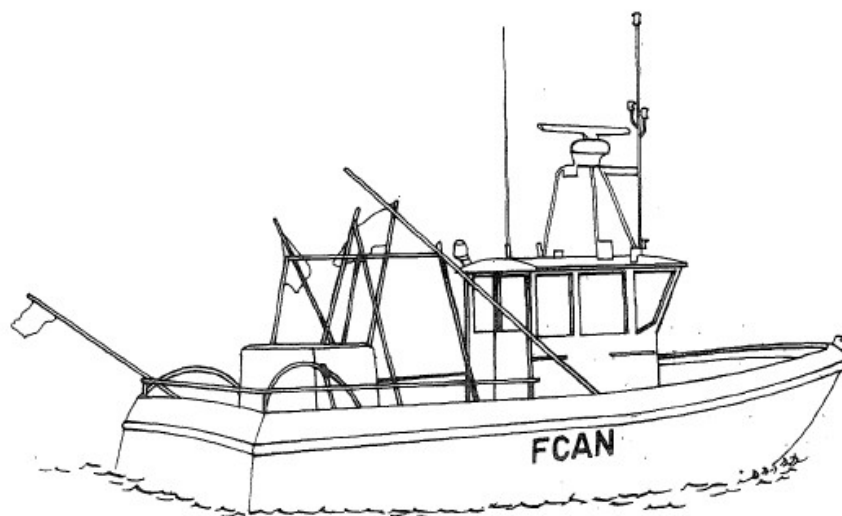
Length over all: 9 m  
Call sign: FRANCE  
Letter height: 0.30 m

Groupe N° 5  
NAVIRE POLYVALENT

Longueur hors tout: 9 m  
Indicatif d'appel: FRANCE  
Hauteur des lettres: 0,30 m

Grupo N° 5  
EMBARCACION POLYVALENT

Eslora máxima: 9 m  
Distintivos de llamado: FRANCIA  
Altura de letra: 0,30 m



Group No. 5  
VESSEL WITH SAIL

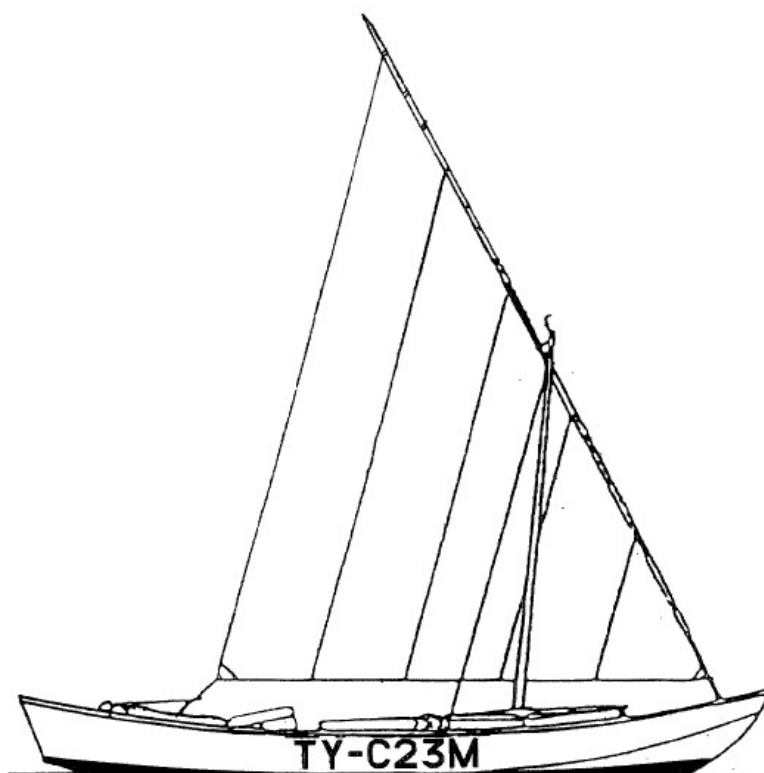
Length over all: 7.4 m  
Call sign: BENIN + No.  
Letter height: 0.30 m

Groupe N° 5  
BATEAU AVEC VOILE

Longueur hors tout: 7,4 m  
Indicatif d'appel: BENIN +  
N°  
Hauteur des lettres: 0,30 m

Grupo N° 5  
BARCO CON VELA

Eslora máxima: 7,4 m  
Distintivos de llamado: BENIN +  
N°  
Altura de letra: 0,30 m



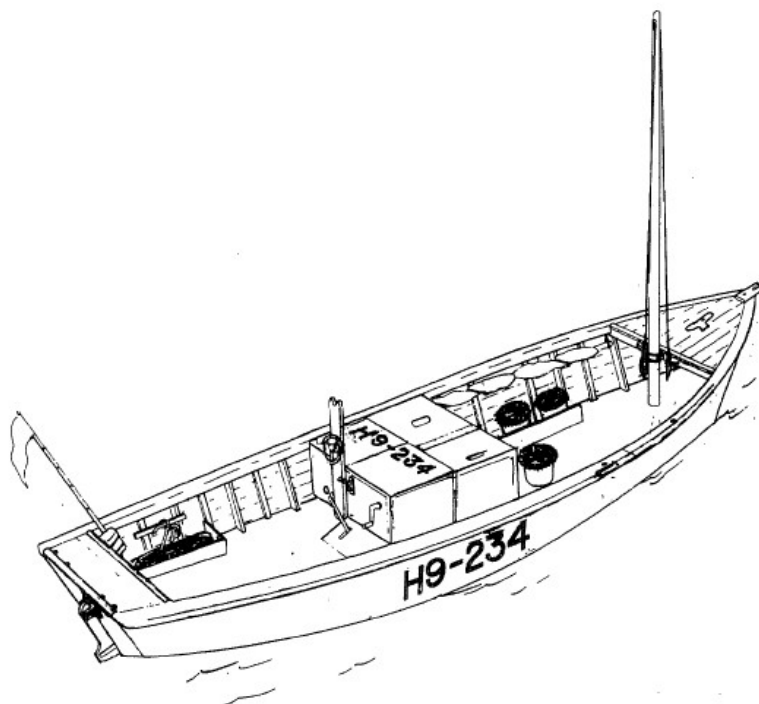
Group No. 5  
HANDLINER

Length over all: 7.60 m  
Call sign: PANAMA + No.  
Letter height: 0.3 m  
- on engine box: 0.10 m

Groupe N° 5  
LIGNEUR A LIGNES A MAIN

Longueur hors tout: 7,60 m  
Indicatif d'appel: PANAMA +  
N°  
Hauteur des lettres: 0,3 m  
- sur coffe du moteur:  
0,10 m

Grupo N° 5  
EMBARCACION PARA LA PESCA CON  
LINEAS DE MANO  
Eslora máxima: 7,60 m  
Distintivos de llamado: PANAMA  
+ N°  
Altura de letra: 0,3 m  
- sobre alojamiento del motor:  
0,10 m



Group No. 5  
SMALL POT FISHING VESSEL

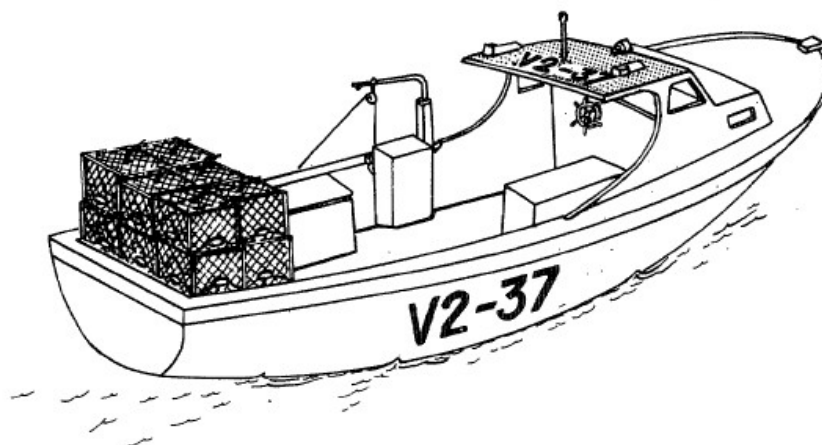
Length over all: 6 m  
Call sign: ANTIGUA  
Letter height: 0.3 m  
- on the cuddy: 0.10 m

Groupe N° 5  
CASEYEUR DE PETITE TAILLE

Longueur hors tout: 6 m  
Indicatif d'appel: ANTIGUA  
Hauteur des lettres: 0,3 m  
- sur la tille: 0,10 m

Grupo N° 5  
EMBARCACION PARA LA PESCA CON  
NASAS PEQUEÑA

Eslora máxima: 6 m  
Distintivos de llamado: ANTIGUA  
Altura de letra: 0,3 m  
- sobre la camarote de proa:  
0,10 m



Group No. 6  
OUTBOARD POWERED BOAT

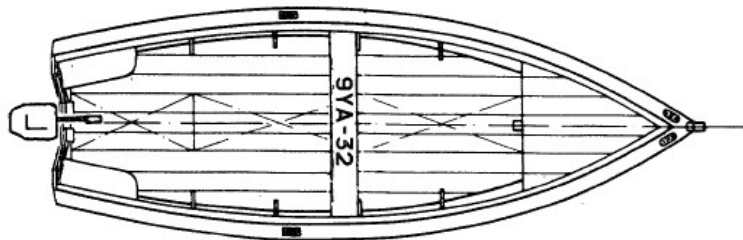
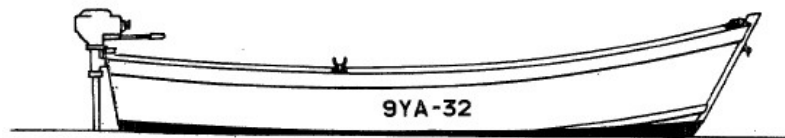
Length over all: 4.80 m  
Call sign: TRINIDAD AND  
TOBAGO + No.  
Letter height: 0.10 m  
- on seat: 0.10 m

Groupe N° 6  
BATEAU A MOTEUR HORS-BORD

Longueur hors tout: 4,80 m  
Indicatif d'appel: TRINITE-  
ET-TOBAGO + N°  
Hauteur des lettres: 0,10 m  
- sur le banc: 0,10 m

Grupo N° 6  
LANCHA CON MOTOR FUERA DE BORDA

Eslora máxima: 4,80 m  
Distintivos de llamado:  
TRINIDAD Y TABAGO + N°  
Altura de letra: 0,10 m  
- sobre banco: 0,10 m



**CONSERVATION AND MANAGEMENT MEASURE TO ESTABLISH A LIST OF  
VESSELS PRESUMED TO HAVE CARRIED OUT ILLEGAL, UNREPORTED AND  
UNREGULATED FISHING ACTIVITIES IN THE CONVENTION AREA OF THE  
NORTH PACIFIC FISHERIES COMMISSION**

*The North Pacific Fisheries Commission (NPFC):*

*Recalling* that the FAO Council adopted on 23 June 2001 an International Plan of Action to prevent, deter and eliminate illegal, unreported and unregulated fishing (IPOA-IUU). This plan stipulates that the identification of the vessels carrying out illegal, unreported and unregulated (IUU) fishing activities should follow agreed procedures and be applied in an equitable, transparent and non-discriminatory way;

*Concerned* that IUU fishing activities in the Convention Area undermine the effectiveness of the conservation measures adopted by the NPFC;

*Recalling* the Convention on the Conservation and Management of the High Seas Fisheries Resources in the North Pacific Ocean (“NPFC Convention”) Article 7.2(d) and (g) states that the Commission shall establish appropriate cooperative mechanisms for effective monitoring, control and surveillance to ensure enforcement of the conservation and management measures adopted by the Commission including mechanisms to prevent, deter and eliminate IUU fishing and establish, where appropriate, non-discriminatory market-related measures consistent with international law to prevent, deter and eliminate IUU fishing;

*Further concerned* that there is a possibility that vessel owners engaged in such fishing activities may have re-flagged their vessels to avoid compliance with NPFC measures;

*Determined* to address the challenge of an increase in IUU fishing activities by way of measures to be applied in respect to vessels, without prejudice to further measures adopted in respect of Members, Cooperating Non-Contracting Parties (CNCs) and non-Contracting Parties under the relevant NPFC instruments;

*Considering* the action undertaken in other regional fisheries organizations to address this issue;

*Conscious* of the need to address, as a matter of priority, the issue of vessels conducting IUU fishing activities;

*Noting* that efforts to prevent, deter and eliminate IUU fishing must be addressed in the light of all relevant international fisheries instruments and in accordance with other international obligations, including the rights and obligations established under the World Trade Organization (WTO) Agreement; and

*Recalling* Articles 13, 14, 15 and 17 of the Convention on the Conservation and Management of High Seas Fisheries Resources in the North Pacific Ocean (hereinafter called the “Convention”)

regarding the flag State duties, port State duties, duties of fishing entities and provisions for compliance and enforcement;

*Adopts* the following conservation and management measure in accordance with Article 7 of the Convention:

#### **Identification of IUU fishing activities**

1. At each meeting, the Commission will identify those vessels which have engaged in fishing activities for species covered by the Convention within the Convention Area in a manner which has undermined the effectiveness of the Convention and the NPFC measures in force, and shall establish, and, as necessary amend, a list of such vessels (the IUU Vessel List), in accordance with the procedures and criteria set out in this conservation measure.
2. This identification shall be suitably documented, *inter alia*, on reports from Members/CNCPs relating to NPFC Conservation measures in force, trade information obtained on the basis of relevant trade statistics such as Food and Agriculture Organization of the United Nations (FAO) data, statistical documents and other national or international verifiable statistics, as well as any other information obtained from port States and/or gathered from the fishing grounds that is suitably documented. Information from Members/CNCPs should be provided in the format approved by the Commission.
3. For the purposes of this conservation measure, vessels fishing for species covered by the Convention are presumed to have carried out IUU fishing activities, as described in the IPOA on IUU fishing, in the Convention Area when a Member/CNCP presents suitably documented information that such vessels, *inter alia*:
  - (a) Harvest species covered by the Convention in the Convention Area and are not on the NPFC record of authorized vessels or
  - (b) Engage in fishing for fishery resources when the Member or CNCP, under whose flag the vessel is sailing, has exhausted or has no quotas, catch limit or effort allocation, including, if applicable, those received from another Member/CNCP under relevant NPFC conservation measures, or
  - (c) Do not record or report their catches made in the Convention Area consistent with NPFC measures, or make false reports, or
  - (d) Take and land undersized fish in contravention of relevant NPFC conservation measures, or
  - (e) Fish in a closed area or during a closed season in contravention of relevant NPFC conservation measures, or
  - (f) Use prohibited fishing gear in contravention of relevant NPFC conservation measures, or
  - (g) Transship with, participate in joint fishing operations with, support or re-supply vessels included in the IUU Vessel List, or

- (h) Are without nationality and harvest species covered by the Convention in the Convention Area, or
  - (i) Engage in any other fishing activities that undermine the provisions of the Convention or any other NPFC conservation measures.
4. If a Member/CNCP has not taken such measures as may be necessary so that fishing vessels entitled to fly its flag avoid conducting unauthorized fishing activities within areas under national jurisdiction of another State adjacent to the Convention Area in accordance with Article 13, the Member/CNCP, within whose areas under national jurisdiction the unauthorized fishing activities were conducted, may submit a proposal for listing the vessels on the draft IUU List if consultation with the Member/CNCP has not resolved the matter. Relevant procedures set out in paragraph 6 below shall apply for proposals under this paragraph.
  5. If a Member/CNCP has information that a vessel is or was presumed to be carrying out IUU fishing activities in the Convention Area, the Member/CNCP with such information is encouraged to provide that information as soon as possible to, and consult with, any Member/CNCP or non-Contracting Party that may have a vessel presumed to be carrying out IUU fishing activities for clarification before providing that information to the Executive Secretary under the provisions of paragraph 6.

#### **Information on alleged IUU fishing activities**

6. At least 70 days before the meeting of the Technical and Compliance Committee (TCC), Members/CNCPs shall transmit to the Executive Secretary their list of vessels presumed to be carrying out IUU fishing activities in the Convention Area since the beginning of the previous calendar year or during the timeframe covered by the most recent available final Annual Report, accompanied by suitably documented information, as provided in paragraph 2, concerning the presumption of this IUU fishing activity.
7. Before or at the same time as transmitting a list of presumed IUU vessels to the Executive Secretary, the Member/CNCP shall notify, either directly or through the Executive Secretary, the relevant Member/CNCP/Non-Contracting Party of a vessel's inclusion on this list and provide a copy of the pertinent suitably documented information. The receiving Member/CNCP/Non-Contracting Party shall promptly acknowledge receipt of the notification. If no acknowledgement is received within 10 days of the date of transmittal, the Executive Secretary, Member/CNCP shall retransmit the notification through an alternative means of communication.

#### **Draft IUU Vessel List**

8. The Executive Secretary shall draw up a draft IUU Vessel List incorporating the lists of vessels submitted by Members and CNCPs and suitably documented information received pursuant to para 6, and may add any other suitably documented information at the Executive Secretary's disposal, and shall transmit it, together with all the supporting information provided, to all Members/CNCPs, as well as to non-Contracting Parties with vessels on the list, at least 55 days before the TCC's meeting unless otherwise decided by the TCC.

9. The Executive Secretary shall request each Member/CNCP/non-Contracting Party with vessels on the draft IUU Vessel List to notify the owner of the vessels of their inclusion in that list, and the consequences if their vessel is included on the final NPFC IUU Vessel List.
10. Upon receipt of the draft IUU Vessel List, Members/CNCPs shall closely monitor the vessels included in that list in order to follow their activities and possible changes of name, flag, or registered owner.
11. Members/CNCPs/non-Contracting Parties with vessels on the draft list may transmit, at least 10 days before the TCC's meeting, comments to the Executive Secretary including suitably documented information showing that the vessels have fished in a manner consistent with NPFC conservation measures, fished exclusively for species not covered by the Convention, and/or effective action has been taken in response to the IUU fishing activities in question.
12. The Executive Secretary shall re-circulate the draft IUU Vessel List, 7 days in advance of the TCC's meeting, to the Members/CNCPs/non-Contracting Parties concerned, together with all the suitably documented information provided pursuant to paragraphs 6 and 11 above.
13. Members/CNCPs/non-Contracting Parties may at any time submit to the Executive Secretary any additional suitably documented information regarding any vessels on the draft IUU Vessel List. The Executive Secretary shall circulate this additional information to all Members/CNCPs and to the non-Contracting Parties concerned immediately upon receipt of such information.

#### **Provisional and current IUU Vessel List**

14. The Executive Secretary shall transmit the NPFC's IUU Vessel List adopted at the previous meeting of the Commission, any new suitably documented information regarding this list, and any updates made by the Executive Secretary under paragraphs 29 or 34, to Members/CNCPs and the non-Contracting Parties concerned 55 days before the meeting of the TCC.
15. Members/CNCPs/non-Contracting Parties with vessels on the current NPFC IUU Vessel List should transmit at least 30 days before the meeting of the TCC, but may submit at any time, to the Executive Secretary suitably documented information regarding any of the vessels on the current NPFC IUU Vessel List, including, where appropriate, suitably documented information as provided for in paragraph 29 or in paragraph 34. The Executive Secretary shall re-circulate the current NPFC IUU Vessel List two weeks in advance of the meeting of the TCC to the Members/CNCPs and non-Contracting Parties concerned, together with all the information provided pursuant to paragraph 14 and this paragraph.
16. At its meeting, the TCC shall:
  - (a) following consideration of the draft IUU Vessel List and the suitably documented information circulated under paragraphs 8, 12, and 13, adopt a Provisional IUU Vessel List; and

- (b) following consideration of the current NPFC IUU Vessel List and the suitably documented information circulated under paragraphs 14 and 15, recommend to the Commission which, if any, vessels should be removed from the current NPFC IUU Vessel List, if such vessels have not already been removed pursuant to the procedures in paragraphs 29-32.
17. The TCC shall not include a vessel on the Provisional IUU Vessel List if the Member/CNCP/non-Contracting Party, under whose flag the vessel is sailing, demonstrates that:
    - (a) The vessel fished in a manner consistent with the Convention and NPFC Conservation Measures or fished exclusively for species not covered by the NPFC Convention, or
    - (b) Effective action has been taken in response to the IUU fishing activities in question, such as, *inter alia*, prosecution and/or the imposition of sanctions of adequate severity.
  18. The TCC shall not include a vessel on the Provisional IUU Vessel List if the notifying Member/CNCP did not follow the provisions of paragraph 7.
  19. The TCC shall recommend removal of a vessel from the current NPFC IUU Vessel List only if the Member/CNCP/Non-Contracting Party, under whose flag the vessel is sailing, submits to the Executive Secretary the information provided in paragraph 29 of this measure.
  20. Following the examination referred to in paragraph 16, the TCC shall submit the Provisional IUU Vessel List to the Commission for its consideration, and as appropriate, recommend any proposed changes to the current NPFC IUU Vessel List.
  21. The draft IUU Vessel List, Provisional IUU Vessel List, and the NPFC IUU Vessel List shall contain the following details for each vessel:
    - (a) name and previous names, if any;
    - (b) flag and previous flags, if any;
    - (c) owner and previous owners, including beneficial owners, if any;
    - (d) operator and previous operators, if any;
    - (e) call sign and previous call signs, if any;
    - (f) Lloyds/IMO number, if any;
    - (g) photographs, where available;
    - (h) date first included on the NPFC IUU Vessel List;
    - (i) CMM and paragraph noting violation;

- (j) summary of activities which justify inclusion of the vessel on the list, together with references to all relevant documents informing of and evidencing those activities; and
- (k) the date(s) and subsequent sightings of the vessels, if any, and any other related activities.

#### **NPFC IUU Vessel List**

22. At its meeting, the Commission shall review the Provisional IUU Vessel List, taking into account any new suitably documented information related to vessels on the Provisional IUU Vessel List, and any recommendations to amend the current NPFC IUU Vessel List made pursuant to paragraph 20 above, and adopt a new NPFC IUU Vessel List. To the maximum extent possible Members/CNCPs/non-Contracting Parties concerned shall provide any new suitably documented information at least two weeks before the meeting of the Commission.
23. Upon adopting the new NPFC IUU Vessel List, the Commission shall request Members/CNCPs/non-Contracting Parties with vessels on the NPFC IUU Vessel List to:
  - (a) notify the owner(s) of the vessel(s) of the vessel's inclusion on the NPFC IUU Vessel List and the consequences that result from being included in the list, and
  - (b) take all the necessary measures to eliminate these IUU fishing activities, including, if necessary, the withdrawal of the registration or the fishing licenses of these vessels, and to inform the Commission of the measures taken in this respect.
24. Members/CNCPs shall take all necessary non-discriminatory measures under their applicable legislation, international law and each Members/CNCPs' international obligations, and pursuant to paragraphs 56 and 66 of the IPOA-IUU to:
  - (a) remove or withdraw vessels on the NPFC IUU Vessel List from the NPFC Vessel Registry;
  - (b) ensure that fishing vessels, support vessels, mother ships or cargo vessels flying their flag do not participate in any transshipment or joint fishing operations with, support or re-supply vessels on the NPFC IUU Vessel List;
  - (c) prohibit the entry into their ports of vessels included on the NPFC IUU Vessel List, except in the case of investigation or *force majeure*;
  - (d) prohibit the chartering of a vessel on the NPFC IUU Vessel List;
  - (e) refuse to grant their flag to vessels on the NPFC IUU Vessel List, unless the ownership of the vessel has subsequently changed and the new owner has provided sufficient evidence demonstrating that the previous owner or operator has no legal, beneficial or financial interest in, or control of the vessels, or the Member concerned is satisfied that, having taken into account all relevant facts, the vessel is no longer engaged in or associated with IUU fishing activities;
  - (f) prohibit commercial transactions, imports, landings and/or transshipment of species covered by the Convention from vessels on the IUU Vessel List;

- (g) encourage traders, importers, transporters and others involved, to refrain from transactions in, and transshipment of, species covered by the Convention caught by vessels on the NPFC IUU Vessel List;
  - (h) collect, and exchange with other Members/CNCPs, any appropriate information with the aim of searching for, controlling and preventing false import/export certificates for species covered by the Convention from vessels on the NPFC IUU Vessel List.
25. Members/CNCPs should cooperate with each other and other flag States to strengthen their legal, operational, and institutional capacity to take action against their flagged vessels that have engaged in IUU fishing in the Area, including the imposition of adequate sanctions, as an alternative to de-flagging such vessels, thereby rendering such vessels without nationality.
  26. The Executive Secretary shall take any measure necessary to ensure publicity of the NPFC IUU Vessel List, in a manner consistent with any applicable confidentiality requirements, including placing it on the NPFC website. Furthermore, the Executive Secretary shall transmit the NPFC IUU Vessel List to the FAO and to other regional fisheries organizations for the purposes of enhancing cooperation between the NPFC and these organizations aimed at preventing, deterring and eliminating IUU fishing.
  27. Upon receipt of the final IUU vessel list adopted by another Regional Fisheries Management Organization (RFMO) and any other information regarding the list including its modification, the Executive Secretary shall circulate it to Members/CNCPs and shall place a link on the NPFC website.
  28. Without prejudice to the rights of Members/CNCPs and coastal states to take proper action, consistent with international law, including applicable WTO obligations, the Members/CNCPs shall not take any unilateral trade measures or other sanctions against vessels on the draft or Provisional IUU Vessel Lists, pursuant to paragraphs 8 or 16, or that have been removed from the NPFC IUU Vessel List, pursuant to paragraphs 19 and 22, on the grounds that such vessels are involved in IUU fishing activities.

#### **Removal of a Vessel from the NPFC IUU Vessel List**

29. Member/CNCPs/non-Contracting Parties with a vessel on the NPFC IUU Vessel List may request the removal of the vessel from the list at any time during the intersessional period by submitting to the Executive Secretary suitably documented information demonstrating that:
  - (a) It has adopted measures that will seek to ensure that the vessel complies with all NPFC measures, it will be able to assume effectively its duties with regards to the monitoring and control of the vessel's fishing activities in the Convention Area, and it has taken effective action in response to the IUU fishing activities that resulted in the vessel's inclusion in the NPFC IUU Vessel List, including prosecution or the imposition of sanctions of adequate severity; or

- (b) The vessel has changed ownership and that the new owner can establish that the previous owner no longer has any legal, financial or real interests in the vessel or exercises control over it, and that the new owner has not participated in IUU fishing activities; or
  - (c) The vessel has been sunk or scrapped.
30. The Executive Secretary will transmit the removal request, with all the supporting information, to the Members/CNCPs within 15 days following the receipt of the removal request. Members/CNCPs shall promptly acknowledge receipt of the removal request. If no acknowledgement is received within 10 days of the date of transmittal, the Executive Secretary shall retransmit the removal request and shall use additional means available to ensure the request has been received.
31. Each Commission Member shall examine the removal request and notify the Executive Secretary in writing of its decision, and the rationale therefore, regarding the removal of the vessel within 30 days following the notification by the Executive Secretary. Decisions on the request to remove the vessel shall be made in accordance with Rule 2 of the Rules of Procedure.
32. If Commission Members agree to the removal of the vessel from the NPFC IUU Vessel List within the period stipulated in paragraph 31, the Executive Secretary will inform Members/CNCPs, and non-Contracting Parties concerned, FAO and other regional fisheries management organizations, and will immediately remove the vessel from the NPFC IUU Vessel List, as published on the NPFC website.
33. If Commission Members disagree with the request for the removal of the vessel from the IUU Vessel List, the vessel will be maintained on the NPFC IUU Vessel List and the Executive Secretary will inform the Members/CNCPs/non-Contracting Parties that made the removal request.

#### **Updating Information about Vessels on the NPFC IUU Vessel List**

34. At any time, a Member/CNCP with information indicating a change in details listed in Annex B (a) through (g) and (k) of a vessel appearing on the NPFC IUU Vessel List shall, as soon as practicable, transmit such information to the Executive Secretary. The Executive Secretary shall communicate such information to all Members/CNCPs. The Executive Secretary shall verify the information. After verification, the Executive Secretary will update the current NPFC IUU Vessel List on the NPFC website to reflect such information.
35. If the Secretariat, after reasonable efforts or other input received, is unable to verify the information submitted by the Member/CNCP, the IUU Vessel List will not be updated and the proposed changes will be discussed at the next TCC and Commission meeting.

#### **Review**

36. This Conservation and Management Measure shall be subject to review and, as appropriate, revision by the TCC and acceptance by the Commission.

### NPFC Reporting Form for Illegal Activity

Recalling NPFC CMM 2016 - 02 on *Establishing a list of vessels presumed to have carried out illegal, unreported and unregulated fishing activities in the Convention Area of North Pacific Fisheries Commission*, attached are details of illegal activity recorded in .....

#### Details of Vessel

- a. Name of vessel and previous names, if any;
- b. Flag of vessel and previous flags, if any;
- c. Owner and previous owner, including beneficial owners, if any;
- d. Operator of vessel and previous operators, if any;
- e. Call sign of vessel and previous call sign, if any;
- f. Lloyds/IMO number, if any;
- g. Photographs of the vessel, where available;
- h. Date vessel was first included on the IUU List;
- i. CMM and paragraph noting violation;
- j. Summary of activities which justify inclusion of the vessel on the list, together with references to all relevant documents informing of and evidencing those activities (more detail in section 2);
- k. the date(s) and subsequent sightings of the vessels, if any, and any other related activities.

#### Details of elements contravened

*(Indicate with an "X" the individual elements of CMM contravened, and provide relevant details including date, location, source of information. Additional information can be provided in an attachment, if necessary, and listed under section 3).*

| Item | Definition                                                                                                                                                                                                                                                                      | Indicate |
|------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------|
| a    | Harvest species covered by the Convention in the Convention Area and are not on the NPFC record of authorized vessels                                                                                                                                                           |          |
| b    | Engage in fishing for fishery resources, when the Member or CNCP, under whose flag the vessel is sailing, has exhausted or has no quotas, catch limit or effort allocation, including, if applicable, those received from another Member/CNCP, under relevant NPFC conservation |          |

|   |                                                                                                                             |  |
|---|-----------------------------------------------------------------------------------------------------------------------------|--|
| c | Do not record or report their catches made in the Convention Area consistent with NPFC Measures, or make false reports      |  |
| d | Take and land undersized fish in contravention of relevant NPFC conservation measures                                       |  |
| e | Fish in a closed area or during a closed season in contravention of relevant NPFC conservation measures                     |  |
| f | Use prohibited fishing gear in contravention of relevant NPFC conservation measures                                         |  |
| g | Transship with, participate in joint fishing operations with, support or re-supply vessels included in the IUU vessels list |  |
| h | Are without nationality and harvest species covered by the Convention in the Convention Area                                |  |
| i | Engage in any other fishing activities that is in contravention of relevant NPFC conservation measures                      |  |
| j | Are related to paragraph 4 of this conservation and management measure                                                      |  |

#### **Associated documents**

*(List here the associated documents that are appended e.g. boarding reports, court proceedings, photographs).*

#### **Recommended actions**

| <b>Item</b> | <b>Recommended actions</b>                                                      | <b>Indicate</b> |
|-------------|---------------------------------------------------------------------------------|-----------------|
| A           | Notification to NPFC Executive Secretary only. No further action is recommended |                 |

|   |                                                                                                                                               |  |
|---|-----------------------------------------------------------------------------------------------------------------------------------------------|--|
| B | Notification of illegal activity to NPFC Executive Secretary.<br>Recommend notification of activity to flag Member/CNCP/non-Contracting Party |  |
| C | Recommended for inclusion on NPFC IUU Vessel List                                                                                             |  |

## **Annex B**

### **Information to be included in all NPFC IUU Vessel Lists (Draft, Provisional, and Final)**

The Draft IUU Vessel List, as well as the Provisional and final NPFC IUU Vessel Lists shall contain the following details, where available:

- a. Name of vessel and previous names, if any;
- b. Flag of vessel and previous flags, if any;
- c. Owner and previous owners, including beneficial owners, if any;
- d. Operator of vessel and previous operators, if any;
- e. Call sign of vessel and previous call signs, if any;
- f. Lloyds/IMO number, if any;
- g. Photographs of the vessel, where available;
- h. Date vessel was first included on the IUU Vessel List;
- i. CMM and paragraph noting violation;
- j. Summary of activities which justify inclusion of the vessel on the List, together with references to all relevant documents informing of and evidencing those activities;
- k. the date(s) and subsequent sightings of the vessels, if any, and any other related activities.

## **CONSERVATION AND MANAGEMENT MEASURE ON TRANSSHIPMENTS**

*The North Pacific Fisheries Commission (NPFC),*

*Deeply concerned* about the negative impacts of illegal, unreported, and unregulated (IUU) fishing and its detrimental effect upon fish stocks, marine ecosystems, and the livelihoods of legitimate fishers, and the increasing need for food security on a global basis;

*Aware of* the need to conduct transshipments of fisheries resources and products of fisheries resources taken in the Convention Area;

*Recognizing* that while transshipment is an important global commercial fishing practice, if not adequately managed, it may increase IUU fishing of NPFC fisheries resources in the North Pacific Ocean;

*Acknowledging* that effective conservation and management of NPFC fisheries resources is dependent on accurate, timely, and shared reporting of catches;

*Recognizing* that effective monitoring, control, and surveillance activities in the high seas require access to information about transshipments and other transfer activities before they occur;

*Noting* Article 7(2)(a) of the Convention which states that the Commission shall establish procedures for the regulation and monitoring of transshipment of fisheries resources and products of fisheries resources taken in the Convention Area, including notification to the Commission of the location and quantity of any transshipment; and

*Desiring* to establish the necessary rules and procedures to monitor, report, and verify transshipments to support monitoring, control, and surveillance activities, enhance science and compliance efforts, and fulfill the objective of the Convention;

*Adopts the following:*

### **Definitions**

1. This measure shall be interpreted, unless a contrary intention appears, in accordance with the Convention.<sup>1</sup>
2. The following definitions apply:

---

<sup>1</sup> For this measure, an auxiliary tender boat is regarded as part of its parent receiving vessel under the following circumstances: it is used to transport unprocessed fish from the offloading vessel to the parent receiving vessel; it is loaded onboard the parent receiving vessel on navigation; it operates in the line of sight of the parent receiving vessel; and it is dependent on the parent receiving vessel for transportation to the Convention Area.

- (a) “landing” means all transfers of any quantity of fish onboard from a vessel, other than a transshipment, including transfers of fish to a port facility, transfers of fish from one vessel to another through a port facility, or other means of transportation, and transfers of fish from a vessel to a container, truck, train, or another means of transportation;
- (b) “other transfer activity” means a transfer of fuel, gear, materials, or other supplies, or a transfer of at least one person, from one fishing vessel to another fishing vessel in the Convention Area;
- (c) “port” means any harbour, marine terminal, shore-side facility, or other shore-side place used for landing, loading and unloading, transshipping, packaging, or processing of fisheries resources and products thereof or the refuelling or resupplying of fishing vessels in waters of national jurisdiction;
- (d) “product of fisheries resources” means any article that is produced from or composed of, in whole or in part, any fisheries resource; and
- (e) “trip” means a voyage commencing at the time a fishing vessel leaves a port to engage in a fishing activity and terminates at the time the fishing vessel enters a port.

## **Scope**

3. This measure applies to:

- (a) any transshipment, either at sea or in port, of any NPFC fisheries resources, or product thereof, taken in the Convention Area, except those that have been previously landed;
- (b) any transshipment that occurs in the Convention Area involving a fishing vessel<sup>2</sup> included in the NPFC Vessel Registry; or,
- (c) any other transfer activity in the Convention Area involving a fishing vessel intending to engage in, or having engaged in, a fishing activity in the Convention Area.

## **Fishing Vessels Authorized to Engage in Transshipments**

### *Rules for Engaging in Transshipments*

- 4. A fishing vessel shall only engage in a transshipment, or other transfer activity in the Convention Area, if both the offloading and receiving vessel are duly authorized by its Flag State and included in the NPFC Vessel Registry.
- 5. A fishing vessel is prohibited from operating as both an offloading vessel and a receiving vessel in the same trip.

### *Authorization from Relevant Coastal or Port State*

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<sup>2</sup> For further clarity, obligations to “a fishing vessel” apply to both the offloading vessel and the receiving vessel.

6. If a fishing vessel intends to engage in a transshipment in an area under national jurisdiction, including a port, the fishing vessel shall receive an authorization from the relevant coastal or port State before engaging in the transshipment.

## **General Reporting Requirements**

### *Reporting*

7. All reporting to the Secretariat related to a transshipment, or other transfer activity, shall be provided electronically (e.g. email, facsimile, etc.). This includes advance notifications, transshipment declarations, and observer transshipment reports. As of April 1, 2026, Members and CNCPs shall take necessary measures to ensure all advance notifications, including modifications and cancellations, and all transshipment declarations be submitted via the NPFC's online Transshipment Reporting system. When the online application is unavailable, advance notifications, including modifications, cancellations, and declarations may be submitted via email.
8. All reporting shall comply with the procedures to be adopted by the Commission.

### *Reporting of Bycatch and Unregulated Species*

9. All reporting related to a transshipment shall include all marine species taken in the Convention Area, including bycatch and unregulated species, recorded by species using the FAO code.

### *Record of Transshipment*

10. A fishing vessel shall maintain an electronic or physical record on board the fishing vessel of each transshipment it has engaged in during the current trip. The record shall include each transshipment declaration and daily activity records, such as those in a navigation logbook.
11. A Commission Member, or Cooperating non-Contracting Party, shall maintain an electronic or physical record of each transshipment engaged in by each of its fishing vessels for the current year. The record shall include each transshipment declaration.

## **Advance Notifications**

### *Advance Notifications for Transshipments*

12. A fishing vessel, or a Commission Member or Cooperating non-Contracting Party on behalf of the vessel, shall provide an advance notification to the authorities listed in paragraph 13 as soon as possible, and at least 24 hours in advance of the intended transshipment. The advance notification form is included in Annex I.
13. A fishing vessel, or Commission Member or Cooperating non-Contracting Party, shall provide the advance notification to:

- (a) the Commission Member, or Cooperating non-Contracting Party, of its flag, if the advance notification is provided by the fishing vessel; and
- (b) the Secretariat.

#### *Advance Notification of Other Transfer Activities*

- 14. A receiving vessel, or a Commission Member or Cooperating non-Contracting Party on behalf of the receiving vessel, shall provide an advance notification to the authorities listed in paragraph 13 as soon as possible, and at least 24 hours in advance of the intended other transfer activity. The advance notification form is included in Annex I.

#### *Modifications to the Advance Notification*

- 15. If the transshipment does not start after 72 hours of the estimated start time, or within 50 nautical miles of the estimated start location, as contained in the advance notification, the fishing vessels involved in the transshipment, or Commission Members or Cooperating non-Contracting Parties on their behalf, shall modify the submitted advance notification.
- 16. If the other transfer activity does not start after 72 hours of the estimated start time, or within 50 nautical miles of the estimated start location, as contained in the advance notification, the receiving vessel, or Commission Member or Cooperating non-Contracting Party of the receiving vessel, shall modify the submitted advance notification.

#### *Provision of Authorization from Relevant Flag and Coastal or Port State*

- 17. If a fishing vessel intends to engage in a transshipment in an area under national jurisdiction, including in a port, it shall not start the operation unless an authorization from the relevant flag and coastal or port State has been provided following the receipt of the transshipment advance notification.

#### *Cancellation of Transshipment*

- 18. If a transshipment is cancelled before it is undertaken, a fishing vessel intending to engage in the transshipment, or the Commission Member or Cooperating non-Contracting Party whose fishing vessel intended to engage in the transshipment, shall notify the Secretariat of the cancellation as soon as possible.

### **Other At-Sea Requirements**

#### *Commission Member and Cooperating non-Contracting Party Responsibility*

- 19. After receiving an advance notification for a transshipment, a Commission Member, or Cooperating non-Contracting Party, shall verify that their fishing vessel complies with the Convention and all conservation and management measures.
- 20. If a Commission Member, or Cooperating non-Contracting Party, receives suitably documented information that its flagged fishing vessel is, or appears to be, non-compliant with the

Convention, or a conservation and management measure, the Commission Member, or Cooperating non-Contracting Party, shall conduct an investigation.

21. The investigating Commission Member, or Cooperating non-Contracting Party, shall provide a report on the progress of the investigation, including an attestation of the fishing vessel's status under paragraph 19, no later than 60 days after receiving the information, to:

- (a) the Secretariat; and
- (b) the Commission Member, or Cooperating non-Contracting Party that provided the information.

Following the investigation process, information shall be provided about any appropriate enforcement action taken in line with its national laws.

22. If a fishing vessel receives catch from more than one offloading vessel, the fishing vessel shall ensure that the catch from each offloading vessel is stored separately and readily identifiable. The receiving vessel shall have a stowage plan available on board at all times.

#### *Mobile Transmitting Unit Failure*

23. In the event of mobile transmitting unit failure, the transshipment shall be suspended, and only resume once the fishing vessel complies with the relevant procedures in CMM *on the Vessel Monitoring Systems (VMS)*.

### **Transshipment Declaration**

24. A fishing vessel having engaged in, or a Commission Member or Cooperating non-Contracting Party whose fishing vessel has engaged in, a transshipment shall provide a transshipment declaration to the authorities listed in paragraph 25 as soon as possible, and no later than 10 days after the transshipment. The transshipment declaration form is included in Annex II.
25. A fishing vessel, or a Commission Member or a Cooperating non-Contracting Party, shall provide the transshipment declaration to:
- (a) the Commission Member, or Cooperating non-Contracting Party, of its flag; and
  - (b) the Secretariat.

### **Independent Monitoring and Reporting**

#### *Responsibility for Observers*

26. The Commission shall establish a regional observer and/or electronic monitoring program no later than its 9<sup>th</sup> Commission meeting. Until the Transshipment Observer Program enters into force, a Commission Member, or Cooperating non-Contracting Party, is responsible for the deployment of independent, impartial, and qualified observers to fulfill the requirements of this

measure. Once this program enters into force, paragraphs 27, 28, 32-34 of this measure shall be superseded by the provisions of the new program.

27. An observer is deemed to be independent, impartial, and qualified if the observer:
- (a) is deployed from a Commission Member's, or Cooperating non-Contracting Party's, national observer program, and familiar with NPFC fisheries resources, fishing activities, and CMMs;
  - (b) is neither part of the crew, nor has any employment or family relationship to the ownership or operator of the fishing vessel; and
  - (c) does not have any shared business interests with the owner or operator of the fishing vessel.
28. An observer shall be provisioned, accommodated, including access to independent communications, and provided safe working conditions by the receiving vessel in accordance with the Commission Member's, or Cooperating non-Contracting Party's, domestic laws and regulations.

#### *Deployment of Observers*

29. A Commission Member, or Cooperating non-Contracting Party, shall take necessary measures to ensure that its receiving vessels engaging in a transshipment have an observer on board.
30. A Commission Member or CNCP shall take necessary measures to ensure that a fishing vessel does not engage in a transshipment unless an observer is able and available to monitor and report on the transshipment.
31. A Commission Member or CNCP shall take necessary measures to ensure that a fishing vessel may only engage in one transshipment at a time for each observer that is available to monitor and report on the transshipment. If there are two vessels seeking to transship concurrently, but only one observer is present, one vessel must stand off and wait until the first vessel has finished. Only one offloading vessel may be secured to the receiving vessel unless a second observer is performing observer duties for a second transshipment.

#### *Access to Fishing Vessels*

32. An observer shall have:
- (a) full, unobstructed, and safe access to each fishing vessel involved in the transshipment, including, *inter alia*, access to crew, gear, equipment, records, electronic means of communication, and fish holds; and
  - (b) adequate and appropriate space to undertake their responsibilities pursuant to this measure.

#### *Monitoring and Reporting by Observers*

33. An observer shall monitor and report on, to the greatest extent possible, that the transshipment is conducted in a manner consistent with the advance notification and other information

available to the observer, and in particular, verify the consistency of transshipped quantities of fisheries resources, or products of fisheries resources.

34. An observer shall record an observer report immediately after each transshipment and keep the report onboard, and provide an observer transshipment report, as specified in Annex III, as soon as possible, but no later than 10 days from the disembarkation of the observer, to:
  - (a) the Commission Member, or Cooperating non-Contracting Party, of the flags of the receiving vessel and the offloading vessel; and
  - (b) the Secretariat.

#### *Procedure in case of potential Non-Compliance*

35. If an observer observes an activity or condition that is not consistent with conservation and management measures, the observer shall report the finding, and provide documented evidence, to the extent possible, without delay or upon disembarkation to the Secretariat. Once the Transshipment Observer Program enters into force, the observer will notify and transmit the report to the Observer service provider as well as the Secretariat. The Secretariat will then transmit the report to the authorities of the Commission Member or Cooperating non-Contracting Party of the flags of the receiving and offloading vessels.
36. Upon receipt of an observer report with documented evidence in particular Annex III indicating potential non-compliance, or instances of obstruction, intimidation, interference with, or otherwise prevention of the observer from performing their duties, concerning a vessel entitled to fly its flag, the Commission Member or Cooperating non-Contracting Party shall:
  - a) treat the report with utmost sensitivity and discretion, in accordance with NPFC Data Sharing and Data Security Protocol
  - b) make best efforts to respond to this notification through the Secretariat without delay and;
  - c) undertake investigation on any condition or activity that is not consistent with conservation and management measures as per Article 17 of the Convention. The Commission Member or CNCP shall report any findings and/or relevant actions taken, in their Annual Report, and in the CMS Implementation Questionnaire (if applicable).

### **Data and Information Sharing**

#### *Establishment of a Transshipment Record*

37. The Commission hereby establishes a record of transshipments, and other transfer activities, hereinafter named the NPFC Transshipment Record, to make all data and information, including all reporting related to, transshipments, and other transfer activities, available to Commission Members and Cooperating non-Contracting Parties, in accordance with the NPFC Data Sharing and Data Security Protocol.

38. The data and information on the NPFC Transshipment Record may be used for either scientific or compliance purposes by:

- (a) a Commission Member, or Cooperating non-Contracting Party; or
- (b) the Commission.

39. The Secretariat shall maintain the NPFC Transshipment Record in accordance with Annex IV.

#### *Public Availability of Data and Information*

40. The Secretariat shall make aggregated anonymized data and information related to transshipments publicly available on the NPFC website, in accordance with the NPFC Data Sharing and Data Security Protocol.

#### *Sharing Data and Information with Authorized In-Port Inspectors and Port Authorities*

41. An authorized in-port inspector, or port State authority, may request from the Secretariat, and the Secretariat may provide, data or information related to a fishing vessel's transshipments for in-port inspection purposes, in accordance with the NPFC Data Sharing and Data Security Protocol.

#### *Sharing Data and Information with Other RFMOs*

42. The NPFC may share data and information related to transshipments with another regional fisheries management organization (RFMO) if the NPFC has entered into a Memorandum of Understanding with that RFMO and if the RFMO agrees to comply with the NPFC Data Sharing and Data Security Protocol.

### **Compliance Monitoring**

43. Compliance monitoring of all transshipments shall be undertaken in accordance with the CMM *for the Compliance Monitoring Scheme*.

44. The assessment of compliance shall encompass all transshipments within the scope of this measure.

### **Force Majeure**

45. Nothing in this measure prevents a fishing vessel from engaging in a transshipment, or other transfer activity, with another fishing vessel in cases of *force majeure* that threaten the safety of the crew or result in a significant financial loss through fish or fish product spoilage.

46. In the case of *force majeure*, the fishing vessel, or Commission Member or Cooperating non-Contracting Party, shall:

- (a) notify the Secretariat prior to the completion of the transshipment, or other transfer activity, as well as the circumstances giving rise to the *force majeure*; and

- (b) provide a transshipment declaration on the transshipment as soon as possible, but within 10 days of the transshipment.
47. The Secretariat shall inform the Commission of each incident of *force majeure* upon receiving notification from the fishing vessel, Commission Member, or Cooperating non-Contracting Party.

## **Annual Reporting and Review**

### *Annual Reporting*

48. Each Commission Member, and Cooperating non-Contracting Party, shall provide an annual summary of the data and information collected from all authorized fishing vessels having undertaken a transshipment, including each year's transshipment declarations, to the Commission at the Technical and Compliance Committee meeting. The summary shall be included in the Annual Report, as per Article 16(3) of the Convention. The template for this summary is included in Annex V.
49. A Commission Member, or Cooperating non-Contracting Party, shall take all reasonable steps to verify the information received from fishing vessels having engaged in a transshipment.
50. Each year, the Secretariat shall produce and present a summary report on the implementation of this measure to the annual meeting of the Technical and Compliance Committee for review. This report shall include summarized information collected from observers, offloading vessels and receiving vessels, and responses from Commission Members and Cooperating non-Contracting Parties on their observer transshipment reports.
51. Commission Members and Cooperating non-Contracting Parties shall investigate instances of potential non-compliance with this measure, and report the results of those investigations to the Commission.

### *Review of Measure*

52. This measure will be reviewed regularly at the Annual Session of the Commission. This review will take into account, *inter alia*:
- (a) the latest advice from the Technical and Compliance Committee regarding the effectiveness of this measure in:
    - (i) providing the Commission with information about transshipments; and
    - (ii) supporting effective monitoring, control, and surveillance activities in line with the obligations of the Convention and conservation and management measures;
  - (b) required levels of observer coverage and the potential use of electronic monitoring; and,
  - (c) the scope and provisions of this measure.

## ADVANCE NOTIFICATION

### INSTRUCTIONS

In completing the advance notification, the fishing vessel shall ensure that:

1. the information is as **accurate** as possible, and legible; and
2. the information is provided in **clear, legible print** in accordance with the clarifications below (either by hand or electronically).

### CLARIFICATIONS

To assist in the accurate and clear completion of the advance notification:

- use the DD-MM-YYYY format to specify the date (e.g. 01-11-2022);
- use the HH:MM format, and the 24-hour clock (UTC, or specify time zone) to specify the time (e.g. 23:15);
- “NW” is an abbreviation for “national waters”;
- “OTA” is an abbreviation for “other transfer activities”;
- use the Degrees (°) Minutes (′) format to specify the latitude and longitude (e.g. 40° 26′ N, 79° 58′ W);
- for “FAO CODE”, utilize the FAO 3-alpha codes found at [www.npfc.int/priority-species](http://www.npfc.int/priority-species), or Fisheries and Aquaculture - All Information Collections - ASFIS List of Species for Fishery Statistics Purposes ([fao.org](http://fao.org));
  - All species including bycatch must be recorded by species, using their specific FAO code.
  - the Codes for major NPFC species are; SAP (Pacific saury), MAS (chub mackerel), MAA (blue mackerel), JAP (Japanese sardine), OFJ (neon flying squid) and SQJ (Japanese flying squid).
- for “GEOGRAPHIC LOCATION”, state where the fisheries resource (or fisheries resource processed into a product) was taken; and
- for “STATE OF FISH”, state whether the fisheries resource, or product of fisheries resource, is: (1) fresh (FRS), or (2) frozen (FRZ).

| ADVANCE NOTIFICATION FOR TRANSSHIPMENTS (1/2)      |                                                         |                                                                                                                                                                                    |                  |
|----------------------------------------------------|---------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------|
| PART I – VESSEL INFORMATION                        |                                                         |                                                                                                                                                                                    |                  |
|                                                    | INFORMATION                                             | OFFLOADING VESSEL                                                                                                                                                                  | RECEIVING VESSEL |
| 1                                                  | Vessel Name                                             |                                                                                                                                                                                    |                  |
| 2                                                  | Flag State                                              |                                                                                                                                                                                    |                  |
| 3                                                  | IMO number                                              |                                                                                                                                                                                    |                  |
| 4                                                  | IRCS, if eligible, or registration number               |                                                                                                                                                                                    |                  |
| 5                                                  | Start of Trip                                           |                                                                                                                                                                                    |                  |
|                                                    | Port Name                                               |                                                                                                                                                                                    |                  |
|                                                    | Date of Departure                                       |                                                                                                                                                                                    |                  |
| 6                                                  | End of Trip (if known)                                  |                                                                                                                                                                                    |                  |
|                                                    | Port Name                                               |                                                                                                                                                                                    |                  |
|                                                    | Date of Entry                                           |                                                                                                                                                                                    |                  |
| PART II – INFORMATION ON ANTICIPATED TRANSSHIPMENT |                                                         |                                                                                                                                                                                    |                  |
| 7                                                  | Transshipment Location                                  | <input type="checkbox"/> High Seas, In Convention Area <input type="checkbox"/> In Port<br><input type="checkbox"/> High Seas, Outside Convention Area <input type="checkbox"/> NW |                  |
|                                                    | Port Name (if applicable)                               |                                                                                                                                                                                    |                  |
|                                                    | NW (if applicable)                                      |                                                                                                                                                                                    |                  |
|                                                    | Latitude and Longitude (estimated)                      | Latitude:                                                                                                                                                                          | Longitude:       |
| 8                                                  | Transshipment Start Date (estimated)                    |                                                                                                                                                                                    |                  |
| 9                                                  | Transshipment Start Time (estimated)                    |                                                                                                                                                                                    |                  |
| PART III – VERIFICATION                            |                                                         |                                                                                                                                                                                    |                  |
| 10                                                 | Vessel Master / Vessel Owner or Company                 |                                                                                                                                                                                    |                  |
|                                                    | Name                                                    |                                                                                                                                                                                    |                  |
|                                                    | Nationality                                             |                                                                                                                                                                                    |                  |
|                                                    | Email address (as applicable)                           |                                                                                                                                                                                    |                  |
|                                                    | Telephone number (as applicable)                        |                                                                                                                                                                                    |                  |
|                                                    | Signature                                               |                                                                                                                                                                                    |                  |
| 11                                                 | Observer (for the receiving vessel only, if applicable) |                                                                                                                                                                                    |                  |
|                                                    | Name                                                    |                                                                                                                                                                                    |                  |
|                                                    | Nationality                                             |                                                                                                                                                                                    |                  |
|                                                    | Signature                                               |                                                                                                                                                                                    |                  |

## ADVANCE NOTIFICATION FOR TRANSSHIPMENTS (2/2)

**In completing this form, ensure the estimated information is as accurate as reasonably possible and include all bycatch, recorded by species code.**

Weight (kg) or unit used (e.g. box, basket), and the estimated total weight in kg:

| FAO Code | Geographic Location | State of Fish | Type of product (whole, G&G, etc.) | Unit | Kg per unit | Number of Units | TOTAL (kg) |
|----------|---------------------|---------------|------------------------------------|------|-------------|-----------------|------------|
|          |                     |               |                                    |      |             |                 |            |
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| ADVANCE NOTIFICATION FOR OTHER TRANSFER ACTIVITIES |                                           |                                                        |                  |
|----------------------------------------------------|-------------------------------------------|--------------------------------------------------------|------------------|
| PART I – VESSEL INFORMATION                        |                                           |                                                        |                  |
|                                                    | INFORMATION                               | OFFLOADING VESSEL                                      | RECEIVING VESSEL |
| 1                                                  | Vessel Name                               |                                                        |                  |
| 2                                                  | Flag State                                |                                                        |                  |
| 3                                                  | IMO Number                                |                                                        |                  |
| 4                                                  | IRCS, if eligible, or registration number |                                                        |                  |
| PART II – INFORMATION ON ANTICIPATED OTA           |                                           |                                                        |                  |
| 5                                                  | OTA Location                              | <input type="checkbox"/> High Seas, In Convention Area |                  |
|                                                    | Latitude and Longitude (estimated)        | Latitude:                                              | Longitude:       |
| 6                                                  | OTA Start Date (estimated)                |                                                        |                  |
| 7                                                  | OTA Start Time (estimated)                |                                                        |                  |
| PART III – VERIFICATION                            |                                           |                                                        |                  |
| 8                                                  | Vessel Master                             |                                                        |                  |
|                                                    | Name                                      |                                                        |                  |
|                                                    | Nationality                               |                                                        |                  |
|                                                    | Signature                                 |                                                        |                  |

## TRANSSHIPMENT DECLARATION

### INSTRUCTIONS

In completing the transshipment declaration, the fishing vessel shall ensure that:

1. the information is as **accurate** as possible, and legible; and
2. the information is provided in **clear, legible print** in accordance with the clarifications below (either by hand or electronically).

### CLARIFICATIONS

To assist in the accurate completion of the transshipment declaration:

- use the DD-MM-YYYY format to specify the date (e.g. 01-11-2022);
- use the HH:MM format, and the 24-hour clock (UTC, or specify time zone) to specify the time (e.g. 23:15);
- “NW” is an abbreviation for “national waters”;
- use the Degrees (°) Minutes (′) format to specify the latitude and longitude (e.g. 40° 26′ N, 79° 58′ W);
- for “FAO CODE”, utilize the FAO 3-alpha codes found at [www.npfc.int/priority-species](http://www.npfc.int/priority-species), or Fisheries and Aquaculture - All Information Collections - ASFIS List of Species for Fishery Statistics Purposes ([fao.org](http://fao.org));
  - all species including bycatch must be recorded by species, using their specific FAO code.
  - the Codes for major NPFC species are; SAP (Pacific saury), MAS (chub mackerel), MAA (blue mackerel), JAP (Japanese sardine), OFJ (neon flying squid) and SQJ (Japanese flying squid).
- for “GEOGRAPHIC LOCATION”, state where the fisheries resource (or fisheries resource processed into a product) was taken; and
- for “STATE OF FISH”, state whether the fisheries resource, or product of fisheries resource, is: (1) fresh (FRS), or (2) frozen (FRZ).

| TRANSSHIPMENT DECLARATION (1/2)     |                                                           |                                                                                                                                                                                |                                                                                                                                                                                |
|-------------------------------------|-----------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| PART I – VESSEL INFORMATION         |                                                           |                                                                                                                                                                                |                                                                                                                                                                                |
|                                     | INFORMATION                                               | OFFLOADING VESSEL                                                                                                                                                              | RECEIVING VESSEL                                                                                                                                                               |
| 1                                   | Vessel Name                                               |                                                                                                                                                                                |                                                                                                                                                                                |
| 2                                   | Flag State                                                |                                                                                                                                                                                |                                                                                                                                                                                |
| 3                                   | IMO number                                                |                                                                                                                                                                                |                                                                                                                                                                                |
| 4                                   | IRCS, if eligible, or registration number                 |                                                                                                                                                                                |                                                                                                                                                                                |
| 5                                   | Vessel Owner or Company (if different from Vessel Master) |                                                                                                                                                                                |                                                                                                                                                                                |
|                                     | Name                                                      |                                                                                                                                                                                |                                                                                                                                                                                |
|                                     | Nationality                                               |                                                                                                                                                                                |                                                                                                                                                                                |
|                                     | Phone Number                                              |                                                                                                                                                                                |                                                                                                                                                                                |
|                                     | Email                                                     |                                                                                                                                                                                |                                                                                                                                                                                |
| 6                                   | Start of Trip                                             |                                                                                                                                                                                |                                                                                                                                                                                |
|                                     | Port Name                                                 |                                                                                                                                                                                |                                                                                                                                                                                |
|                                     | Date of Departure                                         |                                                                                                                                                                                |                                                                                                                                                                                |
| 7                                   | End of Trip (if known)                                    |                                                                                                                                                                                |                                                                                                                                                                                |
|                                     | Port Name                                                 |                                                                                                                                                                                |                                                                                                                                                                                |
|                                     | Date of Entry                                             |                                                                                                                                                                                |                                                                                                                                                                                |
| PART II – TRANSSHIPMENT INFORMATION |                                                           |                                                                                                                                                                                |                                                                                                                                                                                |
|                                     | INFORMATION                                               | COMMENCEMENT                                                                                                                                                                   | COMPLETION                                                                                                                                                                     |
| 8                                   | Transshipment Location                                    | <input type="checkbox"/> High Seas, In Convention Area<br><input type="checkbox"/> High Seas, Outside CA<br><input type="checkbox"/> In NW<br><input type="checkbox"/> In Port | <input type="checkbox"/> High Seas, In Convention Area<br><input type="checkbox"/> High Seas, Outside CA<br><input type="checkbox"/> In NW<br><input type="checkbox"/> In Port |
|                                     | Port Name (if applicable)                                 |                                                                                                                                                                                |                                                                                                                                                                                |
|                                     | NW (if applicable)                                        |                                                                                                                                                                                |                                                                                                                                                                                |
|                                     | Latitude                                                  |                                                                                                                                                                                |                                                                                                                                                                                |
|                                     | Longitude                                                 |                                                                                                                                                                                |                                                                                                                                                                                |
| 9                                   | Transshipment Date                                        |                                                                                                                                                                                |                                                                                                                                                                                |
| 10                                  | Transshipment Time                                        |                                                                                                                                                                                |                                                                                                                                                                                |
| PART III - VERIFICATION             |                                                           |                                                                                                                                                                                |                                                                                                                                                                                |
|                                     | INFORMATION                                               | OFFLOADING VESSEL                                                                                                                                                              | RECEIVING VESSEL                                                                                                                                                               |
| 10                                  | Vessel Master / Vessel Owner or Company                   |                                                                                                                                                                                |                                                                                                                                                                                |
|                                     | Name                                                      |                                                                                                                                                                                |                                                                                                                                                                                |
|                                     | Nationality                                               |                                                                                                                                                                                |                                                                                                                                                                                |
|                                     | Signature                                                 |                                                                                                                                                                                |                                                                                                                                                                                |
| 11                                  | Observer                                                  |                                                                                                                                                                                |                                                                                                                                                                                |
|                                     | Name                                                      |                                                                                                                                                                                |                                                                                                                                                                                |
|                                     | Nationality                                               |                                                                                                                                                                                |                                                                                                                                                                                |
|                                     | Signature                                                 |                                                                                                                                                                                |                                                                                                                                                                                |

## TRANSSHIPMENT DECLARATION (2/2)

### PART I – FISHERIES RESOURCES OR PRODUCTS TRANSSHIPPED, INCLUDING BYCATCH, RECORDED BY SPECIES CODE

Weight (kg) or unit used (e.g. box, basket) and the estimated total weight in kg:

| FAO Code | Geographic Location | State of Fish | Type of product (whole, G&G, etc.) | Unit | Kg per unit | Number of Units | TOTAL (kg) |
|----------|---------------------|---------------|------------------------------------|------|-------------|-----------------|------------|
|          |                     |               |                                    |      |             |                 |            |
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### PART II – FISHERIES RESOURCES OR PRODUCTS STILL ON OFFLOADING VESSEL, INCLUDING BYCATCH, RECORDED BY SPECIES CODE (for offloading vessel)

| FAO Code | Geographic Location | State of Fish | Type of product (whole, G&G, etc.) | Unit | Kg per unit | Number of Units | TOTAL (kg) |
|----------|---------------------|---------------|------------------------------------|------|-------------|-----------------|------------|
|          |                     |               |                                    |      |             |                 |            |
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|          |                     |               |                                    |      |             |                 |            |
|          |                     |               |                                    |      |             |                 |            |

### PART III – FISHERIES RESOURCES OR PRODUCTS CURRENTLY ON RECEIVING VESSEL, INCLUDING BYCATCH, RECORDED BY SPECIES CODE (for receiving vessel)

| FAO Code | Geographic Location | State of Fish | Type of product (whole, G&G, etc.) | Unit | Kg per unit | Number of Units | TOTAL (kg) |
|----------|---------------------|---------------|------------------------------------|------|-------------|-----------------|------------|
|          |                     |               |                                    |      |             |                 |            |
|          |                     |               |                                    |      |             |                 |            |
|          |                     |               |                                    |      |             |                 |            |
|          |                     |               |                                    |      |             |                 |            |
|          |                     |               |                                    |      |             |                 |            |
|          |                     |               |                                    |      |             |                 |            |
|          |                     |               |                                    |      |             |                 |            |

## OBSERVER TRANSSHIPMENT REPORT

### INSTRUCTIONS

In completing the observer transshipment report, the fishing vessel shall ensure that:

1. the information is as **accurate** as possible, and legible; and
2. the information is provided in **clear, legible print** in accordance with the clarifications below (either by hand or electronically).

The observer must provide (e.g. as an attachment) the completed transshipment declaration with the completed observer transshipment report. It is the responsibility of the observer to provide sufficient reasoning in order to effectively explain any non-compliance.

### CLARIFICATIONS

To assist in the accurate completion of the observer transshipment report:

- use the DD-MM-YYYY format to specify the date (e.g. 01-11-2022);
- use the HH:MM format, and the 24-hour clock (UTC, or specify time zone) to specify the time (e.g. 23:15);
- “NW” is an abbreviation for “national waters”;
- use the Degrees (°) Minutes (′) format to specify the latitude and longitude (e.g. 40° 26′ N, 79° 58′ W);
- for “FAO CODE”, utilize the FAO 3-alpha codes found at [www.npfc.int/priority-species](http://www.npfc.int/priority-species), or Fisheries and Aquaculture - All Information Collections - ASFIS List of Species for Fishery Statistics Purposes ([fao.org](http://fao.org));
- for “GEOGRAPHIC LOCATION”, state where the fisheries resource (or fisheries resource processed into a product) was taken;
- for “STATE OF FISH”, state whether the fisheries resource, or product of fisheries resource, is: (1) fresh (FRS), or (2) frozen (FRZ); and
- “Interruptions” refers to any stoppage in observation of the transshipment by the observer.

| OBSERVER TRANSSHIPMENT REPORT (1/1)                                                                                                                                                                                                                                  |                                      |  |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------|--|
| Please ensure that the completed transshipment declaration is attached/provided.                                                                                                                                                                                     |                                      |  |
| PART I – OBSERVED TRANSSHIPMENT INFORMATION                                                                                                                                                                                                                          |                                      |  |
| 1                                                                                                                                                                                                                                                                    | Observed (Y/N)                       |  |
| 2                                                                                                                                                                                                                                                                    | Total Hours Observed                 |  |
| 3                                                                                                                                                                                                                                                                    | Interruption(s) in Observation (Y/N) |  |
| 4                                                                                                                                                                                                                                                                    | Number of Interruptions              |  |
| 5                                                                                                                                                                                                                                                                    | Total Time Interrupted               |  |
| PART II - COMMENTARY                                                                                                                                                                                                                                                 |                                      |  |
| <p>In this section, the observer shall note any observed non-compliance with CMM <i>on Transshipments</i>, including the verification of the consistency of the transshipped quantities (by species) of fisheries resources, or products of fisheries resources.</p> |                                      |  |
|                                                                                                                                                                                                                                                                      |                                      |  |
| PART III - VERIFICATION                                                                                                                                                                                                                                              |                                      |  |
| 6                                                                                                                                                                                                                                                                    | Observer                             |  |
|                                                                                                                                                                                                                                                                      | Name                                 |  |
|                                                                                                                                                                                                                                                                      | Nationality                          |  |
|                                                                                                                                                                                                                                                                      | Signature                            |  |

## NPFC TRANSSHIPMENT RECORD

In order to facilitate the availability of reporting data and information on transshipments, the following shall be implemented:

### *Objective*

1. The Secretariat shall ensure that all data and information related to transshipments and other transfer activities, including all reporting, is immediately available through the NPFC Transshipment Record to all Commission Members, and Cooperating non-Contracting Parties, upon reception.

### *General Specifications*

2. The NPFC Transshipment Record shall be maintained on, and be accessible through, the secure NPFC website.
3. The NPFC Transshipment Record shall record each transshipment, and other transfer activity, conducted pursuant to this measure.
4. Each transshipment, and other transfer activity, shall be recorded through a profile for the transshipment, or other transfer activity. The profile shall contain:
  - a) the advance notification for each fishing vessel involved;
  - b) the authorization from the relevant coastal or port State authority for each fishing vessel involved, if applicable;
  - c) the transshipment declaration for each fishing vessel involved; and
  - d) if a transshipment, the observer transshipment report.

### *Specifications for the Record in the Event of Force Majeure*

5. If a transshipment, or other transfer activity, is conducted because of *force majeure*, a profile shall be generated and it shall contain:
  - a) the notification of the transshipment, or other transfer activity, and the circumstances giving rise to the *force majeure*; and
  - b) the transshipment declaration.

### *Direct Entry Scheme*

6. The NPFC Transshipment Record shall have a secure direct entry submission webpage to receive:

- a) advance notifications;
  - b) authorizations from relevant coastal or port States;
  - c) transshipment declarations; and
  - d) observer transshipment reports.
7. The NPFC Transshipment Record shall not accept for submission any advance notification, transshipment declaration, or observer transshipment report that does not satisfy the required data and information.

*Integration with the NPFC Vessel Registry*

8. Each profile in the NPFC Transshipment Record shall have a link to the NPFC Vessel Registry for each fishing vessel involved in the transshipment, or other transfer activity.
9. Each profile for a fishing vessel in the NPFC Vessel Registry shall have a link to the NPFC Transshipment Record profile of each transshipment, or other transfer activity, that the fishing vessel was involved in.

**INFORMATION TO BE INCLUDED IN THE ANNUAL SUMMARY OF  
TRANSSHIPMENT**

Each Commission Member and Cooperating non-Contracting Party shall include in Part 1 of its Annual Report to the Commission:

- 1.** The total quantities, by weight, of fish stocks covered by this measure that were transshipped by fishing vessels the Commission Member or Cooperating non-Contracting Party is responsible for reporting against, with those quantities broken down by:
  - a)** offloaded and received;
  - b)** transshipped inside the Convention Area, within an EEZ, in port and high seas outside the Convention Area;
  - c)** caught inside the Convention Area and caught outside the Convention Area;
  - d)** species; and,
  - e)** product form.
- 2.** The number of transshipments covered by this measure by fishing vessels that it is responsible for reporting against, broken down by:
  - a)** offloaded and received;
  - b)** transshipped inside the Convention Area, within an EEZ, in port and outside the Convention Area; and
  - c)** caught inside the Convention Area and caught outside the Convention Area.

**CONSERVATION AND MANAGEMENT MEASURE ON VESSELS WITHOUT  
NATIONALITY**

*The North Pacific Fisheries Commission (NPFC),*

*Recognising* that vessels without nationality operate without governance and oversight;

*Concerned* that fishing in the NPFC Area of Application (the Area) by vessels without nationality undermines the objective of the Convention and the work of the Commission;

*Noting* Article 92 and 94 of the United Nation Convention on the Law of the Sea (UNCLOS) relating to the status of ships and the duties of flag States;

*Recalling* that the FAO Council has adopted an International Plan of Action to Prevent, Deter and Eliminate Illegal, Unreported and Unregulated fishing (IUU fishing) and has recommended that States adopt measures consistent with international law in relation to fishing vessels without nationality involved in IUU fishing on the high seas;

*Adopts* the following conservation and management measure in accordance with Article 7 of the Convention:

1. A vessel without nationality is a vessel that, under international law, is not entitled to fly the flag of any State or, as referred to in Article 92 of UNCLOS, sails under the flag of two or more States, using them according to convenience.
2. Any fishing activities by a fishing vessel without nationality on the high seas of the Area shall be deemed to undermine the Convention and Commission conservation and management measures and shall constitute a serious violation in accordance with Article 17 of the Convention. These activities are deemed to be IUU fishing and therefore its information shall be provided by the Secretariat to the TCC according to para 5 of this CMM and shall constitute an appropriate basis for placement of such vessel on the Commissions Draft IUU List in accordance with the relevant CMM for establishing NPFC IUU Vessel List.
3. Members and Cooperating Non-Contracting Parties (CNCs) are encouraged to take effective action in accordance with international law, including, where appropriate, enforcement action, against vessels without nationality that are engaging, or have engaged, in fishing activities in the Area, and to prohibit the landing and transshipment of fish and fish products, and access to

port services, by such vessels, except where such access is essential to the investigation, safety or health of the crew or the safety of the vessel.

4. Members and CNCPs are encouraged to adopt necessary measures, including, where relevant, domestic legislation, to allow them to take the effective action referred to in paragraph 3 to prevent and deter vessels without nationality from engaging in fishing activities in the Area.
5. Members and CNCPs are encouraged to share information about vessels suspected to be without nationality to assist in clarifying the status of such vessels, and about the activities of vessels without nationality to enable them to make informed decisions about action to prevent and deter such vessels from engaging in fishing activities in the Area. Any sightings of fishing vessels that are suspected of, or confirmed as being, without nationality that may be fishing in the high seas of the Area shall be reported to the Secretariat as soon as possible by the appropriate authorities of the member or CNCP whose vessel or aircraft made the sighting. The Secretariat will circulate such information to all members and CNCPs as soon as practicable, and will provide a report to the next meeting of the Technical and Compliance Committee of all such information provided.
6. For the effectiveness of this measure, the Commission shall cooperate with relevant regional organizations, especially with those regional fisheries management organizations with responsibility for fisheries in marine areas near or adjacent to the Convention Area.

**CONSERVATION AND MANAGEMENT MEASURE FOR BOTTOM FISHERIES  
AND PROTECTION OF VULNERABLE MARINE ECOSYSTEMS IN THE  
NORTHWESTERN PACIFIC OCEAN**

*The North Pacific Fisheries Commission (NPFC),*

*Strongly supporting* protection of vulnerable marine ecosystems (VMEs) and sustainable management of fish stocks based on the best scientific information available;

*Recalling* the United Nations General Assembly Resolutions (UNGA) on Sustainable Fisheries, particularly paragraphs 66 to 71 of the UNGA 59/25 in 2004, paragraphs 69 to 74 of UNGA 60/31 in 2005, and paragraphs 69 and 80 to 91 of UNGA 61/105 in 2006; paragraphs 113, 117 and 119 to 124 of resolution 64/72 in 2009, paragraphs 121, 126, 129, 130 and 132 to 134 of resolution 66/68 in 2011, paragraphs 156, 171, 175, 177 to 188 and 219 of resolution 71/123 in 2016 and paragraphs 181 and 203-219 of resolution 77/118 in 2022;

*Noting*, in particular, paragraphs 66 and 69 of UNGA 59/25 that call upon States to take action urgently to address the issue of bottom trawl fisheries on VMEs and to cooperate in the establishment of new regional fisheries management organizations or arrangements;

*Recognizing* UNGA's calls to identify and overcome barriers to the implementation of the relevant paragraphs of General Assembly resolutions such as data availability, especially with regard to baseline data and the spatial distribution and connectivity of vulnerable marine ecosystems, including their associated and dependent species; periodically review and revise impact assessments whenever a substantial change in the fishery has occurred or there is relevant new information; and ensure that the precautionary approach is applied, including in the utilization of impact assessments to inform management decisions and consideration of significant adverse impacts on vulnerable marine ecosystems, including their associated and dependent species;

*Recognizing further* that fishing activities, including bottom fisheries, are an important contributor to the global food supply and that this must be taken into account when seeking to achieve sustainable fisheries and to protect VMEs;

*Recognizing* the importance of collecting scientific data to assess the impacts of bottom fisheries on marine species and VMEs;

*Recognizing* that scientific literature indicates the likely occurrence of VMEs on most seamounts in the area and has documented significant adverse impacts to VMEs resulting from bottom

fishing in the area, which reinforces the importance of regularly updating impact assessments and considering the adequacy of the existing management framework through the SC and the Commission;

*Concerned* about potential significant adverse impacts of bottom fisheries on marine species and VMEs in the western part of the Convention Area.

*Recognizing* Article 2 of the Convention on the Conservation and Management of High Seas Fisheries Resources in the North Pacific Ocean (the Convention), which provides that the objective of the Convention is to ensure the long-term conservation and sustainable use of the fisheries resources in the Convention Area while protecting the marine ecosystems of the North Pacific Ocean in which these resources occur;

*Recognizing* further Articles 3 (c) and (e) of the Convention, which call on the Commission to adopt and implement measures in accordance with the precautionary approach and ecosystem approach to fisheries and protect biodiversity in the marine environment, including by preventing significant adverse impacts on vulnerable marine ecosystems;

*Re-affirming* NPFC's commitment to the precautionary approach and to implementing an ecosystem approach to fisheries management;

*Noting* the ongoing work of the Scientific Committee to address the FAO International Guidelines for the Management of Deep-Sea Fisheries in the High Seas, including the identification of VMEs;

*Underscoring* the ecological importance of the Emperor Seamounts to the fisheries resources and biodiversity of the NPFC convention area;

*Adopts* the following Conservation and Management Measure:

## **Scope**

1. This CMM applies to all bottom fishing activities for fisheries resources throughout the high seas areas of the Northwestern Pacific Ocean, defined, for the purposes of this document, as those occurring in the Convention Area as set out in Article 4 of the Convention text to the west of the line of 175 degrees W longitude (hereinafter called “the western part of the Convention Area”).

## **General purpose**

2. The objective of this CMM is to ensure the long-term conservation and sustainable use of the fisheries resources in the Convention Area while protecting the marine ecosystems of the North Pacific Ocean in which these resources occur. The measures in this CMM aim to prevent significant adverse impacts on VMEs in the Convention Area of the North Pacific Ocean, acknowledging the complex dependency of fishing resources and species belonging to the same ecosystem within VMEs. The Commission shall regularly review, and as appropriate, revise this CMM considering the best available science and the recommendations of the NPFC Scientific Committee, and with reference to relevant guidance adopted by UNGA and FAO.

## **Principles**

3. The implementation of this CMM shall:
  - (a) be based on the best scientific information available,
  - (b) be in accordance with existing international laws and agreements including UNCLOS and other relevant international instruments,
  - (c) establish appropriate and effective conservation and management measures,
  - (d) be in accordance with the precautionary approach, and
  - (e) incorporate an ecosystem approach to fisheries management.

## **Measures**

4. Members of the Commission shall implement the following measures in order to achieve sustainable management of fish stocks and protection of VMEs in the western part of the Convention Area:
  - A. Limit fishing effort in bottom fisheries on the western part of the Convention Area to the level agreed in February 2007 in terms of the number of fishing vessels and other parameters which reflect the level of fishing effort, fishing capacity or potential impacts on marine ecosystems.
  - B. Not allow bottom fisheries to expand into the western part of the Convention Area where no such fishing is currently occurring, in particular, by limiting such bottom fisheries to seamounts located south of 45 degrees North Latitude and not allow bottom fisheries in other areas of the western part of the Convention Area covered by these measures and also not allow bottom fisheries to conduct fishing operation in areas deeper than 1,500m.
  - C. Notwithstanding subparagraphs A and B above, exceptions to these restrictions may be provided in cases where it can be shown that any fishing activity beyond such limits or in

any new areas would not have significant adverse impacts (SAIs) on marine species or any VME. Such fishing activity is subject to an exploratory fishery protocol (Annex 1).

- D. Any determinations pursuant to subparagraph C that any proposed fishing activity will not have SAIs on marine species or any VME are to be in accordance with the Science-based Standards and Criteria (Annex 2), which are consistent with the FAO International Guidelines for the Management of Deepsea Fisheries in the High Seas.
- E. Any determinations, by any flag State or pursuant to any subsequent arrangement for the management of the bottom fisheries in the areas covered by these measures, that fishing activity would not have SAIs on marine species or any VMEs, shall be made publicly available through agreed means.
- F. Prohibit its vessels from engaging in directed fishing on the following taxa: black coral (Antipatharia), gorgonians, pennatulaceans, stony corals (Scleractinia), soft corals, the classes of Hexactinellida and Demospongiae in the phylum Porifera as well as any other indicator species for VMEs as may be identified from time to time by the SC and approved by the Commission. The translation table of VME indicator corals between common and scientific names is attached to this CMM (Annex 7).
- G. Further, considering accumulated information regarding fishing activities in the western part of the Convention Area, in areas where, in the course of fishing operations, cold water corals more than 50Kg or sponges more than 350Kg are encountered in one gear retrieval, Members of the Commission shall require vessels flying their flag to cease bottom fishing activities in that location. In such cases, the vessel shall not resume fishing activities until it has relocated a sufficient distance, which shall be no less than 1 nautical mile, so that additional encounters with VMEs are unlikely. All such encounters, including the location, gear type, date, time and name and weight of the VME indicator species, shall be reported to the Secretariat, through the Member, within one business day. The Executive Secretary shall, within one business day, notify the other Members of the Commission and at the same time implement a temporary closure in the area to prohibit fishing vessels from contacting the sea floor with their fishing gear. Members shall inform their fleets and enforcement operations within one business day of the receipt of the notification from the Executive Secretary. It is agreed that the VME indicator taxa include five groups of cold water corals, specifically black corals (Antipatharia), gorgonians, pennatulaceans, stony coral (Scleractinia), and soft corals. The VME indicator taxa also include the classes of Hexactinellida and Demospongiae in the phylum Porifera.
- H. Based on all the available data, including data on the VME encounter and distribution received from the fishing vessel(s), research survey data, visual survey data, and/or model results, the Scientific Committee (SC) shall assess and conclude if the area has a VME. If so, the SC shall recommend to the Commission that the temporary closure be made

permanent, although the boundary of the closure may be adjusted, or suggest other appropriate measures. Otherwise, the Executive Secretary shall inform the Members that they may reopen the area to their vessels.

- I. C-H seamount, the Southeastern part of Koko seamount (specifically, the area South of 34 degrees 57 minutes North, East of the 400m isobaths, East of 171 degrees 54 minutes East, North of 34 degrees 50 minutes North), are closed to prevent potential significant adverse impacts on VMEs consistent with the precautionary approach. Fishing in these areas requires exploratory fishery protocol (Annex 1).
- J. Ensure that the distance between the footrope of the gill net and sea floor is greater than 70 cm.
- K. Apply a bottom fisheries closure from November to January.
- L. Limit annual catch of North Pacific armorhead consistent with the precautionary approach. In years when strong recruitment of North Pacific armorhead is not detected by the monitoring survey (Annex 6), Japan shall limit the catch of North Pacific armorhead by vessels flying its flag to 500 tons, and Korea shall limit its catch of North Pacific armorhead by vessels flying its flag to 200 tons. When a strong recruitment of North Pacific armorhead is detected by the monitoring survey (Annex 6), Japan shall limit its annual catch of North Pacific armorhead by vessels flying its flag to 10,000 tons, and Korea shall limit its annual catch of North Pacific armorhead by vessels flying its flag to 2,000 tons. The catch overages for any given year shall be subtracted from the applicable annual catch limit in the following year, and catch underages during any given year shall not be added to the applicable annual catch limit during the following year.
- M. During a year when high recruitment is detected, bottom fishing with trawl gear shall be prohibited in specific areas in the Emperor seamounts where half of the catch occurred in 2010 and 2012 (Annex 6). Determination of a strong recruitment year and of the specific areas where bottom fishing with trawl gear is prohibited shall be communicated to all Members and Cooperating Non-Contracting Parties following the procedure specified in Annex 6.
- N. Catch in the monitoring surveys shall not be included in the catch limits specified in paragraphs L but shall be reported to the Secretariat.
- O. Development of new fishing activity for the North Pacific armorhead and splendid alfonsino in the Convention Area by Members without documented historical catch for North Pacific armorhead and splendid alfonsino in the Convention Area shall be determined in accordance with relevant provisions, including but not limited to Article 3, paragraph (h) and Article 7, subparagraphs 1(g) and (h) of the Convention.

- P. Fishing activity for the North Pacific armorhead and splendid alfonsino in the Convention Area by Members with documented historical catch for North Pacific armorhead and splendid alfonsino in the Convention Area is not precluded.
- Q. Members shall require vessels flying their flags to use trawl nets with mesh size greater than or equal to 130mm of stretched mesh with 5kg tension in the codend when conducting fishing activities for North Pacific armorhead or splendid alfonsino.
- R. Task the Scientific Committee with reviewing the appropriate methods for establishing catch limits, and the adequacy and practicability of the adaptive management plan described in subparagraphs K, L, M, N, O, P, Q and Annex 6 from time to time and recommending revisions and actions, if necessary.
- S. Prohibit its bottom fishing vessels from contacting the sea floor with their fishing gear in the following four sites with VME indicator species. A Member of the Commission whose fishing vessels entered these areas shall report to the TCC as to how it ensured the compliance of this measure.

Sites with VME indicator species (Areas surrounded by the straight lines linking the 4 geographical points below)

|                                       |                        |                        |
|---------------------------------------|------------------------|------------------------|
| Northwestern part of Koko Seamount    | 35-44.75 N 171-07.60 E | 35-44.75 N 171-07.80 E |
|                                       | 35-43.80 N 171-07.80 E | 35-43.80 N 171-08.00 E |
| Northern Ridge of Colahan Seamount    | 31-03.85 N 175-53.40 E | 31-03.85 N 175-53.65 E |
|                                       | 31-03.50 N 175-53.50 E | 31-03.05 N 175-53.85 E |
| Northwestern part of Yuryaku Seamount | 32-42.75 N 172-12.90 E | 32-42.75 N 172-13.65 E |
|                                       | 32-43.50 N 172-13.65 E | 32-43.50 N 172-12.90 E |
| Southeastern part of Yuryaku Seamount | 32-37.80 N 172-18.00 E | 32-37.80 N 172-18.60 E |
|                                       | 32-38.40 N 172-18.60 E | 32-38.40 N 172-18.00 E |

### **Contingent Action**

5. Members of the Commission shall submit to the SC their assessments of the impacts of fishing activity on marine species or any VMEs, including the proposed management measures to prevent such impact. Such submissions shall include all relevant data and information in support of any such assessment. Procedures for such reviews including procedures for the provision of advice and recommendations from the SC to the submitting Member are attached (Annex 3). Members will only authorize bottom fishing activity pursuant to paragraph 4 (C).

## **Scientific Information**

6. To facilitate the scientific work associated with the implementation of these measures, each Member of the Commission shall undertake:

A. Reporting of information for purposes of defining the footprint

Members of the Commission shall provide, for each year, the number of vessels by gear type, size of vessels (tons), number of fishing days or days on the fishing grounds, total catch by species, and areas fished (names of seamounts) to the Secretariat. The Secretariat shall circulate the information received to the other Members consistent with the approved Regulations for Management of Scientific Data and Information. To support assessments of the fisheries and refinement of conservation and management measures, Members of the Commission are to provide updated information on an annual basis.

B. Collection of information

- (i) Members shall ensure each bottom fishing vessel operating in the western part of the Convention Area collects the following scientific information. Members shall provide the scientific information to the Secretariat.

(a) Catch and effort data

(b) Related information such as time, location, depth, temperature, etc.

- (ii) As appropriate, Members should encourage the collection of information from research vessels operating in the western part of the Convention Area and provide updates to the Commission to the extent possible.

(a) Physical, chemical, biological, oceanographic, meteorological, etc.

(b) Ecosystem surveys.

(c) Seabed mapping (e.g. multibeam or other echosounder); seafloor images by drop camera, remotely operated underwater vehicle (ROV) and/or autonomous underwater vehicle (AUV).

- (iii) Collection of observer data

Duly designated observers from the flag member shall collect information from bottom fishing vessels operating in the western part of the Convention Area. Observers shall collect data in accordance with Annex 5. Each Member of the Commission shall submit the reports to the Secretariat in accordance with Annex 4. The Secretariat shall compile this information on an annual basis and make it available to the Members of the Commission.

## **Vessel Monitoring System**

7. To strengthen its control over bottom fishing vessels flying its flag, each Member of the Commission shall ensure that all such vessels operating in the western part of the Convention Area be equipped with an operational vessel monitoring system.

## Observers

8. Members shall ensure that all vessels authorized to bottom fish in the western part of the Convention Area shall carry an observer on board. Members shall ensure that observers are independent, impartial, and qualified to fulfill the requirements of this measure and to enhance data collection. An observer is deemed to be independent, impartial, and qualified if the observer:

- (a) is deployed from a Commission Member's, or Cooperating non-Contracting Party's, national observer program, and familiar with NPFC fisheries resources, fishing activities, and CMMs;
- (b) is neither part of the crew, nor has any employment or family relationship to the ownership or operator of the fishing vessel; and
- (c) does not have any shared business interests with the owner or operator of the fishing vessel.

An observer shall be provisioned, accommodated, and provided safe working conditions and access to independent communications in accordance with the Commission requirements and the Member's domestic laws and regulations.

## EXPLORATORY FISHERY PROTOCOL IN THE NORTH PACIFIC OCEAN

1. From 1 January 2009, all bottom fishing activities in new fishing areas and areas where fishing is prohibited in a precautionary manner or with bottom gear not previously used in the existing fishing areas, are to be considered as “exploratory fisheries” and to be conducted in accordance with this protocol.
2. Precautionary conservation and management measures, including catch and effort controls, are essential during the exploratory phase of deep sea fisheries. Implementation of a precautionary approach to sustainable exploitation of deep sea fisheries shall include the following measures:
  - (i) precautionary effort limits, particularly where reliable assessments of sustainable exploitation rates of target and main by-catch species are not available;
  - (ii) precautionary measures, including precautionary spatial catch limits where appropriate, to prevent serial depletion of low-productivity stocks;
  - (iii) regular review of appropriate indices of stock status and revision downwards of the limits listed above when significant declines are detected;
  - (iv) measures to prevent significant adverse impacts on vulnerable marine ecosystems; and
  - (v) comprehensive monitoring of all fishing effort, capture of all species and interactions with VMEs.
3. When a member of the Commission would like to conduct exploratory fisheries, it is to follow the following procedure:
  - (i) Prior to the commencement of fishing, the member of the Commission is to circulate the information and assessment in Appendix 1.1 to the members of the Scientific Committee (SC) for review and to all members of the Commission for information, together with the impact assessment. Such information is to be provided to the other members at least 30 days in advance of the meeting at which the information shall be reviewed.
  - (ii) The assessment in (i) above is to be conducted in accordance with the procedure set forth in “Science-based Standards and Criteria for Identification of VMEs and Assessment of Significant Adverse Impacts on VMEs and Marine Species (Annex 2)”, with the understanding that particular care shall be taken in the evaluation of risks of the significant adverse impact on vulnerable marine ecosystems (VMEs), in line with the precautionary approach.
  - (iii) The SC is to review the information and the assessment submitted in (i) above in accordance with “SC Assessment Review Procedures for Bottom Fishing Activities (Annex 3).”

- (iv) The exploratory fisheries are to be permitted only where the assessment concludes that they would not have significant adverse impacts (SAIs) on marine species or any VMEs and on the basis of comments and recommendations of SC. Any determinations, by any Member of the Commission or the SC, that the exploratory fishing activities would not have SAIs on marine species or any VMEs, shall be made publicly available through the NPFC website.
- 4. The member of the Commission is to ensure that all vessels flying its flag conducting exploratory fisheries are equipped with a satellite monitoring device and have an observer on board at all times.
- 5. Within 3 months of the end of the exploratory fishing activities or within 12 months of the commencement of fishing, whichever occurs first, the member of the Commission is to provide a report of the results of such activities to the members of the SC and all members of the Commission. If the SC meets prior to the end of this 12-month period, the member of the Commission is to provide an interim report 30 days in advance of the SC meeting. The information to be included in the report is specified in Appendix 1.2.
- 6. The SC is to review the report in 5 above and decide whether the exploratory fishing activities had SAIs on marine species or any VME. The SC then is to send its recommendations to the Commission on whether the exploratory fisheries can continue and whether additional management measures shall be required if they are to continue. The Commission is to strive to adopt conservation and management measures to prevent SAIs on marine species or any VMEs. If the Commission is not able to reach consensus on any such measures, each fishing member of the Commission is to adopt measures to avoid any SAIs on VMEs.
- 7. Members of the Commission shall only authorize continuation of exploratory fishing activity, or commencement of commercial fishing activity, under this protocol on the basis of comments and recommendations of the SC.
- 8. The same encounter protocol should be applied in both fished and unfished areas specified in Annex 2, paragraph 4(1)(a).

## **Appendix 1.1**

### **Information to be provided before exploratory fisheries start**

- 1. A harvesting plan
  - Name of vessel
  - Flag member of vessel

- Description of area to be fished (location and depth)
- Fishing dates
- Anticipated effort
- Target species
- Bottom fishing gear-type used
- Area and effort restrictions to ensure that fisheries occur on a gradual basis in a limited geographical area.

## 2. A mitigation plan

- Measures to prevent SAIs to VMEs that may be encountered during the fishery

## 3. A catch monitoring plan

- Recording/reporting of all species brought onboard to the lowest possible taxonomic level
- 100% satellite monitoring
- 100% observer coverage

## 4. A data collection plan

- Data is to be collected in accordance with “Type and Format of Scientific Observer Data to be Collected” (Annex 5)

## Appendix 1.2

### Information to be included in the report

- Name of vessel
- Flag member of vessel
- Description of area fished (location and depth)
- Fishing dates
- Total effort
- Bottom fishing gear-type used
- List of VME encountered (the amount of VME indicator species for each encounter specifying the location: longitude and latitude)
- Mitigation measures taken in response to the encounter of VME
- List of all organisms brought onboard
- List of VMEs indicator species brought onboard by location: longitude and latitude

## **SCIENCE-BASED STANDARDS AND CRITERIA FOR IDENTIFICATION OF VMES AND ASSESSMENT OF SIGNIFICANT ADVERSE IMPACTS ON VMES AND MARINE SPECIES**

### 1. Introduction

Members of the Commission have hereby established science-based standards and criteria to guide their implementation of United Nations General Assembly (UNGA) Resolution 61/105 and the measures adopted by the Members in respect of bottom fishing activities in the North Pacific Ocean (NPO). In this regard, these science-based standards and criteria are to be applied to identify vulnerable marine ecosystems (VMEs) and assess significant adverse impacts (SAIs) of bottom fishing activities on such VMEs or marine species and to promote the long-term sustainability of deep sea fisheries in the Convention Area. The science-based standards and criteria are consistent with the FAO International Guidelines for the Management of Deep-Sea Fisheries in the High Seas, taking into account the work of other RFMOs implementing management of deep-sea bottom fisheries in accordance with UNGA Resolution 61/105. The standards and criteria are to be modified from time to time as more data are collected through research activities and monitoring of fishing operations.

### 2. Purpose

- (1) The purpose of the standards and criteria is to provide guidelines for each member of the Commission in identifying VMEs and assessing SAIs of individual bottom fishing activities<sup>1</sup> on VMEs or marine species in the Convention Area. Each member of the Commission, using the best information available, is to decide which species or areas are to be categorized as VMEs, identify areas where VMEs are known or likely to occur, and assess whether individual bottom fishing activities would have SAIs on such VMEs or marine species. The results of these tasks are to be submitted to and reviewed by the Scientific Committee with a view to reaching a common understanding among the members of the Commission.
- (2) For the purpose of applying the standards and criteria, the bottom fisheries are defined as follows:
  - (a) The fisheries are conducted in the Convention Area;
  - (b) The total catch (everything brought up by the fishing gear) includes species that can only sustain low exploitation rates; and
  - (c) The fishing gear is likely to contact the seafloor during the normal course of fishing operations.

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<sup>1</sup> “individual bottom fishing activities” means fishing activities by each fishing gear. For example, if ten fishing vessels operate bottom trawl fishing in a certain area, the impacts of the fishing activities of these vessels on the ecosystem are to be assessed as a whole rather than on a vessel-by-vessel basis. It should be noted that if the total number or capacity of the vessels using the same fishing gear has increased, the impacts of the fishing activities are to be assessed again.

### 3. Definition of VMEs

- (1) Although Paragraph 83 of UNGA Resolution 61/105 refers to seamounts, hydrothermal vents and cold-water corals as examples of VMEs, there is no definitive list of specific species or areas that are to be regarded as VMEs.
- (2) Vulnerability is related to the likelihood that a population, community or habitat will experience substantial alteration by fishing activities and how much time will be required for its recovery from such alteration. The most vulnerable ecosystems are those that are both easily disturbed and are very slow to recover or may never recover. The vulnerabilities of populations, communities and habitats are to be assessed relative to specific threats. Some features, particularly ones that are physically fragile or inherently rare may be vulnerable to most forms of disturbance, but the vulnerability of some populations, communities and habitats may vary greatly depending on the type of fishing gear used or the kind of disturbance experienced. The risks to a marine ecosystem are determined by its vulnerability, the probability of a threat occurring and the mitigation means applied to the threat. Accordingly, the FAO Guidelines only provide examples of potential vulnerable species groups, communities and habitats as well as features that potentially support them (Annex 2.1).
- (3) A marine ecosystem is to be classified as vulnerable based on its characteristics. The following list of characteristics is used as criteria in the identification of VMEs.
  - (a) Uniqueness or rarity - an area or ecosystem that is unique or that contains rare species whose loss could not be compensated for by other similar areas. These include:
    - (i) Habitats that contain endemic species;
    - (ii) Habitats of rare, threatened or endangered species that occur in discrete areas;
    - (iii) Nurseries or discrete feeding, breeding, or spawning areas.
  - (b) Functional significance of the habitat – discrete areas or habitats that are necessary for the survival, function, spawning/reproduction or recovery of fish stocks, particular life-history stages (e.g. nursery grounds or rearing areas), or of rare, threatened or endangered marine species.
  - (c) Fragility – an ecosystem that is highly susceptible to degradation by anthropogenic activities
  - (d) Life-history traits of component species that make recovery difficult – ecosystems that are characterized by populations or assemblages of species with one or more of the following characteristics:
    - (i) Slow growth rates
    - (ii) Late age of maturity
    - (iii) Low or unpredictable recruitment
    - (iv) Long-lived
  - (e) Structural complexity – an ecosystem that is characterized by complex physical structures created by significant concentrations of biotic and abiotic features. In these ecosystems, ecological processes are usually highly dependent on these structured systems. Further, such ecosystems often have high diversity, which is dependent on the structuring organisms.

- (4) Management response may vary, depending on the size of the ecological unit in the Convention Area. Therefore, the spatial extent of the ecological unit is to be decided first. That is, whether the ecological unit is the entire Area, or the current fishing ground, namely, the Emperor Seamount and Northern Hawaiian Ridge area (hereinafter called “the ES-NHR area”), or a group of the seamounts within the ESNHR area, or each seamount in the ES-NHR area, is to be decided using the above criteria.

#### 4. Identification of potential VMEs

##### (1) Fished seamounts

###### (a) Identification of fished seamounts

It is reported that four types of fishing gear are currently used by the members of the Commission in the ES-NHR area, namely, bottom trawl, bottom gillnet, bottom longline and pot. A fifth type of fishing gear (coral drag) was used in the ES-NHR area from the mid-1960s to the late 1980s and is possibly still used by non-members of the Commission. These types of fishing gear are usually used on the top or slope of seamounts, which could be considered VMEs. It is therefore necessary to identify the footprint of the bottom fisheries (fished seamounts) based on the available fishing record. The following seamounts have been identified as fished seamounts: Suiko, Showa, Youmei, Nintoku, Jingu, Ojin, Northern Koko, Koko, Kinmei, Yuryaku, Kammu, Colahan, and CH. Since the use of most of these gears in the ES-NHR area dates back to the late 1960s and 1970s, it is important to establish, to the extent practicable, a time series of where and when these gears have been used in order to assess potential long-term effects on any existing VMEs.

Fishing effort may not be evenly distributed on each seamount since fish aggregation may occur only at certain points of the seamount and some parts of the seamount may be physically unsuitable for certain fishing gears. Thus, it is important to know actual fished areas within the same seamount so as to know the gravity of the impact of fishing activities on the entire seamount.

Due consideration is to be given to the protection of commercial confidentiality when identifying actual fishing grounds.

###### (b) Assessment on whether a specific seamount that has been fished is a VME

After identifying the fished seamounts or fished areas of seamounts, it is necessary to assess whether each fished seamount is a VME or contains VMEs in accordance with the criteria in 3 above, individually or in combination using the best available scientific and technical information as well as Annex 2.1. A variety of data would be required to conduct such assessment, including pictures of seamounts taken by an ROV camera or drop camera, biological samples collected through research activities and observer programs, and detailed bathymetry map. Where site-specific information is lacking, other information that is relevant to inferring the likely presence of VMEs is to be used. The flow chart to identify data that can be used to identify VMEs is attached in Annex 2.3.

(2) New fishing areas

Any place other than the fished seamounts above is to be regarded as a new fishing area. If a member of the Commission is considering fishing in a new fishing area, such a fishing area is to be subject to, in addition to these standards and criteria, an exploratory fishery protocol (Annex 1).

5. Assessment of SAIs on VMEs or marine species

- (1) Significant adverse impacts are those that compromise ecosystem integrity (i.e., ecosystem structure or function) in a manner that: (i) impairs the ability of affected populations to replace themselves; (ii) degrades the long-term natural productivity of habitats; or (iii) causes, on more than a temporary basis, significant loss of species richness, habitat or community types. Impacts are to be evaluated individually, in combination and cumulatively.
- (2) When determining the scale and significance of an impact, the following six factors are to be considered:
  - (a) The intensity or severity of the impact at the specific site being affected;
  - (b) The spatial extent of the impact relative to the availability of the habitat type affected;
  - (c) The sensitivity/vulnerability of the ecosystem to the impact;
  - (d) The ability of an ecosystem to recover from harm, and the rate of such recovery;
  - (e) The extent to which ecosystem functions may be altered by the impact; and
  - (f) The timing and duration of the impact relative to the period in which a species needs the habitat during one or more life-history stages.
- (3) Temporary impacts are those that are limited in duration and that allow the particular ecosystem to recover over an acceptable timeframe. Such timeframes are to be decided on a case-by-case basis and be on the order of 5-20 years, taking into account the specific features of the populations and ecosystems.
- (4) In determining whether an impact is temporary, both the duration and the frequency with which an impact is repeated is to be considered. If the interval between the expected disturbances of a habitat is shorter than the recovery time, the impact is to be considered more than temporary.
- (5) Each member of the Commission is to conduct assessments to establish if bottom fishing activities are likely to produce SAIs in a given seamount or other VMEs. Such an impact assessment is to address, *inter alia*:
  - (a) Type of fishing conducted or contemplated, including vessel and gear types, fishing areas, target and potential bycatch species, fishing effort levels and duration of fishing;
  - (b) Best available scientific and technical information on the current state of fishery resources, and baseline information on the ecosystems, habitats and communities in the fishing area, against which future changes are to be compared;
  - (c) Identification, description and mapping of VMEs known or likely to occur in the fishing area;

- (d) The data and methods used to identify, describe and assess the impacts of the activity, identification of gaps in knowledge, and an evaluation of uncertainties in the information presented in the assessment;
  - (e) Identification, description and evaluation of the occurrence, scale and duration of likely impacts, including cumulative impacts of activities covered by the assessment on VMEs and low-productivity fishery resources in the fishing area;
  - (f) Risk assessment of likely impacts by the fishing operations to determine which impacts are likely to be SAIs, particularly impacts on VMEs and low-productivity fishery resources (Risk assessments are to take into account, as appropriate, differing conditions prevailing in areas where fisheries are well established and in areas where fisheries have not taken place or only occur occasionally);
  - (g) The proposed mitigation and management measures to be used to prevent SAIs on VMEs and ensure long-term conservation and sustainable utilization of low-productivity fishery resources, and the measures to be used to monitor effects of the fishing operations.
- (6) Impact assessments are to consider, as appropriate, the information referred to in these Standards and Criteria, as well as relevant information from similar or related fisheries, species and ecosystems.
- (7) Where an assessment concludes that the area does not contain VMEs or that significant adverse impacts on VMEs or marine species are not likely, such assessments are to be repeated when there have been significant changes to the fishery or other activities in the area, or when natural processes are thought to have undergone significant changes.

#### 6. Proposed conservation and management measures to prevent SAIs

As a result of the assessment in 5 above, if it is considered that individual fishing activities are causing or likely to cause SAIs on VMEs or marine species, the member of the Commission is to adopt appropriate conservation and management measures to prevent such SAIs. The member of the Commission is to clearly indicate how such impacts are expected to be prevented or mitigated by the measures.

#### 7. Precautionary approach

If after assessing all available scientific and technical information, the presence of VMEs or the likelihood that individual bottom fishing activities would cause SAIs on VMEs or marine species cannot be adequately determined, members of the Commission are only to authorize individual bottom fishing activities to proceed in accordance with:

- (a) Precautionary, conservation and management measures to prevent SAIs;
- (b) Measures to address unexpected encounters with VMEs in the course of fishing operations;
- (c) Measures, including ongoing scientific research, monitoring and data collection, to reduce the uncertainty; and
- (d) Measures to ensure long-term sustainability of deep sea fisheries.

#### 8. Template for assessment report

Annex 2.2 is a template for individual member of the Commission to formulate reports on identification of VMEs and impact assessment.

## Annex 2.1

### Examples of potential vulnerable species groups, communities and habitats as well as features that potentially support them

The following examples of species groups, communities, habitats and features often display characteristics consistent with possible VMEs. Merely detecting the presence of an element itself is not sufficient to identify a VME. That identification is to be made on a case-by-case basis through application of relevant provisions of the Standards and Criteria, particularly Sections 3, 4 and 5.

| Examples of species groups, communities and habitat forming species that are documented or considered sensitive and potentially vulnerable to deep-sea fisheries in the high-seas, and which may contribute to forming VMEs: |                                                                                                                                                                                                      |
|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| a.                                                                                                                                                                                                                           | certain cold-water corals, e.g., reef builders and coral forest including: stony corals (Scleractinia), gorgonians, black corals (Antipatharia), and hydrocorals (stylasteridae),                    |
| b.                                                                                                                                                                                                                           | Some types of sponge dominated communities,                                                                                                                                                          |
| c.                                                                                                                                                                                                                           | communities composed of dense emergent fauna where large sessile protozoans (xenophyophores) and invertebrates (e.g., hydroids and bryozoans) form an important structural component of habitat, and |
| d.                                                                                                                                                                                                                           | seep and vent communities comprised of invertebrate and microbial species found nowhere else (i.e., endemic).                                                                                        |

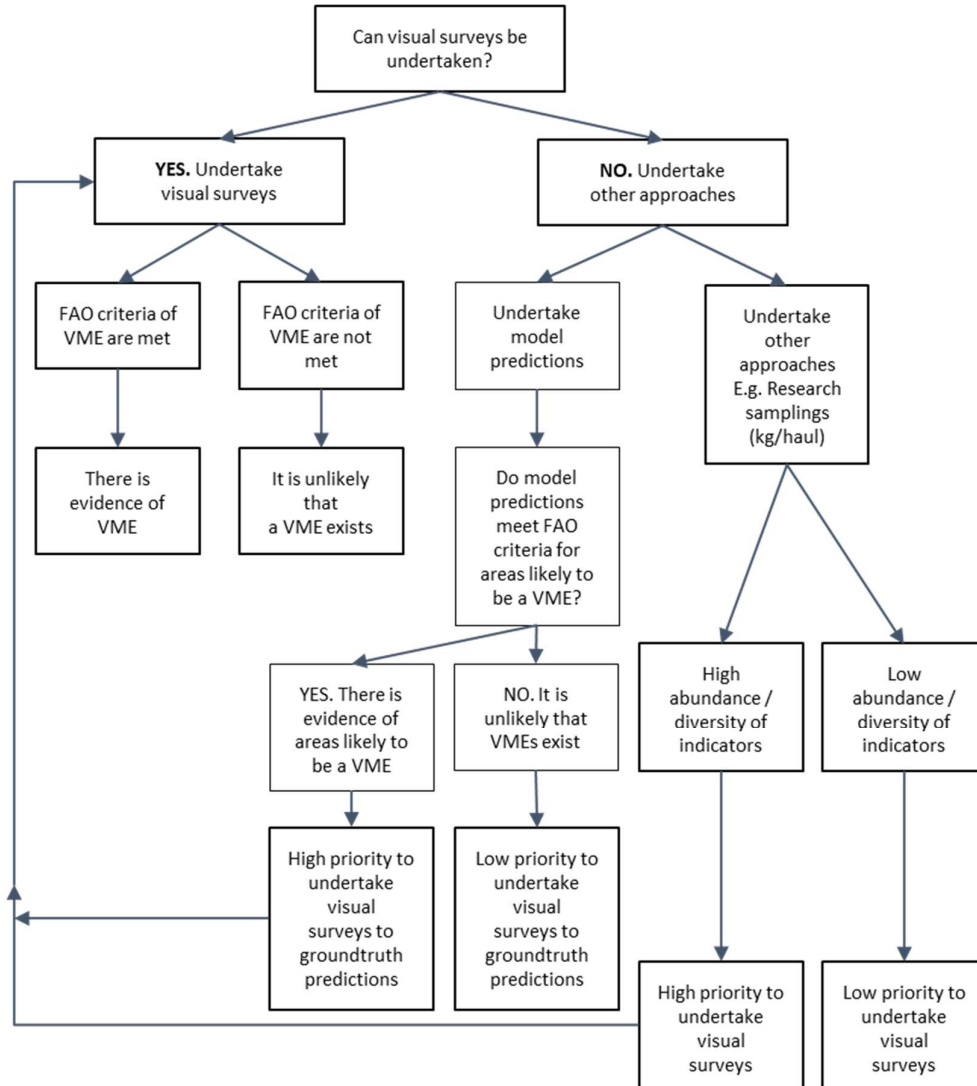
Examples of topographical, hydrophysical or geological features, including fragile geological structures, that potentially support the species groups or communities referred to above:

- submerged edges and slopes (e.g., corals and sponges)
- summits and flanks of seamounts, guyots, banks, knolls, and hills (e.g., corals, sponges and xenophyphores)
- canyons and trenches (e.g., burrowed clay outcrops, corals),
- hydrothermal vents (e.g., microbial communities and endemic invertebrates), and
- cold seeps (e.g., mud volcanoes, microbes, hard substrates for sessile invertebrates).

**Template for reports on identification of VMEs and assessment of impacts caused by individual fishing activities on VMEs or marine species**

1. Name of the member of the Commission
2. Name of the fishery (e.g., bottom trawl, bottom gillnet, bottom longline, pot)
3. Status of the fishery (existing fishery or exploratory fishery)
4. Target species
5. Bycatch species
6. Recent level of fishing effort (every year at least since 2002)
  - (1) Number of fishing vessels
  - (2) Tonnage of each fishing vessel
  - (3) Number of fishing days or days on the fishing ground
  - (4) Fishing effort (total operating hours for trawl, # of hooks per day for long-line, # of pots per day for pot, total length of net per day for gillnet)
  - (5) Total catch by species
  - (6) Names of seamounts fished or to be fished
7. Fishing period
8. Analysis of status of fishery resources
  - (1) Data and methods used for analysis
  - (2) Results of analysis
  - (3) Identification of uncertainties in data and methods, and measures to overcome such uncertainties
9. Analysis of status of bycatch species resources
  - (1) Data and methods used for analysis
  - (2) Results of analysis
  - (3) Identification of uncertainties in data and methods, and measures to overcome such uncertainties
10. Analysis of existence of VMEs in the fishing ground
  - (1) Data and methods used for analysis
  - (2) Results of analysis
  - (3) Identification of uncertainties in data and methods, and measures to overcome such uncertainties
11. Impact assessment of fishing activities on VMEs or marine species including cumulative impacts, and identification of SAIs on VMEs or marine species, as detailed in Section 5 above, Assessment of SAIs on VMEs or marine species
12. Other points to be addressed
13. Conclusion (whether to continue or start fishing with what measures, or stop fishing).

## Flow chart to identify data that can be used to identify VMEs in the NPFC Convention Area



**SCIENTIFIC COMMITTEE ASSESSMENT REVIEW PROCEDURES FOR BOTTOM FISHING ACTIVITIES**

1. The Scientific Committee (SC) is to review identifications of vulnerable marine ecosystems (VMEs) and assessments of significant adverse impact on VMEs, including proposed management measures intended to prevent such impacts submitted by individual Members.
2. Members of the Commission shall submit their identifications and assessments to members of the SC at least 21 days prior to the SC meeting at which the review is to take place. Such submissions shall include all relevant data and information in support of such determinations.
3. The SC will review the data and information in each assessment in accordance with the Science-based Standards and Criteria for Identification of VMEs and Assessment of Significant Adverse Impacts on VMEs and Marine Species (Annex 2), previous decisions of the Commission, and the FAO Technical Guidelines for the Management of Deep Sea Fisheries in the High Seas, paying special attention to the assessment process and criteria specified in paragraphs 47-49 of the Guidelines.
4. In conducting the review above, the SC will give particular attention to whether the deep-sea bottom fishing activity would have a significant adverse impact on VMEs and marine species and, if so, whether the proposed management measures would prevent such impacts.
5. Based on the above review, the SC will provide advice and recommendations to the submitting Members on the extent to which the assessments and related determinations are consistent with the procedures and criteria established in the documents identified above; and whether additional management measures will be required to prevent SAIs on VMEs.
6. Such recommendations will be reflected in the report of the SC meeting at which the assessments are considered.

## **FORMAT OF NATIONAL REPORT SECTIONS ON DEVELOPMENT AND IMPLEMENTATION OF SCIENTIFIC OBSERVER PROGRAMMES**

### **Report Components**

Annual Observer Programme implementation reports should form a component of annual National Reports submitted by members to the Scientific Committee. These reports should provide a brief overview of observer programmes conducted in the NPFC Convention Area. Observer programme reports should include the following sections:

#### **A. Observer Training**

An overview of observer training conducted, including:

- Overview of training programme provided to scientific observers.
- Number of observers trained.

#### **B. Scientific Observer Programme Design and Coverage**

Details of the design of the observer programme, including:

- Which fleets, fleet components or fishery components were covered by the programme.
- How vessels were selected to carry observers within the above fleets or components.
- How was observer coverage stratified: by fleets, fisheries components, vessel types, vessel sizes, vessel ages, fishing areas and seasons.

Details of observer coverage of the above fleets, including:

- Components, areas, seasons and proportion of total catches of target species, specifying units used to determine coverage.
- Total number of observer employment days, and number of actual days deployed on observation work.

#### **C. Observer Data Collected**

List of observer data collected against the agreed range of data set out in Annex 5, including:

- Effort Data: Amount of effort observed (vessel days, net panels, hooks, etc), by area and season and % observed out of total by area and seasons
- Catch Data: Amount of catch observed of target and by-catch species, by area and season, and % observed out of total estimated catch by species, area and seasons
- Length Frequency Data: Number of fish measured per species, by area and season.

- Biological Data: Type and quantity of other biological data or samples (otoliths, sex, maturity, etc.) collected per species.
- The size of length-frequency and biological sub-samples relative to unobserved quantities.

#### **D. Detection of Fishing in Association with Vulnerable Marine Ecosystems**

- Information about VME encounters (species and quantity in accordance with Annex 5, H, 2).

#### **E. Tag Return Monitoring**

- Number of tags returns observed, by fish size class and area.

#### **F. Problems Experienced**

- Summary of problems encountered by observers and observer managers that could affect the NPFC Observer Programme Standards and/or each member's national observer programme developed under the NPFC standards.

## NPFC BOTTOM FISHERIES OBSERVER PROGRAMME STANDARDS: SCIENTIFIC COMPONENT

### TYPE AND FORMAT OF SCIENTIFIC OBSERVER DATA TO BE COLLECTED

#### A. Vessel & Observer Data to be collected for Each Trip

1. Vessel and observer details are to be recorded only once for each observed trip.
2. The following observer data are to be collected for each observed trip:
  - (a) NPFC vessel ID.
  - (b) Observer's name.
  - (c) Observer's organisation.
  - (d) Date observer embarked (UTC date).
  - (e) Port of embarkation.
  - (f) Date observer disembarked (UTC date).
  - (g) Port of disembarkation.

#### B. Catch & Effort Data to be collected for Trawl Fishing Activity

1. Data are to be collected on an un-aggregated (tow by tow) basis for all observed trawls.
2. The following data are to be collected for each observed trawl tow:
  - (a) Tow start date (UTC).
  - (b) Tow start time (UTC).
  - (c) Tow end date (UTC).
  - (d) Tow end time (UTC).
  - (e) Tow start position (Lat/Lon, 1 minute resolution).
  - (f) Tow end position (Lat/Lon, 1 minute resolution).
  - (g) Type of trawl, bottom or mid-water.
  - (h) Type of trawl, single, double or triple.
  - (i) Height of net opening (m).
  - (j) Width of net opening (m).
  - (k) Mesh size of the cod-end net (stretched mesh, mm) and mesh type (diamond, square, etc).
  - (l) Gear depth (of footrope) at start of fishing (m).
  - (m) Bottom (seabed) depth at start of fishing (m).
  - (n) Gear depth (of footrope) at end of fishing (m).
  - (o) Bottom (seabed) depth at end of fishing (m).
  - (p) Status of the trawl operation (no damage, lightly damaged\*, heavily damaged\*, other (specify)).

\*Degree may be evaluated by time for repairing (<=1hr or >1hr).

- (q) Duration of estimated period of seabed contact (minute)
- (r) Intended target species.
- (s) Catch of all species retained on board, split by species, in weight (to the nearest kg).
- (t) Estimate of the amount (weight or volume) of all living marine resources discarded, split by species.
- (u) Record of the numbers by species of all marine mammals, seabirds or reptiles caught.

### **C. Catch & Effort Data to be collected for Bottom Gillnet Fishing Activity**

1. Data are to be collected on an un-aggregated (set by set) basis for all observed bottom gillnet sets.
2. The following data are to be collected for each observed bottom gillnet set:
  - (a) Set start date (UTC).
  - (b) Set start time (UTC).
  - (c) Set end date (UTC).
  - (d) Set end time (UTC).
  - (e) Set start position (Lat/Lon, 1 minute resolution).
  - (f) Set end position (Lat/Lon, 1 minute resolution).
  - (g) Net panel ("tan") length (m).
  - (h) Net panel ("tan") height (m).
  - (i) Net mesh size (stretched mesh, mm) and mesh type (diamond, square, etc)
  - (j) Bottom depth at start of setting (m).
  - (k) Bottom depth at end of setting (m).
  - (l) Number of net panels for the set.
  - (m) Number of net panels retrieved.
  - (n) Number of net panels actually observed during the haul.
  - (o) Actually observed catch of all species retained on board, split by species, in weight (to the nearest kg).
  - (p) An estimation of the amount (numbers or weight) of marine resources discarded, split by species, during the actual observation.
  - (q) Record of the actually observed numbers by species of all marine mammals, seabirds or reptiles caught.
  - (r) Intended target species.
  - (s) Catch of all species retained on board, split by species, in weight (to the nearest kg).
  - (t) Estimate of the amount (weight or volume) of all marine resources discarded\* and dropped off, split by species. \* Including those retained for scientific samples.
  - (u) Record of the numbers by species of all marine mammals, seabirds or reptiles caught (including those discarded and dropped-off).

### **D. Catch & Effort Data to be collected for Bottom Long Line Fishing Activity**

1. Data are to be collected on an un-aggregated (set by set) basis for all observed longline sets.

2. The following fields of data are to be collected for each set:
  - (a) Set start date (UTC).
  - (b) Set start time (UTC).
  - (c) Set end date (UTC).
  - (d) Set end time (UTC).
  - (e) Set start position (Lat/Lon, 1 minute resolution).
  - (f) Set end position (Lat/Lon, 1 minute resolution).
  - (g) Total length of longline set (m).
  - (h) Number of hooks or traps for the set.
  - (i) Bottom (seabed) depth at start of set.
  - (j) Bottom (seabed) depth at end of set.
  - (k) Number of hooks or traps actually observed during the haul.
  - (l) Intended target species.
  - (m) Actually observed catch of all species retained on board, split by species, in weight (to the nearest kg).
  - (n) An estimation of the amount (numbers or weight) of marine resources discarded\* or dropped-off, split by species, during the actual observation. \* Including those retained for scientific samples.
  - (o) Record of the actually observed numbers by species of all marine mammals, seabirds or reptiles caught (including those discarded and dropped-off).

#### **E. Length-Frequency Data to Be Collected**

1. Representative and randomly distributed length-frequency data (to the nearest mm, with record of the type of length measurement taken) are to be collected for representative samples of the target species and other main by-catch species. Total weight of length-frequency samples should be recorded, and observers may be required to also determine sex of measured fish to generate length-frequency data stratified by sex. The length-frequency data may be used as potential indicators of ecosystem changes (for example, see: Gislason, H. et al. (2000. ICES J Mar Sci 57: 468-475), Yamane et al. (2005. ICES J Mar Sci, 62: 374-379), and Shin, Y-J. et al. (2005. ICES J Mar Sci, 62: 384-396)).
2. The numbers of fish to be measured for each species and distribution of samples across area and month strata should be determined, to ensure that samples are properly representative of species distributions and size ranges.

#### **F. Biological sampling to be conducted (optional for gillnet and long line fisheries)**

1. The following biological data are to be collected for representative samples of the main target species and, time permitting, for other main by-catch species contributing to the catch:
  - (a) Species
  - (b) Length (to the nearest mm), with record of the type of length measurement used.
  - (c) Length and depth in case of North Pacific armorhead.
  - (d) Sex (male, female, indeterminate, not examined)
  - (e) Maturity stage (immature, mature, ripe, ripe-running, spent)

2. Representative stratified samples of otoliths are to be collected from the main target species and, time permitting, from other main by-catch species regularly occurring in catches. All otoliths to be collected are to be labelled with the information listed in 1 above, as well as the date, vessel name, observer name and catch position.
3. Where specific trophic relationship projects are being conducted, observers may be requested to also collect stomach samples from certain species. Any such samples collected are also to be labelled with the information listed in 1 above, as well as the date, vessel name, observer name and catch position.
4. Observers may also be required to collect tissue samples as part of specific genetic research programmes implemented by the SC.
5. Observers are to be briefed and provided with written length-frequency and biological sampling protocols and priorities for the above sampling specific to each observer trip.

#### **G. Data to be collected on Incidental Captures of Protected Species**

1. Flag members operating observer programs are to develop, in cooperation with the SC, lists and identification guides of protected species or species of concern (seabirds, marine mammals or marine reptiles) to be monitored by observers.
2. The following data are to be collected for all protected species caught in fishing operations:
  - (a) Species (identified as far as possible, or accompanied by photographs if identification is difficult).
  - (b) Count of the number caught per tow or set.
  - (c) Life status (vigorous, alive, lethargic, dead) upon release.
  - (d) Whole specimens (where possible) for onshore identification. Where this is not possible, observers may be required to collect sub-samples of identifying parts, as specified in biological sampling protocols.

#### **H. Detection of Fishing in Association with Vulnerable Marine Ecosystems**

1. The SC is to develop a guideline, species list and identification guide for benthic species (e.g. sponges, sea fans, corals) whose presence in a catch will indicate that fishing occurred in association with a vulnerable marine ecosystem (VME). All observers on vessels are to be provided with copies of this guideline, species list and ID guide.
2. For each observed fishing operation, the following data are to be collected for all species caught, which appear on the list of vulnerable benthic species:
  - (a) Species (identified as far as possible or accompanied by a photograph where identification is difficult).
  - (b) An estimate of the quantity (weight (kg) or volume (m<sup>3</sup>)) of each listed benthic species caught in the fishing operation.
  - (c) An overall estimate of the total quantity (weight (kg) or volume (m<sup>3</sup>)) of all invertebrate benthic species caught in the fishing operation.
  - (d) Where possible, and particularly for new or scarce benthic species which do not appear in ID guides, whole samples should be collected and suitable preserved for identification on shore.

## **I. Data to be collected for all Tag Recoveries**

1. The following data are to be collected for all recovered fish, seabird, mammal or reptile tags:

- (a) Observer name.
- (b) Vessel name.
- (c) Vessel call sign.
- (d) Vessel flag.
- (e) Collect, label (with all details below) and store the actual tags for later return to the tagging agency.
- (f) Species from which tag recovered.
- (g) Tag colour and type (spaghetti, archival).
- (h) Tag numbers (The tag number is to be provided for all tags when multiple tags were attached to one fish. If only one tag was recorded, a statement is required that specifies whether or not the other tag was missing)
- (i) Date and time of capture (UTC).
- (j) Location of capture (Lat/Lon, to the nearest 1 minute)
- (k) Animal length / size (to the nearest cm) with description of what measurement was taken (such as total length, fork length, etc).
- (l) Sex (F=female, M=male, I=indeterminate, D=not examined)
- (m) Whether the tags were found during a period of fishing that was being observed (Y/N)
- (n) Reward information (e.g. name and address where to send reward)

(It is recognised that some of the data recorded here duplicates data that already exists in the previous categories of information. This is necessary because tag recovery information may be sent separately to other observer data.)

## **J. Hierarchies for Observer Data Collection**

1. Trip-specific or programme-specific observer task priorities may be developed in response to specific research programme requirements, in which case such priorities should be followed by observers.
2. In the absence of trip- or programme-specific priorities, the following generalised priorities should be followed by observers:
  - (a) Fishing Operation Information
    - All vessel and tow / set / effort information.
  - (b) Monitoring of Catches
    - Record time, proportion of catch (e.g. proportion of trawl landing) or effort (e.g. number of hooks), and total numbers of each species caught.
    - Record numbers or proportions of each species retained or discarded.
  - (c) Biological Sampling
    - Length-frequency data for target species.
    - Length-frequency data for main by-catch species.
    - Identification and counts of protected species.
    - Basic biological data (sex, maturity) for target species.

- Check for presence of tags.
- Otoliths (and stomach samples, if being collected) for target species.
- Basic biological data for by-catch species.
- Biological samples of by-catch species (if being collected)
- Photos

3. The monitoring of catches and biological sampling procedures should be prioritised among species groups as follows:

| Species                                                                               | Priority<br>(1 highest) |
|---------------------------------------------------------------------------------------|-------------------------|
| Primary target species (such as North Pacific armorhead and splendid alfonsino)       | 1                       |
| Other species typically within top 10 in the fishery (such as mirror dory, and oreos) | 2                       |
| Protected species                                                                     | 3                       |
| All other species                                                                     | 4                       |

The allocation of observer effort among these activities will depend on the type of operation and setting. The size of sub-samples relative to unobserved quantities (e.g. number of hooks/panels examined for species composition relative to the number of hooks/panels retrieved) should be explicitly recorded under the guidance of member country observer programmes.

#### **K. Coding Specifications to be used for Recording Observer Data**

1. Unless otherwise specified for specific data types, observer data are to be collected in accordance with the same coding specifications as specified in this Annex.
2. Coordinated Universal Time (UTC) is to be used to describe times.
3. Degrees and minutes are to be used to describe locations.
4. The following coding schemes are to be used:
  - (a) Species are to be described using the FAO 3 letter species codes or, if species do not have a FAO code, using scientific names.
  - (b) Fishing methods are to be described using the International Standard Classification of Fishing Gear (ISSCFG - 29 July 1980) codes.
  - (c) Types of fishing vessel are to be described using the International Standard Classification of Fishery Vessels (ISSCFV) codes.
5. Metric units of measure are to be used, specifically:
  - (a) Kilograms are to be used to describe catch weight.
  - (b) Metres are to be used to describe height, width, depth, beam or length.
  - (c) Cubic metres are to be used to describe volume.
  - (d) Kilowatts are to be used to describe engine power.

## Implementation of the Adaptive Management for North Pacific armorhead

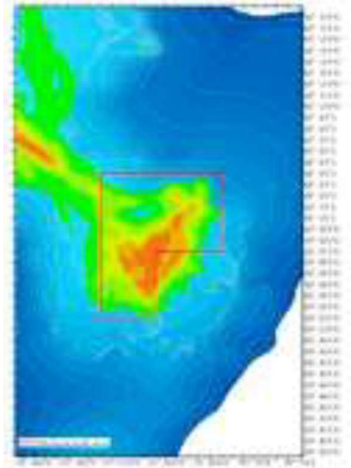
### 1. Monitoring survey for the detection of strong recruitment of North Pacific armorhead

#### (1) Location of monitoring surveys

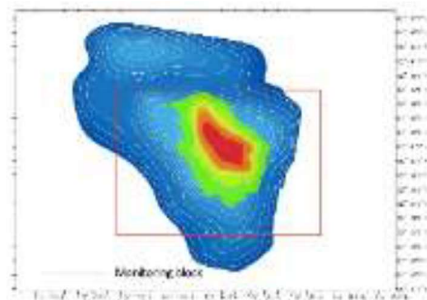
Monitoring surveys for the detection of strong recruitment of North Pacific armorhead will be conducted by trawl fishing vessels in the pre-determined four (24) monitoring blocks of Koko (South eastern), Yuryaku, Kammu (North western) and/or Colahan seamounts.

#### Monitoring blocks

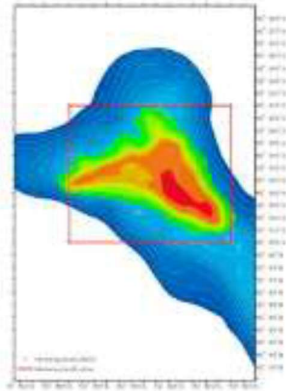
- (1) Koko seamount ( $34^{\circ}51' - 35^{\circ}04'N$ ,  $171^{\circ}49' - 172^{\circ}00' E$ )



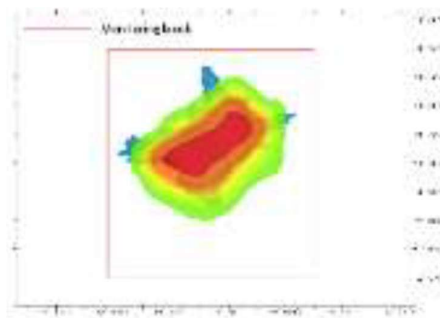
- (2) Yuryaku seamount ( $32^{\circ}35' - 32^{\circ}45'N$ ,  $172^{\circ}10' - 172^{\circ}24'E$ )



(3) Kammu seamount (32°10'–32°21'N, 172°44'–172°57'E)



(4) Colahan seamount (30°57'–31°05'N, 175°50'–175°57'E)



## **(2) Schedule for monitoring surveys**

Monitoring surveys will be conducted from March 1st to June 30th each year, with at least a one week interval between monitoring surveys. For each survey, a trawl fishing vessel will conduct a monitoring survey in one of the four monitoring blocks that is the nearest from the location of the trawl fishing vessel at the time of prior notification in (4) below. The base schedule for monitoring surveys will be notified to the Executive Secretary by the end of February of each year. The base schedule may be revised during the year subject to prior notification to the Executive Secretary.

## **(3) Data to be collected during monitoring surveys**

For each monitoring survey, a trawl net will be towed for one hour. A scientific observer onboard the trawl fishing vessel will calculate nominal-CPUE (kg/hour) of North Pacific armorhead. The scientific observer will also calculate fat index\* (FI) of randomly sampled 100 individuals of North Pacific armorhead by measuring fork length (FL) and body height (BH) of each individual.

(\*fat index (FI) = body height (BH) / fork length (FL) )

#### **(4) Prior notifications and survey results**

At least three (3) days before each survey, a prior notification with monitoring date/time, location and trawl fishing vessel name will be provided by the flag state of the trawl fishing vessel to the Executive Secretary.

No later than three (3) days after each survey, the survey result including date/time, location, catch, nominal-CPUE (kg/hour) and percentage of fish with fat index (FI)>0.3 will be provided by the flag state to the Executive Secretary.

The Executive Secretary will circulate these prior notifications and survey results to all Members of the Commission without delay.

## **2. Areas where bottom fishing with trawl gear is prohibited when high recruitment is detected**

### **(1) Criteria for a high recruitment**

It is considered that high recruitment has occurred if the following criteria are met in four (4) consecutive monitoring surveys.

- Nominal CPUE > 10t/h
- Individuals of fat index (FI)> 0.3 account for 80% or more

### **(2) Areas where bottom fishing with trawl gear is prohibited**

Bottom fishing with trawl gear shall be prohibited in the following two (2) seamount areas (\*) during the year when high recruitment is detected. In such a case, all monitoring surveys scheduled during the year will be cancelled.

- Northern part of Kammu seamount (north of 32°10.0' N)
- Yuryaku seamount

(\*) The catch of North Pacific armorhead in the above two seamounts accounts for a half of the total catch in the entire Emperor Seamounts area based on the catch records in 2010 and 2012.

### **(3) Notification by the Secretariat**

When the criteria for high recruitment are met as defined in 2(1) above, the Executive Secretary will notify all Members of the Commission of the fact with a defined date/time from which bottom fishing with trawl gear is prohibited in the areas as defined in 2(2) above until the end of the year.

## Translation table of VME indicator corals between common and scientific names

| VME Indicator Corals from Emperor Seamounts: Present Classification *1, Taxa, and Common (nominal) Names in NPFC                                                      |                                                          |                                                          |                                |                           |                             |                             |                             |                   |             |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------|----------------------------------------------------------|--------------------------------|---------------------------|-----------------------------|-----------------------------|-----------------------------|-------------------|-------------|
| Sub<br>phylum                                                                                                                                                         | Class                                                    | Order                                                    | Superfamily                    | Family                    | Genus/Subgenus              | NPFC_~2023                  | NPFC_2024* *2               | Guide Cat. *3     |             |
| A<br>n<br>t<br>h<br>o<br>z<br>o<br>a                                                                                                                                  | H<br>e<br>x<br>a<br>c<br>o<br>r<br>a<br>l<br>l<br>i<br>a | Antipatharia                                             |                                | Antipathidae              | ---                         | Black Corals (Antipatharia) |                             | Black Corals      |             |
|                                                                                                                                                                       |                                                          |                                                          |                                | Aphanipathidae            | ---                         | Black Corals (Antipatharia) |                             | Black Corals      |             |
|                                                                                                                                                                       |                                                          |                                                          |                                | Cladopathidae             | ---                         | Black Corals (Antipatharia) |                             | Black Corals      |             |
|                                                                                                                                                                       |                                                          |                                                          |                                | Leiopathidae              | ---                         | Black Corals (Antipatharia) |                             | Black Corals      |             |
|                                                                                                                                                                       |                                                          |                                                          |                                | Schizopathidae            | ---                         | Black Corals (Antipatharia) |                             | Black Corals      |             |
|                                                                                                                                                                       |                                                          |                                                          | Scleractinia                   |                           | Caryophylliidae             | ---                         | Stony Corals (Scleractinia) |                   | Hard Corals |
|                                                                                                                                                                       |                                                          |                                                          |                                | Deltocyathidae            | ---                         | Stony Corals (Scleractinia) |                             | Hard Corals       |             |
|                                                                                                                                                                       |                                                          |                                                          |                                | Dendrophylliidae          | ---                         | Stony Corals (Scleractinia) |                             | Hard Corals       |             |
|                                                                                                                                                                       |                                                          |                                                          |                                | Flabellidae               | ---                         | Stony Corals (Scleractinia) |                             | Hard Corals       |             |
|                                                                                                                                                                       |                                                          |                                                          |                                | Fungiacyathidae           | ---                         | Stony Corals (Scleractinia) |                             | Hard Corals       |             |
|                                                                                                                                                                       |                                                          |                                                          |                                | Micrabaciidae             | ---                         | Stony Corals (Scleractinia) |                             | Hard Corals       |             |
|                                                                                                                                                                       |                                                          |                                                          |                                | Oculinidae                | ---                         | Stony Corals (Scleractinia) |                             | Hard Corals       |             |
|                                                                                                                                                                       |                                                          |                                                          |                                | Turbinoliidae             | ---                         | Stony Corals (Scleractinia) |                             | Hard Corals       |             |
|                                                                                                                                                                       |                                                          |                                                          | Madreporidae                   | ---                       | Stony Corals (Scleractinia) |                             | Hard Corals                 |                   |             |
|                                                                                                                                                                       |                                                          |                                                          | Pennatuloidae<br>*5            |                           | Anthoptilidae               | ---                         | NA                          | Pennatulaceans *5 | NA          |
|                                                                                                                                                                       |                                                          |                                                          |                                | Balticiniidae             | ---                         | NA                          | Pennatulaceans *5           | NA                |             |
|                                                                                                                                                                       |                                                          |                                                          |                                | Funiculinidae             | ---                         | NA                          | Pennatulaceans *5           | NA                |             |
|                                                                                                                                                                       |                                                          |                                                          |                                | Kophobelemnidae           | ---                         | NA                          | Pennatulaceans *5           | NA                |             |
|                                                                                                                                                                       |                                                          |                                                          |                                | Pennatulidae              | ---                         | NA                          | Pennatulaceans *5           | NA                |             |
|                                                                                                                                                                       |                                                          | Protoptilidae                                            |                                | ---                       | NA                          | Pennatulaceans *5           | NA                          |                   |             |
|                                                                                                                                                                       |                                                          | Scleroptilidae                                           |                                | ---                       | NA                          | Pennatulaceans *5           | NA                          |                   |             |
|                                                                                                                                                                       |                                                          | Stachyptilidae                                           |                                | ---                       | NA                          | Pennatulaceans *5           | NA                          |                   |             |
|                                                                                                                                                                       |                                                          | Umbellulidae                                             |                                | ---                       | NA                          | Pennatulaceans *5           | NA                          |                   |             |
|                                                                                                                                                                       |                                                          | Veretillidae                                             |                                | ---                       | NA                          | Pennatulaceans *5           | NA                          |                   |             |
|                                                                                                                                                                       |                                                          | Virgulariidae                                            |                                | ---                       | NA                          | Pennatulaceans *5           | NA                          |                   |             |
|                                                                                                                                                                       |                                                          | Scleralcyonacea<br>≡ Calcaxonia-<br>Pennatulacea         |                                |                           | Chrysogorgiidae             | ---                         | Gorgonacea                  | Gorgonians        | Gorgonians  |
|                                                                                                                                                                       |                                                          |                                                          |                                | Keratoisididae            | ---                         | Gorgonacea                  | Gorgonians                  | Gorgonians        |             |
|                                                                                                                                                                       |                                                          |                                                          |                                | Primnoidae                | ---                         | Gorgonacea                  | Gorgonians                  | Gorgonians        |             |
|                                                                                                                                                                       |                                                          |                                                          | Briareidae                     | ---                       | Gorgonacea                  | Gorgonians                  | Gorgonians                  |                   |             |
|                                                                                                                                                                       |                                                          |                                                          | Clavulariidae>> Briareidae     | Pachyclavularia>>Briareum | Alcyonacea                  | Soft Corals                 | Soft Corals                 |                   |             |
|                                                                                                                                                                       |                                                          |                                                          | Alcyoniidae>> Coralliidae *6   | Anthomastus               | Alcyonacea                  | Soft Corals                 | Soft Corals                 |                   |             |
|                                                                                                                                                                       |                                                          |                                                          | Paragorgiidae>> Coralliidae *6 | Paraminabea               | Alcyonacea                  | Soft Corals                 | Soft Corals                 |                   |             |
|                                                                                                                                                                       |                                                          |                                                          | Coralliidae *6                 | ---                       | Gorgonacea                  | Gorgonians                  | Gorgonians                  |                   |             |
|                                                                                                                                                                       |                                                          |                                                          | Clavulariidae                  | ---                       | Alcyonacea                  | Soft Corals                 | Soft Corals                 |                   |             |
|                                                                                                                                                                       |                                                          |                                                          | ---                            | Pseudocladochonus *7      | Alcyonacea                  | Soft Corals                 | Soft Corals                 |                   |             |
|                                                                                                                                                                       |                                                          | O<br>c<br>t<br>o<br>c<br>o<br>r<br>a<br>l<br>l<br>i<br>a |                                | Tubiporidae               | ---                         | Alcyonacea                  | Soft Corals                 | Soft Corals       |             |
|                                                                                                                                                                       |                                                          |                                                          | Nidaliidae                     | ---                       | Alcyonacea                  | Soft Corals                 | Soft Corals                 |                   |             |
|                                                                                                                                                                       |                                                          |                                                          | Siphonogorgiidae               | ---                       | Alcyonacea                  | Soft Corals                 | Soft Corals                 |                   |             |
|                                                                                                                                                                       |                                                          |                                                          | Anthothelidae>> Alcyoniidae *8 | Anthothela                | Gorgonacea                  | Gorgonians                  | Gorgonians                  |                   |             |
|                                                                                                                                                                       |                                                          |                                                          | Nephtheidae>> Alcyoniidae *8   | Gersemia                  | Alcyonacea                  | Soft Corals                 | Soft Corals                 |                   |             |
|                                                                                                                                                                       |                                                          |                                                          | Alcyoniidae *8                 | ---                       | Alcyonacea                  | Soft Corals                 | Soft Corals                 |                   |             |
|                                                                                                                                                                       |                                                          |                                                          | Nephtheidae                    | ---                       | Alcyonacea                  | Soft Corals                 | Soft Corals                 |                   |             |
|                                                                                                                                                                       |                                                          |                                                          | Paralcyoniidae                 | ---                       | Alcyonacea                  | Soft Corals                 | Soft Corals                 |                   |             |
|                                                                                                                                                                       |                                                          |                                                          | Gorgoniidae                    | ---                       | Gorgonacea                  | Gorgonians                  | Gorgonians                  |                   |             |
|                                                                                                                                                                       |                                                          |                                                          | Isididae                       | ---                       | Gorgonacea                  | Gorgonians                  | Gorgonians                  |                   |             |
|                                                                                                                                                                       |                                                          |                                                          | Keroeidiidae                   | ---                       | Gorgonacea                  | Gorgonians                  | Gorgonians                  |                   |             |
|                                                                                                                                                                       |                                                          |                                                          | Astrogorgiidae                 | ---                       | Gorgonacea                  | Gorgonians                  | Gorgonians                  |                   |             |
|                                                                                                                                                                       |                                                          |                                                          | Euplexauridae                  | ---                       | Gorgonacea                  | Gorgonians                  | Gorgonians                  |                   |             |
|                                                                                                                                                                       |                                                          |                                                          | Anthogorgiidae                 | ---                       | Gorgonacea                  | Gorgonians                  | Gorgonians                  |                   |             |
|                                                                                                                                                                       |                                                          | Acanthogorgiidae                                         | ---                            | Gorgonacea                | Gorgonians                  | Gorgonians                  |                             |                   |             |
|                                                                                                                                                                       |                                                          | *<br>4                                                   |                                | Victorgorgiidae           | ---                         | Gorgonacea                  | Gorgonians                  | NA                |             |
|                                                                                                                                                                       |                                                          |                                                          | Plexauridae                    | ---                       | Gorgonacea                  | Gorgonians                  | NA                          |                   |             |
|                                                                                                                                                                       |                                                          |                                                          | ---                            | Calcigorgia *9            | Gorgonacea                  | Gorgonians                  | NA                          |                   |             |
|                                                                                                                                                                       |                                                          |                                                          |                                |                           |                             |                             |                             |                   |             |
| *1 Classification is based on WoRMS (in July 2024)                                                                                                                    |                                                          |                                                          |                                |                           |                             |                             |                             |                   |             |
| *2 Nominal names of VME indicator corals agreed by NPFC for adoption after 2025 (NPFC-2024-COM08-Final Report-ANNEX 0-G)                                              |                                                          |                                                          |                                |                           |                             |                             |                             |                   |             |
| *3 Coral Morphology Categories of "NPFC VME Taxa Identification Guide (Western North Pacific Ocean)"                                                                  |                                                          |                                                          |                                |                           |                             |                             |                             |                   |             |
| *4 See WoRMS based on McFadden <i>et al.</i> (2022) for the present octocorallian classification, and McFadden <i>in</i> Daly <i>et al.</i> (2007) for the former one |                                                          |                                                          |                                |                           |                             |                             |                             |                   |             |
| The current families of octocorals and their correspondence to former suborders/systems are well summarized in Table 2 of McFadden <i>et al.</i> (2022)               |                                                          |                                                          |                                |                           |                             |                             |                             |                   |             |
| *5 2024 9th COM has agreed to add pennatulaceans (sea pens) to the VME indicator taxa (entered into force 1 January 2025)                                             |                                                          |                                                          |                                |                           |                             |                             |                             |                   |             |
| *6 The family Coralliidae is originally gorgonians (Gorgonacea), but the current classification includes some soft corals (formerly Alcyonacea) (e.g. Anthomastus)    |                                                          |                                                          |                                |                           |                             |                             |                             |                   |             |
| *7 Pseudocladochonus is the genus Octocorallia incertae sedis in McFadden <i>et al.</i> (2022) and in also WoRMS. (See Table 3 in McFadden <i>et al.</i> , 2022)      |                                                          |                                                          |                                |                           |                             |                             |                             |                   |             |
| *8 The family Alcyoniidae is originally soft corals (former Alcyonacea), but the current classification includes some gorgonians (Gorgonacea) (e.g. Anthothela)       |                                                          |                                                          |                                |                           |                             |                             |                             |                   |             |
| *9 Calcigorgia is a gorgonian genus in Octocorallia incertae sedis in McFadden <i>et al.</i> (2022) and in also WoRMS. (See Table 3 in McFadden <i>et al.</i> , 2022) |                                                          |                                                          |                                |                           |                             |                             |                             |                   |             |
| >> pink= former Gorgonacea (Gorgonians); yellow= former Alcyonacea (Soft Corals)                                                                                      |                                                          |                                                          |                                |                           |                             |                             |                             |                   |             |
| WoRMS (World Register of Marine Species) <a href="https://www.marinespecies.org/index.php">https://www.marinespecies.org/index.php</a>                                |                                                          |                                                          |                                |                           |                             |                             |                             |                   |             |
| Daly <i>et al.</i> (2007) The phylum Cnidaria: A review of phylogenetic patterns and diversity 300 years after Linnaeus. <i>Zootaxa</i> , 1668: 127-182.              |                                                          |                                                          |                                |                           |                             |                             |                             |                   |             |
| McFadden <i>et al.</i> (2022) Revisionary systematics of Octocorallia (Cnidaria: Anthozoa) guided by phylogenomics. <i>Bull. Soc. Syst. Biol.</i> , 1: 1-79.          |                                                          |                                                          |                                |                           |                             |                             |                             |                   |             |

**CONSERVATION AND MANAGEMENT MEASURE FOR BOTTOM FISHERIES  
AND PROTECTION OF VULNERABLE MARINE ECOSYSTEMS IN THE  
NORTHEASTERN PACIFIC OCEAN**

*The North Pacific Fisheries Commission (NPFC):*

*Seeking* to ensure the long term conservation and sustainable use of the fishery resources of the Northeastern Pacific Ocean and, in so doing, protect the vulnerable marine ecosystems that occur there, in accordance with the Sustainable Fisheries Resolutions adopted by the United Nations General Assembly (UNGA) including, in particular, paragraphs 66 to 71 of the UNGA 59/25 in 2004, paragraphs 69 to 74 of UNGA 60/31 in 2005, paragraphs 69 and 80 to 91 of UNGA 61/105 in 2006, and paragraphs 113 to 124 of UNGA 64/72 in 2009;

*Recalling* that paragraph 85 of UNGA 61/105 calls upon participants in negotiations to establish regional fisheries management organizations or arrangements with the competence to regulate bottom fisheries to adopt permanent measures in respect of the area of application of the instruments under negotiation;

*Noting* that North Pacific Fisheries Commission has previously adopted interim measures for the Northeastern Pacific Ocean;

*Conscious* of the need to adopt permanent measures for the Northeastern Pacific Ocean to ensure that this area is not left as the only major area of the Pacific Ocean where no such measures are in place;

*Hereby adopt* the following Conservation and Management Measure (CMM) for bottom fisheries of the Northeastern Pacific Ocean while working to develop and implement other permanent management arrangements to govern these and other fisheries in the North Pacific Ocean.

**Scope**

1. These Measures are to be applied to all bottom fishing activities throughout the high seas areas of the Northeastern Pacific Ocean, defined, for the purposes of this document, as those occurring in the Convention Area as set out in Article 4 of the Convention text to the east of the line of 175 degrees W longitude (here in after called “the eastern part of the Convention Area”) including all such areas and marine species other than those species already covered by existing international fisheries management instruments, including bilateral agreements and Regional Fisheries Management Organizations or Arrangements.

For the purpose of these Measures, the term vulnerable marine ecosystems is to be interpreted and applied in a manner consistent with the International Guidelines on the Management of Deep Sea Fisheries on the High Seas adopted by the FAO on 29 August 2008 (see Annex 2 for further details).

2. The implementation of these Measures shall:

- a. be based on the best scientific information available in accordance with existing international laws and agreements including UNCLOS and other relevant international instruments,
- b. establish appropriate and effective conservation and management measures,
- c. be in accordance with the precautionary approach, and
- d. incorporate an ecosystem approach to fisheries management.

3. Actions by Members of the Commission

Members of the Commission will take the following actions in respect of vessels operating under its Flag or authority in the area covered by these Measures:

- a. Conduct the assessments called for in paragraph 83(a) of UNGA Resolution 61/105, in a manner consistent with the FAO Guidelines and the Standards and Criteria included in Annex 2;
- b. Submit to the SC their assessments conducted pursuant to subparagraph (a) of this paragraph, including all relevant data and information in support of any such assessment, and receive advice and recommendations from the SC, in accordance with the procedures in Annex 3;
- c. Taking into account all advice and recommendations received from the SC, determine whether the fishing activity or operations of the vessel in question are likely to have a significant adverse impact on any vulnerable marine ecosystem;
- d. If it is determined that the fishing activity or operations of the vessel or vessels in question would have a significant adverse impact on vulnerable marine ecosystems, adopt conservation and management measures to prevent such impacts on the basis of advice and recommendations of the SC, which are subject to adoption by the Commission;
- e. Ensure that if any vessels are already engaged in bottom fishing, that such assessments have been carried out in accordance with paragraph 119(a)/UNGA RES 2009, the determination called for in subparagraph (c) of this paragraph has been rendered and, where appropriate, managements measures have been implemented in accordance with the advice and recommendations of the SC, which are subject to adoption by the Commission;
- f. Further ensure that they will only authorize fishing activities on the basis of such assessments and any comments and recommendations from the SC;
- g. Prohibit its vessels from engaging in directed fishing on the following taxa: black corals (Antipatharia), gorgonians, pennatulaceans, stony corals (Scleractinia), soft corals, the classes of Demospongiae and Hexactinellida in the phylum Porifera as well as any other indicator species for vulnerable marine ecosystems as may be identified from time to time

by the SC and approved by the Commission. The translation table of VME indicator corals between common and scientific names is attached to this CMM (Annex 6).

- h. In respect of areas where vulnerable marine ecosystems are known to occur or are likely to occur, based on the best available scientific information, ensure that bottom fishing activities do not proceed unless conservation and management measures have been established to prevent significant adverse impacts on vulnerable marine ecosystems;
- i. Limit fishing effort in bottom fisheries on the Eastern part of the Convention Area to the level of a historical average (baseline to be determined through consensus in the SC based on information to be provided by Members) in terms of the number of fishing vessels and other parameters which reflect the level of fishing effort, fishing capacity or potential impacts on marine ecosystems dependent on new SC advice;
- j. Further, considering accumulated information regarding fishing activities in the Eastern part of the Convention Area, in areas where, in the course of fishing operations with pot gear, cold water corals that exceed 2Kg or sponges (*Demospongiae* and *Hexactinellida*) that exceed 5Kg are encountered in one gear retrieval, Members of the Commission shall require vessels flying their flag to cease bottom fishing activities in that location. In the course of fishing operations with all other gears, cold water corals that exceed 50Kg or sponges (*Demospongiae* and *Hexactinellida*) that exceed 350Kg are encountered in one gear retrieval, Members of the Commission shall require vessels flying their flag to cease bottom fishing activities in that location. In such cases, the vessel shall not resume fishing activities until it has relocated a sufficient distance, which shall be no less than 1 nautical mile, so that additional encounters with VMEs are unlikely. All such encounters, including the location, gear type, date, time and name and weight of the VME indicator species, shall be reported to the Secretariat, through the Member, within one business day. The Executive Secretary shall notify the other Members of the Commission and at the same time implement a temporary closure in the area to prohibit its bottom fishing vessels from contacting the sea floor with their trawl nets. Members shall inform their fleets and enforcement operations within one business day of the receipt of the notification from the Executive Secretary. It is agreed that the VME indicator taxa include cold water corals black corals (*Antipatharia*), gorgonians, pennatulaceans, stony corals (*Scleractinia*), and soft corals. The VME indicator taxa also include the classes of *Demospongiae* and *Hexactinellida* in the phylum *Porifera*.
- k. Based on all the available data, including data on the VME encounter and distribution received from the fishing vessel(s), research survey data, visual survey data, and/or model results, the Scientific Committee (SC) shall assess and conclude if the area has a VME. If so, the SC shall recommend to the Commission that the temporary closure be made permanent, although the boundary of the closure may be adjusted, or suggest other appropriate measures. Otherwise, the Executive Secretary shall inform the Members that they may reopen the area to their vessels.
- l. Prohibit bottom fishing vessels from fishing in the following areas in order to achieve sustainable protection of VMEs in the eastern part of the Convention Area:

| Area                       | Latitude  | Longitude |
|----------------------------|-----------|-----------|
| Northwestern Cobb Seamount | 46.8178 N | 130.872 W |
|                            | 46.7703 N | 130.861 W |
|                            | 46.8277 N | 130.825 W |
|                            | 46.7802 N | 130.814W  |
| Northeastern Cobb Seamount | 46.7759 N | 130.735 W |
|                            | 46.7675 N | 130.694 W |
|                            | 46.7482 N | 130.756 W |
|                            | 46.7399 N | 130.716 W |

4. All assessments and determinations by any Member as to whether fishing activity would have significant adverse impacts on vulnerable marine ecosystems, as well as measures adopted in order to prevent such impacts, will be made publicly available through agreed means.

#### **Control of Bottom Fishing Vessels**

5. Members will exercise full and effective control over each of their bottom fishing vessels operating in the high seas of the Northeastern Pacific Ocean, including by means of fishing licenses, authorizations or permits, and maintenance of a record of these vessels as outlined in the Convention and applicable CMM.
6. New and exploratory fishing will be subject to the exploratory fishery protocol included as Annex 1.

#### **Scientific Committee (SC)**

7. Scientific Committee will provide scientific support for the implementation of these CMMs.

#### **Scientific Information**

8. The Members shall provide all available information as required by the Commission for any current or historical fishing activity by their flag vessels, including the number of vessels by gear type, size of vessels (tons), number of fishing days or days on the fishing grounds, total catch by species, areas fished (names or coordinates of seamounts), and information from scientific observer programmes (see Annexes 4 and 5) to the NPFC Secretariat as soon as possible and no later than one month prior to SC meeting. The Secretariat will make such information available to SC.
9. Scientific research activities for stock assessment purposes are to be conducted in accordance with a research plan that has been provided to SC prior to the commencement of such activities.

**EXPLORATORY FISHERY PROTOCOL IN THE NORTH PACIFIC OCEAN**

1. From 1 January 2009, all bottom fishing activities in new fishing areas and areas where fishing is prohibited in a precautionary manner or with bottom gear not previously used in the existing fishing areas, are to be considered as “exploratory fisheries” and to be conducted in accordance with this protocol.
2. Precautionary conservation and management measures, including catch and effort controls, are essential during the exploratory phase of deep sea fisheries. Implementation of a precautionary approach to sustainable exploitation of deep sea fisheries shall include the following measures:
  - i. precautionary effort limits, particularly where reliable assessments of sustainable exploitation rates of target and main by-catch species are not available;
  - ii. precautionary measures, including precautionary spatial catch limits where appropriate, to prevent serial depletion of low-productivity stocks;
  - iii. regular review of appropriate indices of stock status and revision downwards of the limits listed above when significant declines are detected;
  - iv. measures to prevent significant adverse impacts on vulnerable marine ecosystems; and
  - v. comprehensive monitoring of all fishing effort, capture of all species and interactions with VMEs.
3. When a member of the Commission would like to conduct exploratory fisheries, it is to follow the following procedure:
  - (1) Prior to the commencement of fishing, the member of the Commission is to circulate the information and assessment in Appendix 1.1 to the members of the Scientific Committee (SC) for review and to all members of the Commission for information, together with the impact assessment. Such information is to be provided to the other members at least 30 days in advance of the meeting at which the information shall be reviewed.
  - (2) The assessment in (1) above is to be conducted in accordance with the procedure set forth in “Science-based Standards and Criteria for Identification of VMEs and Assessment of Significant Adverse Impacts on VMEs and Marine Species (Annex 2)”, with the understanding that particular care shall be taken in the evaluation of risks of the significant adverse impact on vulnerable marine ecosystems (VMEs), in line with the precautionary approach.

- (3) The SC is to review the information and the assessment submitted in (1) above in accordance with “SC Assessment Review Procedures for Bottom Fishing Activities (Annex 3).”
- (4) The exploratory fisheries are to be permitted only where the assessment concludes that they would not have significant adverse impacts (SAIs) on marine species or any VMEs and on the basis of comments and recommendations of SC. Any determinations, by any Member of the Commission or the SC, that the exploratory fishing activities would not have SAIs on marine species or any VMEs, shall be made publicly available through the NPFC website.
4. The member of the Commission is to ensure that all vessels flying its flag conducting exploratory fisheries are equipped with a satellite monitoring device and have an observer on board at all times.
5. Within 3 months of the end of the exploratory fishing activities or within 12 months of the commencement of fishing, whichever occurs first, the member of the Commission is to provide a report of the results of such activities to the members of the SC and all members of the Commission. If the SC meets prior to the end of this 12-month period, the member of the Commission is to provide an interim report 30 days in advance of the SC meeting. The information to be included in the report is specified in Appendix 1.2.
6. The SC is to review the report in 5 above and decide whether the exploratory fishing activities had SAIs on marine species or any VME. The SC then is to send its recommendations to the Commission on whether the exploratory fisheries can continue and whether additional management measures shall be required if they are to continue. The Commission is to strive to adopt conservation and management measures to prevent SAIs on marine species or any VMEs. If the Commission is not able to reach consensus on any such measures, each fishing member of the Commission is to adopt measures to avoid any SAIs on VMEs.
7. Members of the Commission shall only authorize continuation of exploratory fishing activity, or commencement of commercial fishing activity, under this protocol on the basis of comments and recommendations of the SC.
8. The same encounter protocol should be applied in both fished and unfished areas specified in Annex 2, paragraph 4(1)(a).

**Information to be provided before exploratory fisheries start**

**1. A harvesting plan**

- Name of vessel
- Flag member of vessel
- Description of area to be fished (location and depth)
- Fishing dates
- Anticipated effort
- Target species
- Bottom fishing gear-type used
- Area and effort restrictions to ensure that fisheries occur on a gradual basis in a limited geographical area.

**2. A mitigation plan**

- Measures to prevent SAIs to VMEs that may be encountered during the fishery

**3. A catch monitoring plan**

- Recording/reporting of all species brought onboard to the lowest possible taxonomic level
- 100% satellite monitoring
- 100% observer coverage

**4. A data collection plan**

- Data is to be collected in accordance with “Type and Format of Scientific Observer Data to be Collected” (Annex 5)

**Information to be included in the report**

- Name of vessel
- Flag member of vessel
- Description of area fished (location and depth)
- Fishing dates
- Total effort
- Bottom fishing gear-type used
- List of VME encountered (the amount of VME indicator species for each encounter specifying the location: longitude and latitude)
- Mitigation measures taken in response to the encounter of VME
- List of all organisms brought onboard
- List of VMEs indicator species brought onboard by location: longitude and latitude

## **SCIENCE-BASED STANDARDS AND CRITERIA FOR IDENTIFICATION OF VMES AND ASSESSMENT OF SIGNIFICANT ADVERSE IMPACTS ON VMES AND MARINE SPECIES**

### 1. Introduction

Members of the Commission have hereby established science-based standards and criteria to guide their implementation of United Nations General Assembly (UNGA) Resolution 61/105 and the measures adopted by the Members in respect of bottom fishing activities in the North Pacific Ocean (NPO). In this regard, these science-based standards and criteria are to be applied to identify vulnerable marine ecosystems (VMEs) and assess significant adverse impacts (SAIs) of bottom fishing activities on such VMEs or marine species and to promote the long-term sustainability of deep sea fisheries in the Convention Area. The science-based standards and criteria are consistent with the FAO International Guidelines for the Management of Deep-Sea Fisheries in the High Seas, taking into account the work of other RFMOs implementing management of deep-sea bottom fisheries in accordance with UNGA Resolution 61/105. The standards and criteria are to be modified from time to time as more data are collected through research activities and monitoring of fishing operations.

### 2. Purpose

- (1) The purpose of the standards and criteria is to provide guidelines for each member of the Commission in identifying VMEs and assessing SAIs of individual bottom fishing activities<sup>1</sup> on VMEs or marine species in the Convention Area. Each member of the Commission, using the best information available, is to decide which species or areas are to be categorized as VMEs, identify areas where VMEs are known or likely to occur, and assess whether individual bottom fishing activities would have SAIs on such VMEs or marine species. The results of these tasks are to be submitted to and reviewed by the Scientific Committee with a view to reaching a common understanding among the members of the Commission.
- (2) For the purpose of applying the standards and criteria, the bottom fisheries are defined as follows:
  - (a) The fisheries are conducted in the Convention Area;

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<sup>1</sup> “individual bottom fishing activities” means fishing activities by each fishing gear. For example, if ten fishing vessels operate bottom trawl fishing in a certain area, the impacts of the fishing activities of these vessels on the ecosystem are to be assessed as a whole rather than on a vessel-by-vessel basis. It should be noted that if the total number or capacity of the vessels using the same fishing gear has increased, the impacts of the fishing activities are to be assessed again.

- (b) The total catch (everything brought up by the fishing gear) includes species that can only sustain low exploitation rates; and
- (c) The fishing gear is likely to contact the seafloor during the normal course of fishing operations

### 3. Definition of VMEs

- (1) Although Paragraph 83 of UNGA Resolution 61/105 refers to seamounts, hydrothermal vents and cold water corals as examples of VMEs, there is no definitive list of specific species or areas that are to be regarded as VMEs.
- (2) Vulnerability is related to the likelihood that a population, community or habitat will experience substantial alteration by fishing activities and how much time will be required for its recovery from such alteration. The most vulnerable ecosystems are those that are both easily disturbed and are very slow to recover, or may never recover. The vulnerabilities of populations, communities and habitats are to be assessed relative to specific threats. Some features, particularly ones that are physically fragile or inherently rare may be vulnerable to most forms of disturbance, but the vulnerability of some populations, communities and habitats may vary greatly depending on the type of fishing gear used or the kind of disturbance experienced. The risks to a marine ecosystem are determined by its vulnerability, the probability of a threat occurring and the mitigation means applied to the threat. Accordingly, the FAO Guidelines only provide examples of potential vulnerable species groups, communities and habitats as well as features that potentially support them (Annex 2.1).
- (3) A marine ecosystem is to be classified as vulnerable based on its characteristics. The following list of characteristics is used as criteria in the identification of VMEs.
  - (a) Uniqueness or rarity - an area or ecosystem that is unique or that contains rare species whose loss could not be compensated for by other similar areas. These include:
    - (i) Habitats that contain endemic species;
    - (ii) Habitats of rare, threatened or endangered species that occur in discrete areas;
    - (iii) Nurseries or discrete feeding, breeding, or spawning areas
  - (b) Functional significance of the habitat – discrete areas or habitats that are necessary for the survival, function, spawning/reproduction or recovery of fish stocks, particular life-history stages (e.g. nursery grounds or rearing areas), or of rare, threatened or endangered marine species.
  - (c) Fragility – an ecosystem that is highly susceptible to degradation by anthropogenic activities

(d) Life-history traits of component species that make recovery difficult – ecosystems that are characterized by populations or assemblages of species with one or more of the following characteristics:

- (i) Slow growth rates
- (ii) Late age of maturity
- (iii) Low or unpredictable recruitment
- (iv) Long-lived

(e) Structural complexity – an ecosystem that is characterized by complex physical structures created by significant concentrations of biotic and abiotic features. In these ecosystems, ecological processes are usually highly dependent on these structured systems. Further, such ecosystems often have high diversity, which is dependent on the structuring organisms.

- (4) Management response may vary, depending on the size of the ecological unit in the Convention Area. Therefore, the spatial extent of the ecological unit is to be decided first. For example, whether the ecological unit is a group of seamounts, or an individual seamount in the Convention Area, is to be decided using the above criteria.

#### 4. Identification of potential VMEs

##### (1) Fished seamounts

###### (a) Identification of fished seamounts

It is reported that two types of fishing gear are currently used by members of the Commission in the NE area, namely long-line hook and long-line trap. The footprint of the bottom fisheries (fished seamounts) is identified based on the available fishing record. The following seamounts have been identified as fished seamounts at some point in the past: Brown Bear, Cobb, Warwick, Eickelberg, Pathfinder, Miller, Murray, Cowie, Surveyor, Pratt, and Durgin. It is important to establish, to the extent practicable, a time series of where and when these gears have been used in order to assess potential long-term effects on any existing VMEs.

Fishing effort may not be evenly distributed on each seamount since fish aggregation may occur only at certain points of the seamount and some parts of the seamount may be physically unsuitable for certain fishing gears. Thus, it is important to know actual fished areas within the same seamount so as to know the gravity of the impact of fishing activities on the entire seamount.

Due consideration is to be given to the protection of commercial confidentiality when identifying actual fishing grounds.

###### (b) Assessment on whether a specific seamount that has been fished is a VME

After identifying the fished seamounts or fished areas of seamounts, it is necessary to assess whether each fished seamount is a VME or contains VMEs in accordance with the criteria in 3 above, individually or in combination using the best available scientific and technical information as well as Annex 2.1. A variety of data would be required to conduct such assessment, including pictures of seamounts taken by an ROV camera or drop camera, biological samples collected through research activities and observer programs, and detailed bathymetry map. Where site-specific information is lacking, other information that is relevant to inferring the likely presence of VMEs is to be used. The flow chart to identify data that can be used to identify VMEs is attached in Annex 2.3.

(2) New fishing areas

Any place other than the fished seamounts above is to be regarded as a new fishing area. If a member of the Commission is considering fishing in a new fishing area, such a fishing area is to be subject to, in addition to these standards and criteria, an exploratory fishery protocol (Annex 1).

5. Assessment of SAIs on VMEs or marine species

(1) Significant adverse impacts are those that compromise ecosystem integrity (i.e., ecosystem structure or function) in a manner that: (i) impairs the ability of affected populations to replace themselves; (ii) degrades the long-term natural productivity of habitats; or (iii) causes, on more than a temporary basis, significant loss of species richness, habitat or community types. Impacts are to be evaluated individually, in combination and cumulatively.

(2) When determining the scale and significance of an impact, the following six factors are to be considered:

- (a) The intensity or severity of the impact at the specific site being affected;
- (b) The spatial extent of the impact relative to the availability of the habitat type affected;
- (c) The sensitivity/vulnerability of the ecosystem to the impact;
- (d) The ability of an ecosystem to recover from harm, and the rate of such recovery;
- (e) The extent to which ecosystem functions may be altered by the impact; and
- (f) The timing and duration of the impact relative to the period in which a species needs the habitat during one or more life-history stages.

(3) Temporary impacts are those that are limited in duration and that allow the particular ecosystem to recover over an acceptable timeframe. Such timeframes are to be decided on a case-by-case basis and be on the order of 5-20 years, taking into account the specific features of the populations and ecosystems.

(4) In determining whether an impact is temporary, both the duration and the frequency with which an impact is repeated is to be considered. If the interval between the expected disturbances of a habitat is shorter than the recovery time, the impact is to be considered more than temporary.

(5) Each member of the Commission is to conduct assessments to establish if bottom fishing activities are likely to produce SAIs in a given seamount or other VMEs. Such an impact assessment is to address, *inter alia*:

- (a) Type of fishing conducted or contemplated, including vessel and gear types, fishing areas, target and potential bycatch species, fishing effort levels and duration of fishing;
- (b) Best available scientific and technical information on the current state of fishery resources, and baseline information on the ecosystems, habitats and communities in the fishing area, against which future changes are to be compared;
- (c) Identification, description and mapping of VMEs known or likely to occur in the fishing area;
- (d) The data and methods used to identify, describe and assess the impacts of the activity, identification of gaps in knowledge, and an evaluation of uncertainties in the information presented in the assessment
- (e) Identification, description and evaluation of the occurrence, scale and duration of likely impacts, including cumulative impacts of activities covered by the assessment on VMEs and low-productivity fishery resources in the fishing area;
- (f) Risk assessment of likely impacts by the fishing operations to determine which impacts are likely to be SAIs, particularly impacts on VMEs and low-productivity fishery resources (Risk assessments are to take into account, as appropriate, differing conditions prevailing in areas where fisheries are well established and in areas where fisheries have not taken place or only occur occasionally);
- (g) The proposed mitigation and management measures to be used to prevent SAIs on VMEs and ensure long-term conservation and sustainable utilization of low-productivity fishery resources, and the measures to be used to monitor effects of the fishing operations.

(6) Impact assessments are to consider, as appropriate, the information referred to in these Standards and Criteria, as well as relevant information from similar or related fisheries, species and ecosystems.

(7) Where an assessment concludes that the area does not contain VMEs or that significant adverse impacts on VMEs or marine species are not likely, such assessments are to be repeated when there have been significant changes to the fishery or other activities in the area, or when natural processes are thought to have undergone significant changes.

#### 6. Proposed conservation and management measures to prevent SAIs

As a result of the assessment in 5 above, if it is considered that individual fishing activities are causing or likely to cause SAIs on VMEs or marine species, the member of the Commission is to adopt appropriate conservation and management measures to prevent such SAIs. The member of the Commission is to clearly indicate how such impacts are expected to be prevented or mitigated by the measures.

#### 7. Precautionary approach

If after assessing all available scientific and technical information, the presence of VMEs or the likelihood that individual bottom fishing activities would cause SAIs on VMEs or marine species cannot be adequately determined, members of the Commission are only to authorize individual bottom fishing activities to proceed in accordance with:

- (a) Precautionary, conservation and management measures to prevent SAIs;
- (b) Measures to address unexpected encounters with VMEs in the course of fishing operations;
- (c) Measures, including ongoing scientific research, monitoring and data collection, to reduce the uncertainty; and
- (d) Measures to ensure long-term sustainability of deep sea fisheries.

#### 8. Template for assessment report

Annex 2.2 is a template for individual member of the Commission to formulate reports on identification of VMEs and impact assessment.

### **ANNEX 2.1**

#### **EXAMPLES OF POTENTIAL VULNERABLE SPECIES GROUPS, COMMUNITIES AND HABITATS AS WELL AS FEATURES THAT POTENTIALLY SUPPORT THEM**

The following examples of species groups, communities, habitats and features often display characteristics consistent with possible VMEs. Merely detecting the presence of an element itself is not sufficient to identify a VME. That identification is to be made on a case-by-case basis through application of relevant provisions of the Standards and Criteria, particularly Sections 3, 4 and 5.

| Examples of species groups, communities and habitat forming species that are documented or considered sensitive and potentially vulnerable to deep-sea fisheries in the high-seas, and which may contribute to forming VMEs: |                                                                                                                                                                                                                  |
|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| a.                                                                                                                                                                                                                           | certain coldwater corals, e.g., reef builders and coral forest including: stony corals (scleractinia), alcyonaceans and gorgonians (octocorallia), black corals (antipatharia), and hydrocorals (stylasteridae), |
| b.                                                                                                                                                                                                                           | Some types of sponge dominated communities,                                                                                                                                                                      |

|    |                                                                                                                                                                                                      |
|----|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| c. | communities composed of dense emergent fauna where large sessile protozoans (xenophyophores) and invertebrates (e.g., hydroids and bryozoans) form an important structural component of habitat, and |
| d. | seep and vent communities comprised of invertebrate and microbial species found nowhere else (i.e., endemic).                                                                                        |

|                                                                                                                                                                                          |                                                                                                           |
|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------|
| Examples of topographical, hydrophysical or geological features, including fragile geological structures, that potentially support the species groups or communities, referred to above: |                                                                                                           |
| a.                                                                                                                                                                                       | submerged edges and slopes (e.g., corals and sponges),                                                    |
| b.                                                                                                                                                                                       | summits and flanks of seamounts, guyots, banks, knolls, and hills (e.g., corals, sponges, xenophyphores), |
| c.                                                                                                                                                                                       | canyons and trenches (e.g., burrowed clay outcrops, corals),                                              |
| d.                                                                                                                                                                                       | hydrothermal vents (e.g., microbial communities and endemic invertebrates), and                           |
| e.                                                                                                                                                                                       | cold seeps (e.g., mud volcanoes, microbes, hard substrates for sessile invertebrates).                    |

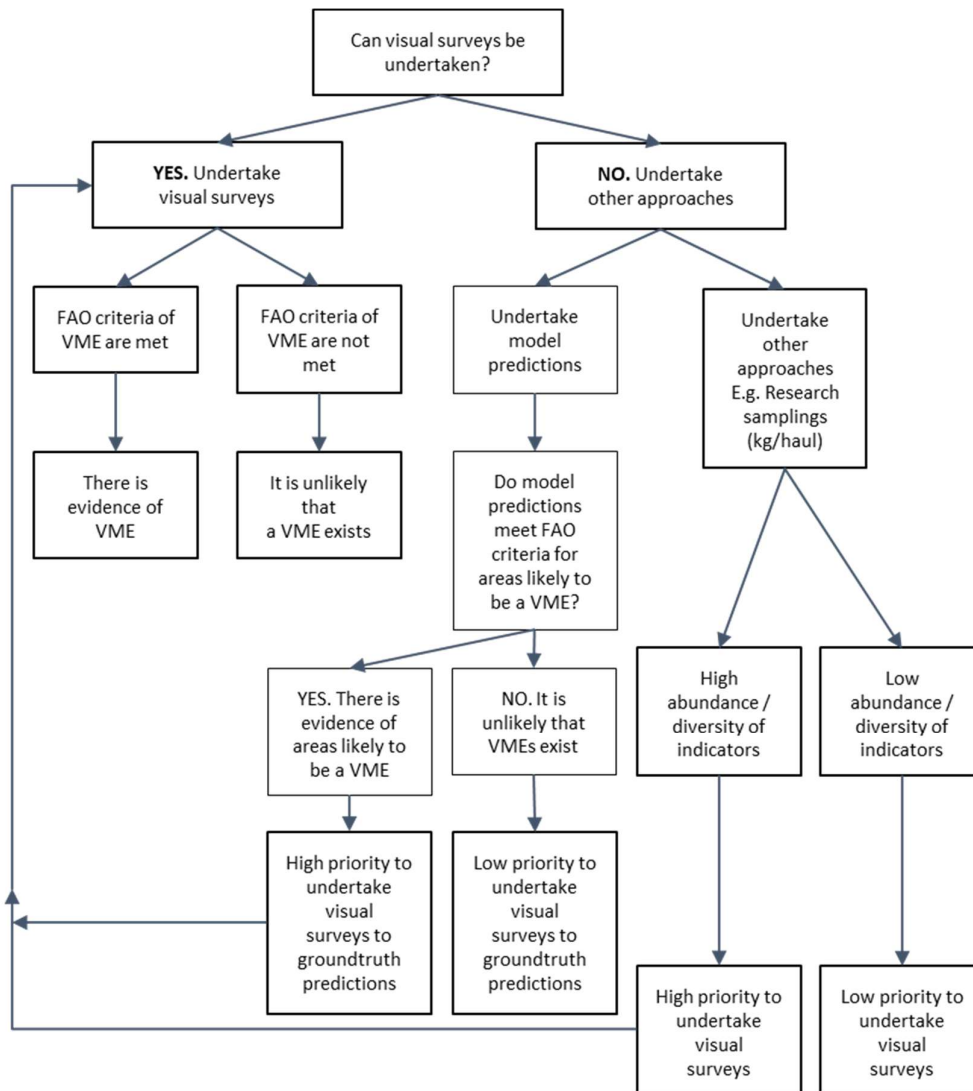
## ANNEX 2.2

### TEMPLATE FOR REPORTS ON IDENTIFICATION OF VMEs AND ASSESSMENT OF IMPACTS CAUSED BY INDIVIDUAL FISHING ACTIVITIES ON VMEs OR MARINE SPECIES

1. Name of the member of the Commission
2. Name of the fishery (e.g., bottom trawl, bottom gillnet, bottom longline, pot)
3. Status of the fishery (existing fishery or exploratory fishery)
4. Target species
5. Bycatch species
6. Recent level of fishing effort (every year at least since 2002)
  - (1) Number of fishing vessels
  - (2) Tonnage of each fishing vessel
  - (3) Number of fishing days or days on the fishing ground
  - (4) Fishing effort (total operating hours for trawl, # of hooks per day for long-line, # of pots per day for pot, total length of net per day for gillnet)
  - (5) Total catch by species
  - (6) Names of seamounts fished or to be fished
7. Fishing period
8. Analysis of status of fishery resources

- (1) Data and methods used for analysis
  - (2) Results of analysis
  - (3) Identification of uncertainties in data and methods, and measures to overcome such uncertainties
9. Analysis of status of bycatch species resources
- (1) Data and methods used for analysis
  - (2) Results of analysis
  - (3) Identification of uncertainties in data and methods, and measures to overcome such uncertainties
10. Analysis of existence of VMEs in the fishing ground
- (1) Data and methods used for analysis
  - (2) Results of analysis
  - (3) Identification of uncertainties in data and methods, and measures to overcome such uncertainties
11. Impact assessment of fishing activities on VMEs or marine species including cumulative impacts, and identification of SAIs on VMEs or marine species, as detailed in Section 5 above, Assessment of SAIs on VMEs or marine species
12. Other points to be addressed
13. Conclusion (whether to continue or start fishing with what measures, or stop fishing).

**Flow chart to identify data that can be used to identify VMEs in the NPFC Convention Area**



**SCIENTIFIC COMMITTEE ASSESSMENT REVIEW PROCEDURES FOR BOTTOM FISHING ACTIVITIES**

1. The Scientific Committee (SC) is to review identifications of vulnerable marine ecosystems (VMEs) and assessments of significant adverse impact on VMEs, including proposed management measures intended to prevent such impacts submitted by individual Members.
2. Members of the Commission shall submit their identifications and assessments to members of the SC at least 21 days prior to the SC meeting at which the review is to take place. Such submissions shall include all relevant data and information in support of such determinations.
3. The SC will review the data and information in each assessment in accordance with the Science-based Standards and Criteria for Identification of VMEs and Assessment of Significant Adverse Impacts on VMEs and Marine Species (Annex 2), previous decisions of the Commission, and the FAO Technical Guidelines for the Management of Deep Sea Fisheries in the High Seas, paying special attention to the assessment process and criteria specified in paragraphs 47-49 of the Guidelines.
4. In conducting the review above, the SC will give particular attention to whether the deep-sea bottom fishing activity would have a significant adverse impact on VMEs and marine species and, if so, whether the proposed management measures would prevent such impacts.
5. Based on the above review, the SC will provide advice and recommendations to the submitting Members on the extent to which the assessments and related determinations are consistent with the procedures and criteria established in the documents identified above; and whether additional management measures will be required to prevent SAIs on VMEs.
6. Such recommendations will be reflected in the report of the SC meeting at which the assessments are considered.

## **FORMAT OF NATIONAL REPORT SECTIONS ON DEVELOPMENT AND IMPLEMENTATION OF SCIENTIFIC OBSERVER PROGRAMMES**

### **Report Components**

Annual Observer Programme implementation reports should form a component of annual National Reports submitted by members to the Scientific Committee. These reports should provide a brief overview of observer programmes conducted in the NPFC Convention Area. Observer programme reports should include the following sections:

#### **A. Observer Training**

An overview of observer training conducted, including:

- Overview of training programme provided to scientific observers.
- Number of observers trained.

#### **B. Scientific Observer Programme Design and Coverage**

Details of the design of the observer programme, including:

- Which fleets, fleet components or fishery components were covered by the programme.
- How vessels were selected to carry observers within the above fleets or components.
- How was observer coverage stratified: by fleets, fisheries components, vessel types, vessel sizes, vessel ages, fishing areas and seasons.

Details of observer coverage of the above fleets, including:

- Components, areas, seasons and proportion of total catches of target species, specifying units used to determine coverage.
- Total number of observer employment days, and number of actual days deployed on observation work.

#### **C. Observer Data Collected**

List of observer data collected against the agreed range of data set out in Annex 5, including:

- Effort Data: Amount of effort observed (vessel days, net panels, hooks, etc), by area and season and % observed out of total by area and seasons
- Catch Data: Amount of catch observed of target and by-catch species, by area and season, and % observed out of total estimated catch by species, area and seasons
- Length Frequency Data: Number of fish measured per species, by area and season.

- Biological Data: Type and quantity of other biological data or samples (otoliths, sex, maturity, etc) collected per species.
- The size of length-frequency and biological sub-samples relative to unobserved quantities.

#### **D. Detection of Fishing in Association with Vulnerable Marine Ecosystems**

- Information about VME encounters (species and quantity in accordance with Annex 5, H, 2).

#### **E. Tag Return Monitoring**

- Number of tags returns observed, by fish size class and area.

#### **F. Problems Experienced**

- Summary of problems encountered by observers and observer managers that could affect the NPFC Observer Programme Standards and/or each member's national observer programme developed under the NPFC standards.

**NPFC BOTTOM FISHERIES  
OBSERVER PROGRAMME STANDARDS: SCIENTIFIC COMPONENT**

**TYPE AND FORMAT OF SCIENTIFIC OBSERVER DATA TO BE COLLECTED**

**A. Vessel & Observer Data to be collected for Each Trip**

1. Vessel and observer details are to be recorded only once for each observed trip.
2. The following observer data are to be collected for each observed trip:
  - a) NPFC vessel ID
  - b) Observer's name.
  - c) Observer's organisation.
  - d) Date observer embarked (UTC date).
  - e) Port of embarkation.
  - f) Date observer disembarked (UTC date).
  - g) Port of disembarkation.

**B. Catch & Effort Data to be collected for Trawl Fishing Activity**

1. Data are to be collected on an un-aggregated (tow by tow) basis for all observed trawls.
2. The following data are to be collected for each observed trawl tow:
  - a) Tow start date (UTC).
  - b) Tow start time (UTC).
  - c) Tow end date (UTC).
  - d) Tow end time (UTC).
  - e) Tow start position (Lat/Lon, 1 minute resolution).
  - f) Tow end position (Lat/Lon, 1 minute resolution).
  - g) Type of trawl, bottom or mid-water.
  - h) Type of trawl, single, double or triple.
  - i) Height of net opening (m).
  - j) Width of net opening (m).
  - k) Mesh size of the cod-end net (stretched mesh, mm) and mesh type (diamond, square, etc).
  - l) Gear depth (of footrope) at start of fishing (m).
  - m) Bottom (seabed) depth at start of fishing (m).
  - n) Gear depth (of footrope) at end of fishing (m).
  - o) Bottom (seabed) depth at end of fishing (m).
  - p) Status of the trawl operation (no damage, lightly damaged\*, heavily damaged\*, other (specify)). \*Degree may be evaluated by time for repairing (<=1hr or >1hr)
  - q) Duration of estimated period of seabed contact (minute)

- r) Intended target species.
- s) Catch of all species retained on board, split by species, in weight (to the nearest kg).
- t) Estimate of the amount (weight or volume) of all living marine resources discarded, split by species.
- u) Record of the numbers by species of all marine mammals, seabirds or reptiles caught.

### **C. Catch & Effort Data to be collected for Bottom Gillnet Fishing Activity**

1. Data are to be collected on an un-aggregated (set by set) basis for all observed bottom gillnet sets.
2. The following data are to be collected for each observed bottom gillnet set:
  - a) Set start date (UTC).
  - b) Set start time (UTC).
  - c) Set end date (UTC).
  - d) Set end time (UTC).
  - e) Set start position (Lat/Lon, 1 minute resolution).
  - f) Set end position (Lat/Lon, 1 minute resolution).
  - g) Net panel (“tan”) length (m).
  - h) Net panel (“tan”) height (m).
  - i) Net mesh size (stretched mesh, mm) and mesh type (diamond, square, etc)
  - j) Bottom depth at start of setting (m).
  - k) Bottom depth at end of setting (m).
  - l) Number of net panels for the set.
  - m) Number of net panels retrieved.
  - n) Number of net panels actually observed during the haul.
  - o) Actually observed catch of all species retained on board, split by species, in weight (to the nearest kg).
  - p) An estimation of the amount (numbers or weight) of marine resources discarded, split by species, during the actual observation.
  - q) Record of the actually observed numbers by species of all marine mammals, seabirds or reptiles caught.
  - r) Intended target species.
  - s) Catch of all species retained on board, split by species, in weight (to the nearest kg).
  - t) Estimate of the amount (weight or volume) of all marine resources discarded\* and dropped-off, split by species. \* Including those retained for scientific samples.
  - u) Record of the numbers by species of all marine mammals, seabirds or reptiles caught (including those discarded and dropped-off).

### **D. Catch & Effort Data to be collected for Bottom Long Line Fishing Activity**

1. Data are to be collected on an un-aggregated (set by set) basis for all observed longline sets.
2. The following fields of data are to be collected for each set:

- a) Set start date (UTC).
- b) Set start time (UTC).
- c) Set end date (UTC).
- d) Set end time (UTC).
- e) Set start position (Lat/Lon, 1 minute resolution).
- f) Set end position (Lat/Lon, 1 minute resolution).
- g) Total length of longline set (m).
- h) Number of hooks or traps for the set.
- i) Bottom (seabed) depth at start of set.
- j) Bottom (seabed) depth at end of set.
- k) Number of hooks or traps actually observed during the haul.
- l) Intended target species.
- m) Actually observed catch of all species retained on board, split by species, in weight (to the nearest kg).
- n) An estimation of the amount (numbers or weight) of marine resources discarded\* or dropped-off, split by species, during the actual observation. \* Including those retained for scientific samples.
- o) Record of the actually observed numbers by species of all marine mammals, seabirds or reptiles caught (including those discarded and dropped-off).

#### **E. Length-Frequency Data to Be Collected**

1. Representative and randomly distributed length-frequency data (to the nearest mm, with record of the type of length measurement taken) are to be collected for representative samples of the target species and other main by-catch species. Total weight of length-frequency samples should be recorded, and observers may be required to also determine sex of measured fish to generate length-frequency data stratified by sex. The length-frequency data may be used as potential indicators of ecosystem changes (for example, see: Gislason, H. et al. (2000. ICES J Mar Sci 57: 468-475), Yamane et al. (2005. ICES J Mar Sci, 62: 374-379), and Shin, Y-J. et al. (2005. ICES J Mar Sci, 62: 384-396)).
2. The numbers of fish to be measured for each species and distribution of samples across area and month strata should be determined, to ensure that samples are properly representative of species distributions and size ranges.

#### **F. Biological sampling to be conducted (optional for gillnet and long line fisheries)**

1. The following biological data are to be collected for representative samples of the main target species and, time permitting, for other main by-catch species contributing to the catch:
  - a) Species
  - b) Length (to the nearest mm), with record of the type of length measurement used.
  - c) Length and depth in case of North Pacific armorhead.
  - d) Sex (male, female, indeterminate, not examined)
  - e) Maturity stage (immature, mature, ripe, ripe-running, spent)

2. Representative stratified samples of otoliths are to be collected from the main target species and, time permitting, from other main by-catch species regularly occurring in catches. All otoliths to be collected are to be labelled with the information listed in 1 above, as well as the date, vessel name, observer name and catch position.
3. Where specific trophic relationship projects are being conducted, observers may be requested to also collect stomach samples from certain species. Any such samples collected are also to be labelled with the information listed in 1 above, as well as the date, vessel name, observer name and catch position.
4. Observers may also be required to collect tissue samples as part of specific genetic research programmes implemented by the SC.
5. Observers are to be briefed and provided with written length-frequency and biological sampling protocols and priorities for the above sampling specific to each observer trip.

#### **G. Data to be collected on Incidental Captures of Protected Species**

1. Flag members operating observer programs are to develop, in cooperation with the SC, lists and identification guides of protected species or species of concern (seabirds, marine mammals or marine reptiles) to be monitored by observers.
2. The following data are to be collected for all protected species caught in fishing operations:
  - a) Species (identified as far as possible, or accompanied by photographs if identification is difficult).
  - b) Count of the number caught per tow or set.
  - c) Life status (vigorous, alive, lethargic, dead) upon release.
  - d) Whole specimens (where possible) for onshore identification. Where this is not possible, observers may be required to collect sub-samples of identifying parts, as specified in biological sampling protocols.

#### **H. Detection of Fishing in Association with Vulnerable Marine Ecosystems**

1. The SC is to develop a guideline, species list and identification guide for benthic species (e.g. sponges, sea fans, corals) whose presence in a catch will indicate that fishing occurred in association with a vulnerable marine ecosystem (VME). All observers on vessels are to be provided with copies of this guideline, species list and ID guide.
2. For each observed fishing operation, the following data are to be collected for all species caught, which appear on the list of vulnerable benthic species:

- a) Species (identified as far as possible, or accompanied by a photograph where identification is difficult).
- b) An estimate of the quantity (weight (kg) or volume (m<sup>3</sup>)) of each listed benthic species caught in the fishing operation.
- c) An overall estimate of the total quantity (weight (kg) or volume (m<sup>3</sup>)) of all invertebrate benthic species caught in the fishing operation.
- d) Where possible, and particularly for new or scarce benthic species which do not appear in ID guides, whole samples should be collected and suitable preserved for identification on shore.

## **I. Data to be collected for all Tag Recoveries**

1. The following data are to be collected for all recovered fish, seabird, mammal or reptile tags:
  - a) Observer name.
  - b) Vessel name.
  - c) Vessel call sign.
  - d) Vessel flag.
  - e) Collect, label (with all details below) and store the actual tags for later return to the tagging agency.
  - f) Species from which tag recovered.
  - g) Tag colour and type (spaghetti, archival).
  - h) Tag numbers (The tag number is to be provided for all tags when multiple tags were attached to one fish. If only one tag was recorded, a statement is required that specifies whether or not the other tag was missing)
  - i) Date and time of capture (UTC).
  - j) Location of capture (Lat/Lon, to the nearest 1 minute)
  - k) Animal length / size (to the nearest cm) with description of what measurement was taken (such as total length, fork length, etc).
  - l) Sex (F=female, M=male, I=indeterminate, D=not examined)
  - m) Whether the tags were found during a period of fishing that was being observed (Y/N)
  - n) Reward information (e.g. name and address where to send reward)

(It is recognised that some of the data recorded here duplicates data that already exists in the previous categories of information. This is necessary because tag recovery information may be sent separately to other observer data.)

## **J. Hierarchies for Observer Data Collection**

1. Trip-specific or programme-specific observer task priorities may be developed in response to specific research programme requirements, in which case such priorities should be followed by observers.

2. In the absence of trip- or programme-specific priorities, the following generalised priorities should be followed by observers:

a) Fishing Operation Information

- All vessel and tow / set / effort information.

b) Monitoring of Catches

- Record time, proportion of catch (e.g. proportion of trawl landing) or effort (e.g. number of hooks), and total numbers of each species caught.
- Record numbers or proportions of each species retained or discarded.

c) Biological Sampling

- Length-frequency data for target species.
- Length-frequency data for main by-catch species.
- Identification and counts of protected species.
- Basic biological data (sex, maturity) for target species.
- Check for presence of tags.
- Otoliths (and stomach samples, if being collected) for target species.
- Basic biological data for by-catch species.
- Biological samples of by-catch species (if being collected)
- Photos

3. The monitoring of catches and biological sampling procedures should be prioritised among species groups as follows:

| Species                                                                               | Priority<br>(1 highest) |
|---------------------------------------------------------------------------------------|-------------------------|
| Primary target species (such as North Pacific armorhead and splendid alfonsino)       | 1                       |
| Other species typically within top 10 in the fishery (such as mirror dory, and oreos) | 2                       |
| Protected species                                                                     | 3                       |
| All other species                                                                     | 4                       |

The allocation of observer effort among these activities will depend on the type of operation and setting. The size of sub-samples relative to unobserved quantities (e.g. number of hooks/panels examined for species composition relative to the number of hooks/panels retrieved) should be explicitly recorded under the guidance of member country observer programmes.

## **K. Coding Specifications to be used for Recording Observer Data**

1. Unless otherwise specified for specific data types, observer data are to be collected in accordance with the same coding specifications as specified in this Annex.
2. Coordinated Universal Time (UTC) is to be used to describe times.
3. Degrees and minutes are to be used to describe locations.
4. The following coding schemes are to be used:
  - a) Species are to be described using the FAO 3 letter species codes or, if species do not have a FAO code, using scientific names.
  - b) Fishing methods are to be described using the International Standard Classification of Fishing Gear (ISSCFG - 29 July 1980) codes.
  - c) Types of fishing vessel are to be described using the International Standard Classification of Fishery Vessels (ISSCFV) codes.
5. Metric units of measure are to be used, specifically:
  - a) Kilograms are to be used to describe catch weight.
  - b) Metres are to be used to describe height, width, depth, beam or length.
  - c) Cubic metres are to be used to describe volume.
  - d) Kilowatts are to be used to describe engine power.

## Translation table of VME indicator corals between common and scientific names

| VME Indicator Corals from Emperor Seamounts: Present Classification *1, Taxa, and Common (nominal) Names in NPFC |                                                          |                                                 |                     |                                |                                          |                             |                   |               |
|------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------|-------------------------------------------------|---------------------|--------------------------------|------------------------------------------|-----------------------------|-------------------|---------------|
| Sub phylum                                                                                                       | Class                                                    | Order                                           | Superfamily         | Family                         | Genus/Subgenus                           | NPFC ~2023                  | NPFC 2024* #2     | Guide Cat. #3 |
| A<br>n<br>t<br>h<br>o<br>z<br>o<br>a                                                                             | H<br>e<br>x<br>a<br>c<br>o<br>r<br>a<br>l<br>l<br>i<br>a | Antipatharia                                    |                     | Antipathidae                   | ---                                      | Black Corals (Antipatharia) | Black Corals      | Black Corals  |
|                                                                                                                  |                                                          |                                                 |                     | Aphanipathidae                 | ---                                      | Black Corals (Antipatharia) | Black Corals      | Black Corals  |
|                                                                                                                  |                                                          |                                                 |                     | Cladopathidae                  | ---                                      | Black Corals (Antipatharia) | Black Corals      | Black Corals  |
|                                                                                                                  |                                                          |                                                 |                     | Leopathidae                    | ---                                      | Black Corals (Antipatharia) | Black Corals      | Black Corals  |
|                                                                                                                  |                                                          |                                                 |                     | Schizopathidae                 | ---                                      | Black Corals (Antipatharia) | Black Corals      | Black Corals  |
|                                                                                                                  |                                                          | Scleractinia                                    |                     | Caryophyllidae                 | ---                                      | Stony Corals (Scleractinia) | Hard Corals       | Hard Corals   |
|                                                                                                                  |                                                          |                                                 |                     | Deltocyathidae                 | ---                                      | Stony Corals (Scleractinia) | Hard Corals       | Hard Corals   |
|                                                                                                                  |                                                          |                                                 |                     | Dendrophylliidae               | ---                                      | Stony Corals (Scleractinia) | Hard Corals       | Hard Corals   |
|                                                                                                                  |                                                          |                                                 |                     | Flabellidae                    | ---                                      | Stony Corals (Scleractinia) | Hard Corals       | Hard Corals   |
|                                                                                                                  |                                                          |                                                 |                     | Fungiacyathidae                | ---                                      | Stony Corals (Scleractinia) | Hard Corals       | Hard Corals   |
|                                                                                                                  |                                                          |                                                 |                     | Micrabaciidae                  | ---                                      | Stony Corals (Scleractinia) | Hard Corals       | Hard Corals   |
|                                                                                                                  |                                                          |                                                 |                     | Oculinidae                     | ---                                      | Stony Corals (Scleractinia) | Hard Corals       | Hard Corals   |
|                                                                                                                  |                                                          |                                                 |                     | Turbinoliidae                  | ---                                      | Stony Corals (Scleractinia) | Hard Corals       | Hard Corals   |
|                                                                                                                  |                                                          |                                                 |                     | Madreporidae                   | ---                                      | Stony Corals (Scleractinia) | Hard Corals       | Hard Corals   |
|                                                                                                                  | O<br>c<br>t<br>o<br>c<br>o<br>r<br>a<br>l<br>l<br>i<br>a | Scleractyonacea<br>≡ Calaxonia-<br>Pennatulacea | Pennatuloidea<br>*5 | Anthoptilidae                  | ---                                      | NA                          | Pennatulaceans *5 | NA            |
|                                                                                                                  |                                                          |                                                 |                     | Balticiniidae                  | ---                                      | NA                          | Pennatulaceans *5 | NA            |
|                                                                                                                  |                                                          |                                                 |                     | Funiculinidae                  | ---                                      | NA                          | Pennatulaceans *5 | NA            |
|                                                                                                                  |                                                          |                                                 |                     | Kophobeleminidae               | ---                                      | NA                          | Pennatulaceans *5 | NA            |
|                                                                                                                  |                                                          |                                                 |                     | Pennatulidae                   | ---                                      | NA                          | Pennatulaceans *5 | NA            |
|                                                                                                                  |                                                          |                                                 |                     | Protoptilidae                  | ---                                      | NA                          | Pennatulaceans *5 | NA            |
|                                                                                                                  |                                                          |                                                 |                     | Scleroptilidae                 | ---                                      | NA                          | Pennatulaceans *5 | NA            |
|                                                                                                                  |                                                          |                                                 |                     | Stachyptilidae                 | ---                                      | NA                          | Pennatulaceans *5 | NA            |
|                                                                                                                  |                                                          |                                                 |                     | Umbellulidae                   | ---                                      | NA                          | Pennatulaceans *5 | NA            |
|                                                                                                                  |                                                          |                                                 |                     | Veretillidae                   | ---                                      | NA                          | Pennatulaceans *5 | NA            |
|                                                                                                                  |                                                          |                                                 |                     | Virgulariidae                  | ---                                      | NA                          | Pennatulaceans *5 | NA            |
|                                                                                                                  |                                                          | Gorgonales                                      | Gorgonales          | Chrysogorgiidae                | ---                                      | Gorgonacea                  | Gorgonians        | Gorgonians    |
|                                                                                                                  |                                                          |                                                 |                     | Keratoisididae                 | ---                                      | Gorgonacea                  | Gorgonians        | Gorgonians    |
|                                                                                                                  |                                                          |                                                 |                     | Primnoidae                     | ---                                      | Gorgonacea                  | Gorgonians        | Gorgonians    |
|                                                                                                                  |                                                          |                                                 |                     | Briareidae                     | ---                                      | Gorgonacea                  | Gorgonians        | Gorgonians    |
|                                                                                                                  |                                                          |                                                 |                     | Clavariidae>> Briareidae       | <i>Pachyclavaria</i> >> <i>Briareum</i>  | Alcyonacea                  | Soft Corals       | Soft Corals   |
|                                                                                                                  |                                                          |                                                 |                     | Alcyoniidae>> Coralliidae *6   | <i>Anthomastus</i><br><i>Paraminabea</i> | Alcyonacea                  | Soft Corals       | Soft Corals   |
|                                                                                                                  |                                                          |                                                 |                     | Paragorgiidae>> Coralliidae *6 | ---                                      | Gorgonacea                  | Gorgonians        | Gorgonians    |
|                                                                                                                  |                                                          |                                                 |                     | Coralliidae *6                 | ---                                      | Gorgonacea                  | Gorgonians        | Gorgonians    |
|                                                                                                                  |                                                          | Malacalcyonacea<br>≡ Holaxonia-<br>Alcyoniina   | Malacalcyonales     | Clavariidae                    | ---                                      | Alcyonacea                  | Soft Corals       | Soft Corals   |
|                                                                                                                  |                                                          |                                                 |                     | ---                            | <i>Pseudocladochonus</i> *7              | Alcyonacea                  | Soft Corals       | Soft Corals   |
|                                                                                                                  |                                                          |                                                 |                     | Tubiporidae                    | ---                                      | Alcyonacea                  | Soft Corals       | Soft Corals   |
|                                                                                                                  |                                                          |                                                 |                     | Nidaliidae                     | ---                                      | Alcyonacea                  | Soft Corals       | Soft Corals   |
|                                                                                                                  |                                                          |                                                 |                     | Siphonogorgiidae               | ---                                      | Alcyonacea                  | Soft Corals       | Soft Corals   |
|                                                                                                                  |                                                          |                                                 |                     | Anthothelidae>> Alcyoniidae *8 | <i>Anthothela</i>                        | Gorgonacea                  | Gorgonians        | Gorgonians    |
|                                                                                                                  |                                                          |                                                 |                     | Nephtheidae>> Alcyoniidae *8   | <i>Gersemia</i>                          | Alcyonacea                  | Soft Corals       | Soft Corals   |
|                                                                                                                  |                                                          |                                                 |                     | Alcyoniidae *8                 | ---                                      | Alcyonacea                  | Soft Corals       | Soft Corals   |
|                                                                                                                  |                                                          |                                                 |                     | Nephtheidae                    | ---                                      | Alcyonacea                  | Soft Corals       | Soft Corals   |
|                                                                                                                  |                                                          |                                                 |                     | Paralcyoniidae                 | ---                                      | Alcyonacea                  | Soft Corals       | Soft Corals   |
|                                                                                                                  |                                                          |                                                 |                     | Gorgoniidae                    | ---                                      | Gorgonacea                  | Gorgonians        | Gorgonians    |
|                                                                                                                  |                                                          |                                                 |                     | Isididae                       | ---                                      | Gorgonacea                  | Gorgonians        | Gorgonians    |
|                                                                                                                  |                                                          |                                                 |                     | Keroeciidae                    | ---                                      | Gorgonacea                  | Gorgonians        | Gorgonians    |
|                                                                                                                  |                                                          |                                                 |                     | Astrogorgiidae                 | ---                                      | Gorgonacea                  | Gorgonians        | Gorgonians    |
|                                                                                                                  |                                                          |                                                 |                     | Euplexauridae                  | ---                                      | Gorgonacea                  | Gorgonians        | Gorgonians    |
|                                                                                                                  |                                                          |                                                 |                     | Anthogorgiidae                 | ---                                      | Gorgonacea                  | Gorgonians        | Gorgonians    |
|                                                                                                                  |                                                          |                                                 |                     | Acanthogorgiidae               | ---                                      | Gorgonacea                  | Gorgonians        | Gorgonians    |
|                                                                                                                  |                                                          |                                                 |                     | Victorgorgiidae                | ---                                      | Gorgonacea                  | Gorgonians        | NA            |
|                                                                                                                  |                                                          |                                                 |                     | Plexauridae                    | ---                                      | Gorgonacea                  | Gorgonians        | NA            |
|                                                                                                                  |                                                          |                                                 |                     | ---                            | <i>Calcigorgia</i> *9                    | Gorgonacea                  | Gorgonians        | NA            |

\*1 Classification is based on WoRMS (in July 2024).

\*2 Nominal names of VME indicator corals agreed by NPFC for adoption after 2025 (NPFC-2024-COM08-Final Report-ANNEX 0-6)

\*3 Coral Morphology Categories of "NPFC VME Taxa Identification Guide (Western North Pacific Ocean)"

\*4 See WoRMS based on McFadden *et al.* (2022) for the present octocorallian classification, and McFadden *in* Daly *et al.* (2007) for the former one

The current families of octocorals and their correspondence to former suborders/systems are well summarized *in* Table 2 of McFadden *et al.* (2022)

\*5 2024.9th.COM has agreed to add pennatulaceans (sea pens) to the VME indicator taxa (entered into force 1 January 2025)

\*6 The family Coralliidae is originally gorgonians (Gorgonacea), but the current classification includes some soft corals (formerly Alcyonacea) (e.g. *Anthomastus*)

\*7 *Pseudocladochonus* is the genus Octocorallia *incertae sedis* in McFadden *et al.* (2022) and in also WoRMS. (See Table 3 *in* McFadden *et al.*, 2022)

\*8 The family Alcyoniidae is originally soft corals (former Alcyonacea), but the current classification includes some gorgonians (Gorgonacea) (e.g. *Anthothela*)

\*9 *Calcigorgia* is a gorgonian genus in Octocorallia *incertae sedis* in McFadden *et al.* (2022) and in also WoRMS. (See Table 3 *in* McFadden *et al.*, 2022)

>> pink= former Gorgonacea (Gorgonians); yellow= former Alcyonacea (Soft Corals)

WoRMS (World Register of Marine Species) <https://www.marinespecies.org/index.php>

Daly *et al.* (2007) The phylum Cnidaria: A review of phylogenetic patterns and diversity 300 years after Linnaeus. *Zootaxa*, 1668: 127-182.

McFadden *et al.* (2022) Revisionary systematics of Octocorallia (Cnidaria: Anthozoa) guided by phylogenomics. *Bull. Soc. Syst. Biol.*, 1: 1-79.

## **CONSERVATION AND MANAGEMENT MEASURE FOR CHUB MACKEREL**

*The North Pacific Fisheries Commission (NPFC),*

*Recognizing* that outcomes of the small ad hoc workshop for the scientific analysis of chub mackerel stock were presented to the Scientific Committee (SC) in April 2017 and the SC recommended to establish the Technical Working Group on Chub Mackerel Stock Assessment (TWG CMSA);

*Noting* that CMM 2016-07 states the SC will complete the stock assessment of chub mackerel as soon as practicable, even if such assessment is provisional, and provide advice and recommendations to the Commission in accordance with Article 10, paragraph 4(b) of the Convention;

*Reaffirming* the General Principles provided in Article 3 of the Convention, in particular, paragraph (h) stipulating that any expansion of fishing effort does not proceed without prior assessment of the impacts of those fishing activities on the long-term sustainability of fisheries resources;

*Noting* paragraph 1(a) of Article 7 of the Agreement for the Implementation of the Provisions of the United Nations Convention on the Law of the Sea of 10 December 1982 relating to the Conservation and Management of Straddling Fish Stocks and Highly Migratory Fish Stocks of 4 December 1995 (hereinafter, “1995 Agreement”), stipulating that the relevant coastal States and the States whose nationals fish for straddling fish stocks in the adjacent high seas area shall seek to agree upon the measures necessary for the conservation of these stocks in the adjacent high seas area;

*Recognizing* paragraph 2(a) of Article 7 of the 1995 Agreement stipulating that the conservation and management measures adopted and applied in accordance with article 61 of the United Nations Convention on the Law of the Sea in respect of the same stocks by coastal States within areas under national jurisdiction and ensure that measures established in respect of such stocks for the high seas do not undermine the effectiveness of such measures;

*Reaffirming* paragraph (i) of Article 3 of the Convention, stipulating in accordance with Article 7 of the 1995 Agreement, that conservation and management measures established for straddling fish stocks on the high seas and those adopted for areas under national jurisdiction are compatible in order to ensure conservation and management of these fisheries resources in their entirety;

*Recalling* that concern was expressed on an adverse impact on the stock of chub mackerel given the rapid increase in vessels that appear to be fishing for chub mackerel in the Convention Area, as articulated in paragraphs 9 and 10 of Report of the 1st Meeting of the Technical and Compliance Committee;

*Noting* that the NPFC Catch/Effort statistics shows a significant fall in chub mackerel catch in 2022, 2023, 2024 and 2025 from those in previous years;

*Recognizing* that the 10<sup>th</sup> meeting of the Scientific Committee in December 2025 recommended the reduction of fishing mortality to recover SSB to the interim reference levels, 25th percentile, 50th percentile, and 70th percentile of estimated historical SSB, based on the stock assessment of Chub mackerel conducted by the Technical Working Group on Chub Mackerel Stock Assessment and the advice of the Scientific Committee of NPFC;

*Recognizing* that chub mackerel is often harvested mixed with blue mackerel in fishing operations in the Convention Area.

*Further recognizing* the urgent need to take responsible actions to prevent further degradation and to ensure recovery of the chub mackerel stock:

*Adopts* the following conservation and management measure in accordance with Article 7 of the Convention:

1. Members of the Commission and Cooperating non-Contracting Parties (CNCs) with substantial harvest of chub mackerel in the Convention Area shall refrain from expansion, in the Convention Area, of the number of fishing vessels entitled to fly their flags and authorized to fish for chub mackerel from the historical existing level.
2. Members of the Commission and CNCs without substantial harvest of chub mackerel in the Convention Area are encouraged to refrain from expansion, in the Convention Area, of the number of fishing vessels entitled to fly their flags and authorized to fish for chub mackerel from the historical existing level.
3. As a provisional measure, Members shall take necessary measures to ensure that the fishing activities for chub mackerel in the Convention Area shall be undertaken in accordance with the following provisions:
  - (a) The annual total allowable catch of chub mackerel and blue mackerel in the Convention Area, excluding the amount in paragraph 13, shall be set at 47,940 tonnes for 2026 and at 42,300 tonnes for 2027.

- (b) Of this annual total allowable catch, the catch for trawlers shall not exceed 5,703 tonnes for 2026 and 5,032 tonnes for 2027.
  - (c) Of this annual total allowable catch, the catch for purse seiners shall not exceed 42,237 tonnes for 2026 and 37,268 tonnes for 2027.
  - (d) An unused portion of the catch limit in subparagraphs (b) and (c) for 2026 may be carried over to the catch limit for 2027, up to 10% of the original catch limit for 2026.
  - (e) An unused portion of the original catch limit in a single year for the EU in paragraph 13 may be transferred to the catch limit in that year in subparagraphs (b) and (c) of this paragraph. For this, the EU shall notify the Executive Secretary 30 days in advance of the intended transfer, specifying the tonnage and effective date of the transfer. The Executive Secretary shall promptly notify Members and CNCPs of the transfer. This transferred portion may not be used for carry over in subparagraph (d) of this paragraph.
  - (f) China shall not authorize more than 3 trawlers to conduct fishing operations at the same time.
  - (g) The EU shall not authorize more than 1 trawler to conduct fishing operations at the same time.
4. Members of the Commission participating in chub mackerel fisheries in areas under national jurisdiction adjacent to the Convention Area are requested to take compatible measures in paragraph 1 and 3. Such Members<sup>1</sup> may divert part of their catch limit for areas under their jurisdiction to their own catch of chub mackerel in the Convention Area by vessels entitled to fly their flags and authorized to fish for chub mackerel provided that: (i) the Member has established a catch limit for chub mackerel in its jurisdiction; (ii) the Member has notified promptly the Executive Secretary of the starting date of the diversion and annually reported the catch limit that they diverted in accordance with this paragraph in their Annual Report; and (iii) the total catch of the Member in the Convention Area and the areas under their jurisdiction adjacent to the Convention Area will not exceed the Member's total catch limit for its jurisdiction.
  5. Members of the Commission and CNCPs shall prohibit fishing vessels over 10,000 Gross Tonnage that are flying their flag and authorized to fish for chub mackerel, from fishing in the Convention Area.
  6. Members of the Commission and CNCPs shall ensure that fishing vessels flying their flag that fish for chub mackerel in the Convention Area record their catches, including incidental catches of other NPFC species, and any discards and report them to the relevant flag state authorities in accordance with their national data recording and reporting requirements.
  7. Members and CNCPs shall take necessary measures to ensure that their fishing vessels that catch chub mackerel using trawl gear transmit electronically to the Secretariat via its FMC, and keep on board the vessel and available for inspection, an accurate and unaltered original copy of the following report in accordance with the content prescribed in Annex 1:

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<sup>1</sup> Paragraph 4 applies to Russia and Japan.

- a. catch on entry (COE): quantity of all catch and bycatch of species currently managed by the NPFC, as well as bycatch of shark and salmon, on board or contained by a vessel's fishing gear, by species FAO code upon entry into the Convention Area, transmitted before or at the time of the vessel's entry.
8. Without prejudice to its responsibility as a flag Member, a Member or CNCP may require its fishing vessels to transmit COE directly to the Secretariat, in accordance with paragraph 7.
9. To comply with the provisional measure stipulated in paragraph 3, Members of the Commission shall report to the Executive Secretary, in electronic format, monthly catches of chub mackerel and blue mackerel in the Convention Area by fishing vessels flying their flags, as follows:
  - a) For trawlers: By the 10th of the next month, until the total accumulated catch by Members in a year reaches 60% of the catch limit set out in paragraph 3 (b). After the total accumulated catch by Members reaches 60% of the annual catch limit set out in paragraph 3 (b), Members of the Commission shall report to the Executive Secretary, in electronic format, weekly catches of chub mackerel and blue mackerel in the Convention Area by trawlers flying their flags, by Wednesday of the next week.
  - b) For purse seiners: By the 10th of the next month, until the total accumulated catch by Members in a year reaches 60% of the catch limit set out in paragraph 3 (c). After the total accumulated catch by Members reaches 60% of the annual catch limit set out in paragraph 3 (c), Members of the Commission shall report to the Executive Secretary, in electronic format, weekly catches of chub mackerel and blue mackerel in the Convention Area by purse seiners flying their flags, by Wednesday of the next week.
10. The Executive Secretary shall make publicly available the compiled catch of chub mackerel and blue mackerel in the Convention Area on the Commission's website, as well as each Member's catch of chub mackerel and blue mackerel in the Convention Area, on the Member's page of Commission website without delay.
11. In the event that the total accumulated catch by Members reaches 95% of the annual catch limit set out in paragraph 3 (b) or (c), the Executive Secretary shall notify Members of that fact without delay, and each Member participating in the chub mackerel fishery in the Convention Area shall close the fishery for its flagged vessels within 2 days from the above notification by the Secretariat until the end of the year.
12. Development of new fishing activity for the chub mackerel fishery in the Convention Area by Members of the Commission without documented historical catch for chub mackerel in the Convention Area shall be determined in accordance with relevant provisions, including but not limited to, as appropriate, Article 3, paragraph (h) and Article 7, subparagraphs 1(g) and (h) of the Convention.
13. In accordance with paragraph 12, and in addition to the fishing opportunities under paragraph

3 (b), once the annual total allowable catch under 3 (b) has been exhausted the EU shall be entitled to fish an additional 3,060 tonnes of chub mackerel and blue mackerel for 2026 and 2,700 tonnes of them for 2027, without prejudice to future discussions on chub mackerel and blue mackerel allocation in the Convention Area. In case the EU does not harvest any chub mackerel and blue mackerel in the Convention Area by the end of May in 2026, 2,500 tonnes shall be carried over to the catch limit in this paragraph for 2026. An unused portion of the catch limit in this paragraph for 2026 may be carried over to the catch limit for 2027, up to 10% of the original catch limit for 2026.

14. Members of the Commission and CNCPs shall ensure that fishing vessels flying their flag operating in the Convention Area to fish chub mackerel are to be equipped with an operational vessel monitoring system that is activated at all times.
15. Members of the Commission and CNCPs shall provide their data on chub mackerel and blue mackerel separated by the Convention Area and the areas under national jurisdiction adjacent to the Convention Area in accordance with the data requirements adopted by the Commission in the Annual Report every year. The Commission shall review such information at the annual meeting every year.
16. Members of the Commission and CNCPs shall cooperate to take necessary measures including sharing information, in order to accurately understand the situation and eliminate IUU fishing for chub mackerel.
17. After the chub mackerel stock assessment has been completed, the provisions in Paragraph 1, 3 and 13 shall be reviewed by the Commission and those provisions shall not be a precedent to hinder those Members who are not harvesting substantial amounts of chub mackerel in the Convention Area to develop their own chub mackerel fisheries in the Convention Area, noting the Commission shall regularly review chub mackerel harvests in the Convention Area by all Members.
18. The Commission tasks the TWG CMSA and SC to annually update their advice in accordance with Annex T of NPFC-2025-COM09-Final Report.
19. This management measure enters into force on 1 June 2026. The Commission shall review and revise, as appropriate, this CMM based on the advice and recommendations from the SC, but no later than at the 12<sup>th</sup> Commission meeting.
20. This CMM is an amendment of the NPFC CMM 2025-07.

## ANNEX 1

### Minimum standards for Catch on Entry (COE) Report

| # | Fishing Information                     | Format / Notes                     | Details |
|---|-----------------------------------------|------------------------------------|---------|
| 1 | Date of entry into Convention Area      | YYYY-MM-DD                         |         |
| 2 | Time of entry into the Convention Area  | HH:MM UTC<br>(24 hour clock)       |         |
| 3 | Area where reported catch was harvested | National waters/other jurisdiction |         |

| 4- List all species, including bycatch, onboard at time of entry into the Convention Area |  |  |  |  |                   |
|-------------------------------------------------------------------------------------------|--|--|--|--|-------------------|
| FAO Code                                                                                  |  |  |  |  | Total weight (kg) |
|                                                                                           |  |  |  |  |                   |
|                                                                                           |  |  |  |  |                   |
|                                                                                           |  |  |  |  |                   |

## **CONSERVATION AND MANAGEMENT MEASURE FOR PACIFIC SAURY**

*The North Pacific Fisheries Commission (NPFC),*

*Reaffirming* the General Principles, Article 3 of the Convention, in particular, paragraph (b) stipulating that measures are adopted, based on the best scientific information available, to ensure that fisheries resources are maintained at or restored to levels capable of producing maximum sustainable yield, and paragraph (f) stipulating that preventing or eliminating overfishing and excess fishing capacity and ensuring that levels of fishing effort or harvest levels are based on the best scientific information available and do not exceed those commensurate with the sustainable use of the fisheries resources;

*Recalling* Conservation and Management Measure 2025-08 for Pacific saury, including the interim harvest control rule set out in Annex I;

*Taking into account* the work of the Scientific Committee on Pacific saury, including the outcomes of the 10th Meeting of the Scientific Committee;

*Noting* that, while the Scientific Committee at its 10th meeting could not reach consensus on the treatment of the Pacific saury stock assessment results, it nevertheless provided, for the purposes of CMM 2025-08, a calculated annual catch level to the Commission as described in paragraphs 84 to 86 of the SC10 Final Report;

*Recognizing* the progress made in the Joint SC-TCC-COM Small Working Group on Management Strategy Evaluation for Pacific Saury toward the development of a management procedure for the stock;

*Recognizing* further the need to maintain an effective, predictable and practicable interim management framework for Pacific saury pending further development of the management procedure and related scientific work;

*Mindful* of the importance of ensuring the conservation and sustainable use of the Pacific saury stock, while facilitating the implementation of this measure by Members participating in the fishery;

*Adopts* the following conservation and management measure in accordance with Article 7 of the Convention:

### **EFFORT MANAGEMENT**

1. Members of the Commission, not described under paragraph 2, and that are currently fishing for Pacific saury shall refrain from expansion, in the Convention Area, of the number of fishing vessels entitled to fly their flags and authorized to fish for Pacific saury from the historical existing level.

2. Members fishing for Pacific saury in areas of their jurisdiction that are adjacent to the Convention Area shall refrain from rapid expansion, in the Convention Area, of the number of fishing vessels entitled to fly their flags and authorized to fish for Pacific saury from the historical existing level.<sup>1</sup>
3. Members of the Commission participating in Pacific saury fisheries in areas under national jurisdiction adjacent to the Convention Area are, in accordance with relevant provisions of Article 3 of the Convention, requested to take compatible measures in paragraph 2.
4. Each Member of the Commission participating in Pacific saury fisheries shall implement either of the following measures:
  - (a) to reduce the number of fishing vessels flying its flag and fishing for Pacific saury in the Convention Area by 10% from the number of its fishing vessels that fished for Pacific saury in the Convention Area in 2018; or
  - (b) to prohibit fishing vessels flying its flag from engaging in fishing for Pacific saury in the Convention Area outside its designated fishing period of no longer than 180 consecutive days each year.

Each Member shall notify the Secretariat of the measure it implements and its designated fishing period in case of b. above no later than May 1<sup>st</sup> each year. The Secretariat shall summarize the notifications from Members and make it available to all Members and CNCPs. This Paragraph does not apply to Members whose fishing vessels that fished for Pacific saury in the Convention Area in 2018 were less than five (5).

## **CATCH MANAGEMENT**

5. The interim harvest control rule (HCR) for Pacific saury is as attached in Annex I.
6. The interim HCR is applied until the establishment of a management procedure to be recommended through an MSE process by the Joint SC-TCC-COM Small Working Group on Management Strategy Evaluation for Pacific Saury (SWG MSE PS), or unless otherwise decided by the Commission. The SWG MSE PS and the SC shall review the performance of the interim HCR every year based on the best scientific information available, particularly the latest stock assessment results, and provide a recommendation for the Commission, as necessary.
7. For 2026, Members of the Commission agreed that the annual catch of Pacific saury in the entire area (the Convention Area and the areas under their jurisdiction adjacent to the Convention Area) should not exceed 192,375 metric tons.

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<sup>1</sup> Paragraph 2 applies to Russia and Japan

8. For 2027, the Commission agreed that the annual catch of Pacific saury in the entire area (the Convention Area and the areas under their jurisdiction adjacent to the Convention Area) will be based on the interim HCR in Annex I and should not exceed 90% of the 2026 level unless the Commission decides otherwise based on the best available scientific information or unless otherwise provided for under the interim HCR.
9. In 2026, the annual total allowable catch (TAC) of Pacific saury in the Convention Area shall be limited to 60% of the annual catch limit in the entire area in paragraph 7<sup>2</sup>.
10. For 2027, the annual total allowable catch (TAC) of Pacific saury in the Convention Area shall be limited to 60% of the annual catch limit according to paragraph 8.
11. As a provisional measure until the Commission decides allocation of the TAC, each Member of the Commission shall reduce the annual total catch of Pacific saury by the fishing vessels entitled to fly its flag in 2026 by 57.8% from its reported catch in 2018 (Annex II), and shall take necessary measures so that the total catch in the Convention Area will not exceed the TAC set out in paragraph 9. Members of the Commission and CNCPs shall ensure that discards of Pacific saury count against their catch limits.
12. To comply with the TAC, the following measures shall be in place in 2026:
  - a) Members of the Commission shall report to the Executive Secretary, in the electronic format, weekly catches of Pacific saury in the Convention Area by fishing vessels flying their flags by Wednesday of the next week. The Executive Secretary shall make publicly available the compiled catch of Pacific saury in the Convention Area on the Commission's website as well as share each Member's catch of Pacific saury in the Convention Area on the Member's page of Commission's website without delay; and
  - b) In the event that the total reported catch of all Members reaches 90% of the TAC set out in paragraph 9, the Executive Secretary shall notify all Members without delay. Those Members with more than 10,000 mt of catch limits shall close the fishery within 72 hours from the receipt of the notification. Those Members with less than 10,000 mt of catch limits may continue operations, but their total catch shall not exceed 90% of their catch limits.
  - c) If any Members commit to reduce its annual total catch of Pacific Saury by fishing vessels entitled to fly its flag in 2026 by 67.22% from its reported catch in 2018, it shall be exempted from the requirements stipulated in Paragraph 12 (b). In case of that, the TAC for the rest of the members referred to in paragraph 12 (b) shall be 115,425 metric tons minus the catch limit of member(s) that make such commitment. Such commitment shall be submitted to the Secretariat no later than May 1<sup>st</sup>, 2026, and be circulated to all Members, as well as TAC applied to those Members subject to paragraph 12 (c).

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<sup>2</sup> 115,425 metric tons in 2026

13. Members of the Commission and CNCPs shall ensure that fishing vessels flying their flag that fish for Pacific saury in the Convention Area record their catches, including incidental catches of other NPFC species, and any discards and report them to the relevant flag state authorities in accordance with their national data recording and reporting requirements.
14. In the event that a Member reaches 70% of its catch limit set out in paragraph 11, the Executive Secretary shall inform that Member of that fact, with a copy to all other Members. That Member shall close the fishery for its flagged vessels when the total catch of its flagged vessels is equivalent to 100% of its catch limit. Such Member shall notify promptly the Executive Secretary of the date of the closure, except as described in paragraph 15. Upon receipt of the notification, the Executive Secretary shall circulate it to all the Members.
15. Notwithstanding paragraph 11, 12 b) and c), Members fishing for Pacific saury in areas of their jurisdiction<sup>3</sup> that are adjacent to the Convention Area may divert part of their catch limit for areas under their jurisdiction to their own catch of Pacific saury in the Convention Area by vessels entitled to fly their flags and authorized to fish for Pacific saury<sup>4</sup>. Such Members shall notify promptly the Executive Secretary of the starting date of the diversion and annually report the catch limit that they diverted in accordance with this paragraph in their Annual Report.

## **OTHER MEASURES**

16. Development of new fishing activity for the Pacific saury fishery in the Convention Area by Members without documented historical catch for Pacific saury in the Convention Area shall be determined in accordance with relevant provisions, as appropriate, including but not limited to Article 3, paragraph (h) and Article 7, subparagraphs 1(g) and (h) of the Convention.
17. Members of the Commission shall ensure that fishing vessels flying their flags operating in the Convention Area to fish Pacific saury be equipped with an operational vessel monitoring system that is activated at all times.
18. In order to prevent discards and contribute to the proper stock assessment, Members of the Commission shall take necessary measures to ensure that fishing vessels flying their flags in the Convention Area fishing for Pacific saury retain all the catch of Pacific saury on board.
19. In order to protect juvenile fish, Members of the Commission shall take measures for fishing

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<sup>3</sup> Paragraph 15 applies to Russia and Japan

<sup>4</sup> The diverted portion used in the Convention Area shall count toward the Members' catch limits within the EEZ, both for future discussions and for calculating TAC utilization in the Convention Area.

vessels flying their flags to refrain from fishing for Pacific saury in the areas east of 170°E from June to July. The SC and its subsidiary Small Scientific Committee on Pacific Saury will submit to the Commission relevant scientific information on geographical distribution of juvenile fish in the Convention Area, and its migration patterns.

20. The SWG MSE PS shall endeavor to consider the establishment of a management procedure to be formulated through an MSE process by the 12<sup>th</sup> Commission Meeting in 2028. The Commission shall continue to fund an external expert to support the process.
21. This CMM shall in no case be a basis for any future CMM for Pacific saury.
22. The Commission shall review and revise, as appropriate, this CMM based on the advice and recommendations from the SC and the SWG MSE PS, at its every Commission meeting.
23. Consideration should be given to development aspirations of small island developing States in accordance with international law in revising this CMM.
24. This CMM shall enter into force on May 1<sup>st</sup>, 2026, replacing CMM 2025-08 and will be reviewed on a regular basis.

## Interim Harvest Control Rules (HCR) for Pacific saury

### 1. Management Objectives for the Pacific saury fisheries

#### Interim Management Objectives

(a) *Recovery of the stock (prioritized objective):*

- (i) *The stock biomass is rebuilt to  $B_{tar}$  within 5 years with 50% probability;*
- (ii) *The stock biomass is maintained above the  $B_{tar}$  level in each of years 6-10 with 50% probability.*

2. *Avoiding unsustainable state of the stock (secondary objective):*

- (i) *The annual probability in each of years 6-10 that the stock drops below  $B_{lim}$  should not exceed 10%;*
- (ii) *The annual probability in each of years 6-10 that fishing mortality is above  $F_{lim}$  should not exceed 10%.*

3. *Achieving high and stable catch (tertiary objective):*

- (i) *Average catch over years 6-10 is as high as possible;*
- (ii) *Catch in each of years 6-10 is as stable as possible.*

#### Interim Biological reference points

Interim biological reference points used the interim HCR for Pacific saury are as follows:

| Reference point         |
|-------------------------|
| $B_{tar} = B_{MSY}$     |
| $B_{lim} = 0.35B_{MSY}$ |
| $F_{tar} = F_{MSY}$     |
| $F_{lim} = 1.35F_{MSY}$ |

### 2. Interim Harvest Control Rules (HCRs)

Based on the latest base-case results of stock assessment of Pacific saury, annual catch level in the entire area  $y = a_{y-1} * F_{MSY} * \hat{B}_{y-1}$ , where  $a_{y-1} = \min(1, \hat{B}_{y-1} / \hat{B}_{MSY})$

(as shown in Figure 1).

It reduces fishing intensity at biomass levels below  $B_{MSY}$ . Maximum allowable change of the annual catch level in the entire area is restricted to 10%.

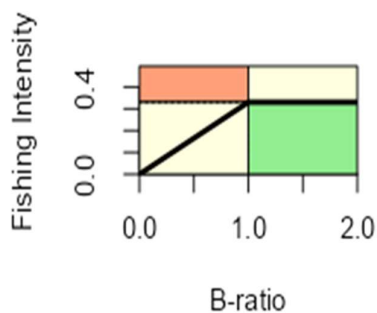


Figure 1. Illustration of the interim HCR.

### 3. Management cycle

The SC annually advises the Commission of the calculated annual catch level in the entire area of Pacific saury for the following year in accordance with the interim HCR as described in paragraph 2, based on the latest stock assessment results.

## Annex II

### Reported Pacific saury catch in 2018

| Member         | Catch (metric ton) |
|----------------|--------------------|
| China          | 90,365             |
| Japan          | 46,859             |
| Korea          | 20,759             |
| Russia         | 5,459              |
| Chinese Taipei | 180,466            |
| Vanuatu        | 8,231              |

**CONSERVATION AND MANAGEMENT MEASURE FOR HIGH SEAS BOARDING  
AND INSPECTION PROCEDURES FOR THE NORTH PACIFIC FISHERIES  
COMMISSION**

1. The following procedures are established by the North Pacific Fisheries Commission, in accordance with Article 7, paragraph 2-c of its Convention, to govern high seas boarding and inspection of fishing vessels in the Convention Area.

**Definitions**

2. For the purposes of interpreting and implementing these procedures, the following definitions shall apply:
  - (a) “Convention” means the Convention on the Conservation and Management of High Seas Fisheries resources in the North Pacific Ocean;
  - (b) “Commission” means the North Pacific Fisheries Commission (NPFC) established under Article 5 of the Convention;
  - (c) “Authorities of the Inspection Vessel” means the authorities of the Contracting Party under whose jurisdiction the inspection vessel is operating;
  - (d) “Authorities of the Fishing Vessel” means the authorities of the Member of the Commission under whose jurisdiction the fishing vessel is operating;
  - (e) “Authorized inspection vessel” means any vessel included in the Commission’s register of vessels as authorized to engage in boarding and inspection activities pursuant to these procedures;
  - (f) “Authorized inspector” means inspectors employed by the authorities responsible for boarding and inspection included in the Commission register and authorized to conduct boarding and inspection activities pursuant to these procedures;
  - (g) “Fishing activity” means the activities established under Article 1 (i) of the Convention;
  - (h) “Fishing vessels” means any vessel described under Article 1 (j) of the Convention.

## **Purpose**

3. Boarding and inspection and related activities conducted pursuant to these procedures shall be for the purpose of ensuring compliance with the provisions of the Convention and conservation and management measures adopted by the Commission and in force.

## **Area of Application**

4. These procedures shall apply throughout the Convention Area, which consists of the high seas areas of the North Pacific Ocean as specified in Article 4 of the Convention.

## **General Rights and Obligations**

5. Each Contracting Party may, subject to the provisions of these procedures, carry out boarding and inspection on the high seas of fishing vessels engaged in or reported to have engaged in a fishery regulated pursuant to the Convention.
6. These procedures shall also apply in their entirety as between a Contracting Party and a Fishing Entity, subject to a notification to that effect to the Commission from the parties concerned.
7. Each Member of the Commission shall ensure that vessels flying its flag accept boarding and inspection by authorized inspectors in accordance with these procedures. Such authorized inspectors shall comply with these procedures in the conduct of any such activities.

## **General Principles**

8. These procedures are intended to implement and give effect to, and are to be read consistently with, Article 7.2.c and Article 17.6 of the Convention.
9. These procedures shall be implemented in a transparent and non-discriminatory manner, taking into account, inter alia:
  - (a) such factors as the presence of observers on board a vessel and the frequency and results of past inspections; and
  - (b) the full range of measures to monitor compliance with the provisions of the Convention and agreed conservation and management measures, including inspection activities carried out by the authorities of Members of the Commission in respect of their own flag vessels.
  - (c) that NPFC Member inspectors are at risk of serious injury during the boarding process and that minimum standards for boarding ladders are to be implemented to the extent possible minimize this risk.

10. While not limiting efforts to ensure compliance by all vessels, priority for boarding and inspection efforts pursuant to these procedures may be given to:
- (a) fishing vessels that are not on the NPFC Record of Fishing Vessels and are flagged to Members of the Commission;
  - (b) fishing vessels reasonably believed to engage or to have been engaged in any activity in contravention of the Convention or any conservation and management measure adopted thereunder;
  - (c) fishing vessels that are entitled to fly the flag of a Member of the Commission that does not dispatch patrol vessels to the area of application to monitor its own fishing vessels;
  - (d) fishing vessels without observers on board if so required by the Convention, Article 7.2b;
  - (e) fishing vessels with a known history of violating conservation and management measures adopted by international agreement or any domestic laws and regulations.
11. The Commission shall keep the implementation of these procedures under review.
12. The interpretation of these procedures shall rest with the Commission.

### **Participation**

13. The Commission shall maintain a register of all authorized inspection vessels and authorities or inspectors. Only vessels and authorities or inspectors listed on the Commission's register are authorized under these procedures to board and inspect fishing vessels of Commission Members and Cooperating Non-Contracting Parties on the high seas within the Convention Area.
14. Each Contracting Party that intends to carry out boarding and inspection activities pursuant to these procedures shall so notify the Commission, through the Executive Secretary, and shall provide the following:
- (a) with respect to each inspection vessel it assigns to boarding and inspection activities under these procedures:
    - (i) details of the vessel (name, description, photograph, registration number, port of registry (and, if different from the port of registry, port marked on the vessel hull), international radio call sign and communication capability);
    - (ii) An example of the credentials issued to the inspectors by its authorities;

- (iii) notification that the inspection vessel is clearly marked and identifiable as being on government service;
  - (iv) notification that the crew has received and completed training in carrying out boarding and inspection activities at sea in accordance with any standards and procedures as may be adopted by the Commission.
- (b) with respect to inspectors it assigns pursuant to these procedures:
- (i) the names of the authorities responsible for boarding and inspection;
  - (ii) notification that such authorities' inspectors are fully familiar with the fishing activities to be inspected and the provisions of the Convention and conservation and management measures in force; and
  - (iii) notification that such authorities' inspectors have received and completed training in carrying out boarding and inspection activities at sea in accordance with any standards and procedures as may be adopted by the Commission.
15. Where military vessels are used as a platform for the conduct of boarding and inspection, the authorities of the inspection vessel shall ensure that the boarding and inspection is carried out by inspectors fully trained in fisheries enforcement procedures and duly authorized for this purpose under national laws, and that boardings from such military vessels and inspectors conform to the procedures contained within these Boarding and Inspection Procedures.
16. Authorized inspection vessels and inspectors notified by Contracting Parties pursuant to paragraph 14 shall be included on the Commission register once the Executive Secretary confirms that they meet the requirements of that paragraph.
17. To enhance the effectiveness of the Commission's boarding and inspection procedures, and to maximize the use of trained inspectors, Contracting Parties may identify opportunities to place authorized inspectors on inspection vessels of another Contracting Party. Where appropriate, Contracting Parties should seek to conclude bilateral arrangements to this end or otherwise facilitate communication and coordination between them for the purpose of implementing these procedures.
18. The Executive Secretary shall ensure that the register of authorized inspection vessels and authorities or inspectors is at all times available to all Members of the Commission and shall immediately circulate any changes therein. Updated lists shall be posted on the Commission website. Each Member of the Commission shall take necessary measures to ensure that these lists are circulated in a timely manner to each of its fishing vessels operating in the Convention Area.

## **Procedures**

19. The NPFC inspection flag, as described in Annex B, shall be flown by authorized inspection vessels, in clearly visible fashion.
20. Authorized inspectors shall carry an approved identity card identifying the inspector as authorized to carry out boarding and inspection procedures under the auspices of the Commission and in accordance with these procedures.
21. An authorized inspection vessel that intends to board and inspect a fishing vessel on the high seas that is engaged in or reported to have engaged in a fishery regulated pursuant to the Convention shall, prior to initiating the boarding and inspection:
  - (a) make best efforts to establish contact with the fishing vessel by radio, by the appropriate International Code of Signals or by other accepted means of alerting the vessel;
  - (b) provide the information to identify itself as an authorized inspection vessel - name, registration number, international radio call sign and contact frequency;
  - (c) communicate to the master of the vessel its intention to board and inspect the vessel under the authority of the Commission and pursuant to these procedures; and
  - (d) initiate notice through the authorities of the inspection vessel of the boarding and inspection to the authorities of the fishing vessel.
22. In carrying out boarding and inspection pursuant to these procedures, the authorized inspection vessel and authorized inspectors shall make their best efforts to communicate with the master of the fishing vessels in a language that the master can understand. In order to facilitate communications between the inspectors and the master of the vessel, the Commission shall develop a standardized multi-language questionnaire, which shall be circulated to all Contracting Parties with authorized inspection vessels.
23. Authorized inspectors shall have the authority to inspect the vessel, its license, gear, equipment, records, facilities, fish and fish products and any relevant documents necessary to verify compliance with the conservation and management measures in force pursuant to the Convention.
24. Boarding and inspection pursuant to these procedures shall:
  - (a) be carried out in accordance with internationally accepted principles of good seamanship so as to avoid risks to the safety of fishing vessels and crews;

- (b) be conducted as much as possible in a manner so as not to interfere unduly with the lawful operation of the fishing vessel;
- (c) take reasonable care to avoid action that would adversely affect the quality of the catch; and
- (d) not be conducted in such manner as to constitute harassment of a fishing vessel, its officers or crew.

25. In the conduct of a boarding and inspection, the authorized inspectors shall:

- (a) present their identity card to the master of the vessel and a copy of the text of the relevant measures in force pursuant to the Convention in the relevant area of the high seas;
- (b) not interfere with the master's ability to communicate with the authorities of the fishing vessel;
- (c) complete the inspection of the vessel within 4 (four) hours unless evidence of a serious violation is found;
- (d) collect and clearly document any evidence they believe indicates a violation of measures in force pursuant to the Convention;
- (e) provide to the master prior to leaving the vessel a copy of an interim report on the boarding and inspection including any objection or statement which the master wishes to include in the report;
- (f) promptly leave the vessel following completion of the inspection if they find no evidence of a serious violation; and
- (g) provide a full report on the boarding and inspection to the authorities of the fishing vessel, pursuant to paragraph 31, which shall also include any master's statement.

26. During the conduct of a boarding and inspection, the master of the fishing vessel shall:

- (a) follow internationally accepted principles of good seamanship so as to avoid risks to the safety of authorized inspection vessels and inspectors;
- (b) accept and facilitate prompt and safe boarding by the authorized inspectors;
- (c) provide a safe boarding ladder; Annex A provides guidelines for a safe boarding ladder;
- (d) cooperate with and assist in the inspection of the vessel pursuant to these procedures;

- (e) not assault, resist, intimidate, interfere with, or unduly obstruct or delay the inspectors in the performance of their duties;
  - (f) allow the inspectors to communicate with the crew of the inspection vessel, the authorities of the inspection vessel, any embarked observers, as well as with the authorities of the fishing vessel being inspected;
  - (g) provide the inspectors onboard with reasonable facilities, including, where appropriate, food and accommodation; and
  - (h) facilitate safe disembarkation by the inspectors.
27. If the master of a fishing vessel refuses to allow an authorized inspector to carry out a boarding and inspection in accordance with these procedures, such master shall offer an explanation of the reason for such refusal. The authorities of the inspection vessel shall immediately notify the authorities of the fishing vessel, as well as the Commission, of the master's refusal and any explanation.
28. The authorities of the fishing vessel, unless generally accepted international regulations, procedures and practices relating to safety at sea make it necessary to delay the boarding and inspection, shall direct the master to accept the boarding and inspection. If the master does not comply with such direction, the Member shall suspend the vessel's authorization to fish and order the vessel to return immediately to port. The Member shall immediately notify the authorities of the inspection vessel and the Commission of the action it has taken in these circumstances.

### **Use of Force**

29. The use of force shall be prohibited except when and to the degree necessary to ensure the safety of the inspectors during the conduct of their boarding and inspection activities. The degree of force used shall not exceed that reasonably required in the circumstances.
30. Any incident involving the use of force shall be immediately reported to the authorities of the fishing vessel, as well as to the Executive Secretary for distribution to the Commission.

### **Inspection Reports**

31. Authorized inspectors shall prepare a full report on each boarding and inspection they carry out pursuant to these procedures in accordance with a format specified by the Commission. The authorities of the inspection vessel from which the boarding and inspection was carried out shall transmit a copy of the boarding and inspection report to the authorities of the fishing vessel

being inspected, as well as the Secretariat, within 3 (three) full working days of the completion of the boarding and inspection. Where it is not possible for the authorities of the inspection vessel to provide such report to the authorities of the fishing vessel within this timeframe, the authorities of the inspection vessel shall inform the authorities of the fishing vessel and shall specify the time period within which the report will be provided.

32. Such report shall include the names and authority of the inspectors and clearly identify any observed activity or condition that the authorized inspectors believe to be a violation of the Convention or conservation and management measures in force and indicate the nature of specific factual evidence of such violation.

### **Serious Violations**

33. In the case of any boarding and inspection of a fishing vessel during which the authorized inspectors observe an activity or condition that would constitute a serious violation, as defined in paragraph 38, the authorities of the inspection vessels shall immediately notify the authorities of the fishing vessel, directly as well as through the Commission.
34. Upon receipt of a notification under paragraph 33, the authorities of the fishing vessels shall without delay:
  - (a) assume their obligation to investigate and, if the evidence warrants, take enforcement action against the fishing vessel in question and so notify the authorities of the inspection vessel, as well as the Commission; or
  - (b) authorize the authorities of the inspection vessel to complete investigation of the possible violation and so notify the Commission.
35. In the case of 34(a) above, the authorities of the inspection vessel shall provide, as soon as practicable, the specific evidence collected by the authorized inspectors to the authorities of the fishing vessel.
36. In the case of 34(b) above, the authorities of the inspection vessel shall provide the specific evidence collected by the authorized inspectors, along with the results of their investigation, to the authorities of the fishing vessel immediately upon completion of the investigation.
37. Upon receipt of a notification pursuant to paragraph 33, the authorities of the fishing vessel shall make best effort to respond without delay and in any case no later than within 3 (three) full working days.

38. For the purposes of these procedures, a serious violation means the following violations of the provisions of the Convention or conservation and management measures adopted by the Commission:

- (a) fishing without a valid license, permit or authorization issued by the Member whose flag the fishing vessel is entitled to fly, in accordance with Article 13 of the Convention;
- (b) significant failure to maintain records of catch and catch-related data in accordance with the Commission's reporting requirements or significant misreporting of such catch and/or catch-related data;
- (c) fishing in a closed area;
- (d) fishing during a closed season;
- (e) intentional taking or retention of species in contravention of any applicable conservation and management measure adopted by the Commission;
- (f) significant violation of catch limits or quotas in force pursuant to the Convention;
- (g) using prohibited fishing gear;
- (h) falsifying or intentionally concealing the markings, identity or registration of a fishing vessel;
- (i) concealing, tampering with or disposing of evidence relating to investigation of a violation;
- (j) multiple violations which taken together constitute a serious disregard of measures in force pursuant to the Commission;
- (k) refusal to accept a boarding and inspection, other than as provided in paragraphs 27 and 28;
- (l) assault, resist, intimidate, sexually harass, interfere with, or unduly obstruct or delay an authorized inspector; and
- (m) intentionally tampering with or disabling the vessel monitoring system;
- (n) such other violations as may be determined by the Commission, once these are included and circulated in a revised version of these procedures.

## **Enforcement**

39. Any evidence obtained as a result of a boarding and inspection pursuant to these procedures with respect to violation by a fishing vessel of the Convention or conservation and management measures adopted by the Commission and in force shall be referred to the authorities of the fishing vessel for action in accordance with Article 17 of the Convention.
40. For the purposes of these procedures, the authorities of the fishing vessels shall regard interference by their fishing vessels, captains or crew with an authorized inspector or an authorized inspection vessel in the same manner as any such interference occurring within its exclusive jurisdiction.

## **Annual Reports**

41. Contracting Parties that authorize inspection vessels to operate under these procedures shall report annually to the Commission on the boarding and inspections carried out by its authorized inspection vessels, as well as upon possible violations observed.
42. Contracting Parties shall include in their annual statement of compliance within their Annual Report to the Commission under Article 16 of the Convention action that they have taken in response to boarding and inspections of their fishing vessels that resulted in observation of alleged violations, including any proceedings instituted and sanctions applied.

## **Other Provisions**

43. Authorized inspection vessels, while carrying out activities to implement these procedures, shall engage in surveillance aimed at identifying fishing vessels of non-Members undertaking fishing activities on the high seas in the Convention area. Any such vessels identified shall be immediately reported to the Executive Secretary for distribution to the Commission.
44. The authorized inspection vessel shall attempt to inform any fishing vessel identified pursuant to paragraph 43 that has been sighted or identified as engaging in fishing activities that are undermining the effectiveness of Convention and that this information will be sent to the Executive Secretary for distribution to the Members of the Commission and the non-Member whose flag the fishing vessel is entitled to fly of the vessel in question.
45. If warranted, the authorized inspectors may request permission from the fishing vessel and/or the non-Member whose flag the vessel is entitled to fly to board a vessel identified pursuant to paragraph 43. If the vessel master or the vessel's non-Member whose flag the vessel is entitled to fly consents to a boarding, the findings of any subsequent inspection shall be transmitted to

the Executive Secretary. The Executive Secretary shall distribute this information to all Commission Members as well as to the non-Member whose flag the vessel is entitled to fly.

46. Contracting Parties shall be liable for damage or loss attributable to their action in implementing these procedures when such action is unlawful or exceeds that reasonably required in the light of available information.

### **Commission Coordination and Oversight**

47. Authorized inspection vessels in the same operational area should seek to establish regular contact for the purpose of sharing information on areas in which they are patrolling, on sightings and on boarding and inspections they have carried out, as well as other operational information relevant to carrying out their responsibilities under these procedures.
48. The Commission shall keep under continuous review the implementation and operation of these procedures, including review of annual reports relating to these procedures provided by Members. In applying these procedures, Contracting Parties may seek to promote optimum use of the authorized inspection vessels and authorized inspectors by:
  - (a) identifying priorities by area and/or by fishery for boarding and inspections pursuant to these procedures;
  - (b) ensuring that boarding and inspection on the high seas is fully integrated with the other monitoring, compliance and surveillance tools available pursuant to the Convention;
  - (c) ensuring non-discriminatory distribution of boarding and inspections on the high seas among fishing vessels of Members of the Commission without compromising the opportunity of Contracting Parties to investigate possible serious violations; and
  - (d) taking into account high seas enforcement resources assigned by Members of the Commission to monitor and ensure compliance by their own fishing vessels, particularly for small boat fisheries whose operations extend onto the high seas in areas adjacent to waters under their jurisdiction.

### **Settlement of Disagreements**

49. In the event of a disagreement concerning the application or implementation of these procedures, the parties concerned shall consult in an attempt to resolve the disagreement.
50. If the disagreement remains unresolved following the consultations, the Executive Secretary of the Commission shall, at the request of the parties concerned, and with the consent of the

Commission, refer the disagreement to the Technical and Compliance Committee (TCC). The TCC shall establish a panel of five representatives, acceptable to the parties to the disagreement, to consider the matter.

51. A report on the disagreement shall be drawn up by the panel and forwarded through the TCC Chair to the Executive Secretary for distribution to the Commission within two months of the TCC meeting at which the case is reviewed.
52. Upon receipt of such report, the Commission may provide appropriate advice with respect to any such disagreement for the consideration of the Members concerned.
53. Application of these provisions for the settlement of disagreements shall be non-binding. These provisions shall not prejudice the rights of any Member to use the dispute settlement procedures provided in the Convention.

**Boarding Ladder Guidelines**

Commencing on March 1<sup>st</sup>, 2022, the Master of a fishing vessel with fishing vessel with a registered tonnage greater than or equal to 250 GT (Gross Tonnage) or GRT (Gross Register Tonnage), as registered in the NPFC Vessel Registry, is encouraged to provide a board ladder that meets the following guidelines:

- (a) A boarding ladder should be provided for the purpose of enabling Authorized Inspectors to safely embark and disembark at-sea pursuant to the provisions of CMM 2023-09.
- (b) The ladder should be secured in an area that is clear of any possible discharges, lines, or obstructions from the vessel.
- (c) The ladder should be placed as near to the mid-length of the vessel as practicable.
- (d) Handholds should be provided to ensure a safe passage from the deck to the head of the ladder and vice versa.
- (e) The rigging of the ladder and the embarkation and disembarkation of an Authorized Inspector should be overseen by a responsible crew member of the vessel, who [should] have communication with the bridge.
- (f) The steps of the ladder should be:
  - (i) made of hardwood (or of a suitable equivalent material).
  - (ii) free from sharp edges or splinters.
  - (iii) provided with an effective non-slip surface.
  - (iv) not less than 480 mm long, 115 mm wide and 25 mm in depth.
  - (v) equally spaced apart to ensure safe and ergonomic climbing of the ladder by an Authorized Inspector.
  - (vi) secured in such a manner that they will remain horizontal.
- (g) The side ropes of the ladder should:
  - (i) consist of two uncovered manila ropes not less than 65 mm in circumference on each side.
  - (ii) should be continuous with no joins.
  - (iii) should have ends secured to prevent unravelling.
  - (iv) Battens (span boards) made of hardwood or a material of equivalent properties, in one piece, should be provided to prevent the boarding ladder from twisting.
  - (v) An authorized inspector should have the discretion to instruct a vessel master to move or reconfigure the boarding ladder if deemed unsafe for use.

**Note: A graphic regarding the boarding ladder is attached hereto.**

Fisheries and Oceans  
Canada

Pêches et Océans  
Canada

## Boarding Ladder

## for Inspector Safety

A boarding ladder shall be provided for the purpose of enabling Inspectors to safely embark and disembark at-sea. The ladder shall be secured so that it is clear of any possible discharges and lines from the vessel.

Handholds shall be provided to ensure a safe passage from the deck to the head of the ladder and vice versa.

- The rigging of the ladder and the embarkation and disembarkation of an inspector shall be overseen by a crew member of the vessel, who shall have communication with the bridge.

The steps of the ladder shall be:

- made of hardwood (or of a suitable equivalent material).
- free from sharp edges / splinters.
- provided with an effective non-slip surface.
- not less than 480 mm long, 115 mm wide and 25 mm in depth.
- equally spaced apart to ensure safe and ergonomic climbing of the ladder by an authorized Inspector.
- secured in such a manner that they will remain horizontal.

The side ropes of the ladder shall:

- consist of two uncovered manila ropes not less than 65 mm in circumference on each side.
- shall be continuous with no joins.
- shall have ends secured to prevent unravelling.

Battens (span boards) made of hardwood or an material of equivalent properties, in one piece, shall be provided to prevent the boarding ladder from twisting.

An authorized inspector shall have the discretion to instruct a vessel master to move or reconfigure the boarding ladder if deemed unsafe for use.

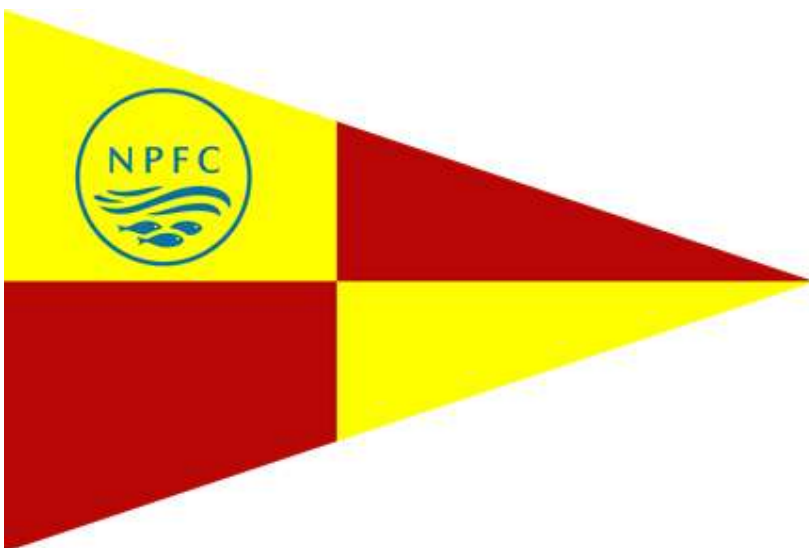
**HSBI Inspection Flag and Pennant**

The intended use of the flag is for inspection vessels, and the pennant for boarding launches.

The following Inspection Flag is intended to be used by authorized inspection vessels. The dimensions are 94 cm by 213 cm (height by length).



The following Boarding Pennant is intended to be used by authorized inspection vessels. The dimensions are 44 cm by 66 cm (height by length)



**CONSERVATION AND MANAGEMENT MEASURE FOR SABLEFISH IN THE  
NORTHEASTERN PACIFIC OCEAN**

The North Pacific Fisheries Commission (NPFC),

Recalling relevant international law as reflected in the United Nations Convention on the Law of the Sea of 10 December 1982, the Agreement for the Implementation of the Provisions of the United Nations Convention on the Law of the Sea of 10 December 1982 relating to the Conservation and Management of Straddling Fish Stocks and Highly Migratory Fish Stocks of 4 December 1995 and the Agreement to Promote Compliance with International Conservation and Management Measures by Fishing Vessels on the High Seas of 24 November 1993 and taking into account the Code of Conduct for Responsible Fisheries adopted by the Conference of the Food and Agriculture Organization of the United Nations (“FAO”) at its 28th session on 31 October 1995 as well as the International Guidelines for the Management of Deep-Sea Fisheries in the High Seas adopted by FAO on 29 August 2008;

Seeking to ensure the long term conservation and sustainable use of the fishery resources of the Northeastern Pacific Ocean and, in so doing, protect the vulnerable marine ecosystems that occur there, in accordance with the Sustainable Fisheries Resolutions adopted by the United Nations General Assembly (UNGA) including, in particular, paragraphs 66 to 71 of the UNGA59/25 in 2004, paragraphs 69 to 74 of UNGA60/31 in 2005, paragraphs 69 and 80 to 91 of UNGA61/105 in 2006, and paragraphs 113 to 124 of UNGA64/72 in 2009;

Reaffirming the General Principles, Article 3 of the Convention, in particular, paragraph (b) stipulating that measures are adopted, based on the best scientific information available, to ensure that fisheries resources are maintained at or restored to levels capable of producing maximum sustainable yield, and paragraph (f) stipulating that preventing or eliminating overfishing and excess fishing capacity and ensuring that levels of fishing effort or harvest levels are based on the best scientific information available and do not exceed those commensurate with the sustainable use of the fisheries resources;

Noting that the existing high seas seamount Sablefish fishery is being conducted by Canada following a precautionary approach with set effort controls in accordance with the conservation and management measure (CMM) 2023-06 for Bottom Fisheries and Protection of Vulnerable Marine Ecosystems in the Northeastern Pacific Ocean;

Reaffirming the General Principles provided in Article 3 of the Convention, in particular, paragraph (h) stipulating that any expansion of fishing effort does not proceed without prior assessment of the impacts of those fishing activities on the long-term sustainability of fisheries resources and a

determination that those activities would not have significant adverse impacts (SAI) on vulnerable marine ecosystems (VME), or ensuring that those activities are managed to prevent those impacts or are not authorized to proceed;

Conscious of the need to adopt permanent measures for the Northeastern Pacific Ocean to ensure that this area is not left as the only major area of the Pacific Ocean where no such measures are in place;

Recognizing that the scope of management measures outlined in CMM 2023-06 applies to the management of bottom fisheries in the Northeastern Pacific Ocean;

Recognizing the documented historical catch in NPFC Annual Reports of Sablefish in the Northeastern Pacific Ocean by Canada, managed in accordance with CMM 2023-06 and compatible domestic management measures;

Recognizing the need expressed in CMM 2023-06 to develop and implement other permanent management arrangements to govern these and other fisheries in the Northeastern Pacific Ocean;

Recognizing that the Commission is to strive to adopt conservation and management measures to prevent SAI on marine species or any VME;

Recognizing the detrimental impacts of lost, abandoned and otherwise discarded fishing gear on the conservation and sustainable use of fisheries and the ecosystems they depend on, and the need for global action to address this issue;

Adopts the following conservation and management measure in accordance with Article 7 of the Convention:

1. This CMM shall apply to the eastern part of the Convention Area as defined in CMM 2023-06.
2. Members of the Commission with current harvest of sablefish in the eastern part of the Convention Area shall refrain from expansion of their fishery in this area from the existing historical level.
3. Members with historical but no current harvest of sablefish in the eastern part of the Convention Area may only expand their fishery in this area in the context of the relevant NPFC Convention provisions, as appropriate including but not limited to Article 3, paragraph (h).
4. Development of new fishing activity for the sablefish fishery in the eastern part of the Convention Area by Members not referenced in paragraph 5 without documented historical catch for sablefish in this area shall be determined in accordance with, but not limited to, as appropriate, Article 3, paragraph (h) and Article 7, subparagraphs 1(g) and (h) of the Convention.

2. Members referenced in paragraph 2 and Members fishing for Sablefish in areas of their jurisdiction that are adjacent to the eastern part of the Convention Area shall adhere to the exploratory fishing protocol as set out in Annex 1 of CMM 2023-06 for Bottom Fisheries and Protection of Vulnerable Marine Ecosystems in the Northeastern Pacific Ocean when considering new and exploratory bottom fishing activities in the Convention Area.

#### *Fishing Gear*

3. Longline gear and longline trap gear are permitted to be used.
4. Members of the Commission shall ensure that fishing vessels flying their flag operating in the eastern part of the Convention Area to fish sablefish are to be equipped with an operational vessel monitoring system that is activated at all times.
5. All vessels authorized to fish sablefish in the eastern part of the Convention Area shall have 100% observer coverage.
6. Recognizing ongoing processes to address marine pollution in other fora, including at the International Maritime Organization, Members are encouraged to report lost fishing gear as soon as possible to the Secretariat, and retrieve any lost gear encountered by their vessels if safety is not compromised.
7. Proposed fishing activities involving the use of trawl fishing gear or other gear types not in use at the time of the adoption of this CMM in the eastern part of the Convention Area will be subject to the requirements of the Convention and relevant CMMs, as appropriate, including for new and exploratory fishery protocol in the Northeastern Pacific Ocean as per CMM 2023-06.
8. This CMM shall not be a precedent to hinder Members referenced in paragraph 2, at the time of the adoption of this CMM, to develop new or exploratory Sablefish fisheries in the eastern part of the Convention Area subject to the requirements of CMM on Bottom Fisheries and Protection of Vulnerable Marine Ecosystems in the Northeastern Pacific Ocean.

**CONSERVATION AND MANAGEMENT MEASURE FOR NEON FLYING SQUID  
AND JAPANESE FLYING SQUID**

*The North Pacific Fisheries Commission (NPFC),*

*Recalling* that six pelagic species – Pacific saury, chub mackerel, blue mackerel, Japanese sardine, neon flying squid, and Japanese flying squid – are identified as priority species;

*Also recalling* that the NPFC has adopted the CMMs on three species – Pacific saury, chub mackerel and Japanese sardine;

*Noting* that specific measures for the remaining three species have yet to be introduced while those species have been subject to extensive fishing practices, whether they are target or bycatch species;

*Reaffirming* the General Principles provided in Article 3 of the Convention, in particular, Paragraph (h) stipulating that any expansion of fishing effort does not proceed without prior assessment of the impacts of those fishing activities on the long-term sustainability of fisheries resources;

*Adopts* the following conservation and management measure in accordance with Article 7 of the Convention:

1. Members of the Commission and Cooperating non-Contracting Parties (CNCs) with substantial harvest of any of neon flying squid and Japanese flying squid (hereinafter referred to as “the two Pelagic Species”) in the Convention Area shall refrain from expansion, in the Convention Area, of the number of fishing vessels entitled to fly their flags and authorized to fish for such species from the historical existing level until the stock assessment for such species by the SC has been completed.
2. Members of the Commission and CNCs without substantial harvest of the two Pelagic Species in the Convention Area are encouraged to refrain from expansion, in the Convention Area, of the number of fishing vessels entitled to fly their flags and authorized to fish for such species from the historical existing level until the stock assessment for such species by the SC has been completed.
3. Members of the Commission participating in fishing for the two Pelagic Species in areas under their jurisdiction adjacent to the Convention Area are requested to take compatible measures in paragraph 1. Such Members<sup>1</sup> may divert part of their catch limit for areas under their jurisdiction

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<sup>1</sup> Paragraph 3 applies to Russia and Japan

to their own catch of the species in the Convention Area by vessels entitled to fly their flags and authorized to fish for the species, provided that: (i) the Member has established a catch limit for the species in its jurisdiction; (ii) the Member has notified the Commission of the catch limit; and (iii) the total catch of the species in the Convention Area and the areas under their jurisdiction adjacent to the Convention Area will not exceed the Member's total catch limit for its jurisdiction respectively.

4. Development of new fishing activity for the two Pelagic Species in the Convention Area by Members of the Commission without documented historical catch for such species in the Convention Area shall be determined in accordance with relevant provisions, as appropriate, including but not limited to Article 3, paragraph (h) and Article 7, subparagraphs 1(g) and (h) of the Convention.
5. Members of the Commission and CNCPs shall ensure that fishing vessels flying their flag operating in the Convention Area authorized to fish the two Pelagic Species are to be equipped with an operational vessel monitoring system that is activated at all times.
6. Members of the Commission and CNCPs shall ensure that fishing vessels flying their flag that fish for the two Pelagic Species in the Convention Area record their catches, including incidental catches of other NPFC species, and any discards and report them to the relevant flag state authorities in accordance with their national data recording and reporting requirements.
7. Members of the Commission and CNCPs shall provide their data on the two Pelagic Species in accordance with the data requirements adopted by the Commission in the Annual Report every year. The Commission shall review such information at the annual meeting of every year.
8. Members of the Commission and CNCPs shall cooperate to take necessary measures including sharing information, in order to accurately understand the situation and eliminate IUU fishing for the two Pelagic Species.
9. After a stock assessment for any of the two Pelagic Species has been completed, the provisions in Paragraph 1 shall be reviewed by the Commission and those provisions shall not be a precedent to hinder those Members who are not harvesting substantial amounts of the two Pelagic Species assessed in the Convention Area to develop their own fisheries in the Convention Area noting the Commission shall regularly review the harvests of such species in the Convention Area by all Members.
10. This management measure shall expire and be replaced by the measure to be adopted by the Commission based on the advice and recommendations from the Scientific Committee. This management measure enters into force on 1 June 2026 and replaces CMM 2025-11 (for Squids).

**CONSERVATION AND MANAGEMENT MEASURE ON THE VESSEL  
MONITORING SYSTEM (VMS)**

*The North Pacific Fisheries Commission,*

*Recalling* Article 2 of the Convention on the Conservation and Management of High Seas Fisheries resources in the North Pacific Ocean (Convention), the long-term conservation and sustainable use of the fisheries resources in the Convention Area while protecting the marine ecosystems of the North Pacific Ocean in which these resources occur.

*Recognizing* Article 7, paragraph 2 (e) of the Convention regarding the development of standards, specifications and procedures for Members of the Commission to report movements and activities using real-time satellite position-fixing transmitters for vessels engaged in fishing activities in the Convention Area and, in accordance with those procedures, coordinate timely dissemination of data collected from Members' satellite vessel monitoring systems,

*Reaffirming* that Article 13, paragraph 1 of the Convention that Members of the Commission or Cooperating Non-Contracting Parties (CNCs) shall take necessary measures to ensure that fishing vessels entitled to fly their flag operating in the Convention Area comply with the provisions of the Convention and measures adopted pursuant to the Convention and such vessels do not engage in any activities that undermine the effectiveness of such measures and do not conduct unauthorized fishing activities within areas under national jurisdiction of another State adjacent to the Convention Area,

*Determined* to ensure effective monitoring, control and surveillance (MCS) and to address the challenge of illegal, unreported and unregulated (IUU) fishing in the Convention Area,

*Adopts* the following conservation and management measure (CMM) in accordance with Article 7 of the Convention:

## Definitions

1. For the purpose of this CMM, the following definitions apply:
  - a) “Convention” means the Convention on the Conservation and Management of High Seas Fisheries resources in the North Pacific Ocean.
  - b) “Convention Area” means the area of the high seas areas of the North Pacific Ocean as specified in Article 4 of the Convention.
  - c) “Commission” means the North Pacific Fisheries Commission (NPFC) established under Article 5 of the Convention.
  - d) “Fishing activities” means the activities established under Article 1 (i) of the Convention.
  - e) “Fisheries monitoring center (FMC)” means the authorized authority or agency of a Member or CNCP responsible for managing VMS for its flagged fishing vessels.
  - f) “Fishing vessels” means any vessel described under Article 1 (j) of the Convention.
  - g) “Inspection Presence in the Convention Area” means the Member is authorized by the High Seas Boarding Inspection CMM to conduct boardings and inspections and is planning for or actively engaged in surveillance operations, including aerial surveillance, in the Convention Area.
  - h) “Manually report” means the transmission via any alternative means of the date/time, current geographical position (latitude and longitude) when an MTU fails to transmit VMS data.
  - i) “Mobile transmitting unit (MTU)” means a satellite communication device capable of receiving and transmitting VMS data.
  - j) “VMS” means a satellite-based monitoring system that transmits VMS data from MTUs on fishing vessels to FMCs.
  - k) “VMS data” means data transmitted by an MTU including:
    - i) MTU unique identifier;
    - ii) the current geographical position (latitude and longitude) of the vessel (accurate to within 100m); and,

- iii) the date and time (expressed in Coordinated Universal Time (UTC)) of the fixing of the position of the vessel in paragraph 1(k)(ii).

### **Purpose**

2. The VMS supports the Convention's objective to ensure the long-term conservation and sustainable use of the fisheries resources in the Convention Area. The VMS forms an important part of the Commission's MCS regime to ensure compliance with, and enforcement of, the provisions of the Convention and CMMs. The purpose of the VMS is to continuously monitor the positions and movements of all fishing vessels in the Convention Area for compliance purposes. VMS data may also be used to support scientific processes as agreed by the Commission.

### **Application**

3. The VMS applies to all authorized NPFC vessels in the Convention Area.
4. A Member or CNCP may request that waters under their jurisdiction be also covered by the VMS. This request shall be provided to the Commission for their consideration and approval.

### **Mobile Transmitting Units (MTUs)**

5. Each Member or CNCP shall ensure that its vessels authorized pursuant to the relevant CMM for Vessel Registration under NPFC in the Convention Area are equipped with an MTU that complies with the guidance on minimum standards for MTUs contained in Annex 1.
6. Each Member or CNCP shall ensure that MTUs are installed on their flagged fishing vessels in the Convention Area in accordance with relevant domestic legal obligations, procedures and conditions.

### **VMS Data Transmission Requirements**

7. Each Member or CNCP shall ensure its authorized NPFC vessels provide accurate VMS data to the Secretariat via its FMC, in accordance with this CMM.
8. All Members or CNCPs shall ensure that its flagged vessels that are authorized under NPFC and present in the Convention Area transmit VMS data every hour to their FMC.
9. A Member or CNCP may require its fishing vessels to transmit VMS data directly to the Secretariat.

10. Each Member or CNCP shall ensure that their FMC automatically transmits VMS data to the Secretariat, which shall be received no later than 60 minutes upon receipt of the data at their FMC.
11. Each Member or CNCP shall ensure that its authorized NPFC fishing vessels conducting or planning to conduct fishing activities notify the Secretariat of their intention to enter and exit the Convention Area (Annex 2). The procedure used for such notification may be chosen by Members based on a list of options created by the Secretariat and approved by the Commission. Members shall inform the Secretariat of their preferred notification procedure.

#### **Fisheries Monitoring Centers (FMCs)**

12. Each Member or CNCP shall ensure that their FMC can automatically receive VMS data and transmit VMS data to the Secretariat.
13. Each Member or CNCP shall provide the Secretariat with VMS contact points in their FMCs including the name, position, email address and phone number of their VMS contact points. The Secretariat will make a list of VMS contact points available to all Members and Cooperating non-Contracting Parties.

#### **Data Access and Use**

14. All VMS data received by the Secretariat shall be treated as confidential information in accordance with NPFC's Data-Sharing and Data-Security Protocols for Vessel Monitoring System (VMS) Data (Annex 3).
15. In accordance with the NPFC's Data-Sharing and Data-Security Protocols for Vessel Monitoring System (VMS) (Annex 3), the Secretariat shall provide VMS data:
  - a) By electronic means to a Member who has an inspection presence in the Convention Area;  
or
  - b) upon request from a Member to support search and rescue (SAR)

#### **Data Sharing, Security and Integrity**

16. In accordance with NPFC's Data-Sharing and Data-Security Protocols for Vessel Monitoring System (VMS) Data (Annex 3), VMS data shall only be accessed and used for the purposes included in this measure or for any other purposes as agreed by the Commission.
17. MTUs on fishing vessels shall be tamper-proof so as to preserve the security and integrity of VMS data.

## **VMS Data Transmission Failure**

18. In the event that an MTU has failed to transmit VMS data for four hours, the flag Member or CNCP shall require the fishing vessel master to manually report every four hours to the FMC or the Secretariat by other means of communication.
19. A Member or CNCP may also require its fishing vessels to manually report directly to the Secretariat.
20. The flag Member or CNCP shall require an MTU that fails to transmit VMS data in accordance with this measure, be repaired or replaced as soon as possible and, in any event, within thirty(30) days of the VMS data transmission failure.
21. If the fishing vessel returns to port following an MTU VMS data transmission failure, the Member or CNCP shall not permit the vessel to undertake fishing in the Convention Area until the MTU has been replaced in accordance with the guidance in Annex 1 or is repaired and is able to transmit VMS data.
22. If a Member or CNCP finds that an MTU has failed to transmit VMS data for twelve hours, the Member or CNCP shall immediately notify the fishing vessel master, owner or authorized representative of this failure.
23. If a failure to transmit occurs more than two times within a period of one year, the flag Member or CNCP of the fishing vessel shall investigate the matter, including having an authorized official examine the MTU on board the vessel. The outcome of this investigation shall be forwarded to the Secretariat within fifteen (15) days of its completion.

## **Review**

24. The Secretariat shall report on the implementation of this measure annually to the Technical and Compliance Committee (TCC). The TCC shall review the implementation of the VMS after two years and make recommendations to the Commission as may be necessary.

**Guidance on minimum standards for mobile transmitting units (MTUs)**

1. The mobile transmitting unit (MTU) shall automatically and independently of any intervention by the fishing vessel, transmit VMS data as required by NPFC.
2. The VMS data shall be obtained from a satellite-based positioning system.
3. MTUs on fishing vessels must be capable of transmitting VMS data at least every fifteen minutes.
4. MTUs on fishing vessels must be tamper-proof so as to preserve the security and integrity of VMS data.
5. Storage of VMS data and other relevant information within the MTU must be safe, secure and integrated within a single unit under normal operating conditions.
6. It must not be reasonably possible for anyone, other than the Fisheries Monitoring Centre (FMC), to alter any of the VMS data stored in an MTU, including the frequency of position VMS data transmission to the FMC.
7. Any features built into the MTU or its software to assist with servicing shall not allow unauthorized access to the MTU that could potentially compromise the operation of the VMS.
8. MTUs shall be installed on fishing vessels by an authorized installer in accordance with the manufacturer's specifications and applicable standards and in accordance with a flag State's relevant domestic legal obligations, procedures and conditions.
9. Under normal satellite navigation operating conditions, VMS data must include the geographical location of a fishing vessel within an accuracy of 100 meters.
10. The MTU and/or the VMS service provider must be able send VMS data to multiple independent destinations.
11. The MTU and its component parts shall be fully integrated and housed in the same tamperproof physical enclosure.
12. The MTU must have:
  - a) all components sealed by the manufacturer; or

- b) official seals<sup>1</sup>, individually identified with unique serial numbers, applied.
13. Relevant domestic legal obligations, procedures and conditions for MTU installation on fishing vessels should be forwarded by members and cooperating non-Contracting Parties to the Secretariat or made available upon request.
14. The MTU must have an alternate power unit, to act as a backup in case of failure of the main power, to enable the MTU to continue to meet the VMS data transmission requirements of this CMM.
15. The MTU should include audible or visible alarms to indicate a unit malfunction.

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<sup>1</sup> Official seals or other mechanisms must be of such a type to indicate whether the MTU has been accessed or tampered with.

## Options for Notification of Entry into and Exit from the NPFC Convention Area

| Option # | Description                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             |
|----------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| 1        | <b>Automated notification each time a vessel enters or exits the Convention Area.</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   |
| 2        | <p><b>For the notification of entry into and exit from the NPFC Convention Area only, determine an external VMS zone where vessels would be required to report their positions through VMS.</b></p> <p><b>The NPFC external VMS zone would extend 20 NM from the boundaries of the Convention Area. All vessels in NPFC Registry would report when inside this external VMS zone, according to a) or b) below:</b></p> <ul style="list-style-type: none"> <li><b>a) Report ALL positions inside the external VMS zone, whether inside an EEZ OR on high seas, OR</b></li> <li><b>b) Report ALL positions from the high seas inside the external VMS zone, BUT when transiting within an EEZ to enter the Convention Area, report only the LAST position prior to and the FIRST position after entering/exiting the Convention Area<sup>2</sup>. Similarly, when exiting the Convention Area, report LAST position prior to exiting and FIRST position after exiting the Convention Area.</b></li> </ul> |
| 3        | <p><b>The Secretariat shall be notified whenever a fishing vessel flying their flag enters to or exits from the Convention Area either by:</b></p> <ul style="list-style-type: none"> <li><b>a) Reporting LAST position prior to, and FIRST position after entering and exiting the Convention Area, OR</b></li> <li><b>b) Reporting the first position inside the Convention Area with an automated declaration of entry in the "Type of Message" field of the NAF format and reporting the first position after exiting the Convention Area with an automated declaration of exit in the "Type of Message" field of the NAF format.</b></li> </ul>                                                                                                                                                                                                                                                                                                                                                    |

<sup>2</sup> Any authorized NPFC vessels in the Convention Area shall report in accordance with paragraph 8 of this CMM.

**NPFC Data-Sharing and Data-Security Protocol for Vessel Monitoring System (VMS) Data**

**Definitions**

1. For the purpose of this Protocol, unless specifically defined herein, words and terms have the same meaning as in the Convention on the Conservation and Management of High Seas Fisheries Resources in the North Pacific Ocean (Convention) and any conservation and management measures (CMMs) adopted by the North Pacific Fisheries Commission (Commission or NPFC), including in particular the CMM on the Vessel Monitoring System (VMS).
  - a) “Confidential” refers to non-public domain data and information held by Commission Members, the Secretariat, and by service providers contracted by the Commission, or contractors acting on their behalf, that is to be kept private, and shall not be accessed, released or disclosed unless such access, release or disclosure is for the purposes described in, and authorized by, this Protocol;
  - b) “Scientific purposes” may include estimating distribution of fishing effort for use in the Commission’s research activities; planning for and implementing tagging programmes; modelling fishing effort for use in fisheries management activities, including management strategy evaluation (MSE); estimating abundance indices or undertaking stock assessments; validating logbook data; and, any other scientific purposes agreed to by the Commission.

**Purpose**

2. The purpose of this Protocol is to implement Article 16, paragraph 4 of the Convention, which states, “The Commission shall establish rules to ensure the security of, access to and dissemination of data, including data reported via real-time satellite position-fixing transmitters, while maintaining confidentiality where appropriate and taking due account of the domestic practices and domestic laws of members of the Commission.”

**Scope of Application**

3. This Protocol applies to VMS data transmitted to, received by, stored, and, used by the Secretariat, the Commission and its Members, and authorized contractors, from authorized NPFC vessels in the Convention Area.

## **General Provisions**

### *Accountability and Control System*

4. All VMS data shall be considered confidential.
5. It is the responsibility of each Commission Member, and the Secretariat, to take all necessary measures to comply with this Protocol when transmitting and receiving VMS data.
6. Prior to accessing VMS data, authorized contractors shall be informed that VMS data is confidential and shall sign the Confidentiality Agreement (attached as Appendix 1) stipulating that they have been informed that the VMS data is confidential and that they have reviewed, are familiar with, and agree to the procedures to protect confidential VMS data set forth in the Confidentiality Agreement.
7. Where VMS data is transmitted by the Secretariat, with the approval of the Commission, to a party not already authorized to receive VMS data in accordance with this protocol, the Secretariat shall remain responsible for such data. The third party must receive written authorization from Secretariat to receive VMS data and shall be required to sign the Confidentiality Agreement (attached as Appendix 1). Breach of the Confidentiality Agreement constitutes breach of this Protocol, and will result in access to confidential VMS data being revoked, until corrective actions deemed appropriate by the Commission and the Secretariat have been taken. The third party will maintain the data provided to it in a manner no less stringent than the security standards established by the Commission.
8. The Executive Secretary will report to the Commission annually on the compliance with this Protocol, including any breach thereof.

### *Data Purposes*

9. All VMS data collection, access, storage, use, and dissemination shall only be undertaken for the purposes of monitoring, control, and surveillance in the Convention Area, supporting search and rescue operations, and fulfilling the functions of the Commission, as established in Article 7(1) and (2) of the Convention, including scientific purposes as defined above, and subject to any additional relevant regulations, protocols, CMMs or policies approved by the Commission.

### *Safeguards*

10. All authorized personnel having access to VMS data are prohibited from unauthorized use or disclosure of such data.

11. All VMS data shall be protected against loss or theft, as well as unauthorized access, dissemination, copying, use, or modification, by security safeguards, in accordance with the Data Retention and Security Section of this Protocol.

#### **Data Access and Use**

12. VMS data should only be accessed and/or used by authorized personnel in the Secretariat, authorized MCS entities and personnel, and authorized contractors, for the identified purposes in this Protocol or for other purposes identified by the Commission.
13. The Secretariat shall not make VMS data available to a Member where the Commission has established that the Member has not complied with this Protocol, or the CMM for VMS.

#### *Use for Inspection Presence in Convention Area*

14. For a Member who has an Inspection Presence in the Convention Area, VMS data shall be made available electronically in accordance with the following provisions:
  - a) Each Member shall identify a point of contact for VMS data;
  - b) Each Member who has an Inspection Presence in the Convention Area shall provide the Secretariat with the geographic area (in multiples of 10 degrees latitude and longitude with a north and south latitude boundary and an east and west longitude boundary) of the planned boarding and inspection or surveillance operations at least 72 hours in advance, when practicable;
  - c) Each Member who has an Inspection Presence in the Convention Area shall only make VMS data available to authorities or inspectors, as defined in the CMM for High Seas Boarding and Inspection Procedures for the North Pacific Fisheries Commission (NPFC) responsible for fisheries monitoring, control, and surveillance activities in the Convention Area unless the data is being used in an investigation, or a judicial, or administrative proceeding, and subject to any relevant domestic laws and policies, and has requested VMS data in support of HSBI/MCS activities.
15. Where the fishing vessel to which the VMS data pertains has been involved in an alleged violation of a CMM, the Convention, or domestic laws or regulations, the VMS data pertaining to the alleged violation may be retained, and the Secretariat will be notified, by Members who have an inspection presence in the Convention Area until appropriate proceedings, including investigations, and judicial or administrative proceedings, have concluded.
16. Should no VMS data be retained pursuant to paragraph 15, each Member who has an Inspection Presence in the Convention Area shall delete all VMS data received from the

Secretariat within seven days following the completion of monitoring, control, and surveillance activities in the Convention Area. The Member shall also submit a written confirmation to the Secretariat of the deletion of the VMS data within seven working days following the completion of monitoring, control, and surveillance activities.

#### *Use for Search and Rescue Operations*

17. For the purpose of supporting search and rescue operations by a Commission Member, the Secretariat shall make VMS data available upon request from a Member.

### **Data Retention and Security**

#### *Data Retention*

18. All VMS data transmitted to the Secretariat in accordance with the Convention and CMMs shall be retained by the Secretariat.
19. Each Commission Member shall retain VMS data for fishing vessels flying its flag for at least one year.

#### *Data Security*

20. Each Commission Member and the Executive Secretary shall ensure the security of VMS data in their respective electronic data processing facilities, particularly where the use of VMS data involves transmission over a network.
21. Security measures must be appropriate to the level of risk posed by the transmission, processing, and storage of VMS data. At a minimum, the following security requirements must be implemented prior to transmitting or receiving VMS data:
  - a) The Executive Secretary shall ensure that regional system access to VMS data under its control is protected such that all data that enters the system is securely stored and will not be accessed by or tampered with from unauthorized individuals by implementing, at minimum, the following measures:
    - i) physical access to the computer system which transmits, uses, and stores VMS data is controlled;
    - ii) each user of the system is assigned a unique identification and associated password, and each time the user logs on to the system, he or she must provide the correct password;

- iii) user access shall be audited annually for analysis and detection of security breaches;  
and
  - iv) each user shall be given access only to the data necessary for his or her task.
- 
- b) Data exchange protocols for electronic transmission of VMS data between Commission Members and the Secretariat shall be duly tested by the Secretariat and periodically reviewed by the Commission. Electronic transmission is subject to security procedures established in this Protocol.
  - c) Appropriate encryption protocols duly tested by the Secretariat and periodically reviewed by the Commission shall be applied by authorized contractors, including the use of cryptographic techniques to ensure confidentiality and authenticity.
  - d) Security procedures shall be designed by authorized contractors addressing access to the system hardware and software, system administration and maintenance, backup, and general usage of the system. Each Commission Member, and the Executive Secretary, shall ensure proper maintenance of system security and restrict access to the system accordingly. Each Commission Member shall liaise with the Secretariat in order to identify and resolve any security breaches or issues.

**Confidentiality Agreement  
For Accessing North Pacific Fisheries Commission (NPFC) Confidential Vessel Monitoring  
System (VMS) Data**

Applicant Name, contact information, and signature:

| Full Name | Agency/Organization,<br>Address, Email, and Phone | Signature and Date |
|-----------|---------------------------------------------------|--------------------|
|           |                                                   |                    |

In return for the NPFC Secretariat granting me access to confidential NPFC VMS data, I hereby make the following declarations and promises:

1. I am (check the appropriate box):
  - a. ☒ a contractor employed by the NPFC, or one of its Members, whose official duties require access to confidential VMS data.
  - b. ☒ an employee of an organization, which the NPFC Secretariat has authorized in writing to receive confidential VMS data.
2. I am requesting access to confidential NPFC VMS data:
  - a. for the following purposes (provide a detailed explanation, attaching an additional sheet if necessary):
  - b. on behalf of the following organization: \_\_\_\_.
3. I have read and understood the NPFC Data-Sharing and Data-Security Protocols for Vessel Monitoring System (VMS) Data (“Protocols”). I understand that the VMS data I am requesting are confidential, as defined in the Protocols. I agree to abide by the provisions of the Protocols that address protecting and safeguarding confidential VMS data.

4. I agree to abide by any additional written conditions regarding the use of confidential VMS data the Secretariat attaches to this Confidentiality Agreement.
5. I agree to abide by the NPFC Data Sharing and Data Security Protocols.
6. I agree that the confidential VMS data shall be used only for the purposes for which I am requesting the data, be accessed only by me and other individuals who have signed a Confidentiality Agreement, and be destroyed upon completion of the usage for which the data are being requested. I further agree to report the destruction of the confidential VMS data to the Secretariat.
7. I agree to make no unauthorized copies of the requested confidential VMS data. If a copy of all, or part, of the data is made by me, all copies, and/or parts thereof, will be registered with the Secretariat and will be destroyed upon completion of the purpose for which I requested the data.
8. Prior to the publication of any report in which I intend to use requested confidential VMS data, I agree to provide the report to the Secretariat for clearance to ensure that no confidential VMS data will be published.
9. I agree to provide a copy of any published reports referenced in paragraph 8 to the Secretariat.
10. I agree not to disclose, divulge, or transfer, either directly or indirectly, the requested confidential VMS data to any third party without the prior written consent of the Secretariat.
11. I agree to promptly notify the Secretariat, in writing, of any unauthorized or inadvertent disclosure of confidential VMS data.
12. I assume all liability, if any, with respect to my breach of this Confidentiality Agreement after I receive the requested confidential VMS data.
13. In the event of my breach of this Confidentiality Agreement, I understand that the Secretariat will not grant me access to confidential VMS data until corrective actions deemed appropriate by the Secretariat have been taken by me, my employer, or by the Member under whose supervision I work.

This Agreement is effective on the date indicated below upon signature of an authorized representative of the Secretariat.

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Authorized NPFC Secretariat Representative

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Date

**CONSERVATION AND MANAGEMENT MEASURE FOR THE COMPLIANCE  
MONITORING SCHEME**

*The North Pacific Fisheries Commission (NPFC),*

*Acknowledging* the importance of compliance by Members and Cooperating Non-Contracting Parties to achieve the objective of the Convention as defined in Article 2;

*Recognizing* that Article 7 of the Convention directs the Commission to establish procedures for reviewing compliance with the Convention and measures adopted pursuant to the Convention;

*Recalling* that the Commission has adopted a wide range of conservation and management measures to give effect to the objective of the Convention;

*Noting* that, in accordance with Article 17 of the Convention, Members of the Commission are required to enforce the provisions of the Convention and any conservation and management measures adopted by the Commission;

*Noting* also that, in accordance with international law, Members and Cooperating Non-Contracting Parties have responsibilities to effectively exercise jurisdiction and control over their flagged vessels and with respect to their nationals;

*Acknowledging* that Article 13 of the Convention obliges Members of the Commission to take the necessary measures to ensure that fishing vessels flying their flag comply with the provisions of the Convention and the conservation and management measures adopted pursuant thereto;

*Recognizing* the responsibility of Members and Cooperating Non-Contracting Parties to fully and effectively implement the provisions of the Convention and the conservation and management measures adopted by the Commission, and the need to improve such implementation and ensure compliance with these commitments;

*Noting* that, in a responsible, open, transparent and non-discriminatory manner, the Commission should be made aware of all available information that may be relevant to the work of the Commission in identifying and addressing instances of non-compliance with conservation measures;

*Also recognizing* the importance of having a shared understanding of what is required to comply with an obligation thereby ensuring clear and consistent assessment of the compliance of Members and Cooperating Non-Contracting Parties with all relevant obligations

*Adopts* the following conservation and management measure in accordance with Article 7 of the Convention:

## **I. Purpose**

1. The purpose of the NPFC Compliance Monitoring Scheme (CMS) is to ensure that all Members and Cooperating Non-Contracting Parties (CNCs) implement and comply with obligations under the Convention and conservation and management measures (CMMs) adopted by the Commission. The purpose of the CMS is also to assess Members' and CNCs' actions in response to alleged violations by their flagged vessels or nationals, not to assess compliance by individual vessels or persons.
2. The CMS is designed to improve Members' and CNCs' implementation of and compliance with their obligations under the Convention and CMMs by:
  - (a) Establishing procedures for reviewing compliance with obligations deriving from the Convention and CMMs;
  - (b) Assessing compliance and identifying any trends in non-compliance;
  - (c) Identifying areas in which technical assistance or capacity building may be needed to assist Members or CNCs to attain compliance;
  - (d) Identifying obligations which may require amendment for effective implementation and assessment of compliance;
  - (e) Providing responses to non-compliance; and,
  - (f) Monitoring and verifying corrective actions taken by a Member or CNC to resolve outstanding instances of non-compliance.

## **II. Scope and Application**

3. The Commission, with the assistance of the Technical and Compliance Committee (TCC), shall assess Members' and CNCs' implementation of and compliance with the obligations arising under the Convention and the CMMs adopted by the Commission and identify trends in and instances of non-compliance.
4. For obligations relating to fishing activities, unless otherwise specified in the relevant CMM, the compliance assessment shall apply to those activities occurring in the Convention Area.
5. The CMS shall not prejudice the rights, jurisdiction and duties of any Member or CNC to enforce its domestic laws or to take more stringent measures in accordance with its domestic laws, consistent with that Member's or CNC's international obligations.
6. For obligations on quantitative annual limits (e.g., catch limit, effort limit), the compliance assessment period shall be the previous calendar year. For other obligations, the compliance

assessment period shall be from November 1<sup>st</sup> of year X-1 to October 31<sup>st</sup> of year X, where X is the calendar year preceding the TCC meeting.

7. The Commission, with the assistance of TCC, shall determine annually for each Member and CNCP, compliance status and potential responses for each obligation subject to assessment, in accordance with Annexes I and II.
8. Each Member and CNCP shall provide annually to the Secretariat responses to an Implementation Questionnaire, to be developed based on the obligations in Annex II, indicating how it has implemented the conservation and management measures and ensured compliance with and enforcement of obligations adopted by the Commission. The Questionnaire will be made available by the Secretariat for Member use as soon as possible annually, but at the latest 135 days before the TCC meeting. Members and CNCPs shall submit responses to the Secretariat through their Implementation Questionnaire 90 days before the TCC meeting and submit their Annual Reports by February 15<sup>th</sup> each year.

### **III. Draft Compliance Report**

9. Prior to TCC, the Secretariat shall compile relevant data and information received from Members and CNCPs, including through their Annual Reports, any data collection source held by the Commission (e.g., reports from observers, Vessel Monitoring Systems, High Seas Boarding and Inspections, and high seas transshipments), communications with Members and CNCPs and, where appropriate, any other relevant information relating to compliance with NPFC CMMs available to the Secretariat. The Secretariat shall then prepare a Draft Compliance Report.

10. The Draft Compliance Report shall:

- (a) Present all available relevant data and information relating to each Member's or CNCP's implementation of and compliance with each obligation under the Convention or CMMs listed in Annex II and identify the data and information sources.
- (b) Identify the areas of potential non-compliance for each Member and CNCP for the obligations listed in Annex II for the current assessment year, and any areas of potential repeated non-compliance with a particular obligation for two or more consecutively assessed years where Members do not fulfill their obligations including imposed sanctions to those vessels in violation of the CMMs adopted by the Commission, or as determined by the Commission.

As appropriate, the Secretariat may request any follow-up information relating to any issues of potential non-compliance identified for the current assessment year.

- (c) Report on any outstanding compliance issues for each Member or CNCP that were identified from previous years, including unresolved non-compliance issues, flag state investigations, or any corrective actions reported by the Member or CNCP, and, as appropriate, requests from the Secretariat for any follow-up information relating to the

previous compliance issues.

- (d) Identify provisions/obligations that lack clarity or have inadequate reporting mechanisms or have insufficient data that make it challenging for the Secretariat and TCC to conduct compliance assessments, including factors that contribute to the lack of clarity or data gaps, and suggest means to address these issues.

11. No later than 60 days before the TCC meeting, the Secretariat shall provide each Member and CNCP its section of the Draft Compliance Report.
12. No later than 30 days before the TCC meeting, each Member and CNCP shall provide any additional information needed/requested on its section of the Draft Compliance Report to the Secretariat. This information shall, as appropriate:
  - (a) Provide information, clarifications, amendments, or corrections that address the potential compliance issues identified or respond to any request for additional information and/or evidence demonstrating implementation of and compliance with the relevant obligations;
  - (b) Propose corrective actions to be taken, along with time frames, to come into compliance;
  - (c) Identify any causes of the potential compliance issues or mitigating circumstances;
  - (d) Identify any technical assistance or capacity building that could assist with achieving compliance; and,
  - (e) Indicate progress of on-going flag state investigations in response to alleged violations by its flagged vessels.
13. The Secretariat shall then revise the Draft Compliance Report to add all information provided pursuant to paragraph 12 above.
14. No later than 10 days before TCC, the Secretariat shall circulate the revised Draft Compliance Report to Members and CNCPs and make it available on the non-public section of the Commission website.

#### **IV. Provisional Compliance Report**

15. TCC shall consider the Draft Compliance Report and may take into account any additional, readily verifiable information provided by Members, CNCPs, and accredited observers, including from non-governmental organizations or other organizations concerned with matters relevant to the implementation of the Convention.
16. In considering and assessing the compliance of each Member or CNCP with relevant obligations or any areas of repeated non-compliance, TCC shall also focus on clarifying the intent and purpose of each obligation to be assessed and assessing whether Members and CNCPs have adopted and/or implemented effective mechanisms to ensure the compliance with

the NPFC obligations

17. TCC shall develop a Provisional Compliance Report, which shall include an assessment for each Member's or CNCP's compliance with obligations included in Annex II and any areas of repeated non-compliance, and which shall assign a compliance status for each individual obligation based on Annex I.
18. Each compliance assessment shall be decided by consensus. If consensus cannot be reached, the Provisional Compliance Report shall indicate majority and minority views.
19. Notwithstanding paragraph 18 above, a Member or CNCP cannot block agreement on its own compliance assessment if all other Members present have concurred with the assessment. If the assessed Member disagrees with the assessment, its views shall be reflected in the Provisional Compliance Report.
20. The Provisional Compliance Report shall also include an Executive Summary with recommendations or observations from TCC regarding, as appropriate:
  - (a) Non-compliance trends;
  - (b) Existing obligations that should be amended or improved;
  - (c) Revisions to the list of obligations to be assessed;
  - (d) Obstacles to implementation identified by Members and CNCPs; and
  - (e) Capacity building assistance needs.
21. TCC shall forward the Provisional Compliance Report to the Commission for consideration at the annual meeting.

## **V. Final Compliance Report**

22. At each regular Commission meeting, the Commission shall consider the Provisional Compliance Report recommended by TCC and adopt by consensus a Final Compliance Report. If consensus cannot be reached, the Final Compliance Report shall indicate majority and minority views. A Member or CNCP cannot block consensus on its own compliance assessment.
23. The Final Compliance Report shall include:
  - (a) A final compliance status for each Member and CNCP against each assessed obligation;
  - (b) All identified areas of repeated non-compliance by a Member or CNCP.
  - (c) All responses taken and to be taken to address areas of non-compliance; and,
  - (d) An Executive Summary addressing the issues listed in paragraph 20.

24. Within 30 days following the adoption of the Final Compliance Report, the Chair of the Commission shall send a Letter of Concern to each Member or CNCP assessed as Non-Compliant or Priority Non-Compliant. Such letters shall describe the relevant compliance issue(s) and the required response(s) identified in the Final Compliance Report.

## **VI. Data Protection**

25. The Draft and Provisional Compliance Reports, and all associated documentation, shall constitute confidential data, but the Final Compliance Report and the executive summary shall be public domain data.

## **VII. Identification of obligations to be Assessed**

26. Annex II includes the list of obligations to be assessed as part of the annual Compliance Monitoring Report (CMR). The TCC will review Annex II annually and recommend removing or adding obligations to be assessed in the following year's CMR. Each year, upon consideration of the TCC's recommendations, the Commission shall update what obligations shall be assessed in the following year's CMR, as appropriate, taking into account factors such as:
- (a) The needs and priorities of the Commission;
  - (b) The advice of TCC;
  - (c) Evidence of non-compliance or repeated non-compliance with a particular obligation;
  - (d) The risks posed by non-compliance to the achievement of the objectives of the Convention; and,
  - (e) Whether sufficient verifiable information is available to determine compliance.

## **VIII. Future Work and Review of this Conservation Measure**

27. The Commission tasks the TCC to establish a multi-year workplan of tasks to enhance the Compliance Monitoring Scheme with the aim of making it more efficient and effective. This workplan shall include the development of the following guidelines and operating procedures to support the implementation of the CMS, as necessary:
- (a) audit points to clarify the Commission's obligations assessed under the CMS
  - (b) automatic responses for non-compliance with certain administrative obligations listed in Annex II to streamline the process;
  - (c) corrective actions to encourage and incentivize Members' compliance with the Commission's obligations where non-compliance is identified; and,
  - (d) any other guidelines or procedures that it deems necessary to enhance the effectiveness

and efficiency of the CMS.

28. As a matter of priority by the 9<sup>th</sup> Commission meeting, the TCC will develop and the Commission will consider revised Rules of Transparency for TCC to clarify any additional guidelines pertaining to the participation of observers in TCC meetings related to the Compliance Monitoring Scheme. Until the Commission adopts the revised Rules of Transparency for TCC, notwithstanding Paragraph 25, the consideration of the draft compliance report and the provisional compliance report, as detailed in paragraphs 15 and 22 respectively, shall be open to participation by accredited observers, in accordance with NPFC Rules of Procedure, in particular Rule 5.2.1 and Rule 9.
29. The obligations to be assessed in Annex II shall be reviewed annually by the Commission, and as necessary, the Implementation Questionnaire. The conservation and management measure as a whole shall be reviewed at Commission meetings as necessary.

#### **ANNEXES**

- Annex I – Compliance Status Table
- Annex II – Obligations to be Assessed

Compliance Status Table

| Compliance Status             | Criteria                                                                                                                                                                                                                                                                                                                                                                         | Potential Responses                                                                                                                   |
|-------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------|
| <b>Compliant</b>              | Member or CNCP fully compliant with obligation.<br>Member or CNCP has taken required actions under the Convention in particular article 17 to investigate or address potential violations of its vessels.                                                                                                                                                                        | None                                                                                                                                  |
| <b>Delayed Submission</b>     | Member or CNCP has fulfilled its reporting obligations (those not related to individual vessels reporting) after the mandatory deadlines, and it is not a repeated case of non-compliance.                                                                                                                                                                                       | Member or CNCP to provide the missing report and indicate any relevant actions taken.                                                 |
| <b>Non-Compliant</b>          | Member or CNCP not compliant with obligation identified in Annex II and which does not meet the criteria of Delayed Submission.<br><br>Member or CNCP has failed to undertake required actions under the Convention in particular article 17 to investigate or address potential violations of its vessels.                                                                      | Member or CNCP to rectify non-compliance and include in its next Annual Report all actions taken, Consideration of further responses. |
| <b>Priority non-compliant</b> | Member or CNCP has demonstrated non-compliance of a particular obligation listed in Annex II for two or more consecutively assessed years, non-compliance that significantly undermines the objectives of the Convention, or any other non-compliance identified as Priority non-compliance by the Commission.<br><br>Member or CNCP has repeatedly failed to undertake required | Member or CNCP to rectify non-compliance and include in its next Annual Report all actions taken, Consideration of further responses. |

|                                           |                                                                                                                      |                                                                                                                                                                                                                                                               |
|-------------------------------------------|----------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
|                                           | actions under the Convention in particular article 17 to investigate or address potential violations of its vessels. |                                                                                                                                                                                                                                                               |
| <b>Unable to be Assessed at this time</b> | Ambiguity of relevant obligation, or insufficient data.                                                              | <p>(for ambiguity)</p> <p>Review and potentially amend relevant provision(s)</p> <p>(for insufficient data)</p> <p>Identify how data gaps might be remedied and potentially amend relevant provision(s)</p>                                                   |
| <b>Not Applicable</b>                     | Relevant obligation is not applicable to Member or CNCP                                                              | None                                                                                                                                                                                                                                                          |
| <b>Flag State Action Ongoing</b>          | Flag state action currently ongoing to investigate or address potential violations of its flagged vessels.           | <p>i. Member or CNCP to report progress in its Annual Report until resolved; and,</p> <p>ii. Review by TCC and Commission and deadline(s) placed on Member or CNCP to provide further information to the Secretariat and/or take action(s) until resolved</p> |

**Obligations to be Assessed**

**As per Article 13(1) of the Convention, a Member shall take such measures as may be necessary to ensure that fishing vessels entitled to fly its flag abide by the CMMs and therefore, for the obligations listed below, it is the Member's compliance that is being assessed regardless of the wording of a specific obligation.**

| No.                                                                                                                    | Para to be Assessed | OBLIGATION                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            |
|------------------------------------------------------------------------------------------------------------------------|---------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <p align="center"><b>CMM 2026-01</b></p> <p align="center"><b>INFORMATION REQUIREMENTS FOR VESSEL REGISTRATION</b></p> |                     |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       |
|                                                                                                                        |                     | For the purpose of the effective implementation of the Convention, each Commission member or Cooperating non-Contracting Party shall:                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 |
| 1                                                                                                                      | 3                   | <p>Promptly update the NPFC Vessel Registry with:</p> <ul style="list-style-type: none"> <li>(a) any additions to the record; e.g., new vessel authorizations;</li> <li>(b) any modifications to this information with dates of such modifications; and</li> <li>(c) any deletions from the record, specifying which of the following reasons is applicable: <ul style="list-style-type: none"> <li>(i) the voluntary relinquishment of the fishing by the fishing vessel owner or operator;</li> <li>(ii) the withdrawal or non-renewal of the fishing authorization issued in respect of the fishing vessel under Article 13, paragraph 2 of the Convention;</li> <li>(iii) the fact that the fishing vessel concerned is no longer entitled to fly its flag;</li> <li>(iv) the scrapping, decommissioning or loss of the fishing vessel concerned; or</li> <li>(v) any other grounds, with a specific explanation provided.</li> </ul> </li> </ul> |
| 2                                                                                                                      | 4                   | Provide to the Commission, as part of the annual report required pursuant to Article 16 of the Convention, the names of the fishing vessels entered in the record that conducted fishing activities during the previous calendar year.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                |
| 3                                                                                                                      | 5                   | Each Commission Member and Cooperating non Contracting Party shall ensure that every fishing vessel authorized to fly its flag bear markings that are readily identified in accordance with the <i>FAO Standard Specifications for the Marking and Identification of Fishing Vessels</i> , and recognize that non-compliance with these standards shall be considered a serious violation according to Article 17, paragraph 5 of the NPFC Convention and Article 21 Paragraph 11(f) of the United Nations Fish Stocks Agreement.                                                                                                                                                                                                                                                                                                                                                                                                                     |
| 4                                                                                                                      | 7                   | <p>The Commission member or Cooperating non-Contracting Parties entering vessels identified in paragraph 2 on the NPFC Vessel Registry established under paragraph 1 shall attest that the vessel or vessels being added recommended are not vessels:</p> <ul style="list-style-type: none"> <li>(a) with a history of illegal, unreported or unregulated (IUU) fishing, unless the ownership of the vessel has subsequently changed and the new owner has provided sufficient evidence demonstrating that the previous owner or operator has no legal, beneficial or financial interest in, or control of the vessels, or Commission members or Cooperating non-Contracting Parties concerned is satisfied that, having taken into account all relevant facts, the vessel is no longer engaged in or associated with IUU fishing; or</li> <li>(b) that are currently listed on any of the IUU vessel lists adopted by regional fishery</li> </ul>    |

| No.                                                                                                                                                            | Para to be Assessed | OBLIGATION                                                                                                                                                                                                                                                                                                                                                                                                                                                                        |
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|                                                                                                                                                                |                     | management organizations (RFMOs)                                                                                                                                                                                                                                                                                                                                                                                                                                                  |
| <b>CMM 2026-02</b><br><b>TO ESTABLISH A LIST OF VESSELS PRESUMED TO HAVE CARRIED OUT ILLEGAL, UNREPORTED AND UNREGULATED ACTIVITIES IN THE CONVENTION AREA</b> |                     |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   |
|                                                                                                                                                                |                     | 24. Members/CNCPs shall take all necessary non-discriminatory measures under their applicable legislation, international law and each Members/CNCPs' international obligations, and pursuant to paragraphs 56 and 66 of the IPOA-IUU to:                                                                                                                                                                                                                                          |
| 5                                                                                                                                                              | (a)                 | Remove or withdraw vessels on the NPFC IUU Vessel List from the NPFC Vessel Registry;                                                                                                                                                                                                                                                                                                                                                                                             |
| 6                                                                                                                                                              | (b)                 | Ensure that fishing vessels, support vessels, mother ships or cargo vessels flying their flag do not participate in any transshipment or joint fishing operations with, support or re-supply vessels on the NPFC IUU Vessel List;                                                                                                                                                                                                                                                 |
| 7                                                                                                                                                              | (c)                 | Prohibit the entry into their ports of vessels included on the NPFC IUU Vessels List, except in the case of <i>force majeure</i> ;                                                                                                                                                                                                                                                                                                                                                |
| 8                                                                                                                                                              | (d)                 | Prohibit the chartering of a vessel on the NPFC IUU Vessels List;                                                                                                                                                                                                                                                                                                                                                                                                                 |
| 9                                                                                                                                                              | (e)                 | Refuse to grant their flag to vessels on the NPFC IUU Vessel List, unless the ownership of the vessel has subsequently changed and the new owner has provided sufficient evidence demonstrating that the previous owner has no legal, beneficial or financial interest in, or control of the vessels, or the member concerned is satisfied that that, having taken into account all relevant facts, the vessel is no longer engaged in or associated with IUU fishing activities; |
| 10                                                                                                                                                             | (f)                 | Prohibit commercial transactions, imports, landings and/or transshipment of species covered by the Convention from vessels on the IUU Vessel List.                                                                                                                                                                                                                                                                                                                                |
| <b>CMM 2024-09</b><br><b>HIGH SEAS BOARDING AND INSPECTION PROCEDURES</b>                                                                                      |                     |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   |
| 11                                                                                                                                                             | 7                   | Each Member of the Commission shall ensure that vessels flying its flag accept boarding and inspection by authorized inspectors in accordance with these procedures.                                                                                                                                                                                                                                                                                                              |
| 12                                                                                                                                                             | 7                   | Such authorized inspectors shall comply with these procedures in the conduct of any such activities.                                                                                                                                                                                                                                                                                                                                                                              |
| 13                                                                                                                                                             | 26                  | During the conduct of a boarding and inspection, the master of the fishing vessel shall:                                                                                                                                                                                                                                                                                                                                                                                          |

| No. | Para to be Assessed | OBLIGATION                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            |
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|     |                     | <ul style="list-style-type: none"> <li>(a) follow internationally accepted principles of good seamanship so as to avoid risks to the safety of authorized inspection vessels and inspectors;</li> <li>(b) accept and facilitate prompt and safe boarding by the authorized inspectors;</li> <li>(c) provide a boarding ladder. Annex A provides guidelines for a safe boarding ladder;</li> <li>(d) cooperate with and assist in the inspection of the vessel pursuant to these procedures;</li> <li>(e) not assault, resist, intimidate, interfere with, or unduly obstruct or delay the inspectors in the performance of their duties;</li> <li>(f) allow the inspectors to communicate with the crew of the inspection vessel, the authorities of the inspection vessel, any embarked observers, as well as with the authorities of the fishing vessel being inspected;</li> <li>(g) provide the inspectors onboard with reasonable facilities, including, where appropriate, food and accommodation; and</li> <li>(h) facilitate safe disembarkation by the inspectors</li> </ul> |
| 14  | 28                  | <p>The authorities of the fishing vessel, unless generally accepted international regulations, procedures and practices relating to safety at sea make it necessary to delay the boarding and inspection, shall direct the master to accept the boarding and inspection. If the master does not comply with such direction, the Member shall suspend the vessel's authorization to fish and order the vessel to return immediately to port. The Member shall immediately notify the authorities of the inspection vessel and the Commission of the action it has taken in these circumstances.</p>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    |
| 15  | 31                  | <p>Authorized inspectors shall prepare a full report on each boarding and inspection they carry out pursuant to these procedures in accordance with a format specified by the Commission. The authorities of the inspection vessel from which the boarding and inspection was carried out shall transmit a copy of the boarding and inspection report to the authorities of the fishing vessel being inspected, as well as the Secretariat, within 3 (three) full working days of the completion of the boarding and inspection. Where it is not possible for the authorities of the inspection vessel to provide such report to the authorities of the fishing vessel within this timeframe, the authorities of the inspection vessel shall inform the authorities of the fishing vessel and shall specify the time period within which the report will be provided.</p>                                                                                                                                                                                                             |
| 16  | 34                  | <p>Upon receipt of a notification under paragraph 33, the authorities of the fishing vessels shall without delay:</p> <ul style="list-style-type: none"> <li>a) assume their obligation to investigate and, if the evidence warrants, take enforcement action against the fishing vessel in question and so notify the authorities of the inspection vessel, as well as the Commission; or</li> <li>b) authorize the authorities of the inspection vessel to complete investigation of the possible violation and so notify the Commission.</li> </ul>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                |

| No.                                                                                                                                                                                               | Para to be Assessed | OBLIGATION                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              |
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| 17                                                                                                                                                                                                | 37                  | Upon receipt of a notification pursuant to paragraph 33, the authorities of the fishing vessel shall make best effort to respond without delay and in any case no later than within 3 (three) full working days.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        |
| 18                                                                                                                                                                                                | 41                  | Contracting Parties that authorize inspection vessels to operate under these procedures shall report annually to the Commission on the boarding and inspections carried out by its authorized inspection vessels, as well as upon possible violations observed.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         |
| 19                                                                                                                                                                                                | 42                  | Contracting Parties shall include in their annual statement of compliance within their Annual Report to the Commission under Article 16 of the Convention action that they have taken in response to boarding and inspections of their fishing vessels that resulted in observation of alleged violations, including any proceedings instituted and sanctions applied.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  |
| <p style="text-align: center;"><b>CMM-2025-05</b></p> <p style="text-align: center;"><b>BOTTOM FISHERIES AND PROTECTION OF VULNERABLE MARINE ECOSYSTEMS IN THE NORTHWESTERN PACIFIC OCEAN</b></p> |                     |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         |
|                                                                                                                                                                                                   |                     | 4. Members of the Commission shall take the following measures in order to achieve sustainable management of fish stocks and protection of VMEs in the western part of the Convention Area:                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             |
| 20                                                                                                                                                                                                | A                   | Limit fishing effort in bottom fisheries on the western part of the Convention Area to the level agreed in February 2007 in terms of the number of fishing vessels and other parameters which reflect the level of fishing effort, fishing capacity or potential impacts on marine ecosystems.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          |
| 21                                                                                                                                                                                                | G                   | Further, considering accumulated information regarding fishing activities in the western part of the Convention Area, in areas where, in the course of fishing operations, cold water corals more than 50Kg or sponges more than 350Kg are encountered in one gear retrieval, Members of the Commission shall require vessels flying their flag to cease bottom fishing activities in that location. In such cases, the vessel shall not resume fishing activities until it has relocated a sufficient distance, which shall be no less than 1 nautical mile, so that additional encounters with VMEs are unlikely. All such encounters, including the location, gear type, date, time and name and weight of the VME indicator species, shall be reported to the Secretariat, through the Member, within one business day. The Executive Secretary shall, within one business day, notify the other Members of the Commission and at the same time implement a temporary closure in the area to prohibit fishing vessels from contacting the sea floor with their fishing gear. Members shall inform their fleets and enforcement operations within one business day of the receipt of the notification from the Executive Secretary. It is agreed that the VME indicator taxa include five groups of cold water corals, specifically black corals (Antipatharia), gorgonians, pennatulaceans, stony coral (Scleractinia), and soft corals. The VME indicator taxa also include the classes of Hexactinellida and Demospongiae in the phylum Porifera. |

| No. | Para to be Assessed | OBLIGATION                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 |
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| 22  | L                   | Limit annual catch of North Pacific armorhead consistent with the precautionary approach. In years when strong recruitment of North Pacific armorhead is not detected by the monitoring survey (Annex 6), Japan shall limit the catch of North Pacific armorhead by vessels flying its flag to 500 tons, and Korea shall limit its catch of North Pacific armorhead by vessels flying its flag to 200 tons. When a strong recruitment of North Pacific armorhead is detected by the monitoring survey (Annex 6), Japan shall limit its annual catch of North Pacific armorhead by vessels flying its flag to 10,000 tons, and Korea shall limit its annual catch of North Pacific armorhead by vessels flying its flag to 2,000 tons. The catch overages for any given year shall be subtracted from the applicable annual catch limit in the following year, and catch underages during any given year shall not be added to the applicable annual catch limit during the following year. |
| 23  | 5                   | Members of the Commission shall submit to the SC their assessments of the impacts of fishing activity on marine species or any VMEs, including the proposed management measures to prevent such impact. Such submissions shall include all relevant data and information in support of any such assessment. Procedures for such reviews including procedures for the provision of advice and recommendations from the SC to the submitting Member are attached (Annex 3). Members will only authorize bottom fishing activity pursuant to paragraph 4 (C).                                                                                                                                                                                                                                                                                                                                                                                                                                 |
|     |                     | 6. To facilitate the scientific work associated with the implementation of these measures, each Member of the Commission shall undertake:                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  |
| 24  | A                   | Reporting of information for purposes of defining the footprint<br>Members of the Commission shall provide, for each year, the number of vessels by gear type, size of vessels (tons), number of fishing days or days on the fishing grounds, total catch by species, and areas fished (names of seamounts) to the Secretariat. The Secretariat shall circulate the information received to the other Members consistent with the approved Regulations for Management of Scientific Data and Information. To support assessments of the fisheries and refinement of conservation and management measures, Members of the Commission are to provide updated information on an annual basis.                                                                                                                                                                                                                                                                                                 |
| 25  | B                   | Collection of information<br>(iv) Members shall ensure each bottom fishing vessel operating in the western part of the Convention Area collects the following scientific information. Members shall provide the scientific information to the Secretariat.<br>(a) Catch and effort data<br>(b) Related information such as time, location, depth, temperature, etc.<br>(v) As appropriate, Members should encourage the collection of information from research vessels operating in the western part of the Convention Area and provide updates to the Commission to the extent possible.<br>(d) Physical, chemical, biological, oceanographic, meteorological, etc.<br>(e) Ecosystem surveys.<br>(f) Seabed mapping (e.g. multibeam or other echosounder); seafloor images by drop                                                                                                                                                                                                       |

| No.                                                                                                                                                                                               | Para to be Assessed | OBLIGATION                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 |
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|                                                                                                                                                                                                   |                     | <p>camera, remotely operated underwater vehicle (ROV) and/or autonomous underwater vehicle (AUV).</p> <p>(vi) Collection of observer data</p> <p>Duly designated observers from the flag member shall collect information from bottom fishing vessels operating in the western part of the Convention Area. Observers shall collect data in accordance with Annex 5. Each Member of the Commission shall submit the reports to the Secretariat in accordance with Annex 4. The Secretariat shall compile this information on an annual basis and make it available to the Members of the Commission.</p>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   |
| 26                                                                                                                                                                                                | 8                   | <p>Members shall ensure that all vessels authorized to bottom fish in the western part of the Convention Area shall carry an observer on board. Members shall ensure that observers are independent, impartial, and qualified to fulfill the requirements of this measure and to enhance data collection. An observer is deemed to be independent, impartial, and qualified if the observer:</p> <ul style="list-style-type: none"> <li>(a) is deployed from a Commission Member's, or Cooperating non-Contracting Party's, national observer program, and familiar with NPFC fisheries resources, fishing activities, and CMMs;</li> <li>(b) is neither part of the crew, nor has any employment or family relationship to the ownership or operator of the fishing vessel; and</li> <li>(c) does not have any shared business interests with the owner or operator of the fishing vessel.</li> </ul> <p>An observer shall be provisioned, accommodated, and provided safe working conditions and access to independent communications in accordance with the Commission requirements and the Member's domestic laws and regulations.</p> |
| <p style="text-align: center;"><b>CMM 2025-06</b></p> <p style="text-align: center;"><b>BOTTOM FISHERIES AND PROTECTION OF VULNERABLE MARINE ECOSYSTEMS IN THE NORTHEASTERN PACIFIC OCEAN</b></p> |                     |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            |
| 27                                                                                                                                                                                                | 8                   | <p>The Members shall provide all available information as required by the Commission for any current or historical fishing activity by their flag vessels, including the number of vessels by gear type, size of vessels (tons), number of fishing days or days on the fishing grounds, total catch by species, areas fished (names or coordinates of seamounts), and information from scientific observer programmes (see Annexes 4 and 5) to the NPFC Secretariat as soon as possible and no later than one month prior to SC meeting. The Secretariat will make such information available to SC.</p>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   |
| <p style="text-align: center;"><b>CMM 2026-07</b></p> <p style="text-align: center;"><b>CHUB MACKEREL</b></p>                                                                                     |                     |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            |
| 28                                                                                                                                                                                                | 1                   | <p>Members of the Commission and Cooperating non-Contracting Parties (CNCs) with substantial harvest of chub mackerel in the Convention Area shall refrain from expansion, in the Convention Area, of the number of fishing vessels entitled to fly their</p>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              |

| No.                                        | Para to be Assessed | OBLIGATION                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   |
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|                                            |                     | flags and authorized to fish for chub mackerel from the historical existing level.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           |
| 29                                         | 3                   | <p>As a provisional measure, Members shall take necessary measures to ensure that the fishing activities for chub mackerel in the Convention Area shall be undertaken in accordance with the following provisions:</p> <ul style="list-style-type: none"> <li>(a) The annual total allowable catch of chub mackerel and blue mackerel in the Convention Area, excluding the amount in paragraph 13, shall be set at 47,940 tonnes for 2026 and at 42,300 tonnes for 2027.</li> <li>(b) Of this annual total allowable catch, the catch for trawlers shall not exceed 5,703 tonnes for 2026 and 5,032 tonnes for 2027.</li> <li>(c) Of this annual total allowable catch, the catch for purse seiners shall not exceed 42,237 tonnes for 2026 and 37,268 tonnes for 2027.</li> <li>(d) An unused portion of the catch limit in subparagraphs (b) and (c) for 2026 may be carried over to the catch limit for 2027, up to 10% of the original catch limit for 2026.</li> <li>(e) An unused portion of the original catch limit in a single year for the EU in paragraph 13 may be transferred to the catch limit in that year in subparagraphs (b) and (c) of this paragraph. For this, the EU shall notify the Executive Secretary 30 days in advance of the intended transfer, specifying the tonnage and effective date of the transfer. The Executive Secretary shall promptly notify Members and CNCPs of the transfer. This transferred portion may not be used for carry over in subparagraph (d) of this paragraph.</li> <li>(f) China shall not authorize more than 3 trawlers to conduct fishing operations at the same time.</li> <li>(g) The EU shall not authorize more than 1 trawler to conduct fishing operations at the same time.</li> </ul> |
| 30                                         | 6                   | Members of the Commission and CNCPs shall ensure that fishing vessels flying their flag that fish for chub mackerel in the Convention Area record their catches, including incidental catches of other NPFC species, and any discards and report them to the relevant flag state authorities in accordance with their national data recording and reporting requirements.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    |
| 31                                         | 15                  | Members of the Commission and CNCPs shall provide their data on chub mackerel and blue mackerel separated by the Convention Area and the areas under national jurisdiction adjacent to the Convention Area in accordance with the data requirements adopted by the Commission in the Annual Report every year. The Commission shall review such information at the annual meeting every year.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                |
| <b>CMM 2026-08</b><br><b>PACIFIC SAURY</b> |                     |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              |
| 32                                         | 1                   | Members of the Commission, not described under Paragraph 2, and that are currently fishing for Pacific saury shall refrain from expansion, in the Convention Area, of the number of fishing vessels entitled to fly their flags and authorized to fish for Pacific                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           |

| No. | Para to be Assessed | OBLIGATION                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   |
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|     |                     | saury from the historical existing level.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    |
| 33  | 2                   | Members fishing for Pacific saury in areas of their jurisdiction that are adjacent to the Convention Area shall refrain from rapid expansion, in the Convention Area, of the number of fishing vessels entitled to fly their flags and authorized to fish for Pacific saury from the historical existing level.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              |
| 34  | 11                  | As a provisional measure until the Commission decides allocation of the TAC, each Member of the Commission shall reduce the annual total catch of Pacific saury by the fishing vessels entitled to fly its flag in 2026 by 57.8% from its reported catch in 2018 (Annex II), and shall take necessary measures so that the total catch in the Convention Area will not exceed the TAC set out in paragraph 9. Members of the Commission and CNCPs shall ensure that discards of Pacific saury count against their catch limits.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              |
| 35  | 12                  | <p>To comply with the TAC, the following measures shall be in place in 2026:</p> <ul style="list-style-type: none"> <li>a) Members of the Commission shall report to the Executive Secretary, in the electronic format, weekly catches of Pacific saury in the Convention Area by fishing vessels flying their flags by Wednesday of the next week. The Executive Secretary shall make publicly available the compiled catch of Pacific saury in the Convention Area on the Commission's website as well as share each Member's catch of Pacific saury in the Convention Area on the Member's page of Commission's website without delay; and</li> <li>b) In the event that the total reported catch of all Members reaches 90% of the TAC set out in paragraph 9, the Executive Secretary shall notify all Members without delay. Those Members with more than 10,000 mt of catch limits shall close the fishery within 72 hours from the receipt of the notification. Those Members with less than 10,000 mt of catch limits may continue operations, but their total catch shall not exceed 90% of their catch limits.</li> <li>c) If any Members commit to reduce its annual total catch of Pacific Saury by fishing vessels entitled to fly its flag in 2026 by 67.22% from its reported catch in 2018, it shall be exempted from the requirements stipulated in Paragraph 12 (b). In case of that, the TAC for the rest of the member referred in the paragraph 12 (b) shall be 115,425 metric tons minus the catch limit of member(s) that make such commitment. Such commitment shall be submitted to the Secretariat no later than May 1st, 2026, and be circulated to all Members, as well as TAC applied to those Members subject to paragraph 12 (c).</li> </ul> |
| 36  | 13                  | Members of the Commission and CNCPs shall ensure that fishing vessels flying their flag that fish for Pacific saury in the Convention Area record their catches, including incidental catches of other NPFC species, and any discards and report them to the relevant flag state authorities in accordance with their national data recording and reporting requirements.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    |
| 37  | 14                  | In the event that a Member reaches 70% of its catch limit set out in paragraph 11, the Executive Secretary shall inform that Member of that fact, with a copy to all other Members. That Member shall close the fishery for its flagged vessels when the total catch of its flagged vessels is equivalent to 100% of its catch limit. Such Member shall notify promptly the Executive Secretary of the date of the closure, except as described in                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           |

| No.                                                                      | Para to be Assessed | OBLIGATION                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    |
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|                                                                          |                     | paragraph 15. Upon receipt of the notification, the Executive Secretary shall circulate it to all the Members.                                                                                                                                                                                                                                                                                                                                                                                                |
| 38                                                                       | 18                  | In order to prevent discards and contribute to the proper stock assessment, Members of the Commission shall take necessary measures to ensure that fishing vessels flying their flags in the Convention Area fishing for Pacific saury retain all the catch of Pacific saury on board.                                                                                                                                                                                                                        |
| <b>CMM 2019-10</b><br><b>SABLEFISH</b>                                   |                     |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               |
| 39                                                                       | 8                   | All vessels authorized to fish sablefish in the eastern part of the Convention Area shall have 100% observer coverage.                                                                                                                                                                                                                                                                                                                                                                                        |
| <b>CMM 2026-11</b><br><b>NEON FLYING SQUID AND JAPANESE FLYING SQUID</b> |                     |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               |
| 40                                                                       | 1                   | Members of the Commission and Cooperating non-Contracting Parties (CNCs) with substantial harvest of any of neon flying squid and Japanese flying squid (hereinafter referred to as “the two Pelagic Species”) in the Convention Area shall refrain from expansion, in the Convention Area, of the number of fishing vessels entitled to fly their flags and authorized to fish for such species from the historical existing level until the stock assessment for such species by the SC has been completed. |
| 41                                                                       | 6                   | Members of the Commission and CNCs shall ensure that fishing vessels flying their flag that fish for the two Pelagic Species in the Convention Area record their catches, including incidental catches of other NPFC species, and any discards and report them to the relevant flag state authorities in accordance with their national data recording and reporting requirements.                                                                                                                            |
| 42                                                                       | 7                   | Members of the Commission and CNCs shall provide their data on the two Pelagic Species in accordance with the data requirements adopted by the Commission in the Annual Report every year. The Commission shall review such information at the annual meeting of every year.                                                                                                                                                                                                                                  |
| <b>CMM 2025-12</b><br><b>VESSEL MONITORING SYSTEM</b>                    |                     |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               |
| 43                                                                       | 8                   | All Members or CNCs shall ensure that its flagged vessels that are authorized under NPFC and present in the Convention Area transmit VMS data every hour to their FMC.                                                                                                                                                                                                                                                                                                                                        |
| 44                                                                       | 10                  | Each Member or CNC shall ensure that their FMC automatically transmits VMS data to the Secretariat, which shall be received no later than 60 minutes upon receipt of the data at their FMC.                                                                                                                                                                                                                                                                                                                   |

| No.                                                                                                            | Para to be Assessed | OBLIGATION                                                                                                                                                                                                                                                                                                                                                                                                                                                        |
|----------------------------------------------------------------------------------------------------------------|---------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| 45                                                                                                             | 11                  | Each Member or CNCP shall ensure that its authorized NPFC fishing vessels conducting or planning to conduct fishing activities notify the Secretariat of their intention to enter and exit the Convention Area (Annex 2). The procedure used for such notification may be chosen by Members based on a list of options created by the Secretariat and approved by the Commission. Members shall inform the Secretariat of their preferred notification procedure. |
| 46                                                                                                             | 13                  | Each Member or CNCP shall provide the Secretariat with VMS contact points in their FMCs including the name, position, email address and phone number of their VMS contact points. The Secretariat will make a list of VMS contact points available to all Members and Cooperating non-Contracting Parties.                                                                                                                                                        |
| 47                                                                                                             | 23                  | If a failure to transmit occurs more than two times within a period of one year, the flag Member or CNCP of the fishing vessel shall investigate the matter, including having an authorized official examine the MTU on board the vessel. The outcome of this investigation shall be forwarded to the Secretariat within fifteen (15) days of its completion.                                                                                                     |
| <p style="text-align: center;"><b>CMM 2025-03</b></p> <p style="text-align: center;"><b>TRANSSHIPMENTS</b></p> |                     |                                                                                                                                                                                                                                                                                                                                                                                                                                                                   |
| 48                                                                                                             | 4                   | A fishing vessel shall only engage in a transshipment, or other transfer activity in the Convention Area, if both the offloading and receiving vessel are duly authorized by its Flag State and included in the NPFC Vessel Registry                                                                                                                                                                                                                              |
| 49                                                                                                             | 6                   | If a fishing vessel intends to engage in a transshipment in an area under national jurisdiction, including a port, the fishing vessel shall receive an authorization from the relevant coastal or port State before engaging in the transshipment.                                                                                                                                                                                                                |
| 50                                                                                                             | 8                   | All reporting shall comply with the procedures to be adopted by the Commission.                                                                                                                                                                                                                                                                                                                                                                                   |
| 51                                                                                                             | 9                   | All reporting related to a transshipment shall include all marine species taken in the Convention Area, including bycatch and unregulated species, recorded by species using the FAO code.                                                                                                                                                                                                                                                                        |
| 52                                                                                                             | 10                  | A fishing vessel shall maintain an electronic or physical record on board the fishing vessel of each transshipment it has engaged in during the current trip. The record shall include each transshipment declaration and daily activity records, such as those in a navigation logbook.                                                                                                                                                                          |
| 53                                                                                                             | 12                  | A fishing vessel, or a Commission Member or Cooperating non-Contracting Party on behalf of the vessel, shall provide an advance notification to the authorities listed in paragraph 13 as soon as possible, and at least 24 hours in advance of the intended                                                                                                                                                                                                      |

| No. | Para to be Assessed | OBLIGATION                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    |
|-----|---------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
|     |                     | transshipment. The advance notification form is included in Annex I.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          |
| 54  | 14                  | A receiving vessel, or a Commission Member or Cooperating non-Contracting Party on behalf of the receiving vessel, shall provide an advance notification to the authorities listed in paragraph 13 as soon as possible, and at least 24 hours in advance of the intended other transfer activity. The advance notification form is included in Annex I.                                                                                                                                                                                                                                                                       |
| 55  | 15                  | If the transshipment does not start after 72 hours of the estimated start time, or within 50 nautical miles of the estimated start location, as contained in the advance notification, the fishing vessels involved in the transshipment, or Commission Members or Cooperating non-Contracting Parties on their behalf, shall modify the submitted advance notification.                                                                                                                                                                                                                                                      |
| 56  | 16                  | If the other transfer activity does not start after 72 hours of the estimated start time, or within 50 nautical miles of the estimated start location, as contained in the advance notification, the receiving vessel, or Commission Member or Cooperating non-Contracting Party of the receiving vessel, shall modify the submitted advance notification.                                                                                                                                                                                                                                                                    |
| 57  | 18                  | If a transshipment is cancelled before it is undertaken, a fishing vessel intending to engage in the transshipment, or the Commission Member or Cooperating non-Contracting Party whose fishing vessel intended engage in the transshipment, shall notify the Secretariat of the cancellation as soon as possible.                                                                                                                                                                                                                                                                                                            |
| 58  | 20                  | If a Commission Member, or Cooperating non-Contracting Party, receives suitably documented information that its flagged fishing vessel is, or appears to be, non-compliant with the Convention, or a conservation and management measure, the Commission Member, or Cooperating non-Contracting Party, shall conduct an investigation.                                                                                                                                                                                                                                                                                        |
| 59  | 21                  | <p>The investigating Commission Member, or Cooperating non-Contracting Party, shall provide a report on the progress of the investigation, including an attestation of the fishing vessel's status under paragraph 19, no later than 60 days after receiving the information, to:</p> <ul style="list-style-type: none"> <li>(a) The Secretariat</li> <li>(b) The Commission Member, or Cooperating non-Contracting Party that provided this information.</li> </ul> <p>Following the investigation process, information shall be provided about any appropriate enforcement action taken in line with its national laws.</p> |
| 60  | 22                  | If a fishing vessel receives catch from more than one offloading vessel, the fishing vessel shall ensure that the catch from each offloading vessel is stored separately and readily identifiable. The receiving vessel shall have a stowage plan available on                                                                                                                                                                                                                                                                                                                                                                |

| No. | Para to be Assessed | OBLIGATION                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          |
|-----|---------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
|     |                     | board at all times.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 |
| 61  | 24                  | A fishing vessel having engaged in, or a Commission Member or Cooperating non-Contracting Party whose fishing vessel has engaged in, a transshipment shall provide a transshipment declaration to the authorities listed in paragraph 25 as soon as possible, and no later than 10 days after the transshipment. The transshipment declaration form is included in Annex II.                                                                                                                                                                        |
| 62  | 26                  | The Commission shall establish a regional observer and/or electronic monitoring program no later than its 9 <sup>th</sup> Commission meeting. Until the Transshipment Observer Program enters into force, a Commission Member, or Cooperating non-Contracting Party, is responsible for the deployment of independent, impartial, and qualified observers to fulfill the requirements of this measure. Once this program enters into force, paragraphs 27, 28, 32-34 of this measure shall be superseded by the provisions of the new program.      |
| 63  | 28                  | An observer shall be provisioned, accommodated, including access to independent communications, and provided safe working conditions by the receiving vessel in accordance with the Commission Member's, or Cooperating non-Contracting Party's, domestic laws and regulations.                                                                                                                                                                                                                                                                     |
| 64  | 29                  | A Commission Member, or Cooperating non-Contracting Party, shall take necessary measures to ensure that its receiving vessels engaging in a transshipment have an observer on board.                                                                                                                                                                                                                                                                                                                                                                |
| 65  | 31                  | A Commission Member or CNCP shall take necessary measures to ensure that a fishing vessel may only engage in one transshipment at a time for each observer that is available to monitor and report on the transshipment. If there are two vessels seeking to transship concurrently, but only one observer is present, one vessel must stand off and wait until the first vessel has finished. Only one offloading vessel may be secured to the receiving vessel unless a second observer is performing observer duties for a second transshipment. |
| 66  | 32                  | <p>An observer shall have:</p> <ul style="list-style-type: none"> <li>(a) full, unobstructed, and safe access to each fishing vessel involved in the transshipment, including, inter alia, access to crew, gear, equipment, records, electronic means of communication, and fish holds; and</li> <li>(b) adequate and appropriate space to undertake their responsibilities pursuant to this measure.</li> </ul>                                                                                                                                    |
| 67  | 34                  | An observer shall record an observer report immediately after each transshipment and keep the report onboard, and provide an observer transshipment report, as specified in Annex III, as soon as possible, but no later than 10 days from the disembarkation of the observer, to:                                                                                                                                                                                                                                                                  |

| No. | Para to be Assessed | OBLIGATION                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    |
|-----|---------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
|     |                     | <p>(a) the Commission Member, or Cooperating non-Contracting Party, of the flags of the receiving vessel and the offloading vessel; and</p> <p>(b) the Secretariat</p>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        |
| 68  | 35                  | <p>If an observer observes an activity or condition that is not consistent with conservation and management measures, the observer shall report the finding, and provide documented evidence, to the extent possible, without delay or upon disembarkation to the Secretariat. Once the Transshipment Observer Program enters into force, the observer will notify and transmit the report to the Observer service provider as well as the Secretariat. The Secretariat will then transmit the report to the authorities of the Commission Member or Cooperating non-Contracting Party of the flags of the receiving and offloading vessels.</p>                                                                                                                                                                                                                                                                                                                                                                              |
| 69  | 36                  | <p>Upon receipt of an observer report with documented evidence in particular Annex III indicating potential non-compliance, or instances of obstruction, intimidation, interference with, or otherwise prevention of the observer from performing their duties, concerning a vessel entitled to fly its flag, the Commission Member or Cooperating non-Contracting Party shall:</p> <ul style="list-style-type: none"> <li>a) treat the report with utmost sensitivity and discretion, in accordance with NPFC Data Sharing and Data Security Protocol</li> <li>b) make best efforts to respond to this notification through the Secretariat without delay and;</li> <li>c) undertake investigation on any condition or activity that is not consistent with conservation and management measures as per Article 17 of the Convention. The Commission Member or CNCP shall report any findings and/or relevant actions taken, in their Annual Report, and in the CMS Implementation Questionnaire (if applicable).</li> </ul> |
| 70  | 46                  | <p>In the case of force majeure, the fishing vessel, or Commission Member or Cooperating non-Contracting Party, shall:</p> <ul style="list-style-type: none"> <li>(a) notify the Secretariat prior to the completion of the transshipment, or other transfer activity, as well as the circumstances giving rise to the force majeure; and</li> <li>(b) provide a transshipment declaration on the transshipment as soon as possible, but within 10 days of the transshipment.</li> </ul>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      |
| 71  | 48                  | <p>Each Commission Member, and Cooperating non-Contracting Party, shall provide an annual summary of the data and information collected from all authorized fishing vessels having undertaken a transshipment, including each year's transshipment declarations, to the Commission at the Technical and Compliance Committee meeting. The summary shall be included in the Annual Report, as per Article 16(3) of the Convention. The template for this summary is included in Annex V.</p>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   |
| 72  | 49                  | <p>A Commission Member, or Cooperating non-Contracting Party, shall take all</p>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              |

| No.                                                                                                    | Para to be Assessed | OBLIGATION                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           |
|--------------------------------------------------------------------------------------------------------|---------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
|                                                                                                        |                     | reasonable steps to verify the information received from fishing vessels having engaged in a transshipment.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          |
| 73                                                                                                     | 51                  | Commission Members and Cooperating non-Contracting Parties shall investigate instances of potential non-compliance with this measure, and report the results of those investigations to the Commission.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              |
| <p style="text-align: center;"><b>CMM 2023-14</b></p> <p style="text-align: center;"><b>SHARKS</b></p> |                     |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      |
| 74                                                                                                     | 6                   | No fishing vessel shall engage in shark finning.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     |
| 75                                                                                                     | 7                   | <p>No fishing vessel shall:</p> <ul style="list-style-type: none"> <li>(a) retain on board, or otherwise possess or control, a shark fin that is not naturally attached to the corresponding shark; or</li> <li>(b) transship, or land, a shark fin that is not naturally attached to the corresponding shark unless the fishing vessel complies with paragraph 8.</li> </ul>                                                                                                                                                                                                                                                                                                                                                                                                                                        |
| 76                                                                                                     | 8                   | <p>A fishing vessel may only remove a shark fin from the corresponding shark if the shark is incidentally caught, taken, or harvested, and if:</p> <ul style="list-style-type: none"> <li>(a) the shark fin and the corresponding shark can be readily identified; and</li> <li>(b) one of the following methods is used: <ul style="list-style-type: none"> <li>i the shark fin is stored in the same bag, preferably a biodegradable one, as the corresponding shark;</li> <li>ii the shark fin is bound to the corresponding shark using rope or wire; or</li> <li>iii the shark fin and the corresponding shark are identically, uniquely, and numerically tagged in a manner that an authorized inspector can readily identify the matching of the shark fin to the corresponding shark.</li> </ul> </li> </ul> |
| 77                                                                                                     | 9                   | A fishing vessel shall record, and maintain a record of, any shark catch in the Convention Area, to the extent possible by species, in its logbook on board the fishing vessel.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      |
| 78                                                                                                     | 10                  | A Commission Member, or Cooperating non-Contracting Party, shall annually report all shark catches, to the extent possible by species, from their fishing vessels to the Secretariat.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                |

| No.                                | Para to be Assessed | OBLIGATION                                                                                                                                                                                                                                                                                                                                     |
|------------------------------------|---------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <b>CMM 2024-15 POLLUTION</b>       |                     |                                                                                                                                                                                                                                                                                                                                                |
| 79                                 | 4                   | No fishing vessel shall discard or abandon fishing gear at sea. A Commission Member, or Cooperating non-Contracting Party (Member or CNCP) shall take necessary measures to ensure that its fishing vessels do not discard or abandon fishing gear at sea.                                                                                     |
| 80                                 | 8                   | A Member or CNCP shall take necessary measures to ensure that its fishing vessels do not release any plastics, including synthetic ropes, synthetic fishing nets, plastic garbage bags, or incinerator ashes from plastics products, at sea                                                                                                    |
| 81                                 | 11                  | A Member or CNCP shall take necessary measures to ensure that its fishing vessels take all reasonable precautions to prevent: <ul style="list-style-type: none"> <li>a) the abandonment, loss, or discard of fishing gear at sea; and</li> <li>b) the release of garbage, and plastics, at sea.</li> </ul>                                     |
| 82                                 | 12                  | A Member or CNCP shall take necessary measures to ensure that its fishing vessels make every reasonable effort to retrieve any abandoned, lost, or discarded gear, garbage, or plastics that it has released as soon as possible and if safe to do so.                                                                                         |
| 83                                 | 16                  | A Member or CNCP shall take necessary measures to ensure that its fishing vessels, to the extent possible, safely store and retain on board all fishing gear, garbage, and plastics until they can be disposed of at an adequate port reception facility.                                                                                      |
| <b>CMM 2024-16 ANADROMOUS FISH</b> |                     |                                                                                                                                                                                                                                                                                                                                                |
| 84                                 | 5                   | Members and CNCPs shall take necessary measures to ensure their fishing vessels do not retain on board or otherwise possess anadromous fish.                                                                                                                                                                                                   |
| 85                                 | 7                   | Members and CNCPs shall take necessary measures to ensure that if a fishing vessel incidentally captures anadromous fish, those fish shall be returned to the water without delay, in a manner that causes the least harm.                                                                                                                     |
| 86                                 | 9                   | Members and CNCPs shall take necessary measures to ensure their fishing vessels maintain a record on board the vessel of incidentally caught and released anadromous fish in the Convention Area, to the extent possible by species. This record shall be made available to authorized inspectors. A species identification aid is in Annex 2. |
| 87                                 | 11                  | Notwithstanding paragraph 10, a Commission Member or CNCP shall annually report all incidentally caught and released anadromous fish in the Convention Area to the Secretariat, to the extent possible by species.                                                                                                                             |

## **CONSERVATION AND MANAGEMENT MEASURE ON SHARKS**

*The North Pacific Fisheries Commission (NPFC),*

*Recognizing* the biological importance of sharks in the marine ecosystems as a key predatory species of the North Pacific Ocean, and the need to promote their long-term conservation;

*Concerned* with vulnerability of certain shark species to exploitation given their low biological productivity and complex spatial structures, and especially mindful that vulnerable species of sharks are more susceptible to overfishing even at low levels of fishing mortality;

*Greatly* troubled by the observed incidental catch of sharks by fishing vessels, and practice of shark finning on board fishing vessels engaging in fishing activities in the Convention Area;

*Mindful* of the lack of knowledge on sharks in the North Pacific Ocean because of the lack of available data on catch, effort, landings, and trade, and the consequent need to adopt a precautionary approach to fisheries management;

*Recalling* Article 7(1)(c) of the Convention which states that the Commission shall adopt, where necessary, conservation and management measures for species belonging to the same ecosystem or dependent upon or associated with the target stocks;

*Recalling* further Article 21(2) of the Convention which states that the Commission shall take into account the conservation and management measures or recommendations adopted by other regional fisheries management organizations that have competence in relation to areas adjacent to the Convention Area or in respect of fisheries resources not covered by this Convention, species belonging to the same ecosystem or dependent upon or associated with the target stocks, and that have objectives that are consistent with and supportive of the objective of this Convention;

*Adopts the following:*

## **Definitions**

1. This measure shall be interpreted in accordance with the Convention.
2. For the purpose of this measure, the following definitions apply:
  - (a) “shark” includes any species of shark, skate, ray, or chimaera (class Chondrichthyes), either in whole or in part; and
  - (b) “shark finning” refers to the practice of removing a shark’s fin from the corresponding shark and discarding the remainder of the shark prior to the point of first landing.

## **Scope**

3. This measure applies to all fishing vessels included in the NPFC Vessel Registry in the Convention Area not otherwise registered and operating within the area of competence of another regional fisheries management organization.

## **Shark Conservation and Management**

4. Recognizing there are no directed shark fisheries currently managed by the Commission, Commission Members and Cooperating non-Contracting Parties shall ensure that any directed shark fishing follow the process outlined in Article 3(h) of the Convention.
5. For greater clarity, paragraph 4 does not apply to fishing vessels authorized to engage in shark fisheries managed by another regional fisheries management organization.

## *Prohibition on Shark Finning*

6. No fishing vessel shall engage in shark finning.
7. No fishing vessel shall:

(a) retain on board, or otherwise possess or control, a shark fin that is not naturally attached to the corresponding shark; or

(b) transship, or land, a shark fin that is not naturally attached to the corresponding shark

unless the fishing vessel complies with paragraph 8.

8. A fishing vessel may only remove a shark fin from the corresponding shark if the shark is incidentally caught, taken, or harvested, and if:

(a) the shark fin and the corresponding shark can be readily identified; and

(b) one of the following methods is used:

(i) the shark fin is stored in the same bag, preferably a biodegradable one, as the corresponding shark;

(ii) the shark fin is bound to the corresponding shark using rope or wire; or

(iii) the shark fin and the corresponding shark are identically, uniquely, and numerically tagged in a manner that an authorized inspector can readily identify the matching of the shark fin to the corresponding shark.

#### *On-Board Record of Interactions with Sharks*

9. A fishing vessel shall record, and maintain a record of, any shark catch in the Convention Area, to the extent possible by species, in its logbook on board the fishing vessel.

10. A Commission Member, or Cooperating non-Contracting Party, shall annually report all shark catches, to the extent possible by species, from their fishing vessels to the Secretariat.

11. The requirements contained in paragraph 9 will come into effect 1 January 2024.

**CONSERVATION AND MANAGEMENT MEASURE ON THE PREVENTION,  
REDUCTION, AND ELIMINATION OF MARINE POLLUTION**

*The North Pacific Fisheries Commission (NPFC),*

*Concerned* with the prevalence of marine pollution in the world's oceans, and its detrimental effects on marine species, marine ecosystems, and the livelihoods of legitimate fishers;

*Recognizing* the significant ecological threat posed by abandoned, lost, or discarded fishing gear (ALDFG) to the sustainability of fisheries resources;

*Aware of* both the role fishing vessels have in producing marine pollution during fishing activities from waste, harmful liquid substances, and ALDFG fishing gear, and their equal potential to combat marine pollution;

*Committed* to the use of the precautionary approach in fisheries management in light of the lack of data and information on marine pollution in the North Pacific Ocean;

*Recalling* that Article 3(k) of the Convention requires Commission Members and Cooperating non-Contracting Parties to minimize pollution or waste originating from fishing vessels, catch by lost or abandoned gear, and impacts on other species and marine ecosystems through measures including, to the extent practicable, the development and use of selective, environmentally safe, and cost-effective fishing gear and techniques;

*Noting* that the International Convention for the Prevention of Pollution from Ships (MARPOL) seeks to eliminate and reduce the amount of garbage being discharged into the sea from ships and that Annex V of MARPOL applies to all vessels but that there is limited monitoring and implementation of MARPOL obligations on fishing vessels, and consequently little information exists about illegal pollution activities by fishing vessels at sea;

*Noting further* that the need to prevent and significantly reduce marine pollution of all kinds was affirmed at the United Nations Conference to Support the Implementation of Sustainable Development Goal 14 through the adoption of paragraph 13(g) of the “Our ocean, our future: call for action” declaration;

*Desiring* to establish rules, and encourage advancements, in the prevention, reduction, and elimination of marine pollution in the North Pacific Ocean;

*Adopts the following:*

## **Definitions**

1. This measure shall be interpreted, unless otherwise stated, in accordance with the Convention.
2. The following definitions apply:
  - (a) “fishing gear” means any physical device or part thereof or combination of items that may be placed on or in the water or on the seabed with the intended purpose of catching, taking, or harvesting, or controlling for the subsequent catching, taking, or harvesting, of fisheries resources;
  - (b) “garbage” means all kinds of food wastes, domestic wastes, and operational wastes as defined under Annex V of MARPOL, including incinerator ashes, cooking oil, floating dunnage, or lining and packing materials<sup>1</sup>, but excluding plastics;
  - (c) “plastics” means a solid material which contains as an essential ingredient one or more high molecular mass polymers and which is formed during either manufacture of the polymer or the fabrication into a finished product by heat or pressure;

## **Scope**

3. This measure applies to all fishing vessels in the Convention Area.

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<sup>1</sup> For greater clarity, a reference to garbage in paragraphs 12 – 17 does not include garbage that is permitted to be released under Annex V of MARPOL.

## **Prohibitions on Marine Pollution**

### *Prohibition on Discard or Abandonment of Fishing Gear*

4. A Commission Member, or Cooperating non-Contracting Party (Member or CNCP) shall take necessary measures to ensure that its fishing vessels do not discard or abandon fishing gear at sea.
5. A fishing vessel is deemed to have discarded fishing gear if it relinquishes control of the fishing gear, except in the event of distress.
6. A fishing vessel is deemed to have abandoned fishing gear if it loses control of the fishing gear, or relinquishes control due to *force majeure*, and does not make every reasonable effort to retrieve the fishing gear.

### *Lost Fishing Gear*

7. If a fishing vessel loses control of its fishing gear, or relinquishes control due to *force majeure*, and makes every reasonable effort to retrieve the fishing gear, but it is impossible to retrieve, the fishing gear is considered lost.

### *Prohibition on Release of Plastics*

8. A Member or CNCP shall take necessary measures to ensure that its fishing vessels do not release any plastics, including synthetic ropes, synthetic fishing nets, plastic garbage bags, or incinerator ashes from plastics products, at sea.
9. Paragraph 8 shall not apply when it is necessary to secure the safety of human life or of vessels at sea in cases of *force majeure* caused by stress of weather, or in any case which constitutes a danger to human life or a real threat to vessels. A Member or CNCP shall take necessary measures to ensure that such release be so conducted as to minimize the likelihood of damage to human or marine life and reported to the Commission through the Secretariat within 14

working days of the incident. The Member of CNCP shall report any such cases in the Annual Report.

#### *Prohibition on the Release of Other Marine Pollutants*

10. A Member or CNCP is encouraged to implement appropriate on-board storage for, and to prohibit the release of the following discharges at sea by its fishing vessels, except as permitted under applicable international instruments:

- (a) oil, fuel products, or oily residues;
- (b) sewage; and
- (c) garbage.

#### **Other Requirements**

##### *Preventive Measures*

11. A Member or CNCP shall take necessary measures to ensure that its fishing vessels take all reasonable precautions to prevent:

- (a) the abandonment, loss, or discard of fishing gear at sea; and
- (b) the release of garbage, and plastics, at sea.

##### *Retrieval of Fishing Gear and Other Marine Pollutants*

12. A Member or CNCP shall take necessary measures to ensure that its fishing vessels make every reasonable effort to retrieve any abandoned, lost, or discarded gear, garbage, or plastics that it has released as soon as possible and if safe to do so.

13. A Member or CNCP shall take necessary measures to encourage its fishing vessels to retrieve any abandoned, lost, or discarded fishing gear, garbage, or plastics that it observes at sea.

14. A Member or CNCP shall take necessary measures to encourage its fishing vessels to carry equipment on board to retrieve any abandoned, lost, or discarded fishing gear, garbage, or plastics that it released or observes.
15. A Member or CNCP is encouraged to submit to SC and TCC any information derived from the implementation of paragraphs 12 and 13.

*Storage, Retention, and Disposal of Marine Pollutants*

16. A Member or CNCP shall take necessary measures to ensure that its fishing vessels, to the extent possible, safely store and retain on board all fishing gear, garbage, and plastics until they can be disposed of at an adequate port reception facility.
17. A Member or CNCP shall take necessary measures to encourage its fishing vessels that are 400 GT and above and fishing vessels which are certified to carry 15 or more persons and engaged in international voyages, to keep a Garbage Record Book on board the vessel that records the disposal and/or treatment of garbage in accordance with MARPOL Regulation 10, Annex V.

**Review**

18. The Commission shall review this measure annually, taking into account, *inter alia*:
  - (a) the effectiveness of this measure in preventing, reducing, and eliminating marine pollution, including the potential for reporting requirements; and
  - (b) the development of international standards, guidelines, or best practices, or international instruments related to the prevention, reduction, and elimination of marine pollution.

## **CONSERVATION AND MANAGEMENT MEASURE ON ANADROMOUS FISH**

*The North Pacific Fisheries Commission (NPFC),*

*Noting* that anadromous stocks belong to the same ecosystem and intermingle and associate with NPFC fisheries resources throughout their marine migration within the NPFC Convention Area;

*Noting* the NPFC convention article 3 paragraph (d) which says certain actions shall be taken including conservation and management measures for stocks associated with the target stocks of the NPFC;

*Understanding* the ecological, cultural, and economic importance of anadromous species to States who have prioritized and invested in the conservation and production of these stocks;

*Mindful* of the importance of continued scientific research to more fully understand the threat NPFC fisheries pose to anadromous stocks and our shared responsibility to take a pre-cautionary approach when managing NPFC fisheries that could impact anadromous stocks; and,

*Desiring* to ensure effective collaboration and cooperation between all Members of NPFC and NPAFC by ensuring that NPFC policies respecting anadromous fish protection complement and support the work and objectives of the NPAFC further to the Memorandum of Cooperation between the two organizations signed by the NPFC in 2019.

*Adopts the following:*

### **DEFINITIONS**

1. This measure shall be interpreted in accordance with the Convention.
2. For the purpose of this measure, the following definitions apply:

- (a) “anadromous fish” means the fish of anadromous species listed in Annex 1, which migrate into the Convention Area, and “anadromous stocks” means the stocks thereof;
- (b) “directed fishing” means fishing activity targeted at a particular species or stock of fish;
- (c) “incidental taking or capture” means catching, taking, or harvesting a species or stock of fish while conducting directed fishing for another species or stock of fish; and,
- (d) “released” means a fish that has been caught and is returned to the water.

## **SCOPE**

- 3. This measure applies to all fishing vessels on the NPFC Vessel Registry operating in the Convention Area.

## **ANADROMOUS FISH CONSERVATION**

### *Prohibition on Directed Fishing for Anadromous Fish*

- 4. Members and CNCPs shall take necessary measures to ensure their fishing vessels do not engage in directed fishing for anadromous fish in the Convention Area.

### *Prohibition on the Retention of Anadromous Fish and Minimization of Incidental Capture*

- 5. Members and CNCPs shall take necessary measures to ensure their fishing vessels do not retain on board or otherwise possess anadromous fish.
- 6. For clarity, the prohibitions in paragraphs 4 and 5 do not apply to vessels authorized to conduct research activities under the competence of the NPAFC.

7. Members and CNCPs shall take necessary measures to ensure that if a fishing vessel incidentally captures anadromous fish, those fish shall be returned to the water without delay, in a manner that causes the least harm.
8. Members and CNCPs shall encourage their fishing vessels to conduct activities in a manner that avoids or minimizes to the maximum extent practicable the incidental capture of anadromous fish.

*On-Board Record of Incidentally Caught and Released Anadromous Fish*

9. Members and CNCPs shall take necessary measures to ensure their fishing vessels maintain a record on board the vessel of incidentally caught and released anadromous fish in the Convention Area, to the extent possible by species. This record shall be made available to authorized inspectors. A species identification aid is in Annex 2.
10. Subject to review in 2026, paragraph 9 does not apply to stick-held dipnetter vessels targeting Pacific saury and hook and line jigger vessels targeting squid.

*Annual Reporting and Data Sharing*

11. Notwithstanding paragraph 10, a Commission Member or CNCP shall annually report all incidentally caught and released anadromous fish in the Convention Area to the Secretariat, to the extent possible by species.
12. Where incidental catch and release data is reported to the Secretariat, the Secretariat shall share the data in aggregated form with the Scientific Committee and further to the Memorandum of Cooperation between NPFC and NPAFC signed on May 13, 2019, the North Pacific Anadromous Fish Commission in a manner consistent with NPFC's Data Sharing and Data Security Protocol.

**Anadromous Stocks**

|                 |                                 |
|-----------------|---------------------------------|
| Chum salmon     | <i>Oncorhynchus keta</i>        |
| Coho salmon     | <i>Oncorhynchus kisutch</i>     |
| Pink salmon     | <i>Oncorhynchus gorbuscha</i>   |
| Sockeye salmon  | <i>Oncorhynchus nerka</i>       |
| Chinook salmon  | <i>Oncorhynchus tshawytscha</i> |
| Cherry salmon   | <i>Oncorhynchus masou</i>       |
| Steelhead trout | <i>Oncorhynchus mykiss</i>      |

## Species Identification Aid for Anadromous Species found in the North Pacific

| Common Name/<br>Latin Name                         | Identifying Features                                                                                                                                                                                                              |                                                                                      |
|----------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------|
| Chum salmon/<br><i>Oncorhynchus keta</i>           | <ul style="list-style-type: none"> <li>- Mouth is white with a white gum line</li> <li>- Well developed teeth</li> <li>- no spots on tail or back</li> <li>- narrow caudal peduncle</li> <li>- white tip on anal fin</li> </ul>   |    |
| Coho salmon/<br><i>Oncorhynchus kisutch</i>        | <ul style="list-style-type: none"> <li>- Mouth is light with a white gum line</li> <li>- Medium size, sharp teeth</li> <li>- Spots only on upper lobe of tail</li> <li>- Spots on back</li> <li>- Wide caudal peduncle</li> </ul> |    |
| Pink salmon/<br><i>Oncorhynchus gorbuscha</i>      | <ul style="list-style-type: none"> <li>- Mouth is white with a black gum line</li> <li>- Almost no teeth</li> <li>- Large oval spots on back</li> <li>- Pointed lower jaw</li> <li>- Very small scales</li> </ul>                 |   |
| Sockeye salmon/<br><i>Oncorhynchus nerka</i>       | <ul style="list-style-type: none"> <li>- Mouth is white with a White gum line</li> <li>- Almost toothless</li> <li>- No spots on tail or back</li> <li>- Large, bright gold, glassy eye</li> </ul>                                |  |
| Chinook salmon/<br><i>Oncorhynchus tshawytscha</i> | <ul style="list-style-type: none"> <li>- Mouth is dark with a black gum line</li> <li>- Large, sharp teeth</li> <li>- Spots on both lobes of tail</li> <li>- Large spots on back</li> </ul>                                       |  |
| Cherry salmon/<br><i>Oncorhynchus masou</i>        | <ul style="list-style-type: none"> <li>- Small black spots on the back</li> <li>- Dark underlying hue under its silvery scales</li> <li>- Resembles coho salmon</li> </ul>                                                        |  |

|                                                          |                                                                                                                                                                                                           |                                                                                    |
|----------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------|
| Steelhead trout/<br><i>Oncorhynchus</i><br><i>mykiss</i> | <ul style="list-style-type: none"> <li>- Small black spots on back</li> <li>- Uniform spots on square Tail</li> <li>- No teeth in throat, at back of tongue</li> <li>- Slender lateral profile</li> </ul> |  |
|----------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------|

**CONSERVATION AND MANAGEMENT MEASURE ON NPFC TRANSSHIPMENT  
OBSERVER PROGRAM**

*The North Pacific Fisheries Commission (NPFC),*

*Noting* Article 7(2)(a) of the Convention which states that the Commission shall establish procedures for the regulation and monitoring of transshipment of fisheries resources and products of fisheries resources taken in the Convention Area;

*Noting further* Article 7(2)(b) of the Convention which states that the Commission shall develop and implement a North Pacific Ocean Fisheries Observer Program taking into account relevant international standards and guidelines;

*Recognizing* that paragraph 26 of the Conservation and Management Measure on Transshipment states that the Commission shall establish a regional observer program no later than its 9<sup>th</sup> Commission meeting;

*Adopts the following:*

## **Definitions**

For the purpose of this CMM, the following definitions apply:

- a) “Observer service provider” means an external, non-governmental and independent entity that provides observer services to receiving vessels
- b) “Observer” means an individual employed by an observer service provider for the purpose of working as an observer aboard fishing vessels
- c) “Authorized observer” means an observer on the list of authorized observers maintained by the NPFC Secretariat.

## **General**

1. The Commission hereby establishes the NPFC Transshipment Observer Program, under Article 7, subparagraph 2(b) of the Convention, which shall be coordinated and administered by the Secretariat of the Commission, in accordance with this measure.
2. The objectives of the NPFC Transshipment Observer Program shall be to collect verified transshipment data, collect additional information related to the fisheries in the Convention Area, and to monitor the implementation of the conservation and management measures (CMM) adopted by the Commission.
3. To complement the Secretariat’s annual report on the implementation of the CMM on Transshipment, the Secretariat shall also report annually to the Commission with regard to the implementation of the NPFC Transshipment Observer Program and on other matters relevant to the efficient operation of the Program. The Secretariat shall, upon request of a Member or Cooperating non-Contracting Party (CNCP) and in a manner consistent with any applicable confidentiality requirements, provide the requesting Member or CNCP any specific data in relation to transshipment activities conducted.
4. The Secretariat may enter into a contract with an observer service provider that demonstrate their capacity to meet the requirements of this measure and to provide authorized observers to support the administration of the NPFC Transshipment Observer Program including, inter alia, the provision, training, equipment, deployment, and recovery of observers. Such contracts shall be valid for up to three years and contain provisions authorizing the Secretariat to end contract services should the observer service provider not perform the duties of the contract completely, in a timely manner or otherwise according to the responsibilities detailed in the CMM, but not before a new contract is in place.
5. The terms of any such contract entered into under paragraph 4 shall require the observer service

provider to appoint the authorized observers, place them on board the authorized receiving vessels planning to or engaging in transshipment of NPFC fisheries resources or products of fisheries resources in accordance with the CMM on Transshipments and ensure that authorized observers have a designated contact point for deployed observers to implement this CMM. The observer service provider should appoint and deploy authorized observers in a manner to ensure cost effectiveness and avoid duplications, for example by sourcing trained and qualified observers from existing regional and Member and CNCP observer programs.

6. Members and CNCPs shall accept the deployment of authorized observers to their receiving vessels as required by this CMM and ensure that their vessels which carry observers are outfitted with appropriate safety equipment for the entirety of each voyage and are compliant with relevant international standards.

#### **Authorization and training of observers**

7. The Secretariat shall maintain a list of authorized observers for the NPFC Transshipment Observer Program, who meet the requirements of paragraphs 8, 10, and 11.
8. The terms of any such contract entered into under paragraph 4 shall require the observer service provider to ensure that authorized observers have the training, knowledge, skills, and abilities to perform all of their duties and functions. To this end, an authorized observer will, at a minimum, have:
  - a) sufficient experience in identifying, or satisfactorily completed training to identify NPFC species and fishing gear;
  - b) satisfactory knowledge of NPFC conservation and management measures;
  - c) the ability to observe and record information accurately; and
  - d) sufficient training in technical matters and safety.
9. In addition to the requirements under paragraph 8, the terms of any such contract entered into under paragraph 4 shall encourage the observer service provider to ensure that authorized observers have a satisfactory knowledge of the language of the flag of the vessel to which they are deployed.
10. The terms of any such contract entered into under paragraph 4 shall require the observer service provider to ensure that all authorized observers are independent and impartial. To this end, an authorized observer shall:
  - a) be neither part of the crew, nor have any employment or family or business relationship to the ownership or operator of a fishing vessel included in the NPFC Vessel Registry;

- b) to the extent possible not be deployed on consecutive fishing trips on the same vessel;
  - c) not have a direct financial interest with vessels, processors, agents and retailers involved in the catching, taking, harvesting, transporting, processing or selling of fish or fish products, other than the provision of observer services, including but not limited to ownership, or business links; and,
  - d) not solicit or accept, directly or indirectly, any gratuity, gift, favour, entertainment, inordinate accommodation, loan or anything of monetary value from anyone who either conducts activities that are regulated by a Member or CNCP connected with its services or the Commission, or has interests that may be substantially affected by the performance or non-performance of the observer's official duties.
11. The terms of any such contract entered into under paragraph 4 shall require the observer service provider to ensure authorized observers receive proper safety training and equipment to perform their duties. To this end, an authorized observer shall:
- a) receive safety training that meets the International Maritime Organization (IMO) safety training standards, before they are deployed on a vessel for the first time and at appropriate intervals thereafter;
  - b) be equipped with appropriate safety equipment that is in good working order, routinely checked and renewed to carry out their duties on board a vessel. Safety equipment includes but is not limited to each observer being equipped with a lifejacket or personal flotation device, personal locator beacons (PLBs), immersion suits, hard hat, proper deck working boots or shoes, gloves and protective glasses (including sunglasses), and other safety equipment appropriate to the specific fishing operations and activities, including ocean area and distance from shore; and
  - c) be equipped with, and have control and sole use of an independent two-way satellite communications device capable of sending and receiving voice or text communications suitable for use when the vessel is at sea.

### **Electronic Monitoring Systems (EMS)**

12. The Commission shall endeavor to develop and adopt minimum standards for EMS to monitor transshipment at sea and a list of Secretariat responsibilities in relation to an EMS. Once EMS standards are adopted by the Commission, the Commission will determine how to incorporate EMS into the NPFC Transshipment Observer Program, including whether to use EMS to complement and/or replace any of the duties of an observer outlined in this CMM.

### **Observer Responsibilities**

13. The terms of any such contract entered into under paragraph 4 shall require the observer service

provider to ensure that authorized observers perform their duties completely, in a timely manner, and otherwise according to the responsibilities detailed in this CMM. Observer service providers shall monitor the performance of authorized observers, and may recommend to the Secretariat the removal of an authorized observer from the authorized observer list.

14. The terms of any such contract entered into under paragraph 4 shall require the observer service provider to ensure that authorized observers monitor and collect information on each transshipment and, to the greatest extent possible, monitor whether each transshipment is conducted in a manner consistent with the CMM on Transshipment. In particular, an authorized observer shall verify the quantities of transshipped fisheries resources, the species of transshipped fisheries resources, including bycatch and unregulated species, and the form of product transshipped.
15. To fulfill paragraph 14, an authorized observer shall:
  - a) collect information to monitor the receiving vessel's compliance with the CMMs, including:
    - i. the date, time and the position of the vessel when engaged in transshipping;
    - ii. their own estimation of the quantities of products by species, including bycatch and unregulated species, transshipped by vessel;
    - iii. the name of the offloading vessel concerned, and its registration number; and
  - b) acknowledge the transshipment declaration and compare the data collected as per 15(a) with the data reported by the vessel in the advance notification and transshipment declaration;
  - c) compile the information in an observer transshipment report, as per Annex I, immediately after each transshipment;
  - d) keep the observer transshipment report onboard and provide the report, as soon as possible, but no later than 10 days from the disembarkation, to the observer service provider responsible for the NPFC Transshipment Observer Program, for subsequent transmission to:
    - i. the Commission Member, or CNCP, of the flags of the receiving vessel and the offloading vessel;
    - ii. the Coastal Members, if applicable; and
    - iii. the Secretariat.
  - e) exercise any other functions as defined by the Commission.
16. The terms of any such contract entered into under paragraph 4 shall require the observer service provider to ensure that authorized observers shall treat as confidential all information with respect to the fishing operations of the receiving vessels and of their owners and accept this requirement in writing as a condition of employment as an authorized observer.

17. The terms of any such contract entered into under paragraph 4 shall require the observer service provider to ensure that authorized observers, while on board a receiving vessel, comply with the applicable laws and regulations of the Member or CNCP exercising jurisdiction over the receiving vessel to which the observer is deployed.
18. The terms of any such contract entered into under paragraph 4 shall require the observer service provider to ensure that authorized observers respect the hierarchy and general rules of behavior which apply to all receiving vessel personnel, provided such rules do not interfere with the duties of the observer under this measure.
19. The terms of any such contract entered into under paragraph 4 shall require the observer service provider to ensure that authorized observers not unduly interfere with the lawful operations of the vessels and in carrying out their duties shall give due consideration to the operational requirements of the vessels.

#### **Observer Rights**

20. To facilitate the performance of their duties specified in paragraph 15, and to ensure their safety, Members and CNCPs shall take necessary measures to ensure that the masters of the vessels to which authorized observers are deployed provide the authorized observer with:
  - a) full, unobstructed, and safe access to each fishing vessel involved in the transshipment, including, inter alia,
    - i. reasonable assistance from the crew;
    - ii. gear;
    - iii. equipment;
    - iv. records, including electronic records;
    - v. communication equipment;
    - vi. fish holds;
    - vii. satellite navigation equipment;
    - viii. radar display viewing screens when in use;
    - ix. VMS; and
    - x. scale used for weighing transshipped product.
  - b) accommodations on the receiving vessel, including lodging, food, potable water, medical facilities and adequate sanitary facilities, equal to those of officers;
  - c) adequate space on the bridge or pilot house of the receiving vessel for clerical work, as well as space on deck adequate for the performance of their duties;
  - d) the ability to determine the most advantageous location and method for viewing

transshipment operations and estimating species and quantities transshipped. The master of the receiving vessel, giving due regard to safety and practical concerns, shall accommodate the needs of the authorized observer, including, upon request, temporarily placing product on the receiving vessel deck for inspection by the observer and providing adequate time for the observer to perform their duties. Observations shall be conducted in a manner that minimizes interference and avoids compromising the quality of the products transshipped;

- e) freedom from physical, psychological, or sexual abuse or harm, assault, resistance, opposition, impedance, harassment, sexual harassment, intimidation, interference with, influence, bribery or attempted bribery;
- f) freedom from undue obstruction in the discharge of their duties as specified under this measure;
- g) freedom from performing duties normally performed by crew members;
- h) access to verify safety equipment on board the receiving vessel (through a safety orientation tour provided by officers or crew) before the vessel leaves the dock;
- i) access to communicate at any time the occurrence of safety issues to the vessel captain, service provider, the Secretariat, and flag Member or CNCP, as appropriate;
- j) internet connectivity, when available;
- k) observer data, records, documents, equipment and belongings not being accessed, harmed, or destroyed; and
- l) notification at least 1 hour prior to a transshipment that is scheduled to commence to allow sufficient time for the observer to be prepared.

21. Members and CNCPs shall ensure that an authorized observer be allowed to visit the offloading vessel in a transshipment and have access to the offloading vessel in accordance with paragraph 22 in this CMM.

22. Members and CNCPs shall ensure that the master of the offloading vessel and master of the receiving vessel provide all necessary assistance to an authorized observer to ensure safe transport between the receiving and offloading vessel. Should conditions present an unacceptable risk to the welfare of the observer such that a visit to the offloading fishing vessel is not feasible prior to the start of a transshipment operation, the transshipment may still be carried out.

23. Members and CNCPs shall ensure that observer rights and emergency procedures applicable to authorized observers on receiving vessels also apply to the authorized observers when they are fulfilling their obligations on offloading vessels during the transshipment, including while transferring on and off the offloading vessel.

24. The terms of any such contract entered into under paragraph 4 shall require the observer service provider ensure that authorized observers are informed that should they experience physical, psychological, or sexual abuse or harm, assault, obstruction, harassment, sexual harassment, intimidation, or otherwise unsafe working conditions, they are highly encouraged to document the incident and report it to the observer service provider. Upon receiving a report, the observer service provider shall immediately inform the Secretariat. The Secretariat shall then transmit the report to the flag Member or CNCP of the vessel involved. Per paragraph 37 of the CMM on Transshipment, the flag Member or CNCP shall conduct an investigation into the incident. The flag Member or CNCP shall report any findings and/or relevant actions taken to address the issue without delay to the observer service provider and the Secretariat, for transmission to all Members and relevant CNCPs. The observer service provider has discretion to not deploy an observer on a vessel because of concerns about risk to the observer's health, safety, or welfare if an investigation is ongoing.
25. The terms of any such contract entered into under paragraph 4 shall require the observer service provider to notify all authorized observers of any ongoing investigations, and the findings and/or relevant actions taken of any completed flag Member or CNCP investigation pursuant to paragraph 24.
26. The terms of any such contract entered into under paragraph 4 shall require the observer service provider to ensure that authorized observers may refuse a deployment to a receiving vessel for justified reasons, including when a safety issue has been identified on the receiving vessel. The observer service provider shall document and provide the reason for refusal to the Secretariat. The Secretariat shall forward the documentation to the relevant Member or CNCP for investigation.

#### **Procedure in the Event of an Emergency**

27. If an observer is missing or is presumed to have fallen overboard, the Member or CNCP whose flag the receiving vessel is flying shall ensure that the vessel:
- a) immediately ceases fishing operations and commences search and rescue and searches for at least 72 hours, unless the observer is found sooner, or unless instructed by the Member whose flag the vessel is flying to continue searching longer;
  - b) immediately notifies the flag Member or CNCP and observer service provider;
  - c) immediately alerts other vessels in the vicinity by using all available means of communication;
  - d) cooperates fully in any search and rescue operation;

- e) whether or not the search is successful, returns to the nearest port, as requested by the Member or CNCP whose flag the vessel is flying, for further investigation;
  - f) provides a report to the Secretariat, observer provider and appropriate authorities on the incident; and
  - g) cooperates fully in any and all official investigations, and preserves any potential evidence and the personal effects and quarters of the missing observers before the new observer is on board.
28. In the event that an observer suffers from a serious illness or injury that threatens his or her health or safety, the Member or CNCP whose flag the receiving vessel is flying shall take necessary measures to require that the receiving vessel:
- a) immediately ceases fishing operations, as long as practicable, and takes all reasonable actions to care for the observer and provide any medical treatment available and possible on board the vessel;
  - b) immediately notifies the flag Member or CNCP and observer provider of the situation, including to advise if a medical evacuation is warranted, and where appropriate seeks external medical advice;
  - c) facilitates the disembarkation and transport of the observer to a medical facility equipped to provide the required care, as soon as practicable; and
  - d) cooperates fully in any and all official investigations into the cause of the illness or injury.
29. In the event that an observer dies, the Member or CNCP whose flag the receiving vessel is flying shall ensure that the receiving vessel:
- a) immediately ceases fishing operations;
  - b) immediately notifies the flag Member or CNCP and the observer service provider;
  - c) immediately returns to the nearest port, as requested by the Member or CNCP whose flag the vessel is flying, for further investigation;
  - d) provides a report to the Secretariat, observer service provider and appropriate authorities on the incident; and
  - e) cooperates fully in any and all official investigations, and preserves any potential evidence and the personal effects and quarters of the deceased observer before the new observer is on board.
30. Members and CNCPs shall take and implement necessary steps, as a matter of due diligence, to prevent incidents causing serious harm or death to observers on board vessels flying their flag, and, if appropriate, to sanction or punish those involved, including through criminal investigation and prosecution. The Members and CNCPs shall cooperate to that end and ensure

results of investigations conducted and any actions taken related to observer assault or harassment will be provided promptly to the observer provider and the Secretariat, for transmission to all Members and the relevant CNCPs.

**Observer fees**

31. The costs of implementing this program shall be financed by the flag Member or CNCP of offloading vessels wishing to engage in transshipment operations. The fee shall be calculated on the basis of the total costs of the program. This fee shall be paid into a special account of the Secretariat and the Executive Secretary shall manage the account for administering the program.
32. Members and CNCPs shall not allow their fishing vessels to engage in a transshipment unless they have paid their fees, as required under paragraph 31.
33. This CMM shall enter into force on 1 April 2026.

## OBSERVER TRANSSHIPMENT REPORT

INSTRUCTIONS

In completing the observer transshipment report, the observer shall ensure that:

3. the information is as **accurate** as possible, and legible; and
4. the information is provided in **clear, legible print** in accordance with the clarifications below (either by hand or electronically).

The observer must provide the completed transshipment declaration with the completed observer transshipment report. It is the responsibility of the observer to provide sufficient reasoning in order to effectively explain any non-compliance.

CLARIFICATIONS

To assist in the accurate completion of the observer transshipment report:

- use the DD-MM-YYYY format to specify the date (e.g. 01-11-2022);
- use the HH:MM format, and the 24-hour clock (UTC, or specify time zone) to specify the time (e.g. 23:15);
- use the Degrees (°) Minutes (′) format to specify the latitude and longitude (e.g. 40° 26′ N, 79° 58′ W);
- “Interruptions” refers to any stoppage in observation of the transshipment by the observer.
- for “FAO CODE”, utilize the FAO 3-alpha codes found at [www.npfc.int/priority-species](http://www.npfc.int/priority-species), or Fisheries and Aquaculture - All Information Collections - ASFIS List of Species for Fishery Statistics Purposes ([fao.org](http://fao.org));
  - the Codes for major NPFC species are; SAP (Pacific saury), MAS (chub mackerel), MAA (blue mackerel), JAP (Japanese sardine), OFJ (neon flying squid) and SQJ (Japanese flying squid).
- for “STATE OF FISH”, state whether the fisheries resource, or product of fisheries resource, is: (1) fresh (FRS), or (2) frozen (FRZ)

| OBSERVER TRANSSHIPMENT REPORT (1/3)                                                                                                                                                                                                                                                                       |                                                                                                                                               |                                    |                                          |                               |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------|------------------------------------------|-------------------------------|
| <i>Per the NPFC's CMM on the NPFC Transshipment Observer Program, this form shall be completed by the authorized observer for each transshipment activity and submitted to the observer service provider as soon as possible, but no later than 10 days following the disembarkation of the observer.</i> |                                                                                                                                               |                                    |                                          |                               |
| PART I – TRANSSHIPMENT INFORMATION                                                                                                                                                                                                                                                                        |                                                                                                                                               |                                    |                                          |                               |
| 1                                                                                                                                                                                                                                                                                                         | Date of Transshipment                                                                                                                         |                                    |                                          |                               |
| 2                                                                                                                                                                                                                                                                                                         | Time of Transshipment                                                                                                                         |                                    |                                          |                               |
| 3                                                                                                                                                                                                                                                                                                         | Location of Transshipment                                                                                                                     | <input type="checkbox"/> High Seas | <input type="checkbox"/> National Waters | <input type="checkbox"/> Port |
| 4                                                                                                                                                                                                                                                                                                         | Longitude and Latitude                                                                                                                        |                                    |                                          |                               |
| 5                                                                                                                                                                                                                                                                                                         | Receiving Vessel: <ul style="list-style-type: none"> <li>• Vessel Name</li> <li>• NPFC Vessel ID</li> <li>• Name of Vessel Master</li> </ul>  |                                    |                                          |                               |
| 6                                                                                                                                                                                                                                                                                                         | Offloading Vessel: <ul style="list-style-type: none"> <li>• Vessel Name</li> <li>• NPFC Vessel ID</li> <li>• Name of Vessel Master</li> </ul> |                                    |                                          |                               |
| PART II –TRANSSHIPMENT INFORMATION                                                                                                                                                                                                                                                                        |                                                                                                                                               |                                    |                                          |                               |
| 7                                                                                                                                                                                                                                                                                                         | Observed (Y/N)                                                                                                                                |                                    |                                          |                               |
| 8                                                                                                                                                                                                                                                                                                         | Total Hours Observed                                                                                                                          |                                    |                                          |                               |
| 9                                                                                                                                                                                                                                                                                                         | Interruption(s) in Observation (Y/N)                                                                                                          |                                    |                                          |                               |
| 10                                                                                                                                                                                                                                                                                                        | Number of Interruptions                                                                                                                       |                                    |                                          |                               |
| 11                                                                                                                                                                                                                                                                                                        | Total Time Interrupted                                                                                                                        |                                    |                                          |                               |

| OBSERVER TRANSSHIPMENT REPORT (2/3)                                                                        |                                                                                      |                 |      |             |                 |            |                                                                                              |
|------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------|-----------------|------|-------------|-----------------|------------|----------------------------------------------------------------------------------------------|
| PART III – OBSERVER ESTIMATION OF PRODUCTS TRANSSHIPPED                                                    |                                                                                      |                 |      |             |                 |            |                                                                                              |
| FAO Code                                                                                                   | State of Fish                                                                        | Type of Product | Unit | Kg per unit | Number of Units | Total (kg) | Consistent with transshipment declaration? (Y/N)<br>(Note any discrepancies in Part V below) |
|                                                                                                            |                                                                                      |                 |      |             |                 |            |                                                                                              |
|                                                                                                            |                                                                                      |                 |      |             |                 |            |                                                                                              |
|                                                                                                            |                                                                                      |                 |      |             |                 |            |                                                                                              |
| PART IV – OBSERVATION OF OFFLOADING VESSEL                                                                 |                                                                                      |                 |      |             |                 |            |                                                                                              |
| 12                                                                                                         | Boarded Offloading Vessel ? <input type="checkbox"/> Yes <input type="checkbox"/> No |                 |      |             |                 |            |                                                                                              |
| 13                                                                                                         | Product Remaining on Offloading Vessel Following Transshipment (if applicable):      |                 |      |             |                 |            |                                                                                              |
| FAO Code                                                                                                   | State of Fish                                                                        | Type of Product | Unit | Kg per unit | Number of Units | Total (kg) | Consistent with transshipment declaration? (Y/N)<br>(Note any discrepancies in Part V below) |
|                                                                                                            |                                                                                      |                 |      |             |                 |            |                                                                                              |
|                                                                                                            |                                                                                      |                 |      |             |                 |            |                                                                                              |
|                                                                                                            |                                                                                      |                 |      |             |                 |            |                                                                                              |
| Source: <input type="checkbox"/> Hold Inspection <input type="checkbox"/> Verbal Report from Vessel Master |                                                                                      |                 |      |             |                 |            |                                                                                              |

## OBSERVER TRANSSHIPMENT REPORT (3/3)

### PART V - COMMENTARY

In this section, the authorized observer shall note for both the offloading and receiving vessels:

- any discrepancies between their estimation of product transshipped and what was declared on the advance notification and transshipment declarations, not to exclude estimates of bycatch and unregulated species, and the form of products transshipped.;
- any other observed non-compliance with CMM *on Transshipments*
- any observed non-compliance with the NPFC Convention or other NPFC CMMs

### PART VI – OBSERVER SIGNATURE

|    |             |  |
|----|-------------|--|
| 14 | Observer    |  |
|    | Name        |  |
|    | Nationality |  |
|    | Signature   |  |

## **CONSERVATION AND MANAGEMENT MEASURE FOR JAPANESE SARDINE**

*The North Pacific Fisheries Commission (NPFC),*

*Recalling* that the NPFC has adopted the CMMs as provisional measure on three species – Japanese sardine, neon flying squid and Japanese flying squid – to restrict the number of fishing vessels authorized to fish for such species;

*Reaffirming* the General Principles provided in Article 3 of the Convention, in particular, Paragraph (h) stipulating that any expansion of fishing effort does not proceed without prior assessment of the impacts of those fishing activities on the long-term sustainability of fisheries resources;

*Noting* paragraph 1(a) of Article 7 of the Agreement for the Implementation of the Provisions of the United Nations Convention on the Law of the Sea of 10 December 1982 relating to the Conservation and Management of Straddling Fish Stocks and Highly Migratory Fish Stocks of 4 December 1995 (hereinafter, “1995 Agreement”), stipulating that the relevant coastal States and the States whose nationals fish for straddling fish stocks in the adjacent high seas area shall seek to agree upon the measures necessary for the conservation of these stocks in the adjacent high seas area;

*Recognizing* paragraph 2(a) of Article 7 of the 1995 Agreement stipulating that the conservation and management measures adopted and applied in accordance with Article 61 of the United Nations Convention on the Law of the Sea in respect of the same stocks by coastal States within areas under national jurisdiction and ensure that measures established in respect of such stocks for the high seas do not undermine the effectiveness of such measures;

*Recognizing further* coastal Members of the Commission have been implementing conservation and management measures for Japanese sardine in areas under their national jurisdiction adjacent to the Convention Area;

*Reaffirming* paragraph (i) of Article 3 of the Convention, stipulating in accordance with Article 7 of the 1995 Agreement, that conservation and management measures established for straddling fish stocks on the high seas and those adopted for areas under national jurisdiction are compatible in order to ensure conservation and management of these fisheries resources in their entirety;

*Noting* that the NPFC Catch/Effort statistics show a significant increase in Japanese sardine catches in recent years;

*Adopts* the following conservation and management measure in accordance with Article 7 of the Convention:

1. Members of the Commission and Cooperating non-Contracting Parties (CNCPs) with substantial harvest of Japanese sardine in the Convention Area shall refrain from expansion, in the Convention Area, of the number of fishing vessels entitled to fly their flags and authorized to fish for such species from the historical existing level until the stock assessment for such species by the SC has been completed.
2. Members of the Commission and CNCPs without substantial harvest of Japanese sardine in the Convention Area are encouraged to refrain from expansion, in the Convention Area, of the number of fishing vessels entitled to fly their flags and authorized to fish for such species from the historical existing level until the stock assessment for such species by the SC has been completed.
3. In 2026, each Member of the Commission and CNCP with substantial harvest of Japanese sardine shall take necessary measures to ensure that its total annual catch of Japanese sardine in the Convention Area shall not exceed the level of its annual reported catch in 2025.
4. Members of the Commission participating in fishing for the Japanese sardine in areas under their jurisdiction adjacent to the Convention Area are requested to take compatible measures in paragraph 1. Such Members<sup>1</sup> may divert part of their catch limit for areas under their jurisdiction to their own catch of the species in the Convention Area by vessels entitled to fly their flags and authorized to fish for the species, provided that: (i) the Member has established a catch limit for the species in its jurisdiction; (ii) the Member has notified the Commission of the catch limit; and (iii) the total catch of the species in the Convention Area and the areas under their jurisdiction adjacent to the Convention Area will not exceed the Member's total catch limit for its jurisdiction respectively.
5. Development of new fishing activity for Japanese sardine in the Convention Area by Members of the Commission without documented historical catch for such species in the Convention Area shall be determined in accordance with relevant provisions, as appropriate, including but not limited to Article 3, paragraph (h) and Article 7, subparagraphs 1(g) and (h) of the Convention.
6. Members of the Commission and CNCPs shall ensure that fishing vessels flying their flag operating in the Convention Area authorized to fish for Japanese sardine are to be equipped with an operational vessel monitoring system that is activated at all times.
7. Members of the Commission and CNCPs shall ensure that fishing vessels flying their flag that fish for Japanese sardine in the Convention Area record their catches, including incidental

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<sup>1</sup> Paragraph 4 applies to Russia and Japan

catches of other NPFC species, and any discards and report them to the relevant flag state authorities in accordance with their national data recording and reporting requirements.

8. Members of the Commission and CNCPs shall provide their data on Japanese sardine in accordance with the data requirements adopted by the Commission in the Annual Report every year. The Commission shall review such information at the annual meeting of every year.
9. Members of the Commission and CNCPs shall cooperate to take necessary measures including sharing information, in order to accurately understand the situation and eliminate IUU fishing for Japanese sardine.
10. After a stock assessment for Japanese sardine has been completed, the provisions in Paragraph 1 and 3 shall be reviewed by the Commission and those provisions shall not be a precedent to hinder those Members who are not harvesting substantial amounts of Japanese sardine assessed in the Convention Area to develop their own fisheries in the Convention Area noting the Commission shall regularly review the harvests of Japanese sardine in the Convention Area by all Members.
11. This management measure shall expire and be replaced by the measure to be adopted by the Commission based on the advice and recommendations from the Scientific Committee. This management measure enters into force on 1 June 2026 and replaces CMM 2025-11 (for Japanese sardine).

**CONSERVATION AND MANAGEMENT MEASURE  
ON MINIMUM STANDARDS FOR THE  
COLLECTION, REPORTING, VERIFICATION AND EXCHANGE OF DATA**

*The North Pacific Fisheries Commission (NPFC),*

*Recalling* Article 3 (g) of the Convention on the Conservation and Management of High Seas Fisheries resources in the North Pacific Ocean (Convention) requiring to ensure that complete and accurate data concerning fishing activities, including with respect to all target and non-target species within the Convention Area, are collected and shared in a timely and appropriate manner;

*Recalling* Article 16 of the Convention on Data Collection, Compilation and Exchange;

*Mindful* that Articles 5 (j) and 6 (3d) of the Agreement for the Implementation of the Provisions of the United Nations Convention on the Law of the Sea of 10 December 1982 relating to the Conservation and Management of Straddling Fish Stocks and Highly Migratory Fish Stocks of 4 December 1995 (1995 Agreement) call for the collection and sharing, in a timely manner, of complete and accurate data concerning fishing activities, including for assessing impacts of fishing on non-target species and associated or dependent species and the ecosystem;

*Noting* the requirements in Article 7 of the 1995 Agreement, which requires that conservation and management measures established for straddling fish stocks on the high seas and those adopted for areas under national jurisdiction are compatible in order to ensure conservation and management of these fisheries resources in their entirety;

*Emphasizing* that complete and accurate data from fishing vessels is required to adequately inform stock assessment and other scientific evaluation;

*Further noting* that operational level catch and effort data provides significant value to scientific assessments;

*Recognizing the critical role of flag States in recording, maintaining and sharing accurate, complete and timely data on fishing activities in order to support monitoring, control and surveillance activities and ensure compliance with conservation and management measures;*

*Adopts* the following Conservation and Management Measure (CMM) in accordance with Article 7 of the Convention:

1. For the NPFC managed fisheries, Commission Members and Cooperating non-Contracting Parties (CNCs) shall, as appropriate, take necessary measures to ensure that vessels flying their flag and operating in the Convention area:
  - a. complete an accurate log, preferably via electronic means of every fishing day that it spends fishing for fisheries resources managed by the NPFC;

- b. include in the log the information required on incidental catch of non-target and associated or dependent species, in accordance with relevant CMMs;
  - c. enter in the log at the end of the day the main activity of the day, including for days with no catches.
- 2. Commission Members and CNCPs shall, as appropriate, ensure that data referred to in paragraph 1 are collected by their vessels in accordance with the operational characteristics of each fishing method as described in Annexes 1 to 8 as minimum standards. Commission Members and CNCPs shall make the relevant data available to the NPFC Scientific Committee for scientific purposes, in accordance with NPFC's Data Sharing and Data Security Protocol.
- 3. Commission Members and CNCPs shall require each vessel referred to in paragraph 1 to provide the information referred to in paragraph 2 to their relevant authority or designated institution in accordance with their laws and regulations.
- 4. Commission Members and CNCPs shall require each vessel referred to in paragraph 1 to keep an accurate original or copy with marked change, if any, of the required information pertaining to the current trip on board the vessel at all times during the course of a trip.
- 5. Commission Members and CNCPs fishing for fisheries resources managed by the NPFC shall ensure that for each calendar year, annual catch totals raised to live weight (the weight of the whole fish as caught, prior to any processing, i.e. before gutting, heading, or removal of other part) for all species/species groups caught in the Convention Area during that year are collated as described in Annex 8. Commission Members and CNCPs shall provide by 30th June, their previous year's (January to December) annual catch totals raised to "live" weight for all species/species groups caught.
- 6. Commission Members and CNCPs are encouraged to provide annual catch data for the NPFC priority species caught in the area under their jurisdiction adjacent to the Convention Area.
- 7. Data collected shall be stored, accessed, used and disseminated by the Secretariat of NPFC in accordance with the NPFC Data Sharing and Data Security Protocol.
- 8. The Scientific Committee and Technical and Compliance Committee will advise the Commission, if necessary, on annual basis regarding existing or emerging needs for further improving NPFC data collection, verification, reporting and exchange processes needed to strengthen the robustness of scientific assessments or to reduce uncertainties in scientific advice for NPFC stocks.
- 9. This CMM is without prejudice to existing or additional reporting requirements.
- 10. This CMM enters into force on 1 January 2027 and will be reviewed on a regular basis.

## ANNEX 1

### Minimum Standards for data to be recorded for all fishing activities regardless the fishing gear

Data to be collected on a fishing trip basis.

| #  | Variable Name                         | Description                                      | Requirement | Unit | Format / Notes                        |
|----|---------------------------------------|--------------------------------------------------|-------------|------|---------------------------------------|
| 1  | Year                                  | Calendar year of trip                            | Mandatory   | –    | Integer (e.g., 2026)                  |
| 2  | Trip number this year                 | Unique trip ID within year                       | Mandatory   | –    |                                       |
| 3  | Vessel name                           | Registered vessel name                           | Mandatory   | –    |                                       |
| 4  | Current vessel flag                   | Flag State at time of trip                       | Mandatory   | –    |                                       |
| 5  | Unique Vessel Identifier / IMO number | IMO or official UVI                              | Mandatory   | –    | Numeric (7-digit IMO) or alphanumeric |
| 6  | Registration number                   | National vessel registration number              | Optional    | –    |                                       |
| 7  | External identification               | External hull marking                            | Optional    | –    |                                       |
| 8  | International radio call sign         | IRCS                                             | Optional    | –    |                                       |
| 9  | Fishing permit/license number(s)      | Authorisation number(s)                          | Optional    | –    |                                       |
| 10 | Name of master                        | Registered name of master                        | Mandatory   | –    |                                       |
| 11 | Address of master                     | Registered address of master                     | Mandatory   | –    |                                       |
| 12 | Port of departure                     | Port where trip started                          | Mandatory   | –    | UN/LOCODE                             |
| 13 | Date of departure                     | Departure date                                   | Optional    | –    | YYYY-MM-DD (ISO 8601, UTC)            |
| 14 | Port of arrival                       | Port where trip ended                            | Optional    | –    | UN/LOCODE                             |
| 15 | Date of arrival                       | Arrival date                                     | Optional    | –    | YYYY-MM-DD (ISO 8601, UTC)            |
| 16 | Port of landing                       | Landing port (if different from port of arrival) | Optional    | –    | UN/LOCODE                             |

|    |                                         |                                               |           |                    |                            |
|----|-----------------------------------------|-----------------------------------------------|-----------|--------------------|----------------------------|
| 17 | Date of landing                         | Landing date                                  | Optional  | –                  | YYYY-MM-DD (ISO 8601, UTC) |
| 18 | Species                                 | Species caught                                | Mandatory | –                  | NPFC species list          |
| 19 | Amount of fish onboard at start of trip | “Live” weight equivalent onboard at departure | Optional  | Metric tonnes (mt) |                            |
| 20 | Amount of fish onboard after unloading  | Remaining onboard after landing               | Optional  | Metric tonnes (mt) |                            |
| 21 | Total amount of fish kept               | Total retained catch                          | Mandatory | Metric tonnes (mt) |                            |
| 22 | Total amount of fish discarded          | Total discards                                | Optional  | Metric tonnes (mt) |                            |

## ANNEX 2

### Minimum standards for data to be recorded for trawl fishing activities

Data to be collected on an un-aggregated (tow by tow) or on a daily basis.

| # | Variable Name                    | Description                           | Requirement | Unit             | Format / Notes             |
|---|----------------------------------|---------------------------------------|-------------|------------------|----------------------------|
| 1 | Date of fishing activity         | Calendar date of the fishing activity | Mandatory   | –                | YYYY-MM-DD (ISO 8601, UTC) |
| 2 | Fishing gear                     | Fishing gear used during the tow      | Optional    | –                |                            |
| 3 | Type of trawl                    | Classification of trawl gear          | Mandatory   | –                | Bottom or mid-water trawl  |
| 4 | Mesh size                        | Mesh size used in the tow             | Optional    | Millimeters (mm) |                            |
| 5 | Height of net opening            | Vertical opening of the net           | Optional    | Meters (m)       |                            |
| 6 | Width of net opening             | Horizontal opening of the net         | Optional    | Meters (m)       |                            |
| 7 | Gear depth at start of fishing   | Depth of gear at beginning of tow     | Optional    | Meters (m)       |                            |
| 8 | Bottom depth at start of fishing | Seafloor depth at tow start location  | Optional    | Meters (m)       |                            |
| 9 | Tow start date and time          | Date and time when tow began          | Optional    | –                | YYYY-MM-DD hh:mm:ss (UTC)  |

|           |                                                                                                                                                 |                                                                                            |           |                                                                                                         |                                            |
|-----------|-------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------|-----------|---------------------------------------------------------------------------------------------------------|--------------------------------------------|
| <b>10</b> | Tow end date and time                                                                                                                           | Date and time when tow ended                                                               | Optional  | –                                                                                                       | YYYY-MM-DD hh:mm:ss (UTC)                  |
| <b>11</b> | Tow start position                                                                                                                              | Starting coordinates of tow or the daily fishing activity                                  | Optional  | 1/100th degree resolution for bottom fishing, 1/10th degree resolution for pelagic trawl-decimal format | Latitude and longitude recorded separately |
| <b>12</b> | Tow end position                                                                                                                                | Ending coordinates of tow                                                                  | Optional  | 1/100th degree resolution for bottom fishing, 1/10th degree resolution for pelagic trawl-decimal format | Latitude and longitude recorded separately |
| <b>13</b> | Configuration of trawl                                                                                                                          | Configuration of trawl gear                                                                | Optional  | –                                                                                                       | Single (S), double (D) or triple (T)       |
| <b>14</b> | Intended target species                                                                                                                         | Intended target species of the tow or the daily fishing activity                           | Mandatory | –                                                                                                       | NPFC species code list                     |
| <b>15</b> | Incidental captures of species of concern (marine mammals, seabirds, reptiles or or VME indicator taxa, as defined in CMMs 2025-05 and 2025-06) | Indicator whether incidental capture occurred during the tow or the daily fishing activity | Optional  | –                                                                                                       | Yes / No / Unknown                         |
| <b>16</b> | Species                                                                                                                                         | Species caught in the tow or the daily fishing activity                                    | Mandatory |                                                                                                         | NPFC species code list                     |
| <b>17</b> | Estimated weight or numbers of catch retained                                                                                                   | Retained catch amount for the species in field 16                                          | Optional  | Number of marine mammals, seabirds, or                                                                  |                                            |

|           |                                                                                                                                                                                                                                              |                                                    |          |                                                                                                 |  |
|-----------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------|----------|-------------------------------------------------------------------------------------------------|--|
|           | on board for all species caught including target, bycatch and species of concern. (marine mammals, seabirds, reptiles or VME indicator taxa as defined in CMMs 2025-05 and 2025-06).                                                         |                                                    |          | reptiles, Metric tonnes (mt) whole or live weight of other taxa                                 |  |
| <b>18</b> | Estimation of the amount of all marine resources discarded by species, to the extent practicable, including any species of concern (marine mammals, seabirds, reptiles, or VME indicator taxa, as defined in the CMMs 2025-05 and 2025-06).) | Discarded catch amount for the species in field 16 | Optional | Number of marine mammals, seabirds, or reptiles, Metric tonnes (mt) whole weight of other taxa. |  |

### ANNEX 3

#### Minimum standards for data to be recorded for purse seine fishing activities

Data to be collected on an un-aggregated (set-by-set) or on a daily basis.

| #  | Variable Name                                                                  | Description                                                                                | Requirement | Unit                                      | Format / Notes                             |
|----|--------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------|-------------|-------------------------------------------|--------------------------------------------|
| 1  | Date of fishing activity (UTC date)                                            | Calendar date of the fishing activity                                                      | Mandatory   | –                                         | YYYY-MM-DD (ISO 8601, UTC)                 |
| 2  | Fishing gear                                                                   | Fishing gear used during the set                                                           | Optional    | –                                         | NPFC species code list                     |
| 3  | Mesh size                                                                      | Mesh size used in the gear                                                                 | Optional    | Millimeters (mm)                          |                                            |
| 4  | Net length                                                                     | Total length of net deployed                                                               | Optional    | Meters (m)                                |                                            |
| 5  | Net height                                                                     | Vertical height of net                                                                     | Optional    | Meters (m)                                |                                            |
| 6  | Total deck light power                                                         | Total deck lighting power used                                                             | Optional    | Kilowatt (kW)                             |                                            |
| 7  | Set start date and time                                                        | Date and time when gear deployment started                                                 | Optional    | –                                         | YYYY-MM-DD hh:mm:ss (UTC)                  |
| 8  | Set end date time                                                              | Date and time when gear retrieval ended                                                    | Optional    | –                                         | YYYY-MM-DD hh:mm:ss (UTC)                  |
| 9  | Set start position                                                             | Starting coordinates of the set or the daily fishing activity                              | Mandatory   | 1/10th degree resolution - decimal format | Latitude and longitude recorded separately |
| 10 | Intended target species                                                        | Target species for the set or the daily fishing activity                                   | Mandatory   | –                                         | NPFC species code list                     |
| 11 | Incidental captures of species of concern (marine mammals, seabirds, reptiles) | Indicator whether incidental capture occurred during the set or the daily fishing activity | Optional    | –                                         | Yes / No / Unknown                         |
| 12 | Species                                                                        | Species caught in the set or the daily fishing activity                                    | Mandatory   | –                                         | NPFC species code list                     |

|           |                                                                                                                                                                                       |                                                    |          |                                                                                                        |  |
|-----------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------|----------|--------------------------------------------------------------------------------------------------------|--|
| <b>13</b> | Estimated weight or numbers of catch retained on board for all species caught including target, bycatch and species of concern                                                        | Retained catch amount for the species in field 12  | Optional | Number of marine mammals, seabirds, or reptiles, Metric tonnes (mt) whole or live weight of other taxa |  |
| <b>14</b> | Estimation of the amount of all marine resources discarded by species, to the extent practicable, including any species of concern (marine mammals, seabirds or reptiles) and benthic | Discarded catch amount for the species in field 12 | Optional | Number of marine mammals, seabirds, or reptiles, Metric tonnes (mt) whole weight of other taxa         |  |

## ANNEX 4

### Minimum standards for data to be recorded for jigging fishing activities

Data to be collected on a daily basis.

| #  | Variable Name                       | Description                                                                        | Requirement | Unit                                      | Format / Notes                             |
|----|-------------------------------------|------------------------------------------------------------------------------------|-------------|-------------------------------------------|--------------------------------------------|
| 1  | Date of fishing activity (UTC date) | Calendar date of the fishing activity                                              | Mandatory   | –                                         | YYYY-MM-DD (ISO 8601, UTC)                 |
| 2  | Fishing gear                        | Fishing gear used during the operation                                             | Optional    | –                                         |                                            |
| 3  | Number of crew                      | Total crew onboard during fishing activity                                         | Optional    | Count                                     |                                            |
| 4  | Number of single jig machines       | Total number of single jig machines deployed                                       | Optional    | Count                                     |                                            |
| 5  | Number of double jig machines       | Total number of double jig machines deployed                                       | Optional    | Count                                     |                                            |
| 6  | Number of jigs per line             | Number of jigs attached per line                                                   | Optional    | Count                                     |                                            |
| 7  | Maximum Operating depth             | Maximum fishing depth during operation                                             | Optional    | Meters (m)                                |                                            |
| 8  | Total deck light power              | Total deck lighting power used                                                     | Optional    | Kilowatt (kW)                             |                                            |
| 9  | Total hours fished                  | Total duration of fishing effort                                                   | Optional    | Hours (h)                                 |                                            |
| 10 | Position at start of first drift    | Starting coordinates at the start of the first drift or the daily fishing activity | Optional    | 1/10th degree resolution – decimal format | Latitude and longitude recorded separately |
| 11 | Position at end of last drift       | Ending coordinates at the end of the last drift of the day                         | Optional    | 1/10th degree resolution – decimal format | Latitude and longitude recorded separately |
| 12 | Echo Sounder                        | Whether echo sounder equipment was used                                            | Optional    | –                                         | Yes / No                                   |
| 13 | Intended target species             | Target species for the fishing activity                                            | Optional    | –                                         | NPFC species code list                     |

|           |                                                                                                                                                                                   |                                                    |          |                                                                                                        |                        |
|-----------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------|----------|--------------------------------------------------------------------------------------------------------|------------------------|
| <b>14</b> | Incidental captures of species of concern (marine mammals, seabirds or reptiles)                                                                                                  | Indicator whether incidental capture occurred      | Optional | –                                                                                                      | Yes / No / Unknown     |
| <b>15</b> | Species                                                                                                                                                                           | Species caught in the daily fishing activity       | Optional | –                                                                                                      | NPFC species code list |
| <b>16</b> | Estimated weight or numbers of catch retained on board for all species caught including target, bycatch and species of concern (marine mammals, seabirds, or reptiles)            | Retained catch amount for the species in field 15  | Optional | Number of marine mammals, seabirds, or reptiles, Metric tonnes (mt) whole or live weight of other taxa |                        |
| <b>17</b> | Estimation of the amount of all living marine resources discarded by species, to the extent practicable, including any species of concern (marine mammals, seabirds, or reptiles) | Discarded catch amount for the species in field 15 | Optional | Number of marine mammals, seabirds, or reptiles, Metric tonnes (mt) whole weight of other taxa         |                        |

## ANNEX 5

### Minimum standards for data to be recorded for stick-held dip net fishing activities

Data to be collected on a daily basis.

| #  | Variable Name             | Description                                   | Requirement | Unit             | Format / Notes             |
|----|---------------------------|-----------------------------------------------|-------------|------------------|----------------------------|
| 1  | Date of fishing activity  | Calendar date of the fishing activity         | Mandatory   | –                | YYYY-MM-DD (ISO 8601, UTC) |
| 2  | Start of fishing activity | Start time of the fishing activity            | Optional    | -                | 00:00 UTC (ISO 8601, UTC)  |
| 3  | Fishing gear              | Fishing gear used during the fishing activity | Optional    | –                |                            |
| 4  | Number of crew            | Total crew onboard during fishing activity    | Mandatory   | Count            |                            |
| 5  | Mesh size                 | Mesh size used in the net                     | Optional    | Millimeters (mm) |                            |
| 6  | Net length                | Total length of net deployed                  | Optional    | Meters (m)       |                            |
| 7  | Maximum operating depth   | Vertical height of the net                    | Optional    | Meters (m)       |                            |
| 8  | Total deck light power    | Total deck lighting power used                | Optional    | Kilowatt (kW)    |                            |
| 9  | Total hours fished        | Total fishing effort duration                 | Optional    | Hours (h)        |                            |
| 10 | Number of hauls           | Total number of hauls                         | Optional    | –                |                            |

|           |                                                                                                                                                                           |                                                    |          |                                                                                                        |                        |
|-----------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------|----------|--------------------------------------------------------------------------------------------------------|------------------------|
| <b>11</b> | Intended target species                                                                                                                                                   | Target species of the daily fishing activity       | Optional | –                                                                                                      | NPFC species code list |
| <b>12</b> | Incidental captures of species of concern (marine mammals, seabirds, or reptiles)                                                                                         | Indicator whether incidental capture occurred      | Optional | –                                                                                                      | Yes / No / Unknown     |
| <b>13</b> | Species                                                                                                                                                                   | Species caught in the daily fishing activity       | Optional | –                                                                                                      | NPFC species code list |
| <b>14</b> | Estimated weight or numbers of catch retained on board for all species caught including target, bycatch and species of concern                                            | Retained catch amount for the species in field 13  | Optional | Number of marine mammals, seabirds, or reptiles, Metric tonnes (mt) whole or live weight of other taxa |                        |
| <b>15</b> | Estimation of the amount of all marine resources discarded by species, to the extent practicable, including any species of concern (marine mammals, seabirds or reptiles) | Discarded catch amount for the species in field 13 | Optional | Number of marine mammals, seabirds, or reptiles, Metric tonnes (mt) whole weight of other taxa         |                        |

## ANNEX 6

### Minimum standards for data to be recorded for bottom gillnets fishing activities

Data to be collected on an un-aggregated (set by set) basis.

| # | Variable Name                        | Description                               | Requirement | Unit             | Format / Notes                                              |
|---|--------------------------------------|-------------------------------------------|-------------|------------------|-------------------------------------------------------------|
| 1 | Date of fishing activity             | Calendar date of the fishing activity     | Mandatory   | –                | YYYY-MM-DD (ISO 8601, UTC)                                  |
| 2 | Fishing gear                         | Fishing gear used during the operation    | Mandatory   | –                | NPFC gear code list                                         |
| 3 | Total net length                     | Total length of net deployed              | Optional    | Meters (m)       |                                                             |
| 4 | Total length of net lost             | Total length of net lost during operation | Optional    | Meters (m)       |                                                             |
| 5 | Net height                           | Vertical height of the net                | Optional    | Meters (m)m      |                                                             |
| 6 | Mesh size                            | Mesh size used in the net                 | Mandatory   | Millimeters (mm) |                                                             |
| 7 | Minimum and maximum depth of the set | Depth range of gear during set            | Optional    | Meters (m)       | Record as two numeric fields (minimum depth, maximum depth) |
| 8 | Set start date and time              | Date and time when haul began             | Mandatory   | –                | YYYY-MM-DD hh:mm:ss (UTC)                                   |
| 9 | Set end date and time                | Date and time when haul ended             | Mandatory   | –                | YYYY-MM-DD hh:mm:ss (UTC)                                   |

|                |                                                                                                                                                |                                                   |           |                                                                                    |                                                    |
|----------------|------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------|-----------|------------------------------------------------------------------------------------|----------------------------------------------------|
| <b>1<br/>0</b> | Set start position                                                                                                                             | Starting coordinates of the haul                  | Mandatory | 1/100th degree resolution – decimal format                                         | Latitude and longitude recorded separately ; WGS84 |
| <b>1<br/>1</b> | Set end position                                                                                                                               | Ending coordinates of the haul                    | Mandatory | 1/100th degree resolution – decimal format                                         | Latitude and longitude recorded separately ; WGS84 |
| <b>1<br/>2</b> | Intended target species                                                                                                                        | Target species for the fishing operation          | Optional  | –                                                                                  | NPFC species code list                             |
| <b>1<br/>3</b> | Incidental captures of species of concern (marine mammals, seabirds, reptiles or VME indicator species as defined in CMMs 2025-05 and 2025-06) | Indicator whether incidental capture occurred     | Mandatory | –                                                                                  | Yes / No / Unknown                                 |
| <b>1<br/>4</b> | Species                                                                                                                                        | Species caught in the haul                        | Mandatory | –                                                                                  | NPFC species code list                             |
| <b>1<br/>5</b> | Estimated numbers or weight of catch retained on board for all species caught including target, bycatch and species of                         | Retained catch amount for the species in field 14 | Mandatory | Number of marine mammals , seabirds, or reptiles, Metric tonnes (mt) whole or live |                                                    |

|                |                                                                                                                                                                                                                                                                                                                     |                                                                |          |                                                                                                                                  |  |
|----------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------|----------|----------------------------------------------------------------------------------------------------------------------------------|--|
|                | concern<br>(marine<br>mammals,<br>seabirds,<br>reptiles or<br>VME<br>indicator<br>species as<br>defined in<br>CMMs 2025-<br>05 and 2025-<br>06)                                                                                                                                                                     |                                                                |          | weight of<br>other taxa                                                                                                          |  |
| <b>1<br/>6</b> | Estimation of<br>the amount of<br>all living<br>marine<br>resources<br>discarded by<br>species, to the<br>extent<br>practicable,<br>including any<br>species of<br>concern<br>(marine<br>mammals,<br>seabirds,<br>reptiles, or<br>VME<br>indicator<br>species as<br>defined in<br>CMMs 2025-<br>05 and 2025-<br>06) | Discarded<br>catch amount<br>for the<br>species in<br>field 14 | Optional | Number<br>of marine<br>mammals<br>, seabirds,<br>or<br>reptiles,<br>Metric<br>tonnes<br>(mt)<br>whole<br>weight of<br>other taxa |  |

## ANNEX 7

### Minimum standards for data to be recorded for bottom traps

| #  | Variable Name             | Description                                                 | Requirement | Unit                                       | Format / Notes                                    |
|----|---------------------------|-------------------------------------------------------------|-------------|--------------------------------------------|---------------------------------------------------|
| 1  | Date of fishing activity  | Calendar date of the fishing activity                       | Mandatory   | –                                          | YYYY-MM-DD (ISO 8601, UTC)                        |
| 2  | Fishing gear              | Fishing gear used during the operation                      | Mandatory   | –                                          |                                                   |
| 3  | Number of traps deployed  | Total number of traps set                                   | Mandatory   | Meters (m)                                 |                                                   |
| 4  | Number of traps retrieved | Total number of traps hauled                                | Mandatory   | Meters (m)                                 |                                                   |
| 5  | Trap type                 | Type/Design of trap                                         | Mandatory   | Meters (m)                                 | Text (e.g., conical, rectangular, collapsible)    |
| 6  | Trap dimensions           | Length × width × height of trap                             | Mandatory   | Meters (m)                                 |                                                   |
| 7  | Total trap set length     | The total horizontal length of the trap line on the seabed. | Mandatory   | Meters (m)                                 |                                                   |
| 8  | Bait type                 | Type of bait used                                           | Mandatory   | –                                          |                                                   |
| 9  | Deployment date and time  | Date and time traps were set                                | Mandatory   | –                                          | YYYY-MM-DD hh:mm:ss (UTC)                         |
| 10 | Retrieval date and time   | Date and time traps were hauled                             | Mandatory   | –                                          | YYYY-MM-DD hh:mm:ss (UTC)                         |
| 11 | Deployment position       | Coordinates where traps were deployed                       | Mandatory   | 1/100th degree resolution – decimal format | Latitude and longitude recorded separately; WGS84 |
| 12 | Retrieval position        | Coordinates where traps were retrieved                      | Mandatory   | 1/100th degree resolution – decimal format | Latitude and longitude recorded separately; WGS84 |
| 13 | Intended target           | Target species for                                          | Mandatory   | –                                          | NPFC FAO                                          |

|           | species                                                                                                                                                                                                                                            | the fishing operation                              |           |                                                                                                        | code list              |
|-----------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------|-----------|--------------------------------------------------------------------------------------------------------|------------------------|
| <b>14</b> | Incidental captures of species of concern (marine mammals, seabirds, reptiles, or VME indicator species as defined in CMMs 2025-05 and 2025-06)                                                                                                    | Indicator whether incidental capture occurred      | Mandatory | –                                                                                                      | Yes / No / Unknown     |
| <b>15</b> | Species                                                                                                                                                                                                                                            | Species caught                                     | Mandatory | –                                                                                                      | NPFC species code list |
| <b>16</b> | Estimated numbers or weight of catch retained on board for all species caught including target, bycatch and species of concern (marine mammals, seabirds, reptiles, benthic taxa, or VME indicator species as defined in CMMs 2025-05 and 2025-06) | Retained catch amount for the species in field 15  | Mandatory | Number of marine mammals, seabirds, or reptiles, Metric tonnes (mt) whole or live weight of other taxa |                        |
| <b>17</b> | Estimation of the amount of all living marine resources discarded by species, to the extent practicable, including any species of concern (marine mammals, seabirds, reptiles or VME indicator species as defined in CMMs 2025-05 and 2025-06)     | Discarded catch amount for the species in field 15 | Mandatory | Number of marine mammals, seabirds, or reptiles, Metric tonnes (mt) whole weight of other taxa         |                        |

## ANNEX 8

### Minimum standards for Annual Catch Data reporting

Annual catch summaries should list all NPFC species caught in the Convention Area during the Calendar year.

For a calendar year and for each distinct combination of Sea Type, FAO statistical area, and priority species (FAO 3-alpha code) provide the following data:

| # | Variable Name                                     | Description                                                                                                                                    | Requirement | Unit               | Format / Notes                                               |
|---|---------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------|-------------|--------------------|--------------------------------------------------------------|
| 1 | Calendar year                                     | Reporting year                                                                                                                                 | Mandatory   | –                  | YYYY (e.g., 2026)                                            |
| 2 | Sea Type                                          | Maritime jurisdiction of fishing activity                                                                                                      | Mandatory   | –                  | Either “HS” – High Seas - or “EEZ” – Exclusive Economic Zone |
| 3 | FAO Statistical Area                              | FAO major fishing area code                                                                                                                    | Optional    | –                  | Numeric or standard FAO code (e.g., 27, 61)                  |
| 4 | Species                                           | Species reported                                                                                                                               | Mandatory   | –                  | NPFC species code list                                       |
| 5 | Fishing gear                                      | Fishing gear classification                                                                                                                    | Mandatory   | –                  | NPFC gear code list                                          |
| 6 | Annual catch total tonnes raised to “live” weight | Total annual catch converted to live weight equivalent                                                                                         | Mandatory   | Metric tonnes (mt) |                                                              |
| 7 | Number of vessels                                 | Total distinct vessels participating in fishery                                                                                                | Mandatory   | Count              |                                                              |
| 8 | Number of active days fished                      | Total fishing days<br>(Total time spent actively fishing (excludes transit, searching, or any other non-fishing activities) expressed in days) | Mandatory   | Days               |                                                              |

**CONSERVATION AND MANAGEMENT MEASURE ON MINIMUM STANDARDS  
FOR PORT STATE MEASURES**

*The North Pacific Fisheries Commission (NPFC),*

*Deeply concerned* about illegal, unreported and unregulated (IUU) fishing in the NPFC Convention Area and its detrimental effect upon fish stocks, marine ecosystems and the livelihoods of legitimate fishers;

*Conscious* of the role of the port State in the adoption of effective measures to promote the sustainable use and the long-term conservation of living marine resources;

*Recognising* that measures to combat IUU fishing should build on the primary responsibility of flag States and use all available jurisdiction in accordance with international law, including port State measures, coastal State measures, market related measures and measures to ensure that nationals do not support or engage in IUU fishing;

*Recognising* that port State measures provide a powerful and cost-effective means of preventing, deterring and eliminating IUU fishing;

*Aware* of the need for increasing coordination at the regional and interregional levels to combat IUU fishing through port State measures;

*Acknowledging* the rapidly developing communications technologies, databases, networks and global records that support port State measures;

*Bearing in mind* that, in the exercise of their sovereignty over ports located in their territory, Members and Cooperating non-Contracting Parties (CNCs) may adopt more stringent measures, in accordance international law;

*Recalling* the relevant provisions of the *United Nations Convention on the Law of the Sea of 10 December 1982*;

*Recalling* the Agreement for the Implementation of the Provisions of the United Nations Convention on the Law of the Sea of 10 December 1982 relating to the Conservation and Management of Straddling Fish Stocks and Highly Migratory Fish Stocks of 4 December 1995, the Agreement to Promote Compliance with International Conservation and Management Measures by Fishing Vessels on the High Seas of 24 November 1993, and the 1995 FAO Code of Conduct for Responsible Fisheries;

*Recalling* Article 7(2)(d) of the NPFC Convention which calls on the Commission to develop mechanisms to prevent, deter and eliminate IUU fishing, as well as Article 14(2)(a) requiring Contracting Parties to give effect of port state measures adopted by the Commission;

*Recalling* the 2009 FAO Port State Measures Agreement (PSMA);

*Recalling* the recommendation of the NPFC Performance Review Panel that the Commission adopt, as a matter of priority, a conservation and management measure specifying minimum standards for port inspections consistent with the PSMA;

*Adopts* the following conservation and management measure (CMM), in accordance with Articles 7(2)(d) and 14 of the Convention:

### **Scope and Application**

1. In view to preventing, deterring and eliminating IUU fishing, as well as reinforcing the effective monitoring of compliance with NPFC CMMs, each Member and cooperating non-Contracting Party (CNCP) shall maintain through the implementation of this CMM an effective system of inspections in its ports with respect to vessels not entitled to fly their flags carrying fisheries resources managed by NPFC caught in the NPFC Convention Area and/or fish products originating from such species caught in the Convention Area that have not been previously landed. For the purposes of this measure, these vessels are hereinafter referred to as "foreign fishing vessels".
2. Each Member and CNCP may decide not to apply this CMM to:
  - a) foreign fishing vessels chartered by its nationals exclusively for fishing in areas under its jurisdiction and operating under its authority therein. Such chartered fishing vessels shall be subject to measures by that Member or CNCP which are as effective as measures applied in relation to vessels entitled to fly its flag
  - b) vessels of a neighbouring State that are engaged in artisanal fishing for subsistence, provided that the port State and the flag State cooperate to ensure that such vessels do not engage in IUU fishing or fishing related activities in support of such fishing;
  - c) container vessels that are not carrying fish or, if carrying fish, only fish that have been previously landed, provided that there are no clear grounds for suspecting that such vessels have engaged in fishing related activities in support of IUU fishing.

### **Integration and Coordination at the National Level**

3. Each Member and CNCP should, to the greatest extent possible:
  - a) integrate or coordinate fisheries related port State measures with the broader system of port State controls;
  - b) integrate port State measures with other measures to prevent, deter, and eliminate IUU fishing and fishing related activities in support of such fishing taking into account, as appropriate, the 2001 FAO International Plan of Action to Prevent, Deter, and Eliminate Illegal, Unreported, and Unregulated Fishing;
  - c) take measures to exchange information among relevant Members and CNCPs agencies and to coordinate the activities of such agencies in the implementation of this Agreement.

## **Contact Points**

### **4. Each Member and CNCP shall:**

- a) designate a contact point for the purposes of receiving notifications pursuant to paragraph 9 of this CMM;
- b) designate a contact point for the purpose of receiving inspection reports pursuant to paragraph 23.i) of this CMM.
- c) if the contact points are not recorded in the FAO Global Information Exchange System (GIES), transmit the name and contact information for its contact points to the NPFC Secretariat no later than 30 days following the entry into force of this CMM. For any subsequent changes, each Member and CNCP shall notify the NPFC Secretariat at least 7 days before such changes take effect.

### **5. The NPFC Secretariat shall establish and maintain a record of contact points based on the lists submitted by the Members and CNCPs and the information collected through the GIES in line with paragraph 36. The record and any subsequent changes shall be published promptly on the NPFC website.**

## **Designated Ports**

- 6. Each Member and CNCP shall designate its ports to which foreign fishing vessels may request entry pursuant to this CMM, no later than 30 days following the entry into force of this CMM either through the GIES or by notification to the Secretariat. If a Member or CNCP cannot designate its ports by the deadline, that Member shall inform the Commission of the reason and the timeframe by which the designation will be completed. Any subsequent changes to port designations shall be reflected in the GIES or the Member or CNCP shall notify the NPFC Secretariat of such a change at least 30 days before the change takes place.
- 7. Each Member and CNCP shall ensure that it has sufficient capacity to conduct inspections in every designated port pursuant to this CMM.
- 8. The NPFC Secretariat shall establish and maintain a record of designated ports based on the lists submitted by the Members and CNCPs and the information of designated ports collected through the GIES in line with paragraph 36. The Secretariat shall promptly publish the record and any subsequent changes to the NPFC website.

## **Advance Request for Port Entry**

- 9. Each Member and CNCP shall require foreign fishing vessels seeking to use its ports for any purpose, to submit the information requested in Annex A, as a minimum standard. This information shall be provided to its contact point indicated on the record of contact points established under paragraph 5 of this CMM, at least 48 hours before the estimated time of arrival of the foreign fishing vessel at the port.

10. Each Member and CNCP may also request additional information as it may require to determine whether the foreign fishing vessel seeking to use its ports has engaged in IUU fishing, or related activities.

### **Port Entry, Authorization or Denial**

11. After receiving the relevant information pursuant to paragraphs 9 and 10 of this CMM, the Member or CNCP shall decide whether to authorize or deny the entry of the vessel into its port and shall communicate this decision to the fishing vessel or its representative as soon as possible.
12. Notwithstanding paragraph 11 of this CMM, when a Member or a CNCP has sufficient proof that a foreign fishing vessel seeking entry into its port has engaged in IUU fishing, fishing related activities in support of such fishing, or is identified on the NPFC IUU vessel list or that of another regional fisheries management organization (RFMO), the Member or the CNCP shall deny that foreign fishing vessel entry into its ports.
13. In the case of denial of entry, the Member or CNCP shall communicate its decision taken to the contact point of the flag State of the foreign fishing vessel and upload the decision to the GIES (including notification to the Secretariat via the GIES), or transmit it directly to the NPFC Secretariat, who shall promptly post this information on the NPFC members only secure website. The Member or CNCP shall also communicate its decision, as appropriate and to the extent possible, to relevant coastal States, regional fisheries management organizations and other relevant international organizations.
14. Notwithstanding paragraphs 12 and 13 of this CMM, a Member or CNCP may allow the entry into its ports of a foreign fishing vessel referred to in those paragraphs exclusively for the purpose of inspecting it and taking other appropriate actions in conformity with international law which are at least as effective as denial of port entry in preventing, deterring and eliminating IUU fishing and fishing related activities in support of such fishing.

### **Use of Ports**

15. Where a foreign fishing vessel has entered one of its ports, a Member or CNCP shall deny, pursuant to its domestic laws and regulations and consistent with international law, that foreign fishing vessel the use of the port for landing, transshipping, packaging and processing of fishery resources that have not been previously landed, and the use of other port services, including, *inter alia*, refueling and resupplying, maintenance and dry-docking, if:
  - a) the Member or CNCP finds that the foreign fishing vessel does not have a valid and applicable authorization to engage in fishing or fishing related activities required by its flag State;
  - b) the flag State of the foreign fishing vessel does not confirm within a reasonable period of time, on the request of the port State, that the fishery resources on board were taken in accordance with the NPFC CMMs;

- c) the Member or CNCP receives clear evidence that the fisheries resources on board were taken in contravention of NPFC conservation and management measures; or
  - d) the Member or CNCP has reasonable grounds to believe that the foreign fishing vessel has otherwise engaged in IUU fishing or fishing related activities in support of such fishing as described in paragraph 12.
16. In the case of denial of port services, the Member or CNCP shall communicate its decision taken to the contact point of the flag State of the foreign fishing vessel and to the NPFC Secretariat, who shall promptly post this information on the NPFC Members-only secure website. The Member or CNCP should also communicate its decision, as appropriate, to relevant Coastal States, RFMOs, and other relevant international organizations.
17. A Member or CNCP shall withdraw its denial of the use of its ports referred to in paragraph 15 of this CMM, only if there is sufficient proof that the grounds on which use was denied were inadequate or erroneous or that such grounds no longer apply.
18. Where a Member or CNCP has withdrawn its denial pursuant to paragraph 17, it shall notify those to whom a notification was issued pursuant to paragraph 16.

### **Force majeure**

19. Nothing in this CMM affects the entry of foreign fishing vessels to port in accordance with international law for reasons of force majeure or distress, or prevents a Member or CNCP from permitting entry into port to a foreign fishing vessel exclusively for the purpose of rendering assistance to persons or ships in danger or distress.

### **Port Inspections**

20. Members or CNCPs shall ensure that inspections are carried out by the competent authority of the port Members or CNCPs.
21. Each year Members and CNCPs shall inspect at least 5% of landing and transshipment operations in their designated ports made by foreign fishing vessels providing notice under paragraph 9.
22. In determining which foreign fishing vessels to inspect, Members and CNCPs shall, in accordance with their domestic laws and regulations, give priority to:
- a) requests from other Members, CNCPs, or relevant RFMOs that a particular foreign fishing vessel be inspected, particularly where such requests are supported by evidence of IUU fishing or fishing related activities in support of such fishing by the foreign fishing vessel in question;
  - b) foreign fishing vessels that have failed to provide complete information as required in paragraph 9 and/or 10 of this CMM;
  - c) foreign fishing vessels that have been denied entry or use of a port in accordance with this CMM or another RFMOs provisions; or

- d) other foreign fishing vessels for which there are clear grounds for suspecting that they have engaged in IUU fishing activities or related fishing activities in support of such fishing.

### **Inspection Procedure**

23. Each Member and CNCP shall, in carrying out inspections of any foreign fishing vessel in its ports:

- a) ensure that inspections of any foreign fishing vessels are carried out by inspectors duly authorised, trained and familiar with the Convention and relevant CMMs adopted by the Commission, in accordance with Annex B.
- b) ensure that its inspectors carry an approved identity card issued by the Member or CNCP that identifies the inspector as authorised to carry out the inspection.
- c) ensure that as a minimum standard its inspectors carry out the functions listed in Annex C of this CMM.
- d) ensure that inspectors examine all relevant areas of the foreign fishing vessel, its license, gear, equipment, records (both physical and electronic), facilities, fish and fish products and any documents necessary to verify compliance with the Convention and CMMs in force. Inspectors may take copies (physical or electronic) of any documents considered relevant, and they may also question the master and any other person on the foreign fishing vessel being inspected.
- e) ensure that, in case the vessel is landing or transshipping NPFC species caught in the Convention Area, inspections involve the monitoring of the landing or transshipment and include a cross-check between any transshipment declarations, the estimated quantities by species notified in the prior notification message in paragraph 9 of this CMM above and those held on board the foreign fishing vessel.
- f) ensure that all efforts are made, to the extent possible, to avoid unduly delaying the foreign fishing vessel to minimize interference and inconvenience, and that degradation of the quality of the catch is avoided to the extent practicable.
- g) ensure that inspections are carried out thoroughly and in an expeditious manner, carried out in accordance with international law, and to the extent possible, conducted within 72 hours of port entry, but not later than 5 working days.<sup>1</sup>
- h) ensure that, on completion of the inspection, the port State inspector shall provide the master of the foreign fishing vessel a copy of the inspection report containing the findings of the inspection, to be signed by the inspector and the master. The master's signature shall serve only as acknowledgement of the receipt of a copy of the report. The master shall be given the opportunity to add any comments or objection to the report, and to contact the competent authority of the flag Member or CNCP.

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<sup>1</sup> For the purpose of this paragraph, the time of port entry triggering the timeframe for conducting a port inspection is the time at which the vessel has berthed in port and is physically available for the inspection.

- i) transmit a copy of the inspection report to the contact point of the flag State of the inspected foreign fishing vessel and upload the report to the GIES (including notification to the Secretariat via the GIES) or transmit it directly to the NPFC Secretariat no later than 15 working days following the date of completion of the inspection, using the information in the Port Inspection Report in Annex D. If the inspection report cannot be transmitted within 15 working days, the port State shall notify the flag State and the NPFC Secretariat, prior to the end of the 15 working day time period, the reasons for the delay and when the report will be submitted.
  - j) make all possible efforts to facilitate communication with the master or senior crew members of the foreign fishing vessel, including where possible and where needed that the inspector is accompanied by an interpreter.
  - k) ensure that inspections are conducted in a fair, transparent and non-discriminatory manner, would not constitute harassment of any foreign fishing vessel and shall not interfere with the master's ability, in conformity with international law, to communicate with the authorities of the flag State.
24. Each port Member or CNCP may in accordance with its domestic laws and regulations, invite officials (such as inspectors) from the flag Member or CNCP to observe or take part in the inspection of a foreign fishing vessel of that flag based on appropriate agreements or arrangements.

#### **Procedure in the Event of Potential Violations**

25. If the information collected during the inspection provides evidence that a foreign fishing vessel has violated one or more NPFC CMMs, the Member or CNCP shall ensure that the inspector:
- a) records the alleged violation in the inspection report;
  - b) transmits the inspection report and the evidence collected to the port State competent authorities, who shall transmit the report to the NPFC Secretariat in accordance with paragraph 23(i); and
  - c) to the extent practicable, ensures safekeeping of the evidence pertaining to such alleged violation.
26. If an inspection report provides evidence that a foreign fishing vessel has committed a violation of a NPFC CMM, upon receiving the copy of the inspection report, the flag Member or CNCP shall promptly investigate the potential violation and shall, upon sufficient evidence, take enforcement action without delay in accordance with its domestic laws and regulations and Article 17 of the NPFC Convention.
27. Flag Members or CNCPs shall notify the port State and the NPFC Secretariat of the status of the investigation and of any enforcement action that may have been taken, within 90 days of receipt of an inspection report notifying the flag Member or CNCP of a potential violation. If the flag Member or CNCP cannot provide the NPFC Secretariat this status report within 90 days of such receipt, the flag Member or CNCP should notify the NPFC Secretariat within the 90-day time period the reasons for the delay and when the status report will be submitted.

28. The Secretariat shall promptly circulate the information received under paragraph 27 to Members, and shall present an annual summary of the status of all investigations to the Technical Compliance Committee (TCC).
29. Members and CNCPs shall collaborate, in accordance with their domestic laws and regulations, in order to facilitate judicial or other proceedings arising from inspection reports as set out in this CMM.

### **Role of Members and CNCPs in relation to vessels flying their flags**

30. Flag Members and CNCPs shall require their fishing vessels to cooperate with port State inspections carried out pursuant to this CMM and shall take necessary action to ensure that masters facilitate safe access to their fishing vessels, facilitate the inspection and communication and not obstruct, intimidate or interfere, or cause other persons to obstruct, intimidate or interfere with port State inspectors in the execution of their duties.
31. When a flag Member or CNCP has clear grounds to believe that one of its fishing vessels has engaged in IUU fishing or related activities in support of such fishing, and is seeking entry to or is in another Member's or CNCP's port, it shall, as appropriate, request that the Member or CNCP inspect the vessel or take other adequate measures.
32. Flag Members and CNCPs shall provide a report to each ordinary meeting of the TCC on any actions taken pursuant to paragraph 26.

### **General Provisions**

33. Nothing in this CMM shall prejudice the rights, jurisdiction and duties of Members and CNCPs under international law. In particular, nothing in this CMM shall be construed to affect:
  - a) the sovereignty of Members or CNCPs over their internal, archipelagic, and territorial waters, or their sovereign rights over their continental shelves and/or exclusive economic zones;
  - b) the exercise by Members and CNCPs of their authority over their ports in accordance with international law, including their right to deny entry thereto as well as to adopt more stringent measures than those provided for in this CMM.
34. In the implementation of this CMM and in accordance with the Commission's Data Sharing and Data Security Protocol, and with their own domestic laws and regulations, Members and CNCPs shall cooperate and exchange information with the NPFC Secretariat, relevant States, international organisations, RFMOs and other entities, including, as appropriate, by:
  - a) Requesting information from, and providing information to, relevant information systems; and
  - b) Requesting and providing cooperation to promote the effective implementation of this recommendation.

35. In order to facilitate the implementation of this CMM, Members and CNCPs shall, as appropriate, ensure that national information systems allow for the direct electronic exchange of information on port State measures between them and with the NPFC Secretariat, with due regard to appropriate confidentiality requirements.
36. Members and CNCPs may choose to use the GIES for the requirements under paragraphs 4(a), 4(b), 6, 13 and 23(i). These Members and CNCPs shall notify this choice to the NPFC Secretariat before the entry into force of this CMM. The NPFC Secretariat shall contact the GIES to collect this information and post it as indicated in the paragraphs referenced above. Members and CNCPs are encouraged to use the GIES to facilitate the information exchange requirements set out in this measure. If a Member or CNCP chooses to use the GIES after entry into force of this CMM, that Member or CNCP shall notify the NPFC Secretariat of its intent and the date it plans to start using the GIES.
37. Members and CNCPs are encouraged to enter into bilateral agreements/arrangements that allow for joint inspections and an inspector exchange programme designed to promote cooperation, share information, and educate each participating Member's or CNCP's inspectors on inspection strategies and methodologies which promote compliance with NPFC CMMs.
38. The NPFC Secretariat will report annually on the implementation of this CMM.
39. This CMM shall enter into force on 1 April 2028.
40. Notwithstanding paragraph 39, a Member or CNCP may implement this CMM before the entry into force on a voluntary basis.

## ANNEX A

### INFORMATION TO BE PROVIDED IN NOTIFICATIONS BY FOREIGN VESSELS REQUESTING PORT ENTRY

#### INSTRUCTIONS

As per paragraph 9 of the CMM on Port State Measures, each Member or CNCP shall require foreign fishing vessels seeking to use its ports for any purposes, to submit the information requested in this Annex as a minimum standard. The foreign fishing vessel shall provide this information to the contact point indicated on the record of contact points established under paragraph 5 of this CMM, at least 48 hours before the estimated time of arrival of the foreign fishing vessel at the port. In completing the advance notification, the fishing vessel shall ensure that:

1. the information is as **accurate** as possible, and legible; and
2. the information is provided in **clear, legible print** in accordance with the clarifications below.

#### CLARIFICATIONS

To assist in the accurate and clear completion of this notification:

- Throughout the form:
  - For “Validity,” state the date by which the relevant fishing authorization will expire (date format: YYYY-MM-DD). ;
  - For “Species,” utilize the FAO 3-alpha codes found at [www.npfc.int/priority-species](http://www.npfc.int/priority-species), or Fisheries and Aquaculture - All Information Collections - ASFIS List of Species for Fishery Statistics Purposes ([fao.org](http://fao.org));
    - all species, including bycatch, must be recorded by species, using their specific FAO code.
    - the Codes for major NPFC species are; SAP (Pacific saury), MAS (chub mackerel), MAA (blue mackerel), JAP (Japanese sardine), OFJ (neon flying squid) and SQJ (Japanese flying squid).
  - For “Quantity,” state the number and type of units,
  - For “Catch area,” state “National waters” or the “NPFC Convention Area”
- For question 3, use the YYYY-MM-DD format to specify the date (e.g. 2022-11-02) and the HH:MM format, and the 24-hour clock (UTC, or specify time zone) to specify the time (e.g. 23:15);
- For question 15, for “RFMO ID,” state the NPFC Vessel Registry Number; For question 20, for “Identifier,” include all NPFC Transshipment Event IDs;
- For question 21, ““donor vessels,” is synonymous with “offloading vessels;”

**INFORMATION TO BE PROVIDED IN ADVANCE NOTIFICATIONS BY  
FOREIGN VESSELS REQUESTING PORT ENTRY**

**[format indicative]**

|                                        |    |               |  |           |  |       |  |
|----------------------------------------|----|---------------|--|-----------|--|-------|--|
| 1. Intended port of call               |    |               |  |           |  |       |  |
| 2. Port State                          |    |               |  |           |  |       |  |
| 3. Estimated date and time of arrival  |    |               |  |           |  |       |  |
| 4. Purpose(s)                          |    |               |  |           |  |       |  |
| 5. Port and date of last port call     |    |               |  |           |  |       |  |
| 6. Name of the vessel                  |    |               |  |           |  |       |  |
| 7. Flag State                          |    |               |  |           |  |       |  |
| 8. Type of vessel                      |    |               |  |           |  |       |  |
| 9. International Radio Call Sign       |    |               |  |           |  |       |  |
| 10. Vessel contact information         |    |               |  |           |  |       |  |
| 11. Vessel owner(s)                    |    |               |  |           |  |       |  |
| 12. Certificate of registry ID         |    |               |  |           |  |       |  |
| 13. IMO ship ID, if available          |    |               |  |           |  |       |  |
| 14. External ID, if available          |    |               |  |           |  |       |  |
| 15. NPFC ID, if applicable             |    |               |  |           |  |       |  |
| 16. VMS                                | No | Yes: National |  | Yes: NPFC |  | Type: |  |
| 17. Vessel dimensions                  |    | Length        |  | Beam      |  | Draft |  |
| 18. Vessel master name and nationality |    |               |  |           |  |       |  |

## **ANNEX B**

### **GUIDELINES FOR INSPECTORS' TRAINING**

Training programs for port State inspectors should include at least the following elements:

1. Ethics;
2. Health, safety and security issues;
3. Applicable domestic laws and regulations, area of competence and conservation and management measures of NPFC, and applicable international law;
4. Collection, evaluation and preservation of evidence;
5. General inspection procedures, including reporting and interview techniques;
6. Analysis of information sources, such as logbooks, electronic documentation and vessel history (name, ownership and flag State), required for the validation of information provided by the master of the fishing vessel inspected;
7. Fishing vessel boarding and inspection, including hold inspections and calculation of vessel hold volumes;
8. Verification and validation of information related to landings, transshipments, processing and fishery resources remaining onboard, including utilizing conversion factors for the various species and products;
9. Identification of fish species, and the measurement of length and other biological parameters;
10. Identification of vessels and gear, and techniques for the inspection and measurement of gear;
11. Equipment and operation of VMS and other electronic tracking systems; and
12. Actions to be taken following an inspection.

## ANNEX C

### PORT STATE INSPECTION PROCEDURES

During port inspections inspectors shall:

- a) verify, to the extent possible, that the vessel identification documentation onboard and information relating to the owner of the vessel is true, complete and correct, including through appropriate contacts with the flag State or international records of vessels if necessary;
- b) verify that the vessel's flag and markings (e.g. name, external registration number, International Maritime Organization (IMO) ship identification number, international radio call sign and other markings, main dimensions) are consistent with information contained in the documentation;
- c) verify, to the extent possible, that the authorizations for fishing and fishing related activities are true, complete, correct and consistent with the information provided in accordance with Annex A;
- d) review all other relevant documentation and records held onboard, including, to the extent possible, those in electronic format and vessel monitoring system (VMS) data from the flag State, NPFC and where relevant other RFMOs. Relevant documentation may include logbooks, catch, transshipment and trade documents, crew lists, stowage plans and drawings, descriptions of holds, and documents required pursuant to the Convention on International Trade in Endangered Species of Wild Fauna and Flora;
- e) examine, to the extent possible, all relevant areas, fishing gear onboard, including any gear stowed out of sight as well as related devices, and to the extent possible, verify that they are in conformity with the conditions of the authorisations. The fishing gear shall, to the extent possible, also be checked to ensure that features such as the mesh and twine size, devices and attachments, dimensions and configuration of nets, pots, dredges, hook sizes and numbers are in conformity with applicable regulations and that the markings correspond to those authorised for the vessel;
- f) determine, to the extent possible, whether the fishery resources on board were harvested in accordance with the applicable authorisations;
- g) examine the fishery resources, including by sampling, to determine their quantity and composition. In doing so, inspectors may open containers where the fishery resources have been pre-packed and move the catch or containers to ascertain the integrity of holds. Such examination may include inspections of product type and determination of nominal weight;
- h) evaluate whether there is evidence of any potential contraventions of the NPFC Convention or CMMs
- i) provide the master of the vessel with the report containing the result of the inspection, including possible measures that could be taken, to be signed by the inspector and the master. The master's signature on the report shall serve only as acknowledgment of the receipt of a copy of the report. The master shall be given the opportunity to add any comments or objection to the report, and, as appropriate, to contact the relevant authorities of the flag State in particular where the master has serious difficulties in understanding the content of the report. A copy of the report shall be provided to the master;

- j) transmit the inspection report and the evidence collected to the port State competent authorities as per paragraph 23.i) and 25.b of the CMM; and
- k) arrange, where necessary and possible, for translation of relevant documentation.

## ANNEX D

### TEMPLATE FOR PORT INSPECTION REPORTS

#### **INSTRUCTIONS**

As per paragraph 23(i) of the CMM on Port State Measures, each Member and CNCP conducting a port inspection of a foreign vessel shall transmit a copy of the inspection report to the contact point of the flag State of the inspected foreign fishing vessel and upload the report to the GIES with notification to the NPFC Secretariat, or transmit it directly to the NPFC Secretariat, no later than 15 working days following the date of completion of the inspection. If the inspection report cannot be transmitted within 15 working days, the port State shall notify the flag State and the NPFC Secretariat, prior to the end of the 15 working day time period, the reasons for the delay and when the report will be submitted. In completing the inspection report, the port State shall ensure that:

1. the information is as **accurate** as possible, and legible; and
2. the information is provided in **clear, legible print** in accordance with the clarifications below.

#### **CLARIFICATIONS**

To assist in the accurate and clear completion of this notification:

- Throughout the form:
  - For “Validity,” state the date by which the relevant fishing authorization will expire (date format: YYYY-MM-DD);
  - For “Species,” utilize the FAO 3-alpha codes found at [www.npfc.int/priority-species](http://www.npfc.int/priority-species), or Fisheries and Aquaculture - All Information Collections - ASFIS List of Species for Fishery Statistics Purposes ([fao.org](http://fao.org));
    - all species, including bycatch, must be recorded by species, using their specific FAO code.
    - the Codes for major NPFC species are; SAP (Pacific saury), MAS (chub mackerel), MAA (blue mackerel), JAP (Japanese sardine), OFJ (neon flying squid) and SQJ (Japanese flying squid).
  - For “Quantity” state the number and type of units
  - For “Catch area,” state “National waters” or the “NPFC Convention Area”
- For question 17, for “External ID,” state the NPFC Vessel Registry number; For question 28, for “Identifier,” use include all NPFC Transshipment Event IDs;
- For question 29, “donor vessels,” is synonymous with “offloading vessels;”
- For question 32, “Examination of logbook(s), and other documentation” should include electronic or paper catch records and transshipments records.

## TEMPLATE FOR PORT INSPECTION REPORTS

[format indicative]

|                                                      |            |             |            |                      |             |           |           |
|------------------------------------------------------|------------|-------------|------------|----------------------|-------------|-----------|-----------|
| <b>1. Inspection report no</b>                       |            |             |            | <b>2. Port State</b> |             |           |           |
| <b>3. Inspecting authority</b>                       |            |             |            |                      |             |           |           |
| <b>4. Name of principal inspector</b>                |            |             |            | <b>ID</b>            |             |           |           |
| <b>5. Port of inspection</b>                         |            |             |            |                      |             |           |           |
| <b>6. Start of inspection</b>                        |            | <i>YYYY</i> | <i>MM</i>  | <i>DD</i>            | <i>HH</i>   |           |           |
| <b>7. Completion of inspection</b>                   |            | <i>YYYY</i> | <i>MM</i>  | <i>DD</i>            | <i>HH</i>   |           |           |
| <b>8. Advanced notification received</b>             |            | <i>Yes</i>  |            |                      | <i>No</i>   |           |           |
| <b>9. Purpose(s)</b>                                 | <i>LAN</i> | <i>TRX</i>  | <i>PRO</i> | <i>OTH (specify)</i> |             |           |           |
| <b>10. Port and State and date of last port call</b> |            |             |            |                      | <i>YYYY</i> | <i>MM</i> | <i>DD</i> |
| <b>11. Vessel name</b>                               |            |             |            |                      |             |           |           |
| <b>12. Flag State</b>                                |            |             |            |                      |             |           |           |
| <b>13. Type of vessel</b>                            |            |             |            |                      |             |           |           |
| <b>14. International Radio Call Sign</b>             |            |             |            |                      |             |           |           |
| <b>15. Certificate of registry ID</b>                |            |             |            |                      |             |           |           |
| <b>16. IMO ship ID, if available</b>                 |            |             |            |                      |             |           |           |
| <b>17. External ID, if available</b>                 |            |             |            |                      |             |           |           |
| <b>18. Port of registry</b>                          |            |             |            |                      |             |           |           |

|                                                                                                                    |                  |                          |                                         |                                  |             |
|--------------------------------------------------------------------------------------------------------------------|------------------|--------------------------|-----------------------------------------|----------------------------------|-------------|
| <b>19. Vessel owner(s)</b>                                                                                         |                  |                          |                                         |                                  |             |
| <b>20. Vessel beneficial owner(s), if known and different from vessel owner</b>                                    |                  |                          |                                         |                                  |             |
| <b>21. Vessel operator(s), if different from vessel owner</b>                                                      |                  |                          |                                         |                                  |             |
| <b>22. Vessel master name and nationality</b>                                                                      |                  |                          |                                         |                                  |             |
| <b>23. Fishing master name and nationality</b>                                                                     |                  |                          |                                         |                                  |             |
| <b>24. Vessel agent</b>                                                                                            |                  |                          |                                         |                                  |             |
| <b>25. VMS</b>                                                                                                     | <i>No</i>        | <i>Yes: National</i>     | <i>Yes: NPFC</i>                        | <b>Type:</b>                     |             |
| <b>26. Status in NPFC area (if applicable) where fishing has been undertaken, including any IUU vessel listing</b> |                  |                          |                                         |                                  |             |
| <i>Vessel identifier</i>                                                                                           | <i>NPFC</i>      | <i>Flag State status</i> | <i>Vessel on authorised vessel list</i> | <i>Vessel on IUU vessel list</i> |             |
|                                                                                                                    |                  |                          |                                         |                                  |             |
|                                                                                                                    |                  |                          |                                         |                                  |             |
| <b>27. Relevant fishing authorisation(s)</b>                                                                       |                  |                          |                                         |                                  |             |
| <i>Identifier</i>                                                                                                  | <i>Issued by</i> | <i>Validity</i>          | <i>Fishing area(s)</i>                  | <i>Species</i>                   | <i>Gear</i> |
|                                                                                                                    |                  |                          |                                         |                                  |             |
|                                                                                                                    |                  |                          |                                         |                                  |             |
| <b>28. Relevant transshipment authorisation(s)</b>                                                                 |                  |                          |                                         |                                  |             |
| <i>Identifier</i>                                                                                                  |                  | <i>Issued by</i>         |                                         | <i>Validity</i>                  |             |
| <i>Identifier</i>                                                                                                  |                  | <i>Issued by</i>         |                                         | <i>Validity</i>                  |             |

|                                                                     |                     |                      |                          |                           |                                                                             |                 |
|---------------------------------------------------------------------|---------------------|----------------------|--------------------------|---------------------------|-----------------------------------------------------------------------------|-----------------|
| <b>29. Transshipment information concerning donor vessels</b>       |                     |                      |                          |                           |                                                                             |                 |
| <i>Name</i>                                                         | <i>Flag State</i>   | <i>ID no.</i>        | <i>Species</i>           | <i>Product form</i>       | <i>Catch area(s)</i>                                                        | <i>Quantity</i> |
|                                                                     |                     |                      |                          |                           |                                                                             |                 |
|                                                                     |                     |                      |                          |                           |                                                                             |                 |
| <b>30. Evaluation of offloaded catch (quantity)</b>                 |                     |                      |                          |                           |                                                                             |                 |
| <i>Species</i>                                                      | <i>Product form</i> | <i>Catch area(s)</i> | <i>Quantity declared</i> | <i>Quantity offloaded</i> | <i>Difference between quantity declared and quantity determined, if any</i> |                 |
|                                                                     |                     |                      |                          |                           |                                                                             |                 |
|                                                                     |                     |                      |                          |                           |                                                                             |                 |
|                                                                     |                     |                      |                          |                           |                                                                             |                 |
| <b>31. Catch retained onboard (quantity)</b>                        |                     |                      |                          |                           |                                                                             |                 |
| <i>Species</i>                                                      | <i>Product form</i> | <i>Catch area(s)</i> | <i>Quantity declared</i> | <i>Quantity retained</i>  | <i>Difference between quantity declared and quantity determined, if any</i> |                 |
|                                                                     |                     |                      |                          |                           |                                                                             |                 |
|                                                                     |                     |                      |                          |                           |                                                                             |                 |
|                                                                     |                     |                      |                          |                           |                                                                             |                 |
| <b>32. Examination of logbook(s) and other documentation</b>        |                     |                      |                          | <i>Yes</i>                | <i>No</i>                                                                   | <i>Comments</i> |
| <b>33. Compliance with applicable catch documentation scheme(s)</b> |                     |                      |                          | <i>Yes</i>                | <i>No</i>                                                                   | <i>Comments</i> |
| <b>34. Compliance with applicable trade information scheme(s)</b>   |                     |                      |                          | <i>Yes</i>                | <i>No</i>                                                                   | <i>Comments</i> |
| <b>35. Type of gear used</b>                                        |                     |                      |                          |                           |                                                                             |                 |

|                                                                                            |            |           |                 |
|--------------------------------------------------------------------------------------------|------------|-----------|-----------------|
| <b>36. Gear examined in accordance with paragraph e) of Annex C</b>                        | <i>Yes</i> | <i>No</i> | <i>Comments</i> |
| <b>37. Findings by inspector(s)</b>                                                        |            |           |                 |
|                                                                                            |            |           |                 |
| <b>38. Apparent violation(s) noted including reference to relevant legal instrument(s)</b> |            |           |                 |
|                                                                                            |            |           |                 |
| <b>39. Comments by the master</b>                                                          |            |           |                 |
|                                                                                            |            |           |                 |
| <b>40. Action taken</b>                                                                    |            |           |                 |
|                                                                                            |            |           |                 |
| <b>41. Master's signature</b>                                                              |            |           |                 |
|                                                                                            |            |           |                 |
| <b>42. Inspector's signature</b>                                                           |            |           |                 |
|                                                                                            |            |           |                 |